



ADAPTATION FUND

AFB/PPRC.35/28/Add.1  
17 March 2025

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Adaptation Fund Board  
Project and Programme Review Committee  
Thirty fifth Meeting  
Bonn, Germany, 8-9 April 2025

Agenda Item 6d)

**PROJECT FORMULATION GRANT FOR  
BENIN, BURKINA FASO, UGANDA AND ZIMBABWE**

## I. Background

1. The Board at its eleventh meeting discussed the document "Funding for Project Formulation Costs" (AFB/11/6) and agreed, in its Decision B.11/18, that:

- i. project formulation grants (PFG) should be given once a project concept has been approved
- ii. consideration should be given in terms of differentiating between NIEs and MIEs, since some NIEs might have financial difficulties in trying to formulate project or programme proposals;
- iii. a flat rate should be given for project formulation costs;
- iv. a list of eligible activities and items still needed to be prepared; v. the grant should be additional to the project cost; and
- v. the fate of funds if the final project document was rejected should be determined.

2. There was consensus that a three-tiered system should be considered for project formulation grants: endorse a project concept with a PFG amount, endorse a project concept without a PFG amount, or reject the project concept.

3. Following the discussion, the Board decided:

To request the secretariat to reformulate the document, to include a comparison of eligible activities provided by other funds for project formulation grants, to take into account guidance provided by the Board at the present meeting, and to submit the document to the Board at its twelfth meeting, through the EFC. The EFC should review and finalize the process and policy of the project formulation grant focusing, in particular, on: the issue of unspent project funds; the procedures followed by other funds in that regard; and the determination of a flat-rate.

4. A document was prepared by the secretariat in response to the above mandate and presented at the third EFC meeting, which made specific recommendations to the Board at its twelfth meeting. Having considered the recommendation of the Ethics and Finance Committee, the Board, in its Decision B.12/28, decided that:

*(a) Project Formulation Grants (PFGs) will only be made available for projects submitted through NIEs. The Board would continue reviewing the question of PFGs for projects submitted through MIEs and would solicit comments from members and alternate members by February 14, 2011; the views would be compiled by the secretariat for presentation to the Board at its March 2011 meeting;*

*(b) If a country required a project formulation grant, a request should be made at the same time as the submission of a project concept to the secretariat. The secretariat will review and forward it to the PPRC for a final recommendation to the Board. A PFG could only be awarded when a project concept was presented and endorsed;*

*(c) A PFG form, reproduced in Annex V, should be submitted;*

- (d) Only activities related to country costs would be eligible for PFG funding;*
- (e) A flat rate of up to US\$30,000 shall be provided, inclusive of the management fee, which cannot exceed 8.5 per cent of the grant amount. The flat fee would be reviewed by the Board at its thirteenth and all subsequent meetings;*
- (f) If the final project document is rejected, any unused funds shall be returned to the Adaptation Fund Trust Fund;*
- (g) Once a project/programme formulation grant is disbursed, a fully developed project document should come to the Board for approval within 12 months. No additional grants for project preparation can be received by a country until the fully developed project/programme document has been submitted to the Board; and*
- (h) The Trustee was instructed to remove the set-aside of US\$100,000 for project preparation that had been decided at the June 2010 meeting, as project preparation would be approved on a project-by-project basis.*

5. In its twenty-fourth meeting, the Board had initiated steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million and had requested the secretariat to prepare for the consideration of the Board a proposal for such a pilot programme (Decision B.24/30). In its twenty-fifth meeting, the secretariat submitted such document and the Board decided to:

- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) Set a cap of US\$ 30 million for the programme;*
- (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
- (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*

*(Decision B.25/28)*

6. The approved document AFB/B.25/6/Rev.2 contained provisions for the approval of project formulated grants for regional project and programme proposals, at different development stages, as follows:

*“It is proposed that the Board open a structured call for MIEs and RIEs to submit pre-concepts for regional projects and programmes. The optional pre-concepts would be very brief proposals of maximum 5 pages that would explain the proposed regional adaptation project/programme. The pre-concepts would be screened and technically reviewed by the*

*secretariat and subsequently reviewed by the PPRC. Together with the pre-concept, the proponent could submit a Phase I PFG request, up to the maximum level of US\$ 20,000. While endorsing the pre-concept, the Board could also approve the Phase I PFG request. The endorsement of the pre-concept would not create an obligation for the Board for later funding. As the next step, the proponent would submit a concept, and with it the proponent could submit a Phase II PFG request. The maximum AFB/PPRC.18/25/Add.1 level of the Phase II PFG would be US\$ 80,000 for proposals that had been previously granted Phase I PFG, and US\$ 100,000 for proposals that bypassed the optional pre-concept stage. While endorsing the concept, the Board could also approve the Phase II PFG request. The endorsement of the concept would not create an obligation for the Board for later funding, as it is the case for the national projects. The final stage of the proposal process would be the submission of the fully-developed regional project document”.*

## **II. The Project Formulation Grant Request**

7. This addendum to the document AFB/PPRC.35/28 “Proposal for Benin, Burkina Faso, Uganda and Zimbabwe” includes a request for a Project Formulation Grant, requesting a budget of US\$ 20,000, which was received by the secretariat along with the concept for the regional programme AF00000414 “*Pioneering Innovative Financing for Climate-Resilient Health Systems in Africa*”. This proposal was submitted by World Health Organization (WHO), which is a Multilateral Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its forty-fourth Board meeting.

8. In accordance with Decision B.12/28, paragraph (b), the secretariat carried out an initial review of the PFG request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would support comprehensive consultation process.

9. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFG Request, provided that the related pre-concept proposal is endorsed.



**Revised PFG Submission Form<sup>1</sup> (additions in red)**

**Project Formulation Grant (PFG)**

**Submission Date:** 18 December 2014

**Adaptation Fund Project ID:** AF00000414

**Country/ies:** Benin, Burkina Faso, Uganda and Zimbabwe

**Title of Project/Programme:** Pioneering Innovative Financing for Climate-Resilient Health Systems in Africa

**Type of IE (NIE/RIE/MIE):** Multilateral Implementing Entity

**Implementing Entity:** World Health Organization (WHO)

**Executing Entity/ies:** Africa Enterprise Challenge Fund (AECF)

**A. Project Preparation Timeframe**

<b>Start date of PFG</b>	01 March 2025
<b>Completion date of PFG</b>	30 May 2025

**B. Proposed Project Preparation Activities (\$)**

<b>List of Proposed Project Preparation Activities</b>	<b>Output of the PFG Activities</b>	<b>US\$ Amount</b>	<b>Budget note<sup>2</sup></b>
Three (4) Country Missions to conduct stakeholder consultation meetings and Concept note drafting.	Agreed project outputs and outcomes and project development milestones.	US\$20,000	Cost for 4 missions to the 4 countries by WHO and AECF Teams. These include flights, accommodation and meeting expenses.
<b>Total Project Formulation Grant</b>		US\$20,000	

Please describe below each of the PFG activities and provide justifications for their need and for the amount of funding required:

<sup>1</sup> As presented in AFB/PPRC.33/40 Annex 1.

<sup>2</sup> The proposal should include a detailed budget with budget notes indicating the break- down of costs at the activity level. It should also include a budget on the Implementing Entity management fee use.


**For LLA Projects only:**

If requesting additional funding for LLA projects to enable devolving decision making to the local level, please specify the activities that would directly serve to enable devolving decision making to the lowest appropriate level and enable local actors to make informed decisions on how adaptation actions are defined, prioritized, designed, and implemented:

Please provide justifications for their need and for the amount of additional funding required:

**C. Implementing Entity**

This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Jeremiah Mushosho, WHO.	P.P 	18 December 2024	Dr. Jeremiah Mushosho	+263772326001	<a href="mailto:mushoshoj@who.int">mushoshoj@who.int</a>



MINISTRE DU CADRE DE VIE ET  
DES TRANSPORTS  
EN CHARGE DU DEVELOPPEMENT DURABLE  
REPUBLICQUE DU BENIN

01 BP 3502 - 01 BP 3621 Cotonou  
Tél. : + 229 21 31 80 45 - 21 31 47 12  
mcut.info@gouv.bj  
www.cadredevie.gouv.bj

*Direction générale de l'Environnement et du Climat*

N° 348/DGEC/MCVT/DGCC/SD

Cotonou, le 18/07/24

### Letter of Endorsement by Government


To: The Adaptation Fund Board  
c/o Adaptation Fund Board Secretariat  
Email: Secretariat@Adaptation-Fund.org  
Fax: 202 522 3240/5


Subject: Endorsement for Pioneering Innovative Financing for Climate-Resilient Health Systems in Africa.

In my capacity as designated authority for the Adaptation Fund in Benin, I confirm that the above regional project/programme proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the country.

Accordingly, I am pleased to endorse the above project/programme proposal with support from the Adaptation Fund. If approved, the project/programme will be implemented by World Health Organization (WHO) and executed by Africa Enterprise Challenge Fund (AECF).

Sincerely,

  
**Le Directeur Général**  
**Prof. Martin Pépin Aïna**  
Director general  
Designated Authority for the Adaptation Fund





# BURKINA FASO

*Unité-Progrès-Justice*

**Ministère de l'Economie, des Finances et de la Prospective**

**Direction Générale de la Coopération**



ADAPTATION FUND



Ouagadougou, le 12 Juillet 2024

A: Adaptation Fund Board  
C/o Adaptation Fund Board Secretariat  
Email: [Secretariat@Adaptation-Fund.org](mailto:Secretariat@Adaptation-Fund.org)  
Fax: 202 522 3240/5

**Objet:** Lettre d'Approbation du projet régional « Financements innovants pour les systèmes de santé résilients face aux changements climatiques en Afrique / Pioneering Innovative Financing for Climate-Resilient Health Systems in Africa »

**Monsieur le Secrétaire du Conseil,**

A ma qualité d'Autorité National Désignée du Fonds d'adaptation du Burkina Faso, je confirme que la proposition de projet régional ci-dessus cité, est conforme aux priorités du gouvernement du Burkina Faso, dans le cadre de la mise en œuvre des activités d'adaptation, visant à réduire les impacts néfastes du changement climatique au Burkina Faso.

Par la présente, j'ai l'honneur de vous informer, **que j'approuve le projet régional « Financements innovants pour les systèmes de santé résilients face aux changements climatiques en Afrique / Pioneering Innovative Financing for Climate-Resilient Health Systems in Africa »**. Ce projet sera porté par l'Organisation mondiale de la santé (OMS) et exécuté par le Ministère de la Santé et de l'Hygiène publique du Burkina Faso.

Tout en vous réitérant mes remerciements pour les efforts déployés afin de soutenir les actions du Gouvernement en matière d'adaptation au changement climatique, je vous prie d'agréer, **Monsieur le Secrétaire du Conseil**, l'expression de ma considération distinguée.

**Inoussa OUIMINGA**

Adaptation Fund National Designated Authority  
Directeur Général de la Coopération  
03 BP 7067 Ouagadougou 03  
Tel : +226 25 31 25 50/+226 70 92 83 64  
Email: [ouiminga@yahoo.fr](mailto:ouiminga@yahoo.fr)



Telephone: 256 41 4341305/230487  
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Email : [finance@finance.go.ug](mailto:finance@finance.go.ug)  
Website : [www.finance.go.ug](http://www.finance.go.ug)  
Plot No. 2-8 Apollo Kagga Road  
In any correspondence on  
This subject please quote No. ALD 79/251/02



Ministry of Finance, Planning &  
Economic Development,  
P.O Box 8147  
Kampala, Uganda

12<sup>th</sup> November 2024

The Adaptation Fund Board,  
C/O Adaptation Fund Board Secretariat,  
Email: [Secretariat@Adaptation-Fund.org](mailto:Secretariat@Adaptation-Fund.org),  
Fax: 202 522 3240/5.

**ENDORSEMENT LETTER FOR THE PROJECT TITLED  
“PIONEERING INNOVATIVE FINANCING FOR CLIMATE  
RESILIENT HEALTH SYSTEMS IN AFRICA”**

On behalf of the Government of Uganda, I confirm that the above regional project/programme is in line with Uganda’s priorities in implementing adaptation actions to reduce the effects of climate change on our people and economy.

Accordingly, I am pleased to endorse the above regional project/programme proposal to be supported by the Adaptation Fund.

If approved, the project /programme will be implemented by World Health Organization (WHO) and executed by the African Enterprise Challenge Fund (AECF).

Ramathan Ggeobi

**PERMANENT SECRETARY/ SECRETARY TO THE TREASURY**

- Copy to:
- Hon. Minister of Finance, Planning and Economic Development
  - The Permanent Secretary, Ministry of Health
  - The Permanent Secretary, Ministry of Water and Environment

**Mission**

*“To formulate sound economic policies, maximize revenue mobilization, ensure efficient allocation and accountability for public resources so as to achieve the most rapid and sustainable economic growth and development”*

*All communications should be addressed, "The Secretary for Environment, Climate and Wildlife."*

P Bag 7753 Causeway,  
Zimbabwe  
Telephone: 701681/3  
Fax: 252673

Your Ref:  
Our Ref:



ZIMBABWE

MINISTRY OF ENVIRONMENT,  
CLIMATE AND WILDLIFE

11<sup>th</sup> Floor, Kaguvu Building  
Cnr 4th Street/Central Avenue  
Harare  
ZIMBABWE

3 April 2024

To: The Adaptation Fund Board  
c/o Adaptation Fund Board Secretariat  
Email: Secretariat@Adaptation-Fund.org  
Fax: 202 522 3240/5

Subject: Endorsement for Pioneering Innovative Adaptation Financing for Climate Resilient Health Systems in Africa

In my capacity as designated authority for the Adaptation Fund in Zimbabwe, I confirm that the above regional project/programme proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Zimbabwe.

Accordingly, I am pleased to endorse the above project/programme proposal with support from the Adaptation Fund. If approved, the project/programme will be implemented by WHO and executed by Africa Enterprise Challenge Fund.

Sincerely,



Mr Washington Zhakata  
Director Climate Change Management Department  
Nationally Designated Authority  
Ministry of Environment, Climate and Wildlife