



ADAPTATION FUND

AFB/PPRC.34/Inf.34  
16 September 2024

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Adaptation Fund Board  
Project and Programme Review Committee  
Thirty-fourth Meeting  
Bonn, Germany, 8-9 October 2024

**PROPOSAL FOR INNOVATION LARGE GRANT FOR  
BELIZE**



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Single Large Innovation Project Full Proposal

**Country/Region:** Belize  
**Project Title:** Securing Water Resources through Solar Energy and Innovative  
**Thematic Focal Area:** Rural Development and Water Management  
**Implementing Entity:** Protected Areas Conservation Trust (PACT)  
**Executing Entities:** Ministry of Rural Transformation, Community Development  
**AF Project ID:** AF00000272  
**IE Project ID:** **Requested Financing from Adaptation Fund (US Dollars):** 4,995,705.32  
**Reviewer and contact person:** Alyssa Gomes **Co-reviewer(s):**  
**IE Contact Person:**

<p>Technical Summary</p>	<p>The project “Securing Water Resources through Solar Energy and Innovative” aims to empower communities to appreciate their water resources which are affected by climate change through investments in capacity building and water sustainability and its relationship to their livelihoods, allowing communities to be more resilient, to be vested through strong inclusive participation and governance permitting an improved response to changes in climate. This will be done through the three components below:</p> <p><u>Component 1:</u> Design and build cost-effective solar hybrid sustainable water systems in the four selected communities that will allow households to have access to potable and reliable water service (USD 3,163,389.25);</p> <p><u>Component 2:</u> Ensuring the sustainability of water resources through improvement of livelihoods opportunities (USD 771,891.67);</p> <p><u>Component 3:</u> Increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthen the knowledge management capacities of the public authorities and the communities. (USD 109,850).</p> <p><u>Requested financing overview:</u></p> <p>Project/Programme Execution Cost: USD 505,610</p>
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	<p>Total Project/Programme Cost: USD 4,550,740.92  Implementing Fee: USD 444,964.40  Financing Requested: USD 4,995,705.32</p> <p>The initial technical review raises issues such as further clarification on the project outcomes, innovation activities and outputs, sustainability, cost-effectiveness, environmental and social policy and gender policy compliance, inclusion of decimals in the budget breakdown and requested amount, and budget discrepancies as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p>
Date:	3 July 2024

Review Criteria	Questions	Comments
Country Eligibility	1. Is/are the beneficiary country/countries a developing country/countries Party/Parties to the Kyoto Protocol?	Yes
	2. Is the participating country / are all participating countries developing countries particularly vulnerable to the adverse effects of climate change?	Yes, Belize is highly vulnerable to climate change due to its geographic location, low-lying coastal areas, underdeveloped infrastructure, sensitive biodiversity and ecosystems, agricultural susceptibility, water resource stress, lack of economic diversification, sparse population density, and inadequate social networks and emergency relief plans.
Project Eligibility	1. Has the designated government authority for the Adaptation Fund / Have the governments' designated authorities for the Adaptation Fund endorsed the project?	Yes As per the Endorsement letter dated 24 May 2024.
	2. Does the project/programme support concrete adaptation actions to assist the country or countries in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience?	<b>Yes, however further clarification is requested.</b>  The proposal offers an alternative water supply system for four target communities by using solar energy to extract water from nearby rivers. This climate adaptation measure will improve access to potable water for communities not connected to the national supply. The project also aims to involve communities in restoring and protecting watersheds

and promoting sustainable water resource management. Additionally, capacity building and training activities for beneficiaries and government officials will ensure effective water system management. Initial consultations in the target communities highlighted water contamination during flooding events. The proposal addresses this by detailing enhanced water access, watershed restoration, and contamination mitigation efforts.

**CAR1:** The requested financing, project components totals, IE fees and activity costs include decimals. Please revise to whole numbers throughout.

The Theory of Change (diagram on page 18 and description pages 19-21) includes project objectives and activities but doesn't include project outcomes.

**CAR2:** Please explain the project outcomes which are the tangible and intangible results that are expected to be achieved once the project is completed. Outcomes should represent the changes or benefits that occur as a result of the project's activities and focus on the impact and benefits derived from achieving the objectives.

**CAR3:** In the components and financing table (pages 21-23), please include the expected project outcomes. Alignment with the Fund level outcomes should be described in the 'Alignment Table'.

On page 25, the proposal mentions diverse challenges faced by the four communities that hinder sustainable and resilient outcomes for water management, agricultural practices, and ecosystem conservation.

		<p>However, the project components 1 and 2 have not sufficiently clarified a few issues mentioned below.</p> <p><b>CR1:</b> Please clarify related to soil management plans (e.g., use of organic fertilizers, composting, and soil conservation techniques) to enhance soil fertility and prevent degradation, particularly in areas impacted by milpa farming. Please describe strategies to maintain soil health and productivity in the target districts (e.g., promote crop rotation and the use of cover crops etc.).</p> <p><b>CR2:</b> Under component 2 on page 27, please explain how providing an avenue for community members to install, maintain and market solar energy systems in their respective villages will ensure the sustainability of water resources and ecosystem.</p> <p>Under activity 3.1, there ambiguity in restoration and conservation methods to be employed. <b>CR3:</b> Please define the methods for restoration and conservation, particularly for mangrove restoration and managing chemical runoff. If specific restoration techniques (e.g., methods for replanting mangroves, strategies for reducing chemical runoff) will be selected through a kind of competitive process, this should be clarified.</p> <p><b>CR4:</b> Please clarify how the project will leverage local knowledge and build local capacity by involving local experts, traditional knowledge holders, and community leaders in the planning and implementation processes.</p> <p><b>CR5:</b> Explain the support and incentives for farmers to adopt climate smart agriculture (CSA) practices, drawing on successful examples.</p>
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		<p><b>CR6:</b> Please clarify the following elements:</p> <ul style="list-style-type: none"> <li>a) Specify how communities will be engaged and their roles.</li> <li>b) Detail specific sustainable agricultural practices or the plans for promoting specific sustainable agricultural practices (e.g., agroforestry, permaculture, or organic farming techniques.)</li> <li>c) Provide plans for developing and supporting alternative livelihoods including training programs, market access strategies, and long-term support mechanisms.</li> </ul>
	<p>3. Does the project/programme help spread innovative adaptation practices, tools and technologies that have demonstrated success in one country to another country, countries, or regions; and/or</p> <p>Does the project/programme pilot at larger scale innovative adaptation practices, tools or technologies generated that have demonstrated viability at a small scale?</p>	<p><b>Not cleared.</b></p> <p>The climate adaptation innovation potential (pages 31-32) of the project lies in the following aspects:</p> <p><b>Construction and installation of solar water systems, design studies on wells and water harvesting systems and energy access:</b> While solar solutions themselves are not new, their innovative potential lies in how they are integrated into broader systems. The combination of advanced technology and community involvement represents a significant innovation in water system management. The innovative potential is in empowering community members with the skills and knowledge to install and maintain solar systems, creating new income opportunities and improving energy access.</p> <p><b>CR7:</b> Please clarify which advanced technological solutions will be used for well and tank placement that have the potential improve the accuracy and efficiency of the design process, ensuring the sustainability of</p>

water systems. For example, if the project aims to incorporate advanced technologies such as GIS mapping and remote sensing to optimize well and tank placement.

**CR8:** Related to construction and installation of solar water systems, please clarify the thinking/plan for the long-term maintenance and support to ensure the longevity and effectiveness of the solar water systems, maintaining their functionality over time.

**CR9:** The proposal lacks details on the process for the collaborative design approach, involving community members and professionals to create tailored solutions. Explain how the community inputs from the outset will be a crucial aspect of the design process.

**Development projects and livelihood options:** Innovation seems to be in the combination of various proven solutions to create a more effective and sustainable approach.

**CR10:** Please clarify how the project will ensure market access for income-generating activities such as bee-keeping, coffee, and cocoa production etc.

**CR11:** Clarify, the potential for exploring and implementing innovative financing mechanisms such as microfinancing, community savings groups, or public-private partnerships to ensure the financial sustainability of projects and supporting scaling up successful initiatives.

**Inclusive governance and collective action:** The innovation lies in the governance model that drives collective action and sustainable practices, rather than

in the individual technologies. Establishing a PMU within the MRT is a significant step toward improving the ministry's ability to manage complex projects, which is innovative in its capacity-building approach. The innovation lies in institutional strengthening and building a dedicated unit to handle project complexities, which can improve overall governance and service delivery.

**CR12:** Related to implementing a system for continuous learning and adaptation within the PMU, please explain how the project will regularly update project management practices based on feedback and lessons learned from ongoing projects to ensure that the PMU remains agile and responsive to new challenges and opportunities.

**Integration and diffusion of practices and technologies:** The innovation lies not in the individual technologies but in their holistic integration and the governance structures that support them.

**CR13:** Please clarify how the project will foster cross-sector collaboration with other government agencies, NGOs, and private sector partners to leverage additional expertise and resources. Enhancing the capacity of the MRT by integrating diverse perspectives and resources, leading to more comprehensive and effective solutions.

The innovation lies in building social capital and trust between the community and authorities, for long-term sustainability and resilience.

**CR14:** Please clarify access to training and capacity building for the community, not just those on the water



board. Explain how the project will ensure women's participation in community decisions.

**CR15:** Related to enhancing the innovation potential for ecosystem-based measures, please clarify the following elements:

- i. How the project will enhance local ownership and ensure that conservation efforts are tailored to the specific needs and knowledge of the community.
- ii. Collaboration with partnership with local and international NGOs and research institutions to leverage expertise, resources, and innovative practices to enhance the effectiveness and scope of conservation efforts through collaboration and knowledge exchange.
- iii. Practices enhance biodiversity, improve soil health, and increase agricultural resilience to climate change.
- iv. Reviving the use native plant and tree species in reforestation and restoration efforts, and integration of local/indigenous and scientific solutions.
- v. Potential for establishing ecological monitoring programs that involve community members as citizen scientists.
- vi. Economic incentives for communities to engage in sustainable practices and conservation activities.
- vii. Potential to develop and implement infrastructure projects that are designed to be resilient to climate impacts, such as green infrastructure (e.g., wetlands, riparian buffers) that can manage stormwater and protect against flooding.

		<p><b>CAR4:</b> The innovation outputs and activities on pages 31 and 32 are not sufficiently spelled out and integrated in the project components and activities. Please ensure that approaches for competition for gathering solutions are explained in the project components. Kindly list all outputs and activities related to this activity.</p> <p>Page 21 mentions that objectives 4, 5, 6 and 8 will be executed by an NGO or similar entity that will be a project grant recipient after its grant proposal for the intervention has been evaluated by MRT PMU and the PTC (Project Technical Committee), using PACT's Grant Award Guidelines</p> <p><b>CR16:</b> Please provide information on the screening and eligibility criteria or the process for developing these. Furthermore, clarify how the project will source proposal widely from the range of actors targeted.</p>
	<p>4. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p><b>Not cleared.</b></p> <p>The project aims to benefit over 1,665 men, women, and children in over 335 households in four villages (page 33).</p> <p><b>Environmental benefits:</b></p> <p>The proposal highlights that two of the three Toledo District communities have at-risk aquifers, that are mostly layers of limestone formations that filter and store rainwater throughout the year, which presents an environmental problem locally and throughout the watershed. The project aims to implement preservation of the aquifers, manage coastal wetlands and near-shore marine ecosystems. The information</p>

		<p>provided on page 33, is not sufficiently reflected in component 2.</p> <p><b>CR17:</b> Please incorporate all ecosystem restoration measures presented in paragraphs 47-51 and detail activities and solutions in component 2. Relevant targets and indicators should also be reflected in the results framework.</p> <p><b>Social benefits:</b></p> <p>The social benefits are described on page 34. While the project aims for 50% female representation on Water Boards, it may not address deeper systemic issues that prevent women from participating fully and effectively. The proposal mentions a gender-sensitive needs assessment but lacks detail on specific interventions to address the unique needs of women. The focus on achieving gender balance in representation might overlook the quality of participation and the influence women have in decision-making processes. The involvement of men in gender transformation efforts is mentioned but not detailed.</p> <p><b>CR18:</b> Clarify how the project will create an enabling environment for meaningful participation, such as providing leadership training for women and fostering an inclusive culture within Water Boards and other project structures. Describe specific programs to engage men as allies in promoting gender equality, including workshops and dialogues on the importance of shared responsibilities and benefits of gender equality.</p>
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**CR19:** Clarify how infrastructure projects consider the needs of women, such as safe public spaces, accessible water points, and sanitary facilities.

**Economic benefits:**

While the project provides temporary jobs during the construction phase and some potential employment opportunities in solar maintenance, it does not clearly outline long-term, sustainable employment opportunities.

**CR20:** Clarify plans for creating permanent jobs related to the ongoing operation and maintenance of the water systems, as well as other sustainable industries such as eco-tourism or agricultural processing.

**CR21:** The proposal would benefit from some thinking around scaling business models for solar energy systems to be implemented, that can be replicated in other communities or regions.

The project describes potential economic benefits but lacks quantifiable data to support these claims. For example, the time savings from reduced water collection burden is mentioned but not reliably quantified.

**CR22:** Where possible provide an assessment to quantify benefits such as time savings, increased productivity, and income from new livelihoods to create a strong case for the economic viability of the project.

5. Does the project engage, empower and/or benefit the most vulnerable communities and social groups?

**Not cleared.**

The three Toledo District communities are mostly comprised of indigenous Maya peoples, who maintain traditional customs, religious practices, and leadership roles (page 33).

The proposal mentions traditional patriarchal cultures but lacks specific strategies to respect and integrate indigenous cultural practices and knowledge. There is no mention of addressing potential language barriers that might prevent indigenous people from fully participating in the project. The project does not acknowledge or integrate existing indigenous governance structures that could enhance community engagement and ownership. The project focuses on modern agricultural practices and ecosystem management but lacks emphasis on traditional land and resource management practices.

**CR23:** Describe the process for incorporating culturally sensitive approaches by engaging indigenous leaders and elders in the planning and implementation processes, ensuring that traditional knowledge and practices are respected and integrated.

**CR24:** Clarify arrangements for translation services and materials in local languages to ensure that all community members can participate and understand the project activities and benefits.

**CR25:** How will the project collaborate with indigenous governance bodies and integrate project activities with

		<p>existing structures to strengthen local governance and ensure sustainability.</p> <p><b>CR26:</b> Clarify approaches for promoting the use of traditional ecological knowledge (TEK) in land and resource management practices, ensuring that indigenous methods of conservation and sustainability are valued and applied.</p>
	<p>6. Does the project advance gender equality and the empowerment of women and girls?</p>	<p>A Gender Assessment and Gender Action Plan is included in Annex V. Please see CRs requested above under social benefits.</p> <p><b>CR27:</b> Please ensure that gender and social inclusion are explicitly addressed in all project activities and training programs.</p>
	<p>7. Is the project/programme cost-effective?</p> <p>In the case of regional project/ programmes, does the regional approach support cost effectiveness? Does the project engage, empower and/or benefit the most vulnerable communities and social groups?</p>	<p><b>Not cleared.</b></p> <p>The cost-effectiveness justification draws on the experience and cost data from related projects in similar communities, providing a basis for cost comparisons. It references the Belize Social Investment Fund (BSIF) projects and other initiatives funded by the Caribbean Development Bank (CDB), United Nations Development Program (UNDP), and Global Environment Facility (GEF). Generally, the project seems justified in terms of cost-effectiveness through a comprehensive analysis of similar projects, detailed cost components, and anticipated broader benefits. The Belize Social Investment Fund (BSIF) and other relevant projects provide a benchmark for comparison.</p> <p>While the comparison with similar projects is helpful, the long-term benefits of the proposed project are not fully articulated. <b>CR28:</b> Conduct a comparative analysis of the long-term benefits, such as the</p>

		<p>sustainability of water systems, improvements in community health, and economic benefits from increased productivity and reduced water-related disruptions. Highlight how these benefits outweigh the initial higher costs.</p> <p>The ecosystem restoration and livelihood components are mentioned but not explicitly compared in terms of cost-effectiveness. <b>CR29:</b> Provide specific examples of how these components have been cost-effective in other projects. Detail the expected return on investment (ROI) for these activities in terms of environmental and economic benefits.</p> <p>The project's broader benefits include the establishment of a Project Management Unit (PMU) within the Ministry of Rural Transformation (MRT), which will significantly enhance the ministry's capacity to plan, manage, and monitor future projects. This capacity-building initiative is expected to yield long-term benefits by enabling the MRT to better serve its rural communities.</p> <p>Quantitative estimates of broader benefits, detailed cost breakdowns, and a comparative analysis of long-term benefits will strengthen the justification. Furthermore, insights from available evaluation reports and specific examples of cost-effective ecosystem and livelihood components will provide a robust evidence base. Emphasizing the project's sustainability and replicability will further demonstrate its cost-effectiveness and potential for broader impact.</p>
	<p>8. Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and</p>	<p><b>Not cleared.</b></p> <p>The proposal is consistent with the National Adaptation Strategy to Address Climate Change in the Water Sector (2009), Growth and Sustainable</p>

	<p>adaptation programs of action and other relevant instruments?</p>	<p>Development Strategy (GSDS) 2016-2019, Belize's Climate Financing Strategy 2021-2026, 81. Belize's Nationally Determined Contribution, National Gender Policy (NGP) in Belize, National Integrated Water Resources Management Policy.</p> <p><b>CR30:</b> Clarify whether <a href="#">TNAs and TAPs</a> exist and explain consistency with the proposal.</p>
	<p>9. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?</p>	<p><b>Not cleared.</b></p> <p>The proposal includes sector-specific regulations as required by national legislation.</p> <p><b>CR31:</b> The steps taken to comply with it and the nature of the authorization/clearance granted for the project to be implemented is explained on pages 40-43. However please include the components/ activities of the project that the various regulations are applied to in the column "Requirements applicable to the Project".</p>
	<p>10. Is there duplication of project / programme with other funding sources?</p>	<p><b>Cleared,</b> as per the details on pages 44-47.</p>
	<p>11. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?</p>	<p><b>Not cleared.</b></p> <p><b>Component 1, Activity 1.1</b> emphasizes community input in the design of water systems, ensuring that community members are engaged and understand the water source, system operation, and distribution.</p> <p><b>Activity 2.1</b> involves training Water Boards in technical and operational aspects of water systems, while <b>Activity 6.1</b> focuses on raising awareness about</p>



		<p>climate risks, water sustainability, and gender inclusivity.</p> <p><b>Activity 3.1</b> and <b>Activity 4.1</b> link ecosystem restoration and conservation to livelihood improvements, particularly for women.</p> <p><b>Activity 7.2</b> provides a platform for peer-to-peer workshops, enabling communities to share experiences and learn from each other.</p> <p><b>Activity 6.1</b> and <b>Activity 8.1</b> ensure that gender-related issues are incorporated into training and awareness campaigns, with a Gender Specialist overseeing this aspect.</p> <p>The project includes provisions for improving the PACT website for sharing reports and project information and utilizes social networks and community road signs for communication. The project includes mid-term and final evaluations to assess progress and outcomes.</p> <p><b>CR32:</b> While there are mid-term and final evaluations, there is no mention of continuous feedback mechanisms throughout the project duration. Explain how the project will implement regular feedback sessions with community members and stakeholders to continuously gather insights and make iterative adjustments. This could include quarterly review meetings and community feedback surveys.</p> <p><b>CR33:</b> The proposal mentions the generation and dissemination of evidence but lacks detail on the specific processes for iterative learning and adaptation. Clearly outline the iterative learning</p>
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		<p>processes, including how lessons learned will be documented, shared, and incorporated into ongoing project activities. Clarify a formal mechanism for regular review and adaptation based on these lessons.</p> <p><b>CR34:</b> While gender inclusivity is addressed, there is limited mention of specific strategies to include other marginalized groups, such as indigenous peoples and the elderly. Explain targeted strategies to ensure the active participation and inclusion of all marginalized groups. This could involve dedicated workshops, inclusive communication strategies, and representation in decision-making bodies.</p> <p><b>CR35:</b> Explain arrangements for detailed knowledge management plan that includes a centralized database for all project-related documents, regular knowledge-sharing workshops, and a system for tracking the application of learned lessons across different components.</p> <p><b>CR36:</b> There is limited discussion on how the project's successes and innovations will be scaled and replicated in other communities. Describe a scalability plan that outlines how successful interventions will be adapted and implemented in other rural communities. Include strategies for training additional MRT staff and creating model frameworks based on project outcomes.</p>
	<p>12. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p><b>Not cleared.</b></p> <p>During the Project Concept phase, the approach was based on participatory governance to ensure community engagement in decision-making through</p>

		<p>planning, budgeting, action, and M&amp;E systems. This aimed to enhance ownership, local capacity, accountability, and transparency. PACT formed an MRT committee to meet with the four project communities and conducted consultations in their preferred language.</p> <p>Meetings were also held with various government agencies and the Caribbean Community Climate Centre (CCCCC). During Project formulation, over 50 people were consulted, as well as multiple meetings with the community leaders and with the communities. Various topics were discussed, including water and solar systems; sanitation/wastewater management; national water distribution projects; watershed management; alternative livelihoods; gender; capacity building and governance.</p> <p>Meetings were also held with indigenous organizations such as the Maya Leaders Association and the Toledo Alcaldes Association, who provided perspective on the governance, social structure, and decision-making processes within these communities. (pages 49-50).</p> <p><b>CR37:</b> Please explain the outcomes of the consultations and how input received was incorporated in the design of the proposal.</p>
	13. Is the requested financing justified on the basis of full cost of adaptation reasoning?	Yes, the project addressing its adaptation objectives and that, taken solely, without additional funding from other donors, they will help achieve these objectives (pages 52-53).
	14. Is the project / program aligned with AF's results framework?	Yes, the project is aligned with strategic outcomes 2,3,4,5,6 and 8.

	15. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	<p><b>Not cleared.</b></p> <p>The proposal addresses various aspects of sustainability, including economic, social, environmental, institutional, and financial. By providing comprehensive training, strengthening governance structures, and promoting inclusive community engagement, the project lays a strong foundation for long-term sustainability and the potential for replication and scaling up. To further enhance these efforts, the project could highlight further the thinking around long-term financial planning, continuous monitoring and evaluation, enhanced community engagement, a detailed exit strategy, and a comprehensive scalability plan. This will ensure that the adaptation benefits achieved with the project's help can be sustained and replicated successfully in other contexts.</p> <p><b>CR38:</b> While the project addresses financial sustainability through fee collection and MOUs, there is less emphasis on long-term financial planning for unexpected expenses or economic downturns. Describe a long-term financial sustainability plan that includes strategies for creating reserve funds and securing alternative funding sources (e.g., microfinance).</p> <p><b>CR39:</b> While the project includes community engagement, there could be more focus on empowering communities to take ownership of the systems and processes. Explain efforts to build local leadership and ownership by involving community</p>

		<p>members in every stage of the project cycle and providing leadership training.</p> <p><b>CR40:</b> Please explain the exit strategy that may include timelines, handover processes, and post-project support mechanisms to ensure a smooth transition and continued success.</p> <p><b>CR41:</b> The project discusses replication and scaling but lacks a detailed scalability plan. Describe the approach for scaling up successful practices, including steps for engaging new communities, securing additional funding, and adapting approaches to different contexts.</p>
	<p>16. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p><b>Not cleared.</b> Project is categorized as C due to the fact the Project is expected to generate positive social and environmental impact with no significant risk. However, it does not appear that the project does not trigger any of the safeguards – therefore it cannot be category C. Furthermore:</p> <p><b>CAR5:</b> The project includes partially unidentified subprojects as the exact location for restoration and infrastructure works are not completely known. Please mention that the project includes USPs and include management measures for USPs during implementation.</p> <p><b>CAR6:</b> The ESP risk screening table is not aligned with the AF requirements. Risk findings should not include perceived positive impacts, rather should focus on potential risk identified. Management measure should be included in the ESMP.</p>

		<p><b>CAR7:</b> Given the nature of the proposed interventions and the ecological context in which they will be carried out, the project would need to include management measures for the principles of Compliance with Law, Core Labour Rights, Conservation and Biodiversity, Cultural Heritage, Natural Habitats, and Soil Conservation. These measures may require further assessment during implementation. Therefore, the last column cannot state 'No further action required' for these principles</p>
Resource Availability	1. Is the requested project funding within the parameters for large grants set by the Board?	Yes.
	2. Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project budget before the fee? Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?	<p><b>Not cleared.</b> The IE fee is not consistent throughout the proposal , neither is the EE cost. Furthermore, the budget tables include decimals.</p> <p><b>CAR8:</b> Proposal mentions NIE fee calculated of 8% of US\$ 4,045,130.92 and contingencies 3% of US\$ 4,045,130.92. Clarify what is contingencies. IE fee must be capped at 8.5%. Please remove any decimals. The IE fee covers: Corporate activities fees related to engagement with donor (Policy support, Portfolio management, Reporting, Outreach and knowledge sharing) and Project cycle management fees (Project preparation and management oversight including financial management and quality insurance, Implementation reports supervision, and Project completion and evaluation oversight).</p> <p><b>CAR9:</b> Audit costs should be budgeted under execution costs. See Table 1: List of execution activities covered by execution costs and/or as project component in <a href="https://www.adaptation-fund.org/document/proposed-adjustments-to-">https://www.adaptation-fund.org/document/proposed-adjustments-to-</a></p>

		<p><a href="#">implementation-fees-and-execution-costs/</a> on eligible costs. EE costs are capped at 9.5%, exceptions may be made for innovation projects. However, a justification for additional funding for execution should be included in the proposal.</p> <p>Please follow the guidance provided in <a href="https://www.adaptation-fund.org/document/proposed-adjustments-to-implementation-fees-and-execution-costs/">https://www.adaptation-fund.org/document/proposed-adjustments-to-implementation-fees-and-execution-costs/</a> on eligible costs for IE and EE fees. Please use the Cost and Fees calculator: <a href="https://www.adaptation-fund.org/document/ie-and-ee-fees-calculator/">https://www.adaptation-fund.org/document/ie-and-ee-fees-calculator/</a></p>
Eligibility of IE	1. Is the project submitted through an Implementing Entity accredited by the Board?	<b>No.</b> PACT is in the re-accreditation stage. The funding cannot be approved under the status changes to “Accredited”.
Implementation Arrangements	1. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund? Proponents are encouraged to refer to the Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy, for details.	<p><b>Not cleared.</b></p> <p><b>CAR10:</b> Clarify the budget for the implementation of the ESMP.</p> <p>ESMP will need to be revised following CARs requested above in the ESP risk screening section.</p> <p>An accessible grievance mechanism is described on pages 77-78.</p>
	2. Are there measures for financial and project/programme risk management?	<p><b>Not cleared.</b></p> <p>Financial and project risk management measures are described on pages 74-76.</p> <p><b>CR42:</b> Please clarify how the process to use data to inform the innovation’s design, especially during its</p>

		early stages, that can point innovators in the direction of better design choices.
	3. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	<p><b>Not cleared.</b>  <b>CAR11:</b> Please include a budgeted M&amp;E plan.</p> <p>M&amp;E includes provisions for mid-term and terminal evaluations (page 78-79).</p> <p><b>CAR12:</b> M&amp;E Plan does not address management of the environmental and social risks identified. The key M&amp;E milestones does not include the provision for annual PPRs. Please revise.</p>
	4. Is a budget on the Implementing Entity Management Fee use included?	<b>Yes</b> , however, some clarifications are requested above.
	5. Is an explanation and breakdown of the execution cost included?	Yes, however clarifications are requested above.
	6. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	<b>CAR13:</b> Clarify the budget allocated to mid-term review and terminal evaluation.
	7. Is the timeframe for the proposed activities adequate?	<b>Yes</b> , project duration is 5 years.
	8. Is a summary breakdown of the budget for the proposed activities included?	<p><b>Not cleared.</b></p> <p><b>CAR:</b> The proposal includes a detailed budget with budget notes indicating the break- down of costs at the activity level on pages 87-92. However, time bound milestones are not included.</p>
	9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	<p><b>Not cleared.</b></p> <p><b>CAR14:</b> The proposal includes a results framework with realistic, quantified expected results with indicators. However please integrate Gender</p>



		<p>indicators from the GAP in results framework on pages 81-84.</p> <p><b>CAR15:</b> Please include core impact indicators. At least the core impact indicator “Number of beneficiaries including estimations for direct and indirect beneficiaries. A second core indicator must be added if the project includes activities targeting the areas identified in AF results framework, namely (1) Early Warning System; (2) Assets Produced, Developed, Improved, or Strengthened; (3) Increased income, or avoided decrease in income; and/or (4) Natural Assets Protected or Rehabilitated.</p>
	<p>10. Is a disbursement schedule with time-bound milestones included?</p>	<p><b>Not cleared.</b></p> <p><b>CAR16:</b> The proposal includes a disbursement schedule that includes time-bound milestones relative to project inception and the annual reporting requirement, but it is not the format required in the template. Please revise. The figures need to add up – components table vs. budget vs. disbursement table Please ensure that the figures in project amount and disbursement schedule are rounded to a whole number (i.e., no decimals). Please use the Cost and Fees calculator: <a href="https://www.adaptation-fund.org/document/ie-and-ee-fees-calculator/">https://www.adaptation-fund.org/document/ie-and-ee-fees-calculator/</a></p>

## **Funding Proposal Template**

Application Template for Fully-Developed Proposal and Project Concept Proposal<sup>1</sup>



# **PROGRAMME ON INNOVATION: LARGE GRANTS PROJECTS**

## **REQUEST FOR PROJECT FUNDING FROM THE ADAPTATION FUND**

The annexed form should be completed and transmitted to the Adaptation Fund Board Secretariat by email.

Please type in the responses using the template provided. The instructions attached to the form provide guidance to filling out the template.

Please note that a project must be fully prepared when the request is submitted.

Complete documentation should be sent to:

The Adaptation Fund Board Secretariat  
1818 H Street NW  
MSN N7-700  
Washington, D.C., 20433  
U.S.A  
Fax: +1 (202) 522-3240/5  
Email: [afbsec@adaptation-fund.org](mailto:afbsec@adaptation-fund.org)

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<sup>1</sup> Single Country and Regional Concept proposals should complete Part I and Part II of the Project Proposal Template.



ADAPTATION FUND

## SINGLE COUNTRY/ REGIONAL INNOVATION PROJECT/PROGRAMME PROPOSAL

### PART I: PROJECT/PROGRAMME INFORMATION

Title of Project/Programme: Securing Water Resources through Solar Energy and Innovative Adaptive Management (SEAM)

Country/ Countries: Belize

Thematic Focal Area<sup>2</sup>: Rural Development and Water Management

Type of Implementing Entity: National Implementing Entity

Implementing Entity: National Implementing Entity

Executing Entities: Ministry of Rural Transformation, Community Development, Labour and Local Government

Amount of Financing Requested: US\$ 4,995,705.32 (in U.S Dollars Equivalent)

**Letter of Endorsement (LOE) signed:** Yes  No

*NOTE: The LOE should be signed by the Designated Authority (DA). The signatory DA must be on file with the Adaptation Fund. To find the DA currently on file check this page: <https://www.adaptation-fund.org/apply-funding/designated-authorities>*

#### Stage of Submission:

This proposal has been submitted before including at a different stage (concept, fully developed proposal)

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<sup>2</sup> Thematic areas are: Agriculture, Coastal Zone Management, Disaster risk reduction, Food security, Forests, Human health, Innovative climate finance, Marine and Fisheries, Nature-based solutions and ecosystem based adaptation, Protection and enhancement of cultural heritage, Social innovation, Rural development, Urban adaptation, Water management, Wildfire Management.

# Project / Programme Background and Context:

## PROJECT BACKGROUND AND CONTEXT

### Project Brief

1. SEAM aims to provide a distinct and innovative approach to ensuring the adaptive capacities of four rural communities in Belize have access to reliable, potable water under periods of climatic stress. The project focuses on four identified factors that have prohibited a long-lasting solution to access to water, a) inconsistent and/or depleted water availability, b) lower yields on land use and available livelihoods, c) limited or unequal participation of a broad range of stakeholders in key decisions, d) limited capacity to provide training to improve water services. Water sources in the communities have deteriorated, in part due to land use and underlying geological characteristics of the watershed formation. Further, the villages remoteness location and persistent poverty have been a barrier to modern agricultural methods and diversification of livelihoods. These issues occur in a context where changes in climate affect key variables such as frequency and intensity of rain, as well as longer, hotter days. While climate concerns affect all of Belize, these concerns are particularly exacerbated in the Toledo District, a Maya enclave, and in low lying coastal areas of Northern Belize. The GOBZ has taken steps in other communities to supply rural areas with water with mixed results; some systems installed have deteriorated or are perceived to be of low quality. The Ministry of Rural Transformation, Community Development, Labor & Local Government (MRT), agency that liaisons with community or village governments, aims to change poor water supply by improving both the service and its governance, and further, it seeks to do so by improving the health of the ecosystem that produces water which also functions as the source of livelihoods for the project communities. The objectives and activities of SEAM will provide the communities with consistent and reliable potable water, avenues for restoring and conserving the ecosystem in relation to improvement of their livelihoods, community and national adaptive capacity and awareness on climate risk and its relationship to water, and most importantly, training in inclusive and participatory governance at the community and MRT level.

### Background

2. The proposed project seeks to provide a long-term sustainable solution to water supply concern in four communities in rural Belize. A variety of climate and other environmental and social issues have strained the capacity of the four communities to effectively produce and distribute potable water. Of the four rural communities, three are in the Toledo District of Belize, a traditional Maya enclave in the southern part the country; the other community, is in the Corozal District in the north of Belize, nearly 11 km (6.8 miles) from the Mexican border.
3. Belize is a Caribbean country located in Central America, latitude 17 N 88 W. The national territory covers a land area of approximately 5.6 million acres (approximately 22,967 km<sup>2</sup>), including 174 miles (280 km) of coastline entirely along the Caribbean Sea. The mainland makes up 95% of the territory, and 5% is represented by more than 1,060 small islands or Cays. Belize borders Guatemala to the west, Honduras to the southwest (including a shared sea border), and Mexico to the North. Of its total land approximately 1.9 million acres (7,600 km<sup>2</sup>) are considered suitable for agriculture, only 390,427 acres (1580 km<sup>2</sup>) or 7% is actively being used for such purposes. Most of the land use potential is considered to be marginal, mostly covered by forest. Agriculture is an important sector for the Belize economy, however its participation in gross domestic product (GDP) has decreased; during 2011-2015, the contribution of the sector to GDP was on average 15%, in 2020, the sector rebounded to 10.71% from 8.97% in 2019. Notwithstanding, the sector accounted for 11% of employment in 2023, behind tourism at 14.1%.
4. The country has an estimated population of 414,239 people, with a 1.47% annual population growth rate according to United Nations data. It has a low population density of around 45.4 people per square mile – the lowest density of Latin America and amongst the lowest in the world. Belize City is the most densely populated city with 57,000 inhabitants, significantly higher than its capital Belmopan, with 16,500 inhabitants. Administratively, Belize is divided into six districts, Corozal and Orange Walk District in the north, Cayo District in the west, Belize District in the center, and Stann Creek and Toledo District in the south. The country has different ethnic groups, of which mestizo are the majority, 52.9%, followed by Creole, 25.9%, and Maya, 11.3%. Other ethnic groups in the country, include Garifuna, Mennonite, and East Indian. The ethnic diversity of Belize contributes to a rich language profile, where English is the official language, however Spanish is widely spoken; notable languages are Creole (Kriol), Maya (two principal dialects), German, and Garifuna. The indigenous Maya and Garifuna play an important role in Belizean culture, especially in the south, where they comprise the majority of the population.
5. The country achieved independence from the United Kingdom relatively recently, in 1981. The country is organized as a constitutional democratic monarchy, with HM King Charles III, who is represented by a Governor General, as is common in most commonwealth former colonies. The Governor General is a Belizean National. In terms of representation, a bicameral parliament, composed of a House of Assembly (representatives) and a Senate, is tasked with forming the government and legislative affairs.

Elections for the House of Assembly are held every five years. The Prime Minister, as head of government, is appointed by the Governor General, based on the majority of party of the Assembly. Further, Senate membership, of which its 12 members are also appointed by the Governor General; six are appointed under the advice of the Prime Minister, three are appointed on the advice of the opposition, and the remaining three, by non-government organizations.

6. Belize is highly vulnerable to the effects of climate change, due to the exposure of its geographic location, low-lying coastal areas, level of infrastructure development, susceptible biodiversity and ecosystems, agricultural sensitivity, water resource stress, lack of economic diversification, sparse population density and lack of social networks and emergency relief plans. While nested in the Central American isthmus, Belize shares much of the characteristics of Small Islands Developments States (SIDS) and is classified as such. Belize has an agriculture and tourism oriented small economy, is vulnerable to external shocks, and limited administrative and governance to plan and implement climate adaptive action. Climate change vulnerabilities augment issues that blend the natural environment, social and cultural practices, economic access and geological features.
7. Belize has a subtropical climate that is distinguished by humidity and rainfall as opposed to temperature – referenced as the wet and dry seasons. The mean annual rainfall increases sharply from about 50 inches (1,270 mm) in the North to 175 inches (4,445 mm) in the South. El Niño Southern Oscillation (ENSO) heavily influences Belize's climate, in addition to the worsening of the Inter-Tropical Convergence Zone (ITCZ). Hurricanes are a threat from July through November. Belize is exceptionally vulnerable to natural disaster. Frequent hurricanes, flooding, sea level rise, coastal erosion, coral bleaching, droughts and changing precipitation patterns threaten the physical and social infrastructure of the country. The EM-DAT, international disaster database, recorded 16 disaster events between 1990 and 2021 in Belize that affected approximately 304,000 people. Since 1990, 11 tropical cyclones have hit Belize, along with four floods and one cold wave. These extremes exacerbate existing water supply issues and adversely impact Belize's key productive sectors of tourism and agriculture<sup>1</sup>.

## Geology and Land Use

8. The area now known as Belize, which lies below the Yucatan peninsula, was formed over 100 million years ago in the early cretaceous, by both tectonic and volcanic activity. Most of the geological features of Belize (and Central America) were created by subduction of the Coco Plate to the North American and less dense Caribbean Plate<sup>2</sup>. The rise of northern Central America (Guatemala, Belize, southern Mexico) created an igneous ridge under a flat bed of sedimentary limestone formation. The igneous ridge, generally known as the Maya Mountains, which are mostly made of granite, with valleys and troughs of limestone<sup>3</sup>. These geological features account for lush forest environments, limestone caves (karst systems), underwater rivers and sinkholes.
9. The watersheds of Belize depend on the water retention of natural primary forests. Most of its watersheds depend on the capacity of the Maya Mountains to retain water during the dry season, which ranges between November and April<sup>4</sup>. The watersheds rely on a delicate balance between the solid granite formation of the Maya Mountains, the porous nature of a limestone layer, at time 3.7 miles (6 km) of thickness, and organic forest cover. The forest cover retains moisture from the rainy season and limits the rain flow towards the watershed<sup>4</sup>. Moreover, the limestone systems that abound in the Maya Mountains and neighboring regions supply much of the water for tributaries of rivers that provide nutrients to the Caribbean Sea. In Belize's Caribbean Sea lies the Barrier Reef Reserve System, whose ecosystem depend on the nutrients that flow from the coastal watersheds.
10. Land use practices in Belize are broad, from large mechanized modern farming to small subsistence production. The more productive land is in the Belize, Stann Creek, Orange Walk, and Corozal districts, and make up about 16% of the country's land. Other suitable land, covering 20% of the land area, is adequate for agriculture, albeit with substantial investment, and may be preferable for small-scale farming; 44% of the remaining land is primarily covered by forest<sup>5</sup>. Much of the forest cover of Belize is in the western side of the country, in districts such as Cayo, Stann Creek, and Toledo. The geological formation of Belize, especially in the mountainous and near mountainous regions is extremely vulnerable to land degradation.
11. Deforestation from land use has reduced forest cover. From 2002 to 2022, Belize lost 136,000 hectares of the forest ecosystem, nearly 12% of loss of humid primary forests<sup>7</sup>. Belize still maintains 67.4% of its territory under natural vegetation, and 34.9% is protected forest. These forest systems have been lost due to several factors, which include land use for agricultural purposes, logging, and forest fires. Agriculture and tourism are the main drivers of economic activity of the country. Tourism is focused principally on the coast, cayes, and Maya ruins in the Cayo District, while agricultural activity is prevalent throughout the country, albeit with different production methods and impacts. Belize is an important producer of citrus, sugarcane, and bananas and has recently begun successfully producing cocoa, coffee, and cardamon. The large export base is focused on sugarcane and citrus; most of which are produced in the northern part of the country and utilize modern farming techniques. The northern part of Belize has apt soils and mostly flat lands, that are suited for large scale production. In southern Belize, much of the agricultural production is traditional, generally called milpa farming, which rotates crop cultivation through slash-and-burn and/or slash-and-mulch techniques.<sup>6</sup>Belize has approximately 92,000 acres that are planted with sugarcane, 48,000 acres (194.3 km<sup>2</sup>) of citrus, and

48,500 acres (196.3 km<sup>2</sup>) of corn. Additionally, there are 351,700 acres (1423.3 km<sup>2</sup>) of pastures used to graze approximately 135,400 head of cattle.

12. The geography of Belize is particularly vulnerable to climate-induced risks made worse by agricultural practices that have affected the watersheds of Belize, both from use of fertilizers, pesticides, and through land use. Neonicotinoids are widely used as chemicals in pesticides in melon production, and to a lesser degree in sugar cane and banana farms and have been, showing up in aquatic and birdlife when tested in Corozal (northern Belize).<sup>7</sup> Corozal is home to extensive mangroves and wetland ecosystems, which influence the primary livelihoods for many coastal fishing communities of northern Belize, such as Copper Bank. Whilst an environmental problem, the geological formation of Belize's topography, exacerbate the problem as changes in climate modify the severity of rain patterns.
13. The Toledo district has profound roots in Maya culture, with two predominant groups, Q'eqchi' and Mopan Maya; these groups speak different languages but maintain similar traditions and farming techniques. The Maya peoples are organized, recognized, and live mostly in rural communities; though they have been sidelined from the economic progress that other areas of the country have achieved. Traditional subsistence farming is an important socio-cultural component of Maya culture. Agricultural land use in southern Belize is mostly through small scale farming, much of which is subsistence.<sup>10</sup> In 2021, the Toledo District accounted for 2,545 farmers or 18% of total persons register as farmers; yet the district has 25% of all farms in Belize, and 77% of all small farms (below 20 acres/.08 km<sup>2</sup>); the low number of registered farming corresponds to the level of informal employment prevalent in the district. The district is the poorest in Belize and the Maya peoples have the highest poverty rate of any ethnic group in Belize, at 68%. Farming techniques utilized by many Maya communities are traditional or milpa Farming. This technique can be sustainable, as it has been used for hundreds of years, but depends on the amount of time provided for the forest to regenerate after crop were planted, typically eight to ten years, but more recently this period has been reduced to three to four years.
14. The active involvement of women in agriculture, a facet often underestimated or overlooked, becomes apparent through insights from the 2011 Agricultural Census. While 30% of farmers in charge of all activities are women, this statistic does not fully encapsulate their substantial contribution. The census highlights that, particularly in family-operated farming businesses, women engage in a range of tasks such as irrigation and water management, harvesting of permanent crops and fruits, milling, grinding, sun drying, and livestock rearing, including cattle, sheep, pigs, and poultry<sup>8</sup>. Women, particularly in indigenous communities, are primarily small-scale producers for their subsistence and sale at local markets, often engaged in manual harvesting and marketing. Small-scale producers face numerous constraints, such as land holdings of less than 10 acres (.04 km<sup>2</sup>), prolonged soil fertility recovery, remote locations with poor road access, high post-harvest losses, limited technology, difficulty getting produce to markets and inadequate access to credit<sup>9</sup>.
15. Domestic food production is at risk under climate scenarios. The Caribbean Community Climate Change Centre (CCCCC) commissioned a study to determine the vulnerabilities of agriculture and food security in Belize; yielding alarming results based on climate scenarios. The yields of staple crops of maize, rice, and beans were modeled to determine potential yield loss based on parameters such as physiological response to climate, soil, and crop management. The result was that under climate scenarios of temperature increases of between 1°C and 2°C, and +/- 20% change in precipitation, in all of three crops, yields would decrease between 10% to 20% in all scenarios, with most severe yield loss in maize of between 17% and 22%.<sup>10</sup> In effect, such simulated climate impacts on agriculture would amount to between US\$6 and \$8 million, based on 2006 prices. It is important to note that production of crops such as maize, beans and rice are mostly relied on for domestic consumption and are widely cultivated by small plot farmers. Large scale farming concentrates on "traditional" export crops.
16. Poverty, limited livelihood options, and traditional production methods contribute to forest and watershed stress. Socioeconomic factors, limited access to education, training, and technology, as well as lack of financing, have led many communities in Belize, especially those in the Toledo District, to sustain livelihoods through milpa farming, animal rearing, and at times logging (both legal and illegal). 76.4% and 67.8% of the population of the Toledo and Corozal districts, respectively, lacked secondary education<sup>3</sup>; in 2018, 81.7% of inhabitants were considered poor, with the national average at 51.8%. The figures are more dire when broken down by ethnic groups, 60% of the poor of Belize are Maya, and the 40% of the population lives in rural poverty. While agriculture rebounded from 8.9% in 2019 to 10.7% in 2020, the sector's performance has been volatile, in part due to climatic factors (weather events), and commodity prices. The Maya communities in the Toledo district, whose crops beyond subsistence production are bound principally for the local market, face transport costs, exchange rate losses when selling in Guatemala, and lower yields due to soil degradation.
17. The stress placed on watersheds will be exacerbated by climate change, albeit differently in southern and northern Belize. Climate projections, deploying a CIMP-6 scenario, and other generally accepted Caribbean models, expect that rainfall will decrease in

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<sup>3</sup> Annex V: SIA, 90.

Belize.<sup>12</sup> The number of consecutive dry days is increasing, as well as is the amount of rain during rainfall events; and is more severe in southern Belize due to receiving on average more rain. It may seem that lower rainfall should contribute to lesser runoff, however, when the LULC factor is included, evidence suggests the contrary; the decrease of forest cover increases excess nutrient pollution<sup>4</sup>. Further, tropical forests are remarkably effective at slowing precipitation rates, which coupled with Belize's porous limestone soil formation, contribute to the delicate balance of water retention.

18. The impact of lower water availability is already being observed. The Toledo project communities have indicated faster depletion of their water sources during the later period of the dry season. One recent project on reforestation (Ya'axché Conservation Trust), has brought to attention the impact of the deterioration of forests on the livelihoods of the most impoverished communities in Belize. Other impacts observed are water born illnesses related to water contamination, especially when communities are forced to resort to consuming surface water due to other water shortages. In the Corozal project community, the wells commonly run dry during the dry season and salinity has become an increasing issue, especially for older water wells.

## Water in Belize

19. Belize has abundant water resources, in terms of surface and groundwater. Its watersheds are comprised of a network of springs, creeks, rivers, lagoons, and groundwater which many are limestone underwater reservoirs. There are 35 major rivers and 39 identified major watersheds in the country. These watersheds primarily originate from the Maya Mountains and drain into the Caribbean Sea<sup>4</sup>. The quantified river discharges are 3706.6 acres (15 km)<sup>3</sup> per year, occupying 59% of the territory.<sup>11</sup> Five of the rivers originate in Mexico and Guatemala. The Rio Hondo forms the northern boundary of the country, with Mexico, and, in the south, the Sarstoon River is the boundary with Guatemala<sup>5</sup>.
20. Belize's water resources however face climate risk due to, amongst others, to changes in precipitation patterns, increased extreme weather, sea-level rise and saltwater intrusion, shifts in hydrological cycles and impact on ecosystems. The WWF Nature Country Water Risk Filter scores<sup>6</sup> 2.21 and ranks Belize 37; the score is a combination of aggregate physical and regulatory risks scores, where a lower figure indicates lower risk. In terms of aggregate physical risk, flooding (3.35) and water quality (3.15), weigh against its higher water scarcity ranking (3). The water scarcity ranking is partially due to a higher score in ecosystem services status (1.97). In terms of basin regulatory risk, an aggregate of water conservation policies, Belize ranks 140, with a score of 3.01; enabling environment and management instruments, scored 3.25 and 3.37 respectively. In terms of risks related to water Infrastructure and governance, Belize ranks 120, and 89. These indicators demonstrate that even with plentiful water, there is management and risk exposures.
21. Communities, large and small, in Belize receive water from two main sources, a) utility piped water, and b) Rudimentary Water System (RWS). Larger communities such as Belmopan (capital), San Pedro Town and Belize City receive water by a metered service operated by the national water and sewerage company Belize Water Service (BWS). BWS, provides water service to nearly 59% of the population of Belize, with non-revenue water (NRW) below 27%, amongst the lowest in the region. Currently, BWS charges a monthly flat rate of approximately US\$5 for up to 1,000 gallons. Residential users with higher water consumption pay more, as do non-residential consumers. BWS is also the sole provider of sewerage services in Belize, however it only provides this service to about 21% of its water customers. Rural and non-BWS communities' water service is provided through Water Boards whose water is from RWS. There are 191 communities, of which 132 have established 117 Water Boards (some Water Boards oversee more than one community). Community Water Boards are fraught with governance issues, most have not established metering, and the quality of service is generally poor. In 2012, only 36% of the Water Boards had water meters, and the rate of fee collection varies by community. 44% of Water Boards collect less than 60% of water sold. The communities served by Water Boards are small, less than 4,000 people, and mostly less than 500, which exacerbates the capital investment issue. In 2021, the total income for the Water Boards was US\$1.553 million, while expenses were US\$1.496 million; barely breaking even.<sup>12</sup> Even small water systems are beyond the repayment capacity of these communities assuming BWS rates. Given the poor performance of water systems in rural communities, many households have opted for private wells and pumps. Communities and Water Board issues are the responsibility of the Ministry of Rural Transformation (MRT), which has made investments throughout Belize by drilling production wells and installing hand pumps in those communities that do not have Water Boards. Improvement of Water Board governance is a major concern for the authorities of Belize.
22. Water Board governance is dictated by national statute and requires the interaction of the Village Council with the MRT. Water Boards in Belize fall under the governance framework of the Villages Councils Act, where the Water Board structure is established under section 43:01. The Minister of the MRT has the duty to establish (appoint) a Water Board consisting of a) the Chairman of

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<sup>4</sup> Annex IV: SIA, 62.

<sup>5</sup> Annex I: Geography, Geology, Climate, 2.

<sup>6</sup> A Lower score indicates lower risk.

the Village Council, b) a member of the Village Council nominated by the Village Council, and five other members in consultation with Electoral District Area Representative, and Village Council. Water Boards are independent from the Village Councils and are responsible for operation, maintenance, and all activities required for uninterrupted water services. Water Boards must keep proper accounting records, collect fees, and seek to expand and improve the water system. Water Boards can and do hire personnel to operate the system effectively, however no member of the board may be employed by the Water Board.

23. There is insufficient public confidence in village Water Boards in rural Belize. Rural area water provision is characterized by high levels of coverage but poor service quality.<sup>15</sup> None of the Water Boards of Belize provide wastewater, sewage, or sanitation services nor provide WASH capacity building. Water contamination from human and animal fecal matter is a known and evident issue for rural water systems in Belize<sup>13</sup>, and further, recent water tests sustain this assertion.<sup>7</sup> The situation of contamination is more prevalent in rural communities during the end of the dry season.<sup>14</sup> Water Born illness are frequent in Belize, Salmonella and Shigella are often detected in water testing<sup>15</sup> throughout the country. Non-consistent and poor-quality service, often fraught with leaks and lack of maintenance impact the community's perspective on service payments, where over 40% of Water Boards have less than 60% collection rates. Under the perspective of improvement to service quality, mitigation to contamination must be addressed to ensure reduction in water born illnesses.

### **General Vulnerabilities of Project Communities**

24. Most communities in Belize are rural, with agriculture as primary livelihood. There are 191 villages in the six districts of Belize. The Toledo District has the highest number of communities, at 64, followed by Stann Creek, with 47, according to the 2010 census. The Toledo District is also the less densely populated district in Belize, with about 470 persons per village. Local governance depends on the size of the community, larger communities are established as towns and cities.
25. The project seeks to increase the adaptive capacity of four communities in Belize to respond to the increased vulnerability and impact of climate change and increase their ecosystem resilience in response to climate change induced stresses. Three of the project villages are located in the Toledo District, and one, in the Corozal District. The Toledo District communities are Dolores, Otoxha, and Boom Creek. Dolores and Otoxha, share similarities in terms of livelihoods, cultural dynamics, and are located 4.3 miles (7 km) (4.3 miles) apart, near the border with Guatemala. Boom Creek is about 9.3 miles (15 km) from the capital and largest town in the Toledo District, Punta Gorda Town, yet like all the other project communities in this district, does not have grid electricity. The Corozal district community, Copper Bank is located in the mouth of the New Creek, a tributary to the Corozal Bay estuary. Copper Bank is a low-lying area, and differs culturally, and to a degree, ethnically from the three Toledo district communities. Furthermore, Copper Bank is serviced by Belize Electricity Limited, the national electric utility. The project presents an opportunity to provide innovative solutions to two different areas of the country that face substantial climate risks, yet for unrelated factors. Moreover, the differences between the communities can provide the development community with valuable lessons learned in terms of governance and sustainability of water systems. (See Annex IV, for the Social Assessment of each project community village: pgs. 74-82 for Dolores; pgs. 66-73 for Otoxha; pgs. 41-65 for Boom Creek; and pgs. 40-59 for the Copper Bank).

### **Toledo district**

26. Food security, illiteracy, and access to health care are major concerns for the Toledo District. Toledo's children are the most malnourished in the country. 42% of them are stunted, compared to 19% nationally.<sup>8</sup> Only 38% of them get the immunizations needed, versus 63% nationally. Adult literacy is also lower in Toledo, at 69%, versus 80% countrywide. If we break down the data by gender, men in Toledo exhibit a lower literacy rate at 67.4% compared to women, who have a rate of 71.0%. However, when we analyze the data by both gender and ethnic group, it becomes apparent that Maya Q'eqchi' and Maya Mopan men exhibit higher literacy rates, with rates of 67.1% and 77.0%, respectively, than their female counterparts (63.0% and 71.1%). On the other hand, Maya Yucatec women surpass their male counterparts in literacy, with a rate of 78.8% compared to 77.8%.<sup>16</sup> 35% of Toledo's Q'eqchi' Maya adults cannot read or write. Farming areas also have the most poverty in Belize. The Toledo District has 25% of all the country's farms, mostly small. (77% under 20 acres), and Corozal has 21% of all farms. Half of Toledo's people are indigent, compared to 16% across Belize, incidence of poverty in male-headed households was 31.1%, and 24.7% in female-headed households in 2021<sup>17</sup>. In rural Toledo, indigence is even higher, at 60%. This means more than half of rural Toledo cannot afford enough food for a healthy life. The region is poor for many reasons, mainly from lack of education and infrastructure. There are few roads, and they are of poor quality and there is little public transport, making people isolated. The Toledo District project communities, like many others, have no electricity, water, or cell service. They cannot get their farm products or crafts to market very easily, making them poorer. In general, only 15% of Toledo households have computers and only 3% have internet. Many

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<sup>7</sup> Annex VI: SIA, S.179; S.212; S.270.

<sup>8</sup> FAO. 2011. Country Programming Framework (CPF) 2011-2015 for Belize



rural Toledo villages use kerosene lanterns and live in huts with thatched roofs. Over 56% of Toledo families cook with wood (compared to 18% nationally), impacting their health and resulting in breathing issues, especially women, considering they are typically responsible for domestic chores, following traditional Maya gender roles. Half of Toledo's people use pit latrines, while most people in other regions have flush toilets. The Toledo district also suffers from the large number of political refugees from other Central American countries, which strains the poor education, health, water, and sanitation services.<sup>18</sup>

### Otoxha

27. Otoxha is a Maya village in a 'reservation' of about 50 acres. It has crop fields, small creeks, and a view of the Maya Mountains. It is 34 miles from Punta Gorda, four miles from Dolores, and six miles from Guatemala. The village has thatched roof houses along the main rural road, a wooden health outpost, and a new bakery with solar panels that is rarely used due to the difficulty in buying supplies and access transport to sell what is produced. Only 2% of the houses are made of cement blocks. On numerous occasions<sup>9</sup>, the village has received support from Non- Government Organization (NGO) projects with mixed results.
28. Otoxha Village's inhabitants migrated from Chacalte' and other Maya enclaves in Coban Alta Vera Paz, Petén, Guatemala around 1924. Village reports provided by the MRT, indicated unfair labor requirements imposed by the Guatemalan government's infrastructure projects resulted in the migration to the area. Once more populated, Otoxha's inhabitants moved, over time, to form new villages like San Benito Poite, Mabil Ha, Corazon, San Lucas and Valley of Peace. Currently, 357 people live in 58 households. About 47.5% are male and 49.6% are female; the vast majority are Q'eqchi' Maya, with a small number of Mopan Maya. Almost all of them speak Q'eqchi', only 1% speak Mopan, 56% speak some English, and 26% can also write English. In the Maya tradition, household structures are mostly based on male leadership. The village is predominantly Catholic, with Bibles and hymn books written in Maya Q'eqchi'; however, community has a high rate of illiteracy, and many members lack the reading skills to use them<sup>10</sup>. The area has a network of karst formations, like limestone caves and sink holes, that are significant to the Maya culture. The elders do traditional rituals and offerings inside these formations for their milpa fields, planting and harvesting. They want to respect "mother earth" and ask for her permission and blessings. Village surveys indicate that the younger villagers do not participate in these practices as much as their elders.
29. The village of Otoxha experiences some of the highest levels of poverty in Belize. With an average monthly income of less than US\$50 (BZ\$100) per month, they are classified as indigent, or extremely poor, lacking the basic resources for normal existence. Villagers reported that though almost 58% fell in this income bracket, 22% earned between US\$ 50-125 (BZ\$100- BZ\$250) and a small percentage above that. The majority of the community members are subsistence farmers, most men and women are not in the paid labor force, and their main source of income is from cultivating an average of four to five acres of seasonal milpa, rice, and other staple<sup>11</sup>. Production of staples not used for consumption are sold in Guatemala and other communities though the nearest market in which to sell rice is approximately 30 miles away to the north. Like most land that is resided on by the Maya population in Belize, the Otoxha villagers have no land titles and are considered to be on 'reservation land'. Despite this land rights issue, 98.1% indicated.<sup>12</sup> Other sources of income are livestock, small scale logging, handicrafts, and incense. Some members of the community are government employees, pensioners, and construction workers.
30. The main road to Otoxha is an unpaved, gravel dirt road and connects this part of the country with Punta Gorda; its condition varies greatly depending on the season and severity of the rain and receives limited maintenance. Trucks and public-access buses make the route to Punta Gorda regularly. These buses are used especially by students attending high schools; a journey that takes about one hour and 45 minutes.<sup>13</sup> Aside from the main road, it is not uncommon for community members to ride (motorcycles) to nearby Guatemala, motivated at times by higher prices for staples. There are also a series of bush trails connecting various nearby communities. The village has three wooden bridges which are repaired by the government every three to four years or when it is pressing to do so.
31. Otoxha has no connection to the electric grid. Some households have solar panels, and 11% of the village is reported to use gas and diesel generators. The main source of light for homes comes from homemade lamps fueled by kerosene<sup>14</sup>. There is almost no

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<sup>9</sup> During field consultations, it was indicated that several NGOs, Missionaries, and Government Projects provided the Village with Solar Panels, Pit Latrines, and other solutions, which were well received by some members of the community, but not necessarily with widespread adoption.

<sup>10</sup> During field consultations, the MRT field officer indicated that community participants could not read the sign-in sheet for the community meeting in Q'eqchi' Maya.

<sup>11</sup> Annex IV: SIA, S.232

<sup>12</sup> Annex IV: SIA, S.230.

<sup>13</sup> Annex IV: SIA, S.224

<sup>14</sup> Annex IV: SIA, S.235.

cell service in the area - though villagers will climb to the highest hill to get service from Guatemala in case of an emergency. Otoxha's primary source of cooking fuel is firewood. Most of the villagers cook in the main house dwelling, though some had a separate structure for cooking.

32. Otoxha's infrastructure includes a school, a health post attended monthly, a community center, and a large Church. There is a cement-built primary school that has three classrooms, three male teachers, and 183 students, 49% male and 51% female. The school has three bathrooms separated by male, female and teacher which are operated by dumping a bucket of water from the handpump into the toilet bowl to force the water down. There are sinks outside the bathroom that have no running water but are supplied with buckets filled from the handpump and which drain onto the ground. Otoxha does not have a high school and the nearest is about a one-to-two-hour drive by bus. There are about 28 students in high school from the village, though there is a reported 11% dropout rate.
33. Consistent with the practices of other Maya communities, traditional gender roles prevail, delineating women as responsible for reproductive work and men as primary breadwinners. The entire male population is engaged in farming, hunting, and possesses traditional house-building skills. Among men, there are two primary school teachers and two drivers. Approximately 38% of women participate in work outside their households, primarily focusing on handicraft production, with five seamstresses and four shopkeepers (accompanied by four male counterparts) (see Annex V, p.23-26,63). Local power structures are male dominated, since no woman has secured a position as a Village Council member in the 2019 and 2021 elections, nor as Alcaldes (see Annex V, p.34). Gender parity is observed in primary school enrollment, with a ratio of 0.92 girls to boys. In secondary education, however, girls outnumber boys, establishing a ratio of 1.44 (see Annex V, p.16-18).

#### *Water in Otoxha*

34. The main source of water for the village is from seven operational community hand pump wells. These wells are encased 10 to 20 feet down which is insufficient to protect the water source from animal and human waste contamination. While some homes are near the hand pumps, others must travel further. 68.5% of the villagers use water from hand pumps, 9.3% springs, 9.3% wells, 3.7% surface water and 1.9% water piped into the yard. Water quality testing of community hand pumps and residences has periodically indicated contamination from e-coli and fecal coliform.<sup>15</sup> Free roaming livestock and human sanitation practices are known to increase susceptibility to contamination especially in the rainy season.

#### *Dolores*

35. Dolores, located on the privately owned 18,513 acres Cramer Estate, is located 40 miles from Punta Gorda, 6 miles from Otoxha, and 6 miles away from the Guatemala border. This community lies along the Maya Mountains and is surrounded by picturesque farmland and secondary growth, with mostly houses constructed from rough wooden plank walls and thatched roofs. The community farming boundary is marked by landmarks such as hills, creeks, caves, and hard wood trees.
36. Dolores Village was established in 1929 by a group of Q'eqchi' Mayas originating from Guatemala. In response to a long dry season, the settlers of a nearby community moved in search of fertile land and water. In 1930, 25 additional families moved to the settlement and built thatched houses; the community's name was inspired by the Santa Dolores statue in its Catholic Church. The population is approximately 596 people, with approximately 49% male and 51% female, living in 106 households. All of the village is Q'eqchi' Maya, whose mother tongue is Q'eqchi', with few villagers speaking some conversational English. There is a high illiteracy rate in the village.
37. Subsistence farming of food staples is prevalent in Dolores. The majority of community members are subsistence farmers who grow primarily corn, beans, rice, root vegetables, cardamom, and cocoa. They also rear domestic animals such as pigs, chicken, turkey, ducks, and cattle. The main income-generating activity is dependent on seasonal crops and what is not consumed, is commonly sold at the nearest markets either in Guatemala or other towns in Belize. Rice is typically sold in Belize which pays in Belize currency, while other products sold in Guatemala are paid in Quetzal; leading to some difficulties for two local petty shops that sell goods in the village. The average income generated in Dolores is unclear as only a few (two teachers, a few Belize Defense Force) and some young people in tourism industry outside the village) are in the paid labor force. Income is generated seasonally based on crops and animal rearing and impacted by crop yields, unstable agriculture prices, exchange rates variability when selling in Guatemala and fluctuating transportation cost.
38. Corn and black beans are sold to local markets in Los Angeles and Cadenas, both communities in Guatemala, with some complaints that the prices are low at times but the only available market. Some residents earn income by renting horses to other villagers to

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<sup>15</sup> Annex IV: SIA, S.239.

transport their produce to markets in Guatemala. The area is endemic with Copal Trees, *Protium Copal*, whose sap can be extracted and dried as incense, a pound (428g) of copal can be sold locally to peddlers and villagers for about US\$2.50 (BZ\$5.00); copal extraction is an activity carried out by both men and women. Some members of the community sell cooked annatto (achiote) and other root vegetables.

39. The community uses its land mostly for farming and rotates crops traditionally. Each season, they use about 8-10 acres of land per household. The process is clearing secondary vegetation for housing, cattle, corn and beans; and clearing high (virgin) forest for rice and corn. Dolores has a spoken agreement with Otoxha and Hicattee about farming boundaries. No one in Dolores has land titles, and land ownership is unclear. In the late 1960s, the Alcalde received a letter from the (supposed) landowner indicting the village was on private land; since then, the land has been sold many times and after the current owner fell behind in paying property taxes, an agreement was reached with the government allowing residents to stay on the land indefinitely. Though there is no evidence of this, the village has been without further threats of eviction. The village is in the process of acquiring and demarcating 2,000 acres of communal land. The villagers know they are living on land with uncertain ownership and are trying hard to make their village communal land official.
40. Dolores does not have grid energy. Its infrastructure includes a primary school and three concrete churches and two made of lumber with zinc roofs. The primary school, a concrete building, was donated to the village; the building has flush toilets from gravity fed rainwater catchment tanks on the roof of the building. The school has seven teachers for 156 students in grades k-6. The concrete school building can be used as a hurricane shelter when an alert is received through the Otoxha community telephone, about 6 km (3.7 miles) away. The only sanitation system in the village is the school restroom, which has a deteriorated septic tank that is located behind the school. The Village's primary form of cooking fuel is firewood, collected from the nearby forest; none of the houses have solar panels but kerosene lamps are used at night.
41. Dolores has few roads. The main road from Punta Gorda is the same unpaved, gravel dirt road that leads to Otoxha which is open year-round but varied in quality. Its other roads which go to Hicattee and Machakilha are also unpaved. The only transport available to the community is a passenger bus running Wednesdays and Fridays from Santa Theresa, nearly 18 miles (29 km) away. When transporting produce (black beans and corn) to Guatemala, villagers get rides from visitors or use horses; transport has been mentioned to be a livelihoods issue, as it is expensive and cumbersome. Road and bush trail conditions can deteriorate quickly during the rainy season, especially when selling the rice harvest. The community has no phone or cell service, although service is available near the Guatemala border. Residents can use the Otoxha phone (from a hillside where cell signal from Guatemala can be accessed), which is an hour walk. Residents receive information from battery powered radios, with one station being most prevalent, Love FM, for news, music, and events in Spanish and Q'eqchi'.
42. In line with other Maya communities, traditional gender roles persist in Dolores, designating women to reproductive work while men take on the role of breadwinners. The male population is uniformly engaged in farming, hunting, and possesses the skill to construct traditional houses. Six men and six women serve as shopkeepers, representing the sole profession for women. There are also two primary school teachers, five drivers, and two bus drivers, who are all men (see Annex V, p.23.26, 63). Power structure is also male dominated: out of the last three municipal elections, only three women secured positions in 2019, leaving the remaining Village Council seats dominated by men (see Annex V, p.34). Education enrollment shows gender parity, with a female-to-male ratio of 1.09 for primary school and 1.00 for secondary school (see Annex V, p.16-18).
43. Access to health services is a major problem in this community due to its remoteness. A mobile health clinic, administered by the Ministry of Health, visits the village about every six weeks. If need arises, villagers rely on the Punta Gorda Town hospital or at times local clinics in Guatemala. The community has a health post facility in poor condition; nurses (based in Punta Gorda Town) travel to serve the village. Occasionally, the Hill Side Clinic of nearby Big Falls uses the facility along with other visiting medical personnel. Punta Gorda's one doctor<sup>16</sup> will on occasion coordinates and supervises an annual visit with missionaries and other medical doctors to tend to the medical needs of the Village. Most mothers and midwives prepare home remedies when people are ill and traditional healers, most commonly men, provide herbal medicine.

#### *Water in Dolores*

44. The Village has natural mountain springs that feed into four cement water reservoirs which were donated by a Missionary group in 2018. The mountain springs fill the 1,000-gallon reservoirs which are piped by 2-inch PVC pipes downhill to central spigots and to the exterior of some individual houses in the Village. The school uses one of the water lines coming from the reservoir for drinking and washing, and rainwater collected from the school roof for flushing toilets. The natural springs are limestone caves that filter water in the rainy season and store it during most of the dry season, however towards the end of the dry season, they

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<sup>16</sup> Annex IV: SIA. S. 277.

often go dry, as little water is available to refill the reservoirs. Considering each person uses approximately five gallons of water per day, during the end of the dry season, water rationing is common, and water is only available between four to five hours a day, compared to 12 hours a day during the rainy season. These water shortages have been increasing in recent years. In May 2013, a request was made through the MRT to drill three wells with handpumps to address the water shortage during the dry season. In response, one handpump well was installed in 2014. The water from the reservoirs is potentially exposed to contamination from breaks in the water system, allowing entry of fertilizers, chemicals, and bacterial contamination from foraging pigs and turkeys, as well as open defecation practices. The water is not chlorinated nor commonly boiled. This water source has been increasingly less reliable and in 2023 consistently tested positive for bacterial contamination from fecal coliform, as well as positive for e-coli in previous years. The testing unfortunately has been conducted with irregularity, with a gap of over five years with no testing performed between 2017 and 2023.<sup>17</sup>

### *Boom Creek*

45. Boom Creek Village is located along dense forest stretching next to the bank of the Mojo River in the Toledo District of Southern Belize, approximately 6 miles from Punta Gorda. Until 1992 when the main road from Punta Gorda was built, the village was only accessible by water. In addition to the Moho River, the village also has a creek that is often used by community members for bathing and washing clothes. While the road is used frequently, the river is still an important means of transportation, as floods and lack of maintenance deem the road unusable during sustained periods of the wet season.
46. The Village was originally settled in the 1940s, by two men, one Honduran and the other Guatemalan, who migrated to the area selecting Boom Creek for its fertile soil. The village population is 112, made up of approximately 46% males and 54% females, living in 25 households (in 2021, five other houses were under construction). Of these households, there are 16 Mestizo, eight Maya, and one Mennonite. The 16 mestizo households speak both Spanish and English, while the eight Maya speak Q'eqchi' /Mopan and English, the Mennonite household speaks only English. The majority of the community attends the village's only church - the Living Word Church.
47. Agriculture makes up a large portion of the village's livelihood activities. Though limited income is generated, mostly due to the reliance on subsistence farming which 16% of the community practices. The most cultivated crops are corn, rice, and beans and crop rotation is practiced. As is common with other communities in the Toledo District, very few households in Boom Creek have land titles. As of 2020, 85% of the villagers reported being in favor of a communal land system, and the community is in the process of acquiring and demarcating communal land for the Village through the Alcalde system. Approximately 20% of the Village earns income from rearing cattle and pigs. Some of members of the community rely on fishing and hunting to supplement their diet; and 12% on logging.
48. Most of the houses lie along the (main) rural, gravel road, with two households established more than 600 feet back from the road. Of the houses, five are concrete, 11 are wood with zinc roofs, while the others are wood with thatched roofs. The community is not connected to the national grid, and 88% of Boom Creek residents rely on modest solar panels for energy used to charge cell phones and light their homes with 12 volt and small AC voltage light bulbs. The other three households rely on lanterns and candles. Other infrastructure includes a concrete primary school, a wooden church building, a communal corn mill, a seasonal sawmill, and two petty shops. The Living Word Church building, which is built on stilts along the river, also serves as a community meeting hall, Alcalde's Hearing court, and is used for Village Council meetings. Most groceries, medicine and building materials are purchased in Punta Gorda, aside from basic food items found in the petty shops.
49. The school in the village has 28 students with two teachers in grades 1 to 6 (primary). The government recently took over management of the school. Prior to the construction of the primary school, students travelled by canoe up the Moho River to attend school in Santa Anna. Secondary schooling is available outside of the village and students need to procure transportation to attend. There are five students attending Toledo Community College and five enrolled in the University of Belize.
50. Boom Creek, with its diverse Mestizo and Maya populations, exhibits reduced gender disparities. Notably, women have consistently been elected as Village Council members in the past three elections, even surpassing men in 2016 and 2019, although the chairmanship remains constantly held by men (see Annex V, p.34). Domestic work is primarily shouldered by women (95%), with a few engaged in external occupations such as shopkeeping, teaching, and fishing. In line with traditional roles, men predominantly serve as breadwinners, engaging in farming, agriculture, and occupations like plumbing and mechanics. While men actively participate in cooking, a noteworthy percentage of women hold driving licenses (33% compared to men's 45%) (see Annex V, p.23-26, 63). The female-to-male ratios in primary (2) and secondary school (7) enrollment reveal gender disparities, where girls notably outnumber boys, particularly in higher education levels (see Annex V, p. 16.18).

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<sup>17</sup> Annex IV: SIA, S. 270.

### *Water in Boom Creek*

51. The Moho River, and the nearby creek are important sources of water for the community, especially for laundry and washing; females do laundry at the creek as the river's salinity makes it difficult for washing. The village also has two functioning hand pumps, one production well that was decommissioned due contamination, and three hand dug wells which go dry in the dry season. Their main source of drinking water comes from rainwater catchment systems. The hand pumps are mostly utilized during the dry season, as collection is time and labor intensive. Community members who do not have vehicles face a disadvantage when collecting water from the pumps. The community does not have any water treatment system.
52. In 2011, The MRT drilled a 120-foot-deep production well which was decommissioned after water tests indicated it was contaminated by excessive iron content, significantly higher than World Health Organization guidelines. (WHO Iron 0.3 mg/1 while Boom Creek tested Iron 1.06 mg/1.) There are two families that have installed solar pumps into this well to withdraw water for washing and bathing in their individual households.
53. Boom Creek's water supply has only been tested on three dates since 2014. In 2015 the water tested from the school rainwater tank tested positive for fecal coliform. The next test was conducted in 2017 and again the school rainwater tested positive for fecal coliform. There is no evidence to indicate that this was resolved, and it is likely the bacterial contamination continued without follow up for two years. Boom Creek has had no chemical water testing done other than the production well's initial test, which decommissioned the well for public use.

### **Corozal District**

54. The Corozal District, comprised of 31 cities, towns and villages, has an area of 718 square miles and sits along the Chetumal Bay on the Caribbean coastline and borders Mexico to the north. Corozal Town is the capital and its main city. The district is primarily known for agriculture (mainly citrus), sugar cane, and its status as a Free Trade Zone with Mexico. Many people are drawn to Corozal for its low cost of living and proximity to Mexico.
55. Though there are many local ethnic populations, Corozal District is inhabited predominantly by Mestizos, who are descendants of indigenous Maya and European Spaniards. It is understood that most inhabitants came to northern Belize from southern Yucatan, as refugees escaping the Caste War of Yucatán in 1848. Even though Belizean Mestizos of the north share Maya ancestry, they do not self-identify as indigenous peoples. Most consider themselves Mestizos and do not claim indigenous status. The Mestizo influence is seen with Spanish being Corozal's predominant language as well as has influenced the food and culture. English is also common as it is Belize's primary language and is taught in school.
56. In contrast to the Toledo District, the Corozal district has historically been consolidated in individual ownership. The contrast has historical context stemming from the Caste War of 1847, which delineated the Mexican-Belize Border and consolidated the British claim on Belize (then British Honduras). The creation of the Corozal and Orange Walk districts were largely populated by emigrants from Yucatan peninsula, harbored by the British Crown during the war. The settlement, which until then leaned towards lumber extraction, diversified to include the production of maize, beans, sugar cane, and cotton.<sup>19</sup> The British Crown levied a tax on occupied land, which essentially prohibited many of the ongoing production activities by the settlers. Over time, this situation was consolidated with more land dedicated towards large private holdings focused on logging by slave hands; this process was streamlined by the Crown Lands Ordinance of 1872. In 1920, land policy was modified to allow for "Indian (and Carib) reserves", mostly in the Toledo and Stann Creek districts; Maya and other Ethnic groups were "encouraged" to settle in these lands, although at inception many declined. During the 1960s, several land reforms were implemented, which allowed many small farmers to gain access to land, especially in the sugar cane producing districts, such as Corozal and Orange Walk. Land tenure in Belize has been influenced by the colonial consolidation period, production focus (logging versus sugar cane and bananas), and its ethnic distribution.<sup>20</sup>
57. Compared to Toledo, the Corozal District has relatively better socioeconomic indicators, with less incidence of extreme poverty and better access to essential services such as electricity and medical care. Corozal also has more pronounced tourism and economic development compared to the Toledo District. The proportion of people in multidimensional poverty in Corozal is 44.3%, in contrast to Toledo which has the highest percentage rate of 60.3%. In turn, the poverty index for 2018 indicates that Corozal had 44.7% while Toledo had 81.7%. It is crucial to highlight the increase in the gap between both districts over time. In 2009, the difference in poverty rates between Corozal and Toledo was only 4.2%, but by 2018, this gap widened significantly to 37%. This division over the years shows the deterioration of the socioeconomic conditions in Toledo compared to Corozal. The disparity of the average household size between the Corozal and Toledo districts is minimal. Corozal registers a value of 4.4, while Toledo is slightly higher at 4.7.

58. Evidence demonstrates risks to the watersheds in Northern Belize<sup>21</sup>, issues facing the New River Watershed (NRW), the third largest watershed in the country, are representative of the eco-system issues within the Corozal District. The NRW is one of four watersheds, three major and one minor, that empty into the Corozal/Chetumal Bay – to which Copper Bank’s lagoon connects.<sup>22</sup> It is one of Belize’s most economically and socially important watersheds, supporting the agricultural production in the district and manufacturing industries as well as an extensive portion of Belize’s population. Through a multidisciplinary study, initiated by the Department of Environment, scientific data on the NRW was collected and synthesized with the goal to prepare a sustainable management plan to promote conservation and restoration activities and policies. The study indicated the watershed’s health is impacted by various factors such as its geologic features, effects of climate change, and direct impacts on the watershed systems from human activities. The most significant of these activities stems from effluents, mainly due to agricultural activities, as well as rural and urban growth. This results in degradation of the water quality from excessive organic materials pollution that runs into the New River affecting the area’s biodiversity and human health<sup>23</sup>. The study describes the importance of riparian forests both for the filtering capacity it has on pollutants as well as its retention of water and erosion prevention. It stated that, ‘maintaining and restoring riparian forests wide enough and dense enough to serve as landscape filter systems, capturing and retaining water-borne sediment and pollutants long enough for microbes within the soil/root system to act on these compounds and break them up into less harmful by-products, essentially bioremediation<sup>18</sup>. Actions by various stakeholders have had success in improving the health of the estuary, where mangroves and seagrass, both fundamental for fish, conch, and lobster reproduction have improved in parts of the Chetumal Bay (which shares the estuary with the Corozal Bay)<sup>24</sup>.
59. The Corozal District would benefit from eco-system restoration through reforestation of riparian habitats which would provide ecological benefits from erosion prevention, filtering nutrients and pollutants, providing shade and support for wildlife natural habitat. Additionally, mangrove restoration and propagation would provide numerous benefits to the watershed and to the fishing industry, the primary livelihood in Copper Bank. Over the last decades, mangroves have experienced significant losses globally. Global mangrove covers declined from 139,777 km<sup>2</sup> in 2000 to 131,931 km in 2014. Protecting and restoring these forests is key to fighting climate change, protecting community livelihoods, and supporting biodiversity.<sup>22</sup>
60. The watershed has also been impacted by an average rate of deforestation in the district which increased from .6% annually between 2005-2011, to 2.3% annually between 2011-2015. No conclusive updated data was found for the district but from 2002-2022, Belize, in its entirety, had an average 12% annual rate of deforestation.<sup>25</sup>

#### *Copper Bank*

61. Copper Bank is situated in the Corozal District of Northern Belize along the west bank of Laguna Seca, a shallow lagoon-estuary that empties into Chetumal Bay just northeast of the village. The closest neighboring village is Chunox, located approximately 1.25 miles away on the east bank of the lagoon. In contrast to the Toledo District communities, Copper Bank is formalized in terms of property delineation and land titles: 20% of households had freehold or purchased land with titles, 70% had (some type of) lease agreement, 10% were squatting on the land that at some point it was believed that a family member may have had an expired leasehold. Most of the homes in the village of Copper Bank are concrete houses (60%), mix structure 39% and wooden houses 1%. The Village’s streets, laid out in an organized grid, were constructed in 1985, its phone lines established in 1988, and connected to the national electrical grid in 1990, to which the majority of the village is connected.
62. The village has a population of 600 people, with approximately a 45.5% male to 54.5% female ratio. There are 150 households, 98% of which are Mestizo and the remaining 2% are Caucasian. Spanish is the Village’s predominant language though a large percent of the village members also speak some English. The head of the households is predominantly male, and the main religion is Catholic.
63. Copper Bank historically was highly dependent on sugar cane farming and participated heavily in the sugar industry. Though the sugar industry is the predominant industry in northern Belize, 90% of the community earns its income from fishing. Approximately 2% of the village’s income is from construction, 2% from cane farming and approximately 5% of women in the town earn money by doing domestic work. The average monthly income per family for fishing is around US\$750-1000 (BZ\$1,500 -BZ\$2000) a month during the period February 15th to July 1st but varies greatly due to the high prices paid during conch and lobster season. Belize’s susceptibility to hurricanes economically impacts not only the fisherman’s ability to go out to sea and their equipment, but also produces damaging current that effects underwater formations that the fish inhabit. The construction trade earns between US\$500-1,000 (BZ\$1,000 to BZ\$2,000) per month, while cane farming is reported to earn the least, with an average monthly income between US\$175-250 (BZ\$350-BZ\$500) per month. The village is considered lower-middle class in accordance with the SIB Poverty Index.

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<sup>18</sup> Comprehensive and Integrated Watershed Management Plan to Restore and Protect the New River Watershed 2021

64. The community has various infrastructure assets, a primary school building, two restaurants, four small food stores, a bar, four churches, and a community library. The community does not have a health clinic or receive mobile health services from the Ministry of Health and Wellness (MoHW). In 1960, Copper Bank started its first primary school at a local church (RC Church) and 33 years later in 1993 was moved into a larger cement building to house more classrooms; currently five teachers service primary grades k to 6th grade for 92 students (48 males and 44 females). The school has toilets, and a septic system which is in disrepair. The Audubon Society donated a rainwater filtration system to the school, which filters and chlorinates the water for drinking purposes only. A teacher oversees the maintenance of the school's water supply. The handpump well water is used for handwashing and cleaning only. The school charges US\$5 (BZ\$10) per student per school year for water, which is paid by 90% of the parents. The school water system was tested for bacteria for the first time in November 2023. The results indicated extreme bacterial contamination from coliform and e-coli (total coliform: TNTC "too numerous to count"; fecal coliform 6, e coli 16). Students seeking secondary education attend schools in nearby towns of Chunux or Corozal town, a 15-minute ferry ride or longer journey by road. Community members seeking medical attention or services, travel to the nearest health facility, a Polyclinic in Corozal Town or Chunox, approximately a 50-minute drive to Corozal Town or 20 minutes by ferry to Chunox.
65. In 2019, the government of Belize signed a contract with a Taiwanese firm and the Organization for European Economic Co-operation (OECC) to design and upgrade 27 miles of road, including the construction of two bridges. One of these bridges will replace the quaint hand cranked sugar barge which operates as a ferry at Pueblo Nuevo and the other bridge to replace the ferry for Laguna Seca. This construction is expected to have an economic impact on Copper Bank as it will provide a much faster, reliable, direct, and all-weather link between Corozal Town and Copper Bank (as well as various other communities). The road is being paved, widened, and raised to avoid the chronic flooding that occurs in the area. The bridge and road will improve access to secondary schooling, facilitating the transport of students to nearby towns.

#### *Water in Copper Bank*

66. The community sources its water through four different methods, a) one community handpump, b) hand dug wells, c) rainwater catchment, and d) purchasing bottled filtered water. The well and rainwater catchment are sourced at a household level. While there are three community pumps installed, only one functions reliably, and it is mainly used to supplement lack of rainwater during the dry season. When hand dug wells run dry during the dry season, villagers' resort to either digging additional wells on their properties or relying on filling large plastic containers from the one working handpump; some residents use trucks, others carry buckets by hand. The hand dug wells are used primarily for toilets, laundry and for other household uses. There are 85 hand dug wells in the community, of which only 20% have water during the dry season. 80% of households have to collect water from the hand pump. The main source of drinking water for the Village comes from harvesting rainwater, which varies greatly depending on the time of the year or when able, purchasing bottled water.
67. Copper Bank's low elevation above sea level, influenced by the distance from the lagoon, results in a shallow watershed with water being found anywhere from four to 15 feet deep below ground. The prevalence of hand dug wells and inadequate sewage systems (often poorly maintained pit latrines) and wastewater treatment expose the water table to contamination and surface runoff. Testing has identified the presence of bacterial contaminants, such as e-coli and fecal coliform. To prevent exposure to water borne illness, households often boil rainwater, and add chlorine to the well-sourced water to wash their dishes. Notwithstanding, several outbreaks of gastroenteritis (diarrhea and vomiting) and Hepatitis A, have reportedly led the Ministry of Health to distribute chlorine tablets.
68. Copper Bank manifests a prevailing male-dominated power structure, exemplified by the absence of women in the Village Council across the last three municipal elections (2016, 2019, and 2021) (see Annex V, p.34). This alignment with traditional gender roles underscores the customary division of labor, where women primarily undertake reproductive work, and men assume the role of primary breadwinners. The village's economic landscape revolves around fishing, a sector exclusively occupied by men. Approximately a third of the male adult population is engaged in farming, other occupations include construction, electricians, plumbers, and mechanics. About a fourth of the female adult population participate in the workforce. This includes roles as shopkeepers (7 out of 8), schoolteachers (4 out of 5) and seamstresses. Interestingly, two out of the three town tourist guides are women, challenging gender expectations. While traditional gender norms persist, there are noteworthy departures. More than 90% of men hold driving licenses, significantly higher than the 15% among women. Approximately 10% of men contribute to domestic work, while around 15% are involved in cooking activities and barbecue sales. Out of the three traditional healers in the village, two of them are women (see Annex V, p.23-26, 63). In education, girls tend to surpass boys in the duration of their schooling. The female-to-male ratio is 1.7 in secondary school, indicating a higher enrollment of girls, whereas primary school exhibits a gender ratio of 0.92, signaling a balance between girls and boys in enrollment (see Annex V, p.16-18).

69. The Ministry of Rural Transformation, Community Development, Labor & Local Government, established by the direction of the Governor General on the advice of the Prime Minister has three main departments, a) Rural Transformation, b) Labor, c) Local Government. The Rural Transformation portfolio includes rural development and rural water supply, working closely with the Local Government Department to full fill its mandate related to village governance. The Department works with Village Councils, Alcaldes, and Water Board who are recognized for local governance and democracy which is a vehicle for the empowerment of villages/communities. The department is charged with fulfilling the government's mandate of ensuring a sustained strategy to improve the quality of life of the low-income rural population by guaranteeing that the rural communities have access to safe and healthy water sources. The key priorities of the ministry are a) Policy and Legislative Reform, b) Digitalization Program, c) Financial Sustainability of (Village council) Water Boards, d) Strategic Rural Development, e) Reorganization and Strengthening of Technical Capacity. The Local Government works with Village Councils on issues related to governance, tax and permit income, and boundaries. Much of the work of the Local Government Department is to revise and update local governance policies. The Labor department ensures worker's rights, collective bargaining agreements, labor education, issues related to child labor, and temporary employment permits. Currently, the Labor Department is seeking to update the national Labor Act and increase the minimum wage to US\$2.5. The organizational structure of the MRT is illustrated in Figure 1. The headquarters of the MRT is in Belmopan.
70. The MRT will provide training for each village Water Board that Includes the village Water Board, Village Councils and other community members who have expressed interest to improve continuity regardless of periodic changes in Water Board membership, supporting management and oversight of 194 rural communities and 108 water systems. The training will be designed with consideration for cultural and gender norms and traditions to encourage participation. Pump operators will obtain certified training for the operation of the systems. The training for operational topics includes, for example, maintenance of the water and solar systems; technical capacity to install and repair chlorinators; and pumping and filling of the water tanks. The financial and administrative training include topics, such as, how to determine sustainable water rates, fee and debt collection practice, outlines timelines for billing, procedure for collecting fees and debts, reminder process, steps to discontinue service for non-payment, water meter reading, billing and proper accounting and reporting procedures. In 2020, the MRT trained 90 Water Boards members who were appointed in 2021.
71. The MRT has 106 people in its staff, with 16 field officers, who are the main liaison with rural communities in Belize. The MRT field officers are led by a National Coordinator, who liaisons with different ministries in actions related to community issues.



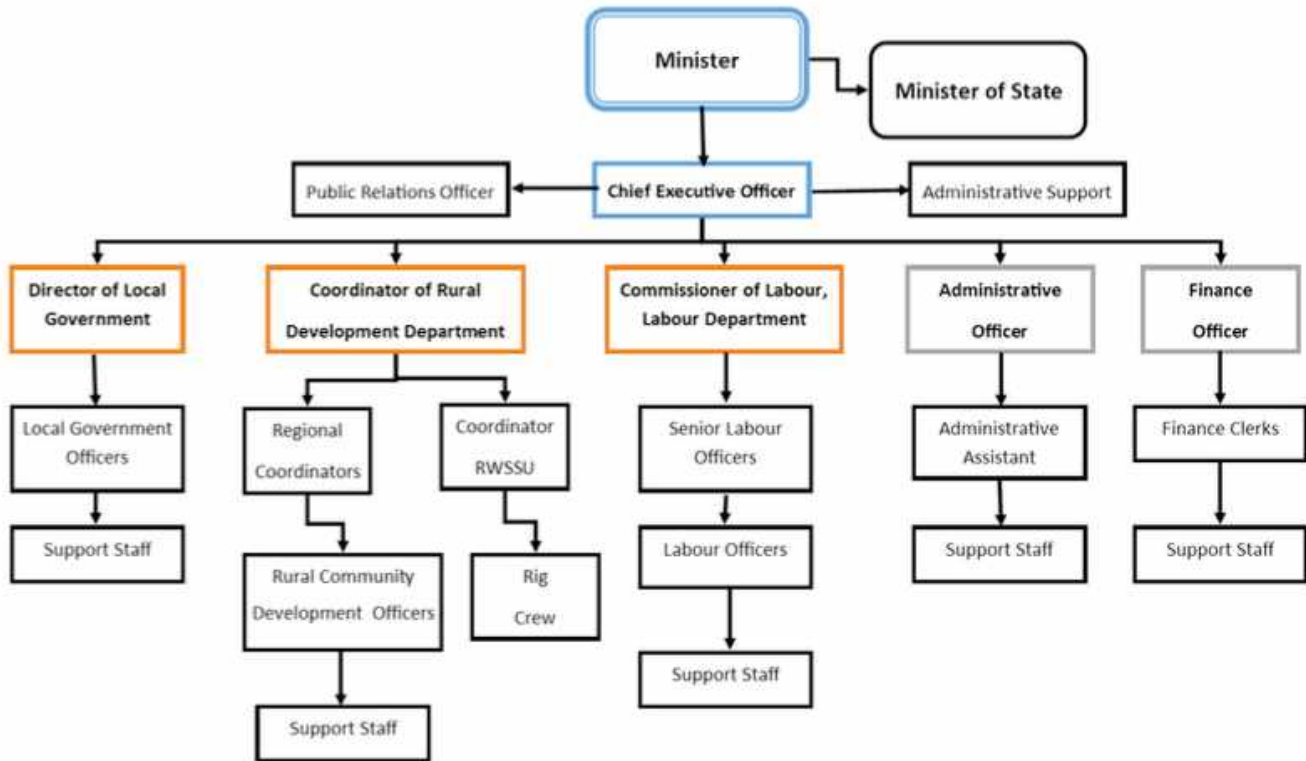


Figure 1: Organizational Structure of the MRT, 2022.

## Project / Programme Objectives:

### PROJECT OBJECTIVE

#### *Project Goal*

1. The project goal is for communities to be empowered to appreciate their water resources which are affected by climate change through investments in capacity building and water sustainability and its relationship to their livelihoods, allowing communities to be more resilient, to be vested through strong inclusive participation and governance permitting an improved response to changes in climate.<sup>19</sup>

Component	Objective	Activity
Component 1: Design and build cost-effective solar hybrid sustainable water systems in the four selected communities that will allow households to have access to potable and reliable water service.	Objective 1: Reduce exposure to climate variability in 4 rural communities of Belize through an inclusive drought-resistant potable and consistent water supply.	Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities.
	Objective 2: Strengthen the capacities of community members and leaders to operate and maintain their village water system.	Activity (2.1) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems.
Component 2: Ensuring the sustainability of water resources through improvement of livelihoods opportunities	Objective 3. Ensure long term change in attitudes towards water preservation and climate change impacts therein, through restoration of community-near ecosystem services	Activity (3.1) Restoration and sustainability actions of the community-near ecosystems services
	Objective 4. Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.	Activity (4.1) Enhancing the participation of men and women through workshops and capacity building activities in income generation activities.
	Objective 5. Increased capacities in solar technologies through training of indigenous women in the 3 Toledo District Communities	Activity (5.1) Enhancing the participation of women through workshops and capacity building activities in maintenance and installation of solar systems.
Component 3: Increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthen the knowledge management capacities of the public authorities and the communities.	Objective 6. Strengthen the awareness of rural communities in Belize about the impacts of climate change on water sustainability.	Activity (6.1) Develop and implement a national public awareness campaign through village leadership for the communities on the effects of climate change on water sustainability.
	Objective 7. Improve the resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability.	Activity (7.1) Training of Village Leadership of the four communities and their Water Boards on inclusive governance. Activity (7.2) Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.
	Objective 8. Strengthen the MRT's capacity to respond to water issues in the communities.	Activity (8.1) Strengthen the MRT's knowledge base through training of its regional and field officers in best practices in water board management and hybrid / solar water systems.

*Table 1: Main characteristics of Project Components, Objectives, and Activities.*

<sup>19</sup> Annex III: Log Frame, *Theory of Change*

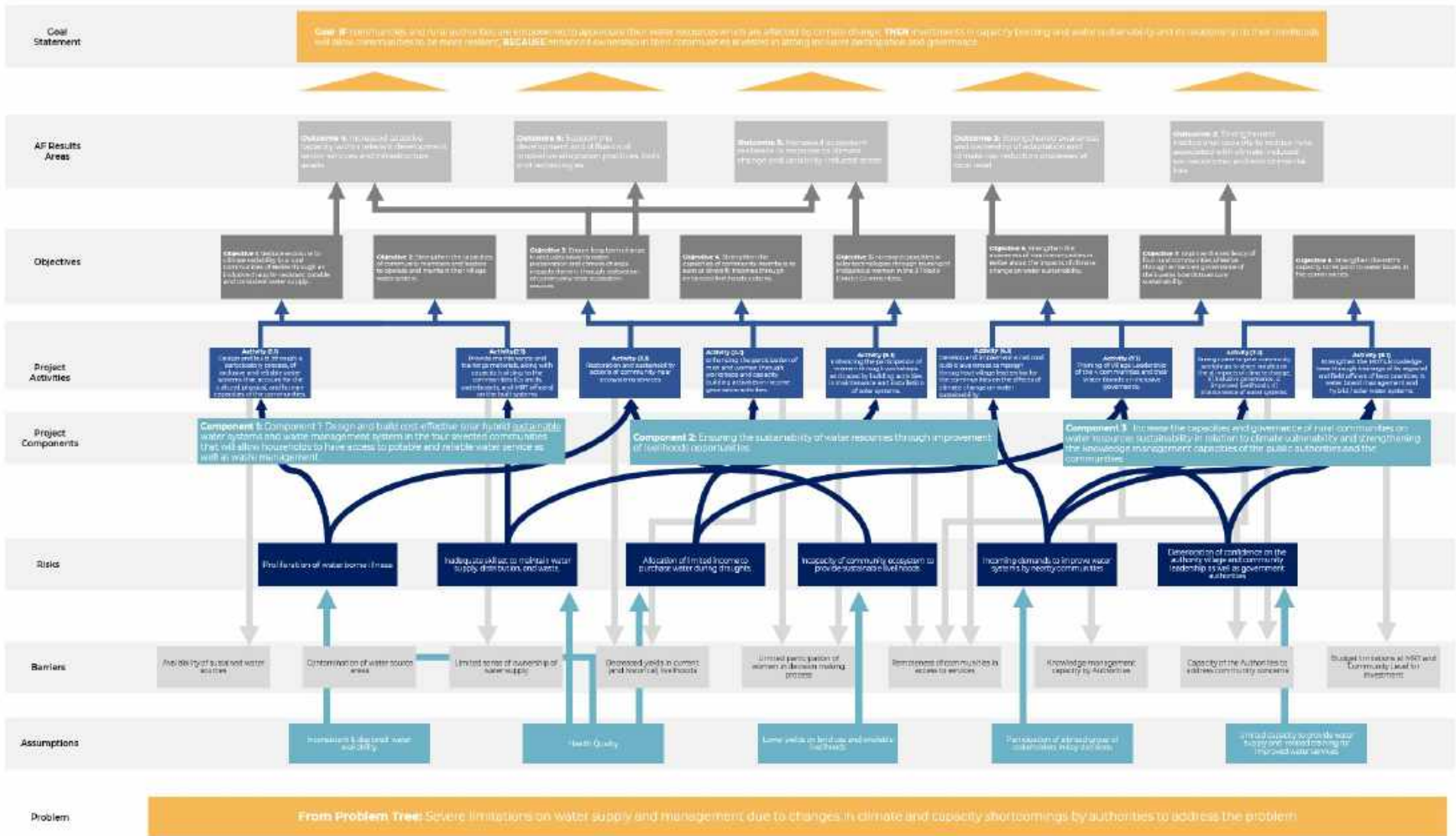


Figure 2: Theory of Change

## **Project Objectives**

2. The project has been structured into eight objectives, in an effort to address a broad set of issues related to ensuring sustainable water supply in each of the project communities. These 8 objectives will be implemented through 10 Activities.

*Objective 1: Reduce exposure to climate variability in four rural communities of Belize through an inclusive drought-resistant potable and reliable water supply.*

3. Objective 1 aims to provide each of the four communities with a climate resilient water system. This objective will be achieved through two activities, a) design of a tailored water system for each community, and b) construction and commission of the water system. The design of the system is fundamental to ensure buy-in from the community and leadership, determine optimal water sources, and comply with the Free, Prior, and Informed Consent (FPIC) protocol, when applicable. The participatory approach in the design will also allow for the involvement of both women and men from the communities, ensuring that the new water systems are responsive to the needs of both genders. Separating the design element from the construction was determined to be a more cohesive execution method due to topography, geology, and to ensure that well location choices are adequate to provide water during times of climate related stress on the water system as well as sited to avoid potential contamination. The construction will be based on the plans and guidance from the design team; a contract for construction will be tendered according to PACT's Procurement Guidelines, and the winning bid will also be required to train and employ community women and men in construction infrastructure, both intended to enhance project ownership.

*Objective 2: Strengthen the capacities of community members and leaders to operate and maintain their village water system.*

4. Objective 2 aims to ensure the new water system is adequately maintained and operated. Water systems are required to have a Water Board appointed (not established yet in the Project Communities). Per Belize regulation, the MRT and the Village Council will appoint a Water Board in each of the communities to oversee this role and under the guidance of the Project Management Unit's (PMU) Gender Specialist, will aim for it to be composed of 50% female members. As part of establishing the Water Boards in each community, the MRT provides capacity building in management, accounting, and staffing; these tasks are divided between two of its departments, a) Rural Transformation, and b) Local Government.<sup>12</sup> To complement this training, and as the new systems to be commissioned, the Water Boards will be provided technical training in the operation of solar and solar/hybrid system by specialized technicians. While Objective 2 will provide the legal basis for the Water Board to begin functioning and start collecting fees, Activity 7.1 and 7.2 will enhance these capacities by improving the governance and general operation of the Water Board.

*Objective 3. Ensure long term change in attitudes towards water conservation and climate change impacts therein, through restoration of community-near ecosystem services.*

5. The cumulative impacts of past land-uses, water withdrawals, and disturbances in a watershed are all exacerbated by climate changes. Amongst the assumptions identified during project formulation are, a) inconsistent and poor-quality water availability, b) deforestation, c) depleted soils and erosion, and c) mangrove depletion, all of which are influence by watershed health and its ability to retain water for times of drought and increased contamination issues. Soil depletion has led to lower crop yields especially seen in the project villages of the Toledo District whose livelihood is more reliant on the land and where Milpa farming is widespread. To address this, Objective 3 aims to provide the communities with capacities, through in-hand training workshops on best practices and awareness that are conducive to restoring and conserving the ecosystem which all communities rely on (in some form or another) for livelihoods. Activities to accomplish this objective will be distinct per district, due to the environment and socio-economic considerations. The three communities in the Toledo District, all of which are majority Maya, agriculture is a main source for livelihoods (milpa and animal rearing). Further, these communities are considerably remote (2 in the border with Guatemala), exhibit low levels of education and high levels of poverty. Copper Bank in Corozal District has a strong relationship with other nearby communities along the Corozal Bay, where fishing (conch and lobster) is an important income source during parts of the year. In contrast to the Toledo District communities, Copper Bank also shares historical ties to a Mexican diaspora from the late 19th century. Due to the prior context, a differentiated approach to improving the quality of the ecosystems in each district is necessary. In the Toledo District, the focus will be restoration and reforestation, as they relate to agricultural practices and care for the watershed. In the Corozal District, the focus will be conservation of the Corozal Bay/New River estuary, by working in nearby wetlands to ensure sustainability of the mangrove systems and marine life. Activities to achieve this objective will be implemented by grant funding for local NGOs who have substantial experience working in each district in the above-mentioned activities. A comprehensive assessment on climate, geology and geography is available in Annex I.

Objective 4. Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.

Objective 4 will focus on enhancing the livelihood options for all project communities, with a differentiated approach, as in Objective 3. The Toledo District communities have been plagued with sustained poverty levels, low crop productivity, and have limited access to human services such as health and higher education. Agriculture is the mainstay of these communities, and while some actions in the communities have attempted to address the mentioned issues, such as installation of pit latrines, the core issue remains limited and undiversified sources of income. To address the core issue and ensure sustainability of the Water Boards (Objective 2), the objective aims to build on the restoration and reforestation work of activities under Objective 3, by providing the community with added skills and capacities that can increase income. During consultation, communities and other stakeholders indicated that other Toledo District communities had been recipient of GEF Small Grants and have introduced alternative livelihoods in coffee, cocoa, cardamon, bee keeping, amongst others, and through such interventions also ensure the restoration of the forest ecosystem; these solutions are consistent with improving the watershed and water retention. In the case of the Corozal District. The extensive mangrove root systems that are prevalent in the coastal areas of the Corozal District, not only filter nitrates, phosphates, and other pollutants from the water, improving the water quality but are known to support rich biodiversity and increase fish productivity, by supplying ideal nursery breeding grounds and act as carbon sinks.<sup>26</sup> Further, improvements in road infrastructure from other government funding, can increase tourism to Copper Bank. Under this scenario, Objective 4 complements the activities of Objective 3, by working with the community by improving the skill base that is conducive to increasing incomes, especially during the time of the year when fishing is prohibited. As with the Toledo District, several stakeholders and NGOs were able to provide inputs on how to blend the conservation activities with improvement in livelihoods, such as tour guide training, boat mechanics, diving, restaurants, and related activities. The Objective also aims to increase the participation of women in household income, several sub-activities were included to assess specific activities tailored to women (and young adults), along with gender inclusive workshops. A comprehensive assessment of livelihoods of project communities is detailed in Annex IV “Social Impact Assessment”.

Objective 5. Increased capacities in solar technologies through training of indigenous women in the three Toledo District Communities.

6. In addition to the livelihoods and restoration Objectives described in the Objectives 3 and 4, Objective 5 seeks to ensure women in the Toledo District have other sources of income. This Objective aims to provide alternative income generation for women. The provision of potable water services to each household (Objective 1) will diminish the time intensive activity of collecting water for their households and washing clothes in nearby creeks – a task customarily done by women. Three of the four Project Communities do not have access to grid electricity, which opens the way for the opportunity to supply these communities (and other nearby communities) with solar technology. This objective builds on the experience of Plenty International’s project in Santa Elena, an indigenous community in the Toledo District, through a grant provided by the GEF Small grants program. Plenty International and Belize Power Limited provided training to the Maya women in the community in solar engineering (Barefoot college model) and supported the establishment of village Power Boards. The project was deemed successful in its implementation and achievement, in spite of being implemented during the height of the COVID-19 Pandemic. Further, in the Toledo District there is an absence of people with skills as mechanics or electricians, indicating a need to develop the skills required for the maintenance and repair of solar panels. This presents an excellent opportunity to train women to acquire these competences, ensuring a non-male-dominated sector, and fostering a long-term shift towards a less gender specific division of labor. A grant award entity will submit a grant proposal indicating their perspectives on how to undertake the assignment and achieve this objective ensuring adequate language and cultural background. To ensure appropriate participation of women in the 3 Toledo District communities (Dolores, Otoxha, and Book Creek).

Objective 6. Strengthen the awareness of rural communities in Belize about the impacts of climate change on water sustainability.

7. Objective 6 aims to address a knowledge gap in rural communities. A 2016 KAP survey commissioned by UNDP<sup>27</sup> provided insights into how Belizeans understand Climate Change, overwhelmingly 70% of survey respondents indicated that water management was very important; the KAP sampled 594 persons in all districts of Belize, the Toledo, and Corozal District, provided 104 (16%), and 66 (12%), respectively. The KAP also indicated that respondents feel that the four most important actions in helping communities to prevent the impact of climate change are increase in public awareness (77.8%), conserving energy and natural resources (71.8%), increase in reforestation (71.6%) and encourage and promote community participation (71.6%). The KAP divided urban and rural communities, sampling four communities of each district; but limited in segregating income and gender in rural communities.

8. The objective aims to deliver a national level awareness campaign to generate knowledge on climate change - including what climate change is, what causes it and how it is impacting communities and their water sustainability. The approach will have a gender perspective. Under the auspice of the MRT, 191 rural communities will be a part of the awareness campaign and communication methods will be tailored to each community, using the means that suit their culture, language and location and which have been proven successful in the past.

Objective 7. Improve resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability.

9. Where Objective 2, focuses on legally establishing Water Boards under the guidance of the MRT, to ensure the sustainability of their operation, strengthening capacities beyond basic administration is required. In Objective 7, training of village leadership and their Water Board to cultivate the participation of women in Water Boards, where traditional gender roles are persistent, will require additional support to ensure inclusive governance. In Belize, there exists a notable gender disparity in the perception of household leadership. The 2010 Census of Population and Housing (CPA) reveals that 70% of surveyed males identified as the head of the household, while only 30% of females made a similar claim<sup>16</sup>. This trend persists across all socio-economic groups. The unequal declaration of household leadership can be attributed to Belize's patriarchal social structure, where traditional gender norms place men in authoritative position. In order to ensure long lasting acceptance of gender roles in the position of leadership in all Project Communities, it is fundamental to introduce training appointed Board Members (and Village Councils) in inclusivity. To further enhance ownership, adequate operation, and sustainability other themes related to management, climate, as well as WASH will be introduced. In addition to community leadership and Water Boards, schoolteachers will be trained in water conservation and in WASH making sure to include a gender perspective. This effort aims to eliminate and prevent contamination of the water source and to further incorporate practices that prevent contamination, foster community health and water conservation. The capacity building activities related to this objective will also link the governance factor with climate-induced risks. The MRT and the PMU will participate in these activities, as this knowledge is beneficial to include in their current training to other Water Boards.

Objective 8. Strengthen the MRT's capacity to respond to water issues in the communities.

10. Objective 8 aims to address knowledge gaps in the support the MRT provides to village leadership. These gaps include traditional capacities related to administration of water boards (clerical, fee collection, financial administration), as well as gender inclusivity, water sustainability, climate risks, and new climate technologies. The MRT has two departments that work with villages, the Department of Rural Transformation, and the Department of Local Government. Rural Transformation works to ensure villages have water service and their field officers visit rural communities throughout Belize regularly. MRT Field Officers play a crucial role for the Government, often liaison with other ministries, agencies, and aid organizations. Under this objective, MRT officers will be provided a series of training workshops to provide improved service and response to communities, thus capacity-building on gender mainstreaming within their day-to-day operations will be delivered; in addition to MRT officers, the officers of the Local Government Department will also participate. More broadly, the MRT should be better prepared to support rural communities' adaptive capacity to climate change and variability; to address this, the Objective will provide workshops on climate risks with a gender perspective to all the staff of the Ministry.

11. Objectives 4, 5, 6 and 8 will be executed by an NGO or similar entity that will be a project grant recipient after its grant proposal for the intervention has been evaluated by MRT PMU and the PTC (Project Technical Committee), using PACT's Grant Award Guidelines. The focus is for the grant is to undertake a culturally appropriate, inclusive, participative and gender-responsive needs assessment with the communities, to determine greatest interests of women, men, and youth.

**Project / Programme Components and Financing:**

Project/Programme Components	Expected Outcomes	Expected Outputs	Countries	Amount (US\$)
1: Design and build cost-effective solar hybrid sustainable water systems in the four selected communities that will allow households to have access to potable and reliable water service.	Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets.  Outcome 8: Support the development and diffusion of innovative	Output (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and	Belize	\$3,163,389.25

	<p>adaptation practices, tools, and technologies.</p> <p>Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets.</p> <p>Outcome 8: Support the development and diffusion of innovative adaptation practices, tools, and technologies</p>	<p>human capacities of the communities.</p> <p>Output (2.1) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems.</p>		
<p>2. Ensuring the sustainability of water resources through improvement of livelihoods opportunities</p>	<p>Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress</p> <p>Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas.</p> <p>Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies</p>	<p>Output (3.1) Restoration and sustainability actions of the community-near ecosystems services</p> <p>Output (4.1) improving the participation of men and women in alternative livelihoods through ecosystem restoration and improvement practices.</p> <p>Output (5.1) Enhancing the participation of women through workshops and capacity building activities in maintenance and installation of solar systems.</p>	Belize	\$771,891.67
<p>3. Increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthening the knowledge management capacities of the public authorities and the communities</p>	<p>Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level</p> <p>Outcome 2.1: Strengthened capacity of national and sub-national centers and</p>	<p>Output (6.1) Develop and implement a national public awareness campaign through village leadership for the communities on the impacts on water sustainability on the effects of climate change.</p>	Belize	\$109,850.00

	<p>networks to respond rapidly to extreme weather events</p> <p>Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets</p>	<p>Output (7.1) Training of Village Leadership of the four communities and their Water Boards on inclusive governance.</p> <p>Output (7.2) Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.</p> <p>Output (8.1) Strengthen the MRT's knowledge base through trainings of its regional and field officers of best practices in water board management and hybrid / solar water systems.</p>		
6. Project/Programme Execution cost				\$4,045,130.92
7. Total Project/Programme Cost				\$4,550,740.92
8. Project/Programme Cycle Management Fee charged by the Implementing Entity (if applicable)				\$444,964.40
<b>Amount of Financing Requested</b>				<b>\$4,995,705.32</b>



**Projected Calendar:**

*Indicate the dates of the following milestones for the proposed project/programme*

<b>Milestones</b>	<b>Expected Dates</b>
Start of Project/Programme Implementation	March 2025
Mid-term Review (if planned)	June 2027
Project/Programme Closing	March 2029
Terminal Evaluation	April 2029

## PART II: PROJECT JUSTIFICATION

### A. Describe the project components, particularly focusing on the concrete adaptation activities of the project, and how these activities contribute to climate resilience.

12. The four Project communities have had ongoing water shortages and quality issues exacerbated by the effects of climate variation and change. The country's climate change hazards (hurricanes, droughts, flooding, and storm surges) pose further challenges. The Project communities confront water scarcity in dry seasons and prolonged flooding in the rainy season, resulting in a dire need for climate adaptive measures. During the latter part of dry season, two of the four communities, Dolores and Otoxha, resort to using outdated hand pumps to carry buckets of water to their homes as the limestone aquifers which supply water are insufficient to support the consumption needs of the communities. Degradation of soil from land use has diminished the area's capacity to retain moisture and additionally is resulting in excessive nutrients downstream in the watershed.

13. Boom Creek and Copper Bank are both resting on shallow water tables and are impacted by similar issues, vulnerability to water contamination and salinity due to proximity to the Caribbean Sea. Bacterial contamination of water sources is prevalent, and higher levels of salinity are common during the annual droughts. Boom Creek sits along the low-lying banks of the Moho River, a tributary ending in the Caribbean Sea, increasing its exposure to flooding during severe tropical storms. Copper Bank, next to Corozal Bay, is similarly affected by severe storm surges and high winds during tropical storms.

14. Given that the Maya community's reliance on natural resources and their traditional gender roles<sup>28</sup>, Maya women, burdened with caregiving roles and domestic chores, face heightened vulnerabilities during disasters, while they play a crucial role as frontline responders in the aftermath<sup>9</sup>. Gender and age vulnerabilities in Belize are influenced by institutional and cultural factors, emphasizing the necessity to address inequalities.

#### **Component 1: Design and build cost-effective solar hybrid sustainable water systems in the four selected communities that will allow households to have access to potable and reliable water service.**

15. Component 1 is cross-cutting and leverages the activities of component 2 and 3. The four communities have limited or no access to reliable potable water, which increases health and livelihood vulnerabilities. This component has two integrated objectives, 1) Reduce exposure to climate variability in the project communities through an inclusive drought-resistant potable and reliable water supply, and 2) Strengthen the capacities of community members and leaders to operate and maintain their village water system and will be executed by the MRT's PMU through procured consultants and engineers. To provide the communities with reliable water service, several actions must take place, such as designing a system that is adequate to the needs and considerations of each community, ensuring that Water Boards are established, and necessary training is provided for its strategic, operational, and financial management so as to ensure the adaptive capacities and thus sustainability of the investment.

16. The four project communities are different, in terms of infrastructure, geology, culture, and livelihoods. Copper Bank, has grid energy from the national distribution company Belize Electricity Limited (BEL); while the three communities, Otoxha, Dolores, and Boom Creek, are not connected to the energy grid. In terms of geology, the two districts have distinct features; the Toledo District communities are located in the foothills of the granite Maya Mountains, which has a layer of limestone and dolomite formation, leading to the creation of karst or limestone mounts and cave formation. The geology impacts the soil quality (refer to Annex I), while the soil of both districts is clay over limestone, the soils in the Corozal District are more productive for sugar cane and citrus production, while the soils in the Toledo District, are better suited for livestock, beans, and maize farming. The practice of milpa farming is a concern throughout the Toledo District, as it involves clearing native forest for agriculture, at times through fires, and planting repeatedly until the soil no longer yields due to nutrient deficiency. Modern farming techniques which include Climate Smart Agriculture<sup>5</sup> have been able to substantially improve on this, especially in Mennonite Communities of Belize, but are seldom used in more rural Maya communities.<sup>29</sup> Moreover, logging and milpa farming impacts the capacity of the limestone aquifers to produce and store water, which exacerbates the issue. The project seeks to address depleting practices by designing activities to protect the ecosystem and build water systems tailored to each community. Note that Activities 1.1 and 1.2 are to be undertaken separately precisely for this (and other) reasons such as depth of persistent phreatic zones, agricultural zones, and community inputs.

17. Components 2 and 3 will leverage the water system infrastructure with watershed ecosystem restoration efforts, livelihoods options, and inclusive governance capacities. Community assets developed in Component 1, namely such as the water system and Water Boards, will provide a reliable potable water source providing communities members more time which is currently used to address water scarcity issues, especially during the dry season, and instead undertake other income-generating activities, a key element of Component 2.

The demonstrative effect of enhanced water systems and improved governance will allow the MRT to replicate similar arrangements in other communities. MRT and Water Board governance is particularly critical to enhance response capacities to climate variability, improve community confidence and decrease response time to address water system issues.

Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities.

18. Activity 1.1 will contribute to (Objective 1) to increase adaptive capacity to respond to climate variability and change in four rural communities of Belize through an inclusive drought-resistant potable and reliable water supply by designing a system that considers the physical and social situation of each community. This system will be designed and implemented to withstand extreme weather events such as drought or floods. As indicated in 3.1.1, the project's goal is to ensure the communities are empowered to appreciate their water resources through inclusive participation, and the design of the system is grounded on this principle. A specialized engineering firm, with additional capacities in stakeholder consultation and management, will assess, in coordination with the MRT and the village leaders, the location of the most abundant and sustainable water sources taking into consideration siting to prevent human encroachment and exposure to contamination. The MRT has begun conducting geo-resistivity testing and is identifying several suitable locations for production wells. The engineering firm will undertake soil and geological analysis to determine the most appropriate water table(s), taking into consideration communal assets such as land used for cultivation, recreation, or for religious purposes. The community will be involved in the design of the system, through gender-responsive consultations, and approval of the lay out, connections, location of assets, in efforts to ensure broad dissemination of the operation of the system, and in compliance with the FPIC protocol in the self-identified indigenous communities. The purpose of community engagement in the design of the system is to ensure ownership, provide a forum for discussion on water supply risks, increase transparency and to allow women and men from the community to share their inputs on land knowledge. The design process will be accompanied by the Project's PMU, with participation of the E&S Specialist, and the Gender Specialist. An experienced construction firm will undertake the required civil and engineering works to ensure a fully commissioned water system in each community. Other communities in Belize have systems that are similar to the proposed, which include solar pumps, equipment rooms, and similar distribution systems. The construction firm will also be required to install the electrical solar system, which can be done by the same firm or by sub-contracting to specialized firms. A Technical Committee has been included in the project's Implementation Arrangements to facilitate the PMU in determining the proper procurement requirements to undertake this activity. The construction firm will also provide structured and practical training exercises to train community members in construction and plumbing with women being specifically targeted. During the field consultations, each community reported they had some men skilled in construction, and the construction firm will be required to train and hire some community members during the construction process, including women.

19. At a minimum, the design of the system will provide, on average, 20 days of potable water during the dry season. This will be achieved by sourcing several groundwater wells that can be independently deployed in case low capacity and fed into a large concrete elevated storage tank. The well pumps will be operated with solar energy and batteries in the case of Toledo District) and with solar and grid energy in the case of Copper Bank. In the case of the Toledo District communities, the water will be gravity fed. In Copper Bank, in addition to an elevated tank, a pressure pump will provide the required pressure to distribute to the entire community, as has been previously implemented in communities that have grid energy and are able to have water heaters and other water pressure sensitive appliances. It is estimated that between 12 and 18 monocrystalline solar panels will be required for each water system, depending on the nature and scale of the system for each community. This technology is widely available in Belize with several local suppliers with vast experience in installation and operation. All the water system will have a piped network made of PVC pipe of different widths (mostly ¾" and 2"), will have water meters, be connected to smart centralized community meter housed in the equipment room. The equipment room will also house the chlorinator, batteries, and maintenance supplies.

Activity (2.1) Provide maintenance and training materials, along with capacity building to the communities (Councils, Water Boards, and MRT officers) on the built systems.

20. Activity 2.1 will contribute to (Objective 2) by providing maintenance and trainings materials, along with capacity building to the communities (Councils, Water Boards, and MRT officers) on the built systems. This activity will take place prior to commencing the construction of the system, and the Village Council with the MRT will appoint members to the Water Board in each community. Each Water Board will have female participation of at least 50%. The MRT will first train Water Boards on the water systems' basic operation. Prior to commissioning of the system of each community, a specialized firm will provide training to the Water Board and staff on the operation of the system, including providing detailed plans to be stored in the Equipment Room, (photo and graphical) manuals, and preventive maintenance. The MRT and the specialized firm will introduce the village and the village council, along with other stakeholders, on the operation of the system. Note that Activities 7.1 and 7.2 also provide additional training for the Water Boards.

## **Component 2: Ensuring the sustainability of water resources and ecosystem through improvement of livelihoods opportunities.**

21. Three identified factors influence the sustainability of water supply in the four communities, 1) deterioration of the ecosystem that produces or stores water, 2) and necessity of the communities to rely in the ecosystem for livelihoods, 3) limited incomes to afford sustainability of the water service to each household. The project aims to address these factors through strengthening the capacities of each community to increase ability to earn income from other sources, including improving the health of the ecosystem which they currently rely, as well as and ensuring that the nearby ecosystems is sufficient water production and provide the communities with sustainable livelihoods. Component 2 will be executed by the MRT (PMU) through grants provided to eligible entities guaranteeing inclusiveness, cultural sensitivity, and ability to communicate in the preferred language of the community.

22. During consultations, it was evident that the Toledo District communities require particular attention, especially noting the quality of the nearby forests and aquifers. The MRT has indicated that there is sufficient water accessible (or being produced) in current and future production wells. Concerns arise on the capacity of the communities to a) meet the water payment obligation, b) restore the nearby ecosystem to ensure future water supply as well as concern for the MRT's efforts to effectively carry out actions related to restoration of the ecosystems. In terms of income, the Toledo District communities, especially those near Guatemala, are limited in their capacity to pay a US\$5.50/month Water Board fee; a fee to make the water system sustainable would be close to US\$12. Other income sources will be required to ensure system sustainability. Several NGOs have worked with alternative livelihood programs, with a degree of success, in implementing projects that involve cardamon cultivation, coffee, cocoa, tree nurseries, bee keeping, and other livelihoods to complement forest restoration activities and increase community income. In the case of the Toledo District, another objective has been added, given the fact that these communities are off grid, training mainly women, in providing maintenance and operation of solar systems, could also provide an additional source of income. The component will also address the Corozal District community, whose livelihoods are affected by deterioration of the Corozal Bay due to effluents from tributaries often related to the use of pesticides in larger-scale agricultural production. One of the main sources of income of Corozal Bay is fishing, which provides the community with higher incomes than other rural areas of the country. While there is limited evidence that fishing is particularly at risk, there is evidence of contaminants found in crocodiles and birds, which feed on fish from Corozal Bay. Further, the reliance of the community on fishing as an income source can be complemented with working under similar existing conservation frameworks of nearby communities, such as the Corozal Bay Wildlife Sanctuary. Mangrove and bay restoration efforts have been proven to improve the overall health of the bay as well as act as hatcheries for fish.

23. Component 2 has three Objectives, 1) ensure long term change in women's and men's attitudes towards water conservation and climate change impacts therein, through restoration of community-near ecosystem services, 2) increase capacities and skill set in the project Communities that allows members to enhance their income through safekeeping the nearby ecosystem, and 3) provide an avenue for community members to install, maintain and market solar energy systems in their respective villages. . These objectives are to be achieved through two project Activities, 1) Activity (3.1) Restoration and sustainability actions of the community-near ecosystems services that impact the water supply and livelihoods, 2) Activity (4.1) improving the participation of men and women in alternative livelihoods through ecosystem restoration and improvement practices, and 3) Activity (5.1) enhancing the participation of men and women through workshops and capacity building activities in maintenance and installation of solar systems.

### Activity (3.1) Restoration and sustainability actions of the community-near ecosystems services that impact the water supply and livelihoods.

24. Activity 3.1 will contribute to (Objective 3) ensuring long-term change in attitudes towards water preservation. and climate change impacts, through restoration of community-near ecosystem services. In the Toledo District communities, land use has increasingly impacted water availability limiting water retention in the aquifers during the wet season; amongst the solutions to ensure water availability that will be carried out is improving the current agricultural practices, reforestation, as well as awareness of the risks of climate change and land use pose to the community. In the Corozal District, degradation of mangroves, heavier runoffs (during the wet season) from upstream use of chemicals in commercial farming, along with unconstrained fishing (piracy), have caused decreases in fisheries and other wildlife; the focus for Copper Bank in the Corozal District is to include the Community in ongoing conservation efforts.

This activity was initially based on the restoration and conservation work of several NGOs throughout Belize that understood the importance of safeguarding forest and estuaries ecosystems services and its relationship to water conservation and fish stocks. Restoration and conservation activities will ensure the communities overtime persistent water retention, and maintenance of fish stocks, along with alternative livelihoods that allow them to improve their income (Activity 4.1). Under Activity 4.1, include reforestation of non-productive land by planting a combination of native forest and plant species, with income generating crops such as cocoa, cardamon, and coffee. Other actions under this activity will be to provide training and capacity building to the women and men from the communities on climate smart agriculture and bee keeping, which has yielded positive results in other projects in Belize.

The activity will be carried out by grant provided to specialized entities that submit a proposal under the criteria established in Annex II "Implementation Arrangements". Two grants will be awarded, one for an entity that will work with the Toledo District Communities, and one grant for entities that will work with Copper Bank.

Activity (4.1) Enhancing the participation of men and women through workshops and capacity building activities in income generation activities.

Activity 4.1 will contribute to (Objective 4) strengthening the capacities of community members to earn or diversify incomes through enhanced livelihoods options. This activity is set to complement Activity 3.1, as the project links ecosystem restoration and conservation with increasing the participation of men and women in alternative livelihoods. Further, the project aims to increase the participation of women in household income generation. In the case of the Toledo District, Activity 4.1 will focus on a) improving the current practices of Milpa Farming towards substituting low productive land areas to other crops and cultivars, such as cocoa, coffee, bee keeping, and reforestation. The work in the Toledo District under the program have been tried and tested, Ya'atche Conservation Trust has developed a series of interventions, working with the Mayan communities in conservation and reforestation, using climate-smart agricultural techniques, and most importantly, practices that are coherent with the Maya culture. In the case of the Corozal District, tying conservation activities with alternative livelihood options activities will build on the efforts carried out by other nearby villages, such as Sarteneja and Chunux. These two villages, about 10km from Copper Bank, share the Corozal Bay estuary. Various organizations, such as the Sarteneja Alliance for Conservation have undertaken programs to monitor the health of the Corozal Bay and provided residents with other income sources to supplement fishing, such as tourism-related activities. With support from donors, the GOBZ is constructing a new roadway and two bridges, one bridge from Progresso, a nearby town, and second bridge over the Laguna Seca, towards Chunux; these bridges will not only improve connectivity of the village to other areas of Belize but will reduce travel times. Even though Copper Bank is on the mainland, it is currently only accessible by a three-car manually operated ferry. The improved transit and water system infrastructure will facilitate Copper Bank's opportunity to develop climate-friendly eco-tourism activities aimed to minimize the environmental impact of tourism while promoting sustainability and conservation. For example, activities such as environmental conservation volunteer tourism; sustainable accommodations (energy-saving practices, waste reduction, and water conservation measures), or non-motorized water activities such as kayaking or canoeing. The work on alternative livelihoods in relation to conservation has been tried and tested in the Corozal District, with the work of Sarteneja Alliance for Conservation and Development (SACD), and the Belize Enterprise for Sustainable Technology (BEST).

25.

The activity will be carried out by grant provided to the specialized entities selected under Activity 4.1.

Activity (5.1) Enhancing the participation of women through workshops and capacity building activities in maintenance and installation of solar systems."

26. Activity 5.1 will contribute to (Objective 5) increasing the capacities in solar technologies through training of indigenous women in the three Toledo District Communities. This activity builds on success cases of a GEF-grant project of training rural women administered by Plenty International in Santa Elena, where indigenous women were trained to support community electric boards in the installation and maintenance of solar systems. Further, this prior project's curriculum was designed in collaboration with the Barefoot College, whereby women, most who have limited education, are trained as solar engineers. This project activity is tailored to the three Toledo District communities to a) no grid energy is available for the communities, b) the poverty level is higher than other districts in Belize, and c) two of the three communities are considerably far from the nearest largest town, thus self-reliance is critical.

27. Activity 5.1 will be undertaken by a grant-award entities (NGOs or similar), specialized in working with women from Maya communities, and supervised by the MRT's PMU, in close collaboration with MRT field officers and Village Leadership. Several entities in Belize have gained experience in working with rural indigenous communities in solar installations. Entities seeking grant funding to undertake this activity will be required pre-qualify for basic fiduciary management and to submit a proposal to PACT that details their perspective on the suitable options for training women in solar installations.

**Component 3: Increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthen the knowledge management capacities of the public authorities and the communities.**

28. For communities to have sustainable water supply, Water Boards must be effective, and this effectiveness is bound by strong governance and public confidence. Ensuring strong governance goes beyond training Water Boards in maintaining and operating the water service, it involves understanding the challenges faced by other communities, a responsible MRT, and sensitivity to community issues. This component aims to address these concerns through understanding how changes in climate can affect water supply (and actions that can be taken to address) and strengthening the capacities of the MRT to respond to changes in climate, water sustainability, and inclusivity. The component takes a unique perspective to water governance, allowing key stakeholders to share their experiences, current risks, and also receive capacities to address climate concerns. Component 3 builds on the systems and Water Boards established in Component 1, the work

on Component 2 on restoration, and provides the communities, their leadership, and the MRT, with a toolkit to address climate risks. Component 3 has three objectives a) strengthen the awareness of women and men from rural communities (villages) in Belize on the impacts on water sustainability on the effects of climate, b) improve the resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability and c) strengthen the MRT's capacity to respond to water issues in the communities. These objectives will be achieved through 4 activities, a) activity (6.1), develop and implement a national public awareness campaign through village leadership for the communities on the impacts on water sustainability on the effects of climate change, b) activity (7.1) training of Village Leadership of the four communities and their Water Boards on inclusive governance, c) activity (7.2) arrange peer-to-peer community workshops to share insights on the impacts of climate change, inclusive governance, improved livelihoods, and maintenance of water systems, and activity (8.1) Strengthen the MRT's knowledge base through trainings of its regional and field officers of best practices in water board management and hybrid / solar water systems with a gender perspective .

Activity (6.1) Develop and implement a national public awareness campaign throughout village leadership for the communities on the effects of climate change on water sustainability.

29. Activity 6.1 will contribute (Objective 6) to strengthen the awareness of rural communities in Belize about the impacts of climate change on water sustainability. There are 191 communities in Belize, most of them rural, that will be affected, into a different degree, by climate variability. Under Activity 6.1, the national campaign will focus on rural communities that are under the MRT's responsibility. The awareness campaign will focus on climate and climate and water issues, with a gender perspective, that affect and will affect these communities. The campaign will undertake by a stakeholder firm with expertise in climate, water issues, and inclusivity, research will be carried out prior to campaign launch to as to tailor the context and message; the firm will be procured through a competitive tender process (See Procurement Plan). Local language communication and mediums (local radio, social media, etc.) will be used when appropriate, especially in Maya and Garifuna Communities. Ex-ante and ex-post Knowledge, Attitudes, and Practice (KPA) surveys will be conducted to measure awareness both at the community, and most importantly at the leadership level. Data surveys will be disaggregated by gender and results will be analyzed with a gender lens. As aforementioned, building community confidence in leadership is a major element in community member's engagement to address and respond to climate risks. The activity will be implemented by the MRT's PMU, which will tender the services of communication / stakeholder engagement specialized in climate consulting firm, using PACT's procurement guidelines.

Activity (7.1) Training of Village Leadership of the four communities and their Water Boards on inclusive governance

30. Activity 7.1 will contribute to (Objective 7) by improving the resiliency of the four Project Communities of Belize through enhanced governance of their Water Boards to ensure sustainability. Two sub-activities implement this activity, 7.1.1 will focus on water boards and village leadership, and 7.1.2 will focus on school personnel. Building on Component 1, the Water Boards of each community will be trained on how to effectively deal with community issues that will likely be faced in the future. Training will involve stakeholder expectations, the relationship between health and hygiene, the importance of women's rights, and enhancing the relationship between the Water Boards and community schools. The perspective is to ensure current and future generations of the community value the work of leadership to resolve community water issues, through the perspective of inclusivity and gender equality. The activity will be carried out with the support of consultants, contracted after a procurement process, as well as with the support of the MRT's PMU Gender Specialist.

Activity (7.2) Arrange peer-to-peer community workshops to share insights on the impacts of climate change, inclusive governance, improved livelihoods, WASH, and maintenance of water and solar systems.

31. Activity 7.2 will arrange peer-to-peer community workshops to share insights on the impacts of climate change, inclusive governance, improved livelihoods, and maintenance of water systems, contributing to Objective 8. Two separate workshops will be undertaken in this activity, one day workshop tailored to communities near the project communities, focused on water, WASH and overall project experiences (sub-activity 7.2.1), and a one-day workshop for members of MRT communities, selected from responses to ex-post surveys of Activity 6.1, that will focus on climate change and water management (sub-activity 7.2.2). Both workshops will be undertaken in one of the project communities to be selected by the MRT PMU and MRT Field Officers, where community members can share their experiences, and will be proctored by a workshop facilitator. Both workshops will also have the participation of MRT officers and PMU, water system operation specialists (from Activity 2.1), and WASH specialists (from Activity 6.1).

Activity (8.1) Strengthen the MRT's knowledge base through training of its regional and field officers on best practices for Water Board management and hybrid/solar water systems.

32. Activity 8.1 aims to contribute to (objective 8) by strengthening the knowledge base of the MRT to effectively carry out its mandate of supporting rural communities and their local governments. The activity will be implemented through two sub-activities: training of the MRT's regional and field officers on best practices for Water Board management and hybrid / solar water systems (sub-activity 8.1.1) and

training the MRT's staff (all departments) on gender equality and women's rights, climate risks and adaptation measures, and governance (sub-activity 8.1.2).

33. To foster awareness on gender equality principles and ensure a more effective gender-sensitive approach, MRT Field Officers will receive capacity-building sessions on gender mainstreaming into their day-to-day operations. Also, MRT staff will be sensitized on the importance of gender equality and the interlinkages of climate change and gender, and water and gender. To allow the MRT officers to better respond to climate risks in the communities, a series of seminars designed on the key risks that affect rural communities in Belize will be provided, along with training on actions that MRT officers can carry out in their jurisdiction. MRT officers' knowledge base will be strengthened, focusing on how to better train water board members and village leadership. Additionally, the training for the MRT officers, will include field training on the solar and solar/hybrid systems, which have widespread use in Belize. The structure of the training will be a series of modules, to be provided by a firm with specialized knowledge on effective and inclusive governance, familiarity with Belize's local government, climate risks, and gender inclusivity. The training will consist of 30 days of mixed training, in the office, and in the field, tailored to the agenda of the MRT field officers.

34. Similarly, to ensure broad adoption in the Ministry on the importance of gender equality and the interlinkages of climate change and gender, and water and gender, a 30-day in-house training (mixture of online courses and on-site workshops). The prior will sensitize the actions of all staff of the MRT towards inclusive governance to ensure a culture of sensitivity and better response to community issues.

**B. Describe how the project would promote new and innovative solutions to climate change adaptation, such as new approaches, technologies, and mechanisms.**

35. SEAM provides a series of solutions, based on tested actions and technologies under the umbrella that inclusive governance that drives the adoption of strong collective action towards sustainability; stand-alone solutions such as installation of solar water systems won't ensure the long-term sustainability of water service, rather, its combination of solutions.

36. The innovation perspective of the project rests on resolving a set of interrelated problems that affect the daily life of community members and thus the sustainability of water service. As mentioned in the previous section, the project communities are affected by widespread poverty, low yields on agricultural production, limited livelihoods alternative, and remoteness are all issues that impact the community incomes. Severe limitations of income retrain the capacity of the communities to make large investments that would effectively provide reliable water. Community leadership is well aware of these short comings; in similar communities, solar water systems have been installed, albeit these systems are fraught with maintenance issues and low collection rates. Furthermore, land use practices have impacted the capacity of the watershed to retain water through the dry season. Of the indicated issues, some solutions have been proposed, a bakery project was implemented by an NGO in one of the project communities, American missionaries installed water catchments, restoration of ecosystems have allowed similar communities to earn extra income, and water systems have been installed. In essence, these solutions solve one problem, but don't take an integral perspective that would be a *game changer*. For an integrated series of solutions to be effective, there must be a wide adoption of the actions proposed in the project.

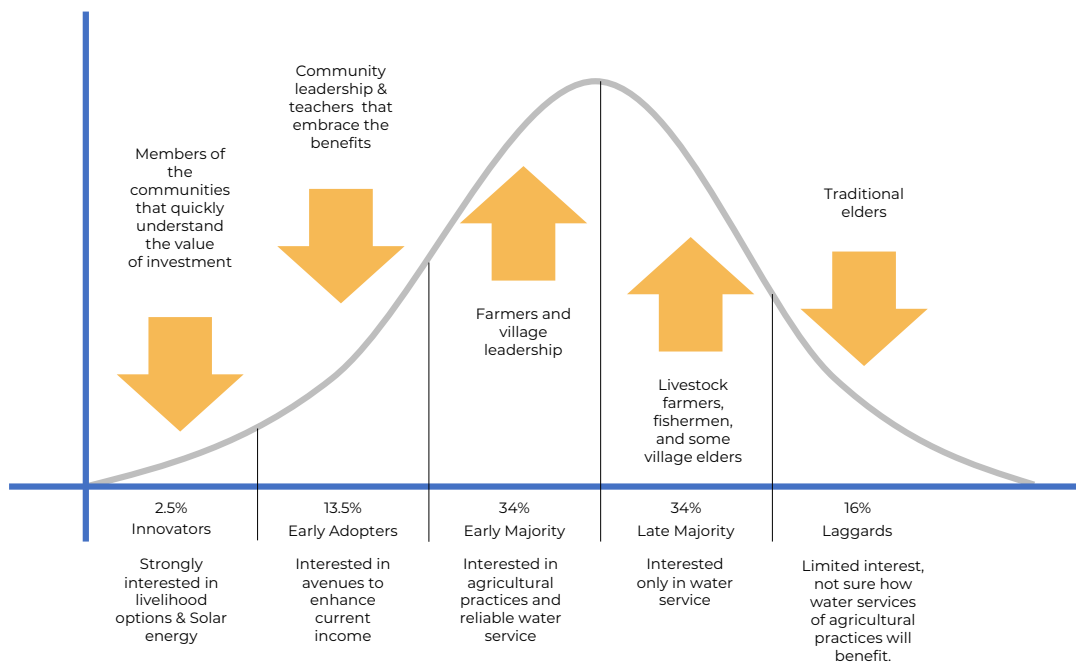


Figure 3: Diffusion of Innovation through SEAM.

37. The solutions proposed by the project aim to target key drivers for water sustainability. Restoration of the ecosystem through improved practices in land use ensure that water can be available during the latter months of the dry season, a major concern for Belize as the rainy season is expected to shorten and more be intense, while more dry days are also expected. Alternative livelihoods, which also include improvement in land use through Adaptive agricultural practices, and training on the installation of solar energy systems in communities with no grid energy are focused on generating income. Training of community members on climate risks, health, and sanitation, aim to lessen the cost burden for community members in their daily lives. Training of the MRT and the water boards on inclusive governance seeks to shift the responsibility for water care from elected authorities to community ownership. The water systems to be installed will provide the four communities with reliable water service, reducing the need to transport or purchase water. Through the adoption of project action (Figure X) the diffusion of innovation will lead to communities having confidence in themselves and in their leadership to solve collective issues. The integrated approach to the project's innovative practices will contribute to the resilience and sustainability of the water distribution and management systems, promoting efficient resource use, reducing losses, and enhancing the overall climate adaptability of communities and their water infrastructure. Details of specific actions under each Activity are described in Section A.



**C. Describe how the project aims to roll out successful innovative adaptation practices, tools, and technologies and/or describe how the project aims to scale up viable innovative adaptation practices, tools, and technologies.**

38. Research and consultations provided a context and lessons learned on success (and limitations) of proposed solutions. Solar solutions are widespread in Belize, and the country has had lengthy list of development projects that address livelihood option, SEAM takes the perspective that these solutions in of it themselves are not truly innovative, rather, the innovation perspective is the combination of these tried and tested solutions under inclusive governance that drives the adoption of strong collective action towards sustainability. The sequence of project implementation is critical to ensure that buy-in and adoption (participation) in project activities. The following activities detail how innovative practices and technologies are integrated and diffused to ensure the long-term sustainability of the water resources of each community.

39. **Project Management Unit (PMU) in the MRT.** In the case of project implementation, SEAM will be the first project to be implemented by the MRT, a skillset that would greatly improve its capacity to service communities under its responsibility. A Project Management Unit will be created within the MRT that will allow its staff to better understand how to administer a complex project, including procurement, monitoring and reporting, stakeholder management, amongst others. The MRT has provided technical guidance to other government agencies in similar projects, and its well suited to enhance their offering by working with project management professionals within their staff.

40. **Design studies on wells and systems.** In similar projects, BSIF has relied on internal engineers and support consultants to design a system, and liaison with the MRT on community water and governance issues; construction was sourced through different contractors and suppliers. The project takes a different approach, largely due to the unique circumstances of the Toledo District Communities. To build a reliable and community focused system, engagement by water and stakeholder management professionals is necessary; this will support identifying well and tank placement, and most importantly, community inputs will be at the centerpiece of this consultancy. The design study will allow those interested in the operation of water systems to be involved early on.

41. **Construction and installation of solar water systems.** Firms with proven track record will be procured to use the design studies to drill wells, build tank structures, maintenance and supplies shed, distribution piping and metering. The proposed system would have wireless water meters to centralize water consumption measurement and metering. The MRT aims, in the future to centralize data from rural communities under its management to have better statistics on water usage and collection rates. Firms interested in participating in the tender, must ensure that part of the work force is people in the community (especially women and youth). Participation in the construction of the system will allow community members to be familiarized with the distribution network and the general operation of the system.

42. **Restoring and conserving the ecosystem that maintains the watershed and provides community income.** The project builds on work by Belize-based NGOs that have successfully implemented projects that link the restoration of the forest environment through adaptive agricultural practices as well as conservation of the estuary. The dual objectives will be implemented by a grant award to interested CSO or NGOs that submit proposals on what activities are most adequate for merging income generating activities that also conserve and/or restore the ecosystem. There is a broad range of income generation activities that have been implemented in Belize in similar communities and include bee keeping, coffee and cocoa production, reforestation with soil regenerative tree species.

43. **Addressing access to energy.** For the Toledo district communities, where no grid energy is available arises the opportunity to provide training for community members on the installation of solar energy system. The project will work with interested parties, based on the Barefoot College Curriculum, on how to install, maintain, and operate household solar systems. The participants will also receive training on developing a business, access to funding, and contacts with local suppliers; thus, installation of solar systems in other household in the community or in nearby villages can be an income-generating activity.

44. **Boosting confidence in community and authorities.** By providing water service to all households in the community, a long-standing claim, confidence in the authorities and in the community will increase. This will be enhanced by several training and capacity building exercises, that will not be limited to those what serve on the water board, but also to the broad community. The focus to have a shared consciousness of water sustainability, which is crucial for responding to future changes in climate. Moreover, the integral gender-focus of the project aims to increase the participation of women in community decisions.

45. **Enhancing the capacities of the MRT to provide better service.** As aforementioned, the MRT will gain valuable project management skills, and moreover, it will receive training on how to improve water board efficiency through training on inclusive governance. Other training for the MRT that will support future actions include training on health and sanitation, climate risks, and operation of solar water systems. The lessons learned from implementing the project will allow the MRT to better train water boards and engage with rural communities throughout Belize.

**D. Describe how the project provides economic, social, and environmental benefits, with particular reference to the most vulnerable communities, and vulnerable groups within communities, including gender considerations. Describe how the project will avoid or mitigate negative impacts, in compliance with the E&S Policy and Gender Policy of the Adaptation Fund.**

46. The project aims to benefit over 1,665 men, women, and children in over 335 households in four villages. Three of the four communities of the project are located in Belize's poorest region, the Toledo District, where around 60% of persons reside in extreme poverty. (see Annex IV, section 3 Poverty and section 4 Toledo Poverty) Toledo District communities have minimal access to health and education, which is compounded by often contaminated water sources towards the end of the dry season or after severe weather events. The three Toledo District communities are mostly comprised of indigenous Maya peoples, who maintain traditional customs, religious practices, and leadership roles. The Corozal District community, Copper Bank, is a shore side village where the main economic livelihood is fishing, primarily conch and lobster. There is strict seasonal restriction on fishing lobster and conch, leaving many inhabitants to look for work in Mexico or other communities. Copper Bank will likely see an improvement of living standards due to government investment in road and bridge infrastructure, however, this will not address the surmounting need to provide potable water. Currently in Copper Bank, about half the households obtain water from hand dug shallow wells, which are often contaminated from rainwater and surface water runoff and wastewater. Drinking water is often purchased in five-gallon bottles.

**Environmental Benefits**

47. Two of the three Toledo District communities have at-risk aquifers, that are mostly layers of limestone formations that filter and store rainwater throughout the year, which presents an environmental problem locally and throughout the watershed. The limestone aquifers are an essential component of the Belizean watershed, as many of the country's river's tributaries are fed from water collection in the Mayan Mountain formation. The environmental concern arises when the delicate balance between the moisture held by forest cover above these limestone formations is disrupted due to land use. Traditional farming techniques, unscrupulous logging have advanced over natural primary forest in Belize, limiting the capacity of the aquifers to retain sufficient water to last throughout the dry season. The concern over land use is more critical in Belize, precisely due to the mentioned geological formation, as less water is retained by the forest ecosystem, more nutrients drain towards the Caribbean basin and its reef systems. The communities are impacted due to the incapacity of the current water sources to effectively replenish fully, a concern that will be exacerbated by climate change forecasts of longer dry seasons in Belize.

48. The project addresses both the preservation of the aquifers and the water supply through improvement in agricultural livelihoods tailored to Maya tradition, especially through a mixture of reforestation and cultivars. Plants and soils in natural coastal habitats (like marshes, mangroves, seagrasses, etc.) sequester and store large quantities of carbon - called "Blue Carbon". Managing coastal wetlands and near-shore marine ecosystems can provide many benefits, such as protecting shorelines, cycling nutrients, keeping water clean, controlling floods, and providing homes and food for birds, other wildlife, and fish. One of the main sources of income of Copper Bank is fishing, thus supporting the preservation and restoration of coastal ecosystem will ensure an additional safeguard for this important livelihood.

49. The project will result in several environmental benefits that contribute to mitigating and adapting to climate-related impacts being addressed. The water system infrastructure will be designed to withstand extreme weather events such as hurricanes, floods, and storms which means less repair and replacement and a reduced environmental impact of construction and maintenance. This resilience also reduces the risk of damage to the water system and minimizes the environmental impact associated with disruptions to the water supply.

50. The ecosystem restoration and management efforts promote efficient water use and reduce overall water demand. This helps in preserving local water resources, particularly during periods of drought or changing precipitation patterns. Restoration measures will promote water retention through reforestation which aims to help maintain aquifer levels, supporting both water supply and the health of ecosystems dependent on groundwater. The repair of the riparian buffer will decrease riverbank erosion, benefit water quality and provides habitat for wildlife. All ecosystem activities work on behalf of the quality and sustainability of the watershed as well as ensure healthy aquatic habitats and biodiversity.

51. The use of renewable energy in the three communities avoids producing greenhouse gas emissions associated with electricity or diesel pumps. Water meters provide environmental benefits by reducing water waste and promoting efficient water use. The meters also can be used to detect leaks in the water distribution pipes preventing unnecessary water loss, conserving resources, and reducing the energy required to pump water. The involvement of communities and national campaigns increase environmental awareness and encourages responsible water use. This educational effort, in addition to tying alternative livelihoods to water and ecosystem restoration, is likely to increase the community's buy in to participate in conservation efforts, further enhancing environmental benefits. WASH training will convey critical information for preventing water contamination and benefit the preservation of the watershed.

## **Social Benefit**

52. The water distribution system designed with adaptive measures for climate change brings various social benefits that enhance community well-being, resilience, and equity. First and foremost, it provides access to clean and reliable, potable water which is a necessity of life as well as contributing to improvement in public health, reduced water borne diseases and enhanced overall well-being. Reliable access to clean water as well as WASH training facilitates better hygiene and sanitation requirements.

53. Inclusive collaboration with communities in the planning, implementation, and maintenance of the systems will provide community members with confidence in their leadership, improve relations with the MRT and foster a sense of project ownership. Amongst the project objectives is to provide leadership, through enhancing the governance of Water Boards. Women and men from the communities will be trained to engage with the communities on the importance of water sustainability; a concept that is currently not well understood. Water Boards' capacity to respond to community water related issues enhances the overall community adaptive capacity to climate variability and change. Moreover, by ensuring 50% female representation within Water Boards, the project will actively promote women's leadership, fostering recognition of their capabilities and rights, and paving the way for potential positive transformation, particularly in traditional patriarchal cultures like the Maya community. Community engagement and raising awareness about the importance of water conservation, climate resilience, and sustainable water use practices are better equipped to contribute to the water system sustainability. Enhancing water systems not only partially relieves women of traditional reproductive work but also improve community health. The inclusive engagement of both women and men in project design and constructing the water system infrastructure is pivotal for functional structures that resonate with diverse community needs.

54. The project capitalizes on opportunities through livelihoods and watershed management programs, coupled with targeted training initiatives to include women in job openings provided by the project in particularly male-dominated sectors. The livelihoods program, geared towards expanding community income sources, recognizes the importance of addressing the needs of both men and women. A gender-sensitive needs assessment precedes its implementation, and another will be conducted before executing the ecosystem management program. Encouraging women to explore diverse occupations aligns with cultural shifts spurred by solar energy technology training or construction skills development, challenging established gender roles. Women's increased participation in decision-making, particularly on Water Boards, goes beyond representation, fostering autonomy and recognition within their communities.

55. Under Component 3, the Project manifests a holistic commitment to promoting transformative change in gender dynamics, not only within the project communities but also at the macro level (MRT). Through a series of sensitization activities and tailored training programs, the SEAM project aspires to create a transformative ripple effect, fostering a community-wide commitment to gender equality. This commitment extends to individuals directly engaged in the project's execution, embodying a multifaceted and comprehensive approach to gender sensitivity and innovation.

56. In sum, the project carries the potential to influence gender dynamics within the targeted communities in the long run, by deploying a holistic approach that not only empowers women but also engages men, fostering a more inclusive and nuanced understanding of gender roles. As the project encourages women to venture into traditionally male-dominated sectors like construction and energy, it sparks a fundamental shift in societal perceptions and workplace norms. This diversification of professions contributes to breaking down gender barriers, creating an environment where individuals are recognized for their skills and capabilities rather than conforming to gender stereotypes.

57. In parallel, the project's emphasis on enhancing water system infrastructure carries enduring effects on health and reproductive work. Beyond immediate benefits, the improved infrastructure provides a foundation for long-term advancements in community well-being. Crucially, the inclusion of women in water boards emerges as a pivotal strategy for instigating change. By becoming role models for their daughters, these women not only bolster their own self-esteem but also challenge traditional gender norms. Their active participation in tasks traditionally reserved for men sends a powerful message about the capabilities of women.

58. Moreover, training MRT staff in gender issues becomes a linchpin in the project's broader impact. This capacity-building initiative does not merely enhance the skills of the team but also radiates positive influence in community interactions. As the trained staff engages with communities, initiates new projects, and shares their insights in other regions, the ripple effect of gender awareness is magnified.

## **Economic Benefits**

59. The project will provide various economic benefits, contributing to the overall well-being of the communities. In addition to other benefits from obtaining water security, it can also lead to increased economic productivity and reduced vulnerability to water-related disruptions.

60. Training of community members on solar maintenance will potentially provide employment opportunities with other nearby communities utilizing solar water pumps who have not received this training. Additionally, there will be temporary jobs from income generated as the result of construction of the infrastructure. Training and capacity building on the operation, maintenance and management of the water system, as well as that provided for construction, may provide skills that are applicable to other income generating activities beyond the project.

61. Community members from Copper Bank expect savings from not having to purchase bottled water and bottles of chlorine bleach which, in addition to costing significantly more than the monthly fee for water, also has a negative environmental impact. The water metering and efficient water systems with good governance can reduce water losses through leaks detection and its associated costs. The villages' improved infrastructure should also positively impact the value of land (for the landowners in the Corozal district).

62. A reliable water supply also contributes to the Village's ability to promote tourism. Tourism-related businesses, such as bed and breakfasts, small hotels, restaurants and bars require access to clean and sufficient water resources.

63. For communities dependent on subsistence farming as the main source of income; income is subject to high variability. The erratic nature of income generation from the sale of crop-surplus is based on various fluctuating factors, including weather conditions, crop yield, product market price, transportation costs and exchange rates. These fluctuations inhibit economic mobility. The project communities also experience other influential factors that maintain poverty such as remote location, limited access to education or capacity building, lack of proper health care and time demands to acquire basic needs such as water. Reducing the water collection burden and addressing the health-related concerns will give community members more opportunity to market their products in farther communities. It is challenging to calculate the tangible net benefit from the time savings resulting from not having to collect water or wash in the river. Some similar projects estimate this figure to be 40 minutes per day, although this was not able to be verified during field consultation.

64. Removing the water collection burden from community members, especially women, in addition to providing time savings will have the potential to enhance income generation through alternative livelihoods, albeit difficult to calculate the specific net benefit. Most Toledo communities are living in extreme poverty, with most households earning less than US\$50 (BZ\$100) per month. <sup>20</sup> suggest that this figure is underreported and is likely closer to US\$150 (BZ\$ 300) per household. Using Dolores as an example, with its 106 households and the investment cost for its water system of over US\$468,000, it would take 30 years of every household in the community allocating their entire income to pay for the system.

65. Using the same household income profile as an example and considering the 40 minutes per household used to get water per day, such amount would save around US\$6 per month, more than the suggested (sustainable) water rate of US\$5.5 per month. Consequently, the savings in each household in terms of time would far surpass the cost of water delivery, assuming that the investment is granted. Consequently, the savings in each household in terms of time would far surpass the cost of water delivery, assuming that the investment is granted. The project seeks to augment the water time savings with capacity building through established entities (grant awards), that will provide members of the community with alternatives, such as bee keeping, which would bring an extra US\$5 to US\$15 per month. Planning, construction, and maintenance of the climate-adaptive water systems also creates employment opportunities within local communities from construction which can contribute to local economic development and empowerment. Skills acquired through capacity building and training provided from the Project will also potentially create income generating opportunities with local communities which can contribute to local economic development and empowerment.

#### **Avoiding or mitigating negative impacts**

66. The proposed project is Category C given the low to minimal risk posed to the communities and natural environment in which the project interventions are proposed. The comprehensive assessment of environmental, social and gender risk demonstrate the project is expected to yield an overall positive environmental and social benefits by developing a water supply and distribution system to provide safe, sufficient, and year-round potable water to four communities. The project aims to address the full water cycle including eco-system management measures; WASH capacity building to address health as well as water contamination issues; extraction and distribution; as well as innovative approaches to wastewater use. The project anticipates a reduction in the vulnerability of children, women, and men to incidences of water-borne, food borne, and vector borne diseases in addition to communicable and non-communicable diseases. Thus, making critical achievements in improving wellness and quality of health to the community.

67. Without adequate mitigation, there could be potential negative impact from social or cultural disruption or interference in community cohesion; conflict obtaining informed consent from the indigenous villages; unequal access to benefits; economic hardship

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<sup>20</sup> Recent WFP in nearby Guatemala suggests incomes in the US\$ 500, IFAD Be-Resilient, a GCF funded project, demonstrates similar figures.

maintaining the system or women's difficulties to engage with the project.

68. Potential social or cultural disruption will be mitigated by ensuring that planning, design, implementation, and project closure involve active, inclusive participation and consultation with the project communities. Language preference, cultural practices, values, and traditions will be respected and incorporated into project design. Thus far there, numerous consultations have taken place with the communities, who demonstrated openness, interest, and eagerness to begin the project. Consultations will be supported by the long-established relationships with the MRT field officers, engaging NGOs with cultural familiarity and previous experience working with the communities, especially those who have a track record working with indigenous women, and by working with the PMU gender specialist to structure conditions for success with women's engagement.

69. Working in Indigenous communities requires familiarity with cultural norms, indigenous people's protocol, and thorough understanding of FPIC. This potential negative impact has been mitigated by following indigenous people's protocol and FPIC procedures and obtaining FPIC during the field visit (see FP Annex IV: SIA, Appendix 8). The project will continue to follow FPIC protocol which is an iterative process if there are adjustments or additions to the agreed upon project activities.

70. Any potential uneven access to benefits or economic disparities will be mitigated through the structure of a fully inclusive project that is designed with the community, for the community. All community members are eligible and encouraged to participate in all aspects of the project and the MRT, project manager and gender specialist will ensure full communication to the communities, meetings time and location selected by the community and family friendly events or childcare provisions.

71. The introduction of a centralized water distribution system may lead to a dependency on external entities for maintenance, repairs, and technical support. Potential dependencies will be mitigated through community engagement in the project design and implementation increasing buy in and reflecting the needs and cultural norms of each village. Training for Water Boards and for the women involved in the solar system and maintenance will provide the necessary capacity to be self-sufficient. Trouble shooting techniques will be provided that will help guide when technical support is needed.

72. Economic challenges to cover the monthly fee for the water system will be mitigated by the alternative livelihood program, prioritizing local hiring, skill development and capacity building initiatives to ensure community members benefit economically from the project and allow for long term sustainability of the water system.

73. Challenges related to women's participation in the project will be addressed through the expertise of the PMU gender specialist, setting minimum involvement targets, providing gender separate training (when needed) and ensuring a community-centric, culturally sensitive, gender responsive and participatory approach is consistently maintained.

**E. Describe or provide an analysis of the cost-effectiveness of the proposed project.**

74. The project was formulated on the basis of related projects undertaken in similar communities and including provisions for improved implementation. The Belize Social Investment Fund (BSIF), under a Caribbean Development Bank Facility, carried out several water sourcing projects in Toledo District communities, namely a) Crique Jute, b) San Victor, c) and San Carlos. Other projects used as reference were a United Nations Development Program UNDP funded Female Solar Engineer Project started in 2013 in Santa Elena and Santa Teresa and, the GEF Small Grants Program carried out by Plenty International Belize Limited in Graham Creek and Machakilha. The CDB funded projects reviewed did not have evaluation reports available, however input interviews with BSIF and other documents were used extensively to formulate the project. The GEF Small Grants project does have an evaluation, which was reviewed to draw from lessons learned during this Project formulation. Table 2 summarizes projects used to assess the benefit, in terms of cost, type of investment, and beneficiaries. Not that SEAM is considerably broader than some of the listed projects, except for the Jaguar Corridor.

Project	District	Implementing Entity	Funding	Description	Cost (US\$)	Beneficiaries (direct)
Crique Jute*	Toledo	BSIF	CDB (Sovereign loan)	Water system, conventional	600,000	224
San Victor*	Corozal	BSIF	CDB (Sovereign loan)	Water system, Solar	473,448	962
San Carlos / Indian Creek*	Orange Walk	BSIF	CDB (Sovereign loan)	Water system, conventional	498,114	530
Machakil Ha / Graham Creek**	Toledo	Plenty	GEF (Grant)	Solar Panel Installation and Training	150,000	280
Jaguar Corridor***	National	UNDP	GEF (Grant)	Conservation	1,264,404	15,000
*BSIF project costs do not include project management costs and include mainly infrastructure investments. Implementation period 2 years.						
**Implementation period, 2 years.						
***3-year National project of forest conservation, also co-financed by GOBZ, total project amount US\$11.3 million, project management cost US\$140,000						

Table 2: Similar projects implemented in Belize.

75. The project will serve as a demonstrative platform for the MRT. The MRT has supported the implementation of several projects, including those implemented by the BSIF. Considering the MRT is responsible for 190 rural communities in Belize, its fundamental to develop project management skills to strengthen their capacities to play their expected role for planning, management, and monitoring projects. The project aims to achieve this co-benefit in several ways, a) the establishment of the PMU within the MRT, b) the MRT’s role in the Project Steering Committee (PSC), and c) training of the MRT in governance, gender inclusion and sensitization, climate risk, solar water systems and WASH.<sup>21</sup> To this effect, the MRT will receive capacity support and learning opportunities that will allow them, with PACT’s oversight, to acquire the necessary experience to manage projects, including monitoring and evaluation and procurement. The co-benefit will allow the MRT to better serve its rural communities with additional projects in the future.

76. If the project is assessed beyond its infrastructure investment; the ecosystem restoration, livelihood skills, and capacity building activities are within the boundaries of similar technical assistance projects in Belize. The infrastructure cost is higher in the Project than in that of the BSIF due to separating the design from the construction phase, and the use of turnkey contract for construction. Further, other activities, such as construction training for community members were embedded in the construction contract which increases the overall budget.

<sup>21</sup> Activity 8.1 addresses these items at an institutional level.

**F. Describe how the project is consistent with national or sub-national sustainable development strategies, including, where appropriate, national adaptation plan (NAP), national or sub-national development plans, poverty reduction strategies, national communications, or national adaptation programs of action, or other relevant instruments.**

77. The Project is aligned with several national climate development strategies, such as the Climate Financing Strategy 2021-2026<sup>30</sup>, the Medium-Term Development Strategy<sup>31</sup> (2022-2026), and the National Adaptation Strategy to Address Climate Change in the Water Sector in Belize. The National Adaptation Strategy to Address Climate Change in the Water Sector (2009)<sup>32</sup> is an older document and some of the baseline is dated, notwithstanding, the analysis used, and recommendations are still valid and has been referenced in other adaptation documents reviewed. Furthermore, the project considers priority measures established in Belize's 4<sup>th</sup> National Communication to the UNFCCC<sup>33</sup> (2022). Belize has a compendium of sectorial and development strategies (many outdated); the Project addresses some aspects established in those guidance documents; however, the most recent and relevant (cited) documents were considered. Additionally, as discussion in *Integrated Water Resources Management* given that it is a recurring theme in adaptation documents reviewed, it may have impact in Project implementation and has yet to be fully implemented.

78. A fundamental document used during the formulation of the project was the **National Adaptation Strategy to Address Climate Change in the Water Sector (2009)**. The document while dated, provides a profound and relevant context analysis on the water issues related to Belize's adaptation to Climate Change, further some key strategic actions therein, have yet to be implemented, such as an integrated water management agency. The MDG Accelerator (2010), reinforces much of the work from the Adaptation Strategy. The document considers the key risks and challenges facing watershed and water supply due to changes in climate in the Country and establishes 5 Strategic Actions : 1) establish an agency to execute integrated water resources management, 2) Strengthen the existing human resource capabilities and capacities in the water sector for improved management practice, 3) Formalize the legal mandate and the operations of the National Climate Change Committee, 4) Strengthen the trans-boundary relationships to cover the impacts of climate on the water sector, and 5) Increase public awareness and education on water culture and climate change. These Strategic Actions are based on recommendations related to a) water conservation and watershed protection, b) use of water in agriculture, c) crop cycle management, d) alternative sources of energy, and e) tourism. On the basis of the aforementioned Project, in all its Components, addresses nearly all of the recommended actions established in the Strategy. The document indicates that watersheds are at risk, due to changes in climate, in land use, and a concern for the Project communities. In terms of conservation, the document flags the lack of coverage in rural areas, and the inadequacy of the Water Boards to collect sufficient fees for water where there is coverage. The Project will provide the communities with a water system that can provide reliable water during the dry season, when creeks and current wells produce little or no water. It will also address the contamination issues identified in the communities. Poor collection rates are prevalent in rural communities where Water Boards have been established; flat water fees incentivize wasteful water use and poor overall collection. The Project addresses this barrier by metering all households, and the financial model establishes rates according to consumption. Component 2 activities, which aim to improve the watershed by restoring the forest ecosystem around the Toledo District communities, take into account lower crop yields in Milpa farming due to land degradation and future rain variability, both addressed in the document. The water systems to be installed in all four project communities will be powered by solar energy, in the case of the Toledo District communities these systems will be fully off-grid, while in Copper Bank, grid energy will power pumps at night. Moreover, the specifications for these systems have embedded redundancies in terms of wells, storage, solar panels, and batteries; these redundancies will allow for water services during times of stress and provide sufficient water as the community continues to grow. Lastly, other GOBZ activities related to providing road access to Copper Bank will enhance the community's contribution to Belize's tourism sector, by being able to provide visitors with clean drinking water in restaurants, for fishermen and tour guides.

79. **Growth and Sustainable Development Strategy (GSDS) 2016-2019**, whose implementation period lapsed, but whose strategic themes were included in the post-Pandemic Medium-Term Development Strategy (2022-2026). The project will contribute to several goals reinforced in the latter document, primarily a) Poverty Reduction in rural areas (Strategic Action 6.1), and b) Protection of the Environment and Natural Resources (Strategic Action 6.5). In terms of contribution to poverty reduction, the project will contribute to the expected outcome of doubling the investment in social services (water is included). In terms of contribution to protecting the environment and natural resources, by decreasing the degradation of forest areas and improving the access to drinking water.

80. **Belize's Climate Financing Strategy 2021-2026**, which aims to provide the country with financial resources to accomplish its ambitions to mobilize funding towards climate mitigation and resilience, establishing a climate funding gap to implement its NDC of nearly US\$1.6 billion. Under the Strategy's Strategic Direction 1 (Enhancing national capacities to mobilize, access, and manage climate finance), priority is given to sectors such as fisheries and aquaculture, agriculture, water, and human health; the project will address both the water supply and the drivers of water uncertainty, both which are exacerbated by climate variability.

81. **Belize's Nationally Determined Contribution** has also prioritized actions to protect and manage water resources, which are one of the priority sectoral adaptation objectives for the country. Belize's 4<sup>th</sup> National Communication (2022) establishes five priority measures for the water sector, 1) water recycling/reuse, 2) monitoring and early warning system, 3) temporary or permanent retreat for areas risk of flooding, 4) storm water management, and 5) water conservation practices, including water harvest, storage, temporal transfer, and efficient use of rainfall water. The Project will address priority measures 1, 2, 4, and 5. Village water boards are encouraged to take measures to reduce water usage / waste, which the Project aims to address by metering and collecting water fees from households. The document prioritizes the role of village leadership in early warning, which the Project addresses by improving the overall governance of Water Boards and through capacity strengthening and awareness of leadership and communities on climate risks. Other priority measures to be addressed by the Project include protecting the community's water sources through restoration of the forest ecosystem which sustains the aquifer and building awareness of water sustainability to ensure conservation.

82. The **National Gender Policy (NGP) in Belize** has identified five priority sectors: (1) health; (2) education and skills training; (3) wealth and employment generation; (4) conditions contributing to violence; and (5) power and decision-making. SEAM project aligns directly with the National Gender Policy by promoting women's involvement in Water Boards (NGP's 5<sup>th</sup> priority). Additionally, it creates avenues for skills development (NGP's 2<sup>nd</sup> priority) and income generation activities (NGP's 3<sup>rd</sup> priority) under the livelihoods program and the construction of the rural water systems, contributing to the second and third pillars.

*Discussion on Integrated Water Resources Management*

83. Several national documents related to adaptation to climate change mention the need for Integrated Water Management Agency, in fact Belize does have **National Integrated Water Resources Management Policy** from 2008. The Policy was drafted with support of the CCCCC and the World Bank. The Policy falls short in determining a specific agency for implementing or at least coordinating with other Ministries that have some water-related responsibilities. National Environmental Policy and Strategy (2014-2024) also mentions the need for Integrated Water Resources Management. In 2010, the House of Representatives and the Senate of Belize, enacted the National Integrated Water Resources Act, which establishes a National Integrated Water Resources Authority (NIWRA), which has yet to be fully implemented. The Act includes provisions for licensing water usage and the vested parties that are to be consulted for issuance. In the 2022 4<sup>th</sup> National Communication (2022), as part of policy recommendations for adaptation recommends the implementation of the National Integrated Water Resources Management Policy and the National Integrated Water Resources Act (2011); actions which have yet to take place. The NIWRA is to be operationalized in the near future, according to the Medium-Term Development Strategy (2022-2026); thus, PACT and the MRT will have to ensure during implementation that the Project is contemplated during the operationalization process, including the need to obtain licenses in case that the new agency would require it.

84. The Project significantly contributes to the attainment of several Sustainable Development Goals (SDGs), specifically:

SDG 5: Gender Equality	SDG 6: Clean Water and Sanitation	SDG 7: Affordable Clean Energy	SDG 11: Sustainable Cities and Communities	SDG 13: Climate Action	SDG 15: Life on Land
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*Table 3: Alignment with Sustainable Development Goals.*



**G. Describe how the project meets relevant national technical standards, where applicable, such as standards for environmental assessment, building codes, etc., and complies with the Environmental and Social Policy of the Adaptation Fund.**

85. All Project activities will adhere to the applicable standards of environmental management in order to avoid negative impacts on ecosystems, biodiversity, and people's health. The project will be structured to follow the Environmental and Social Policy of PACT and the AF and will maintain full compliance with all human rights including those of marginalizes and vulnerable groups and indigenous peoples. Any environmental and social risks identified, have measures outlined to mitigate against such impacts and the PMU will monitor and report to maintain ongoing oversight. The project aims to mitigate potential E&S risks by ensuring strong oversight of involved actors; in the case of the construction of each water system, a design firm will provide with detailed plans, permit documents, and assessments to ensure the construction meets all national regulations. Further, during implementation of alternative livelihood activities, will be assessed by the PMU, with input from the Project Technical Committee (PTC) and systems will be developed to monitor and report on such risks if identified, ensuring to stay within the risk categorization C.

86. The Environmental Protection Agency Chapter 328, Schedule II, Regulation 8 indicates that projects listed on schedule II, which include 1) drilling for water supply and 2) rural water supply and sanitation, may require an EIA at the Ministry of Environment's discretion based upon size and location of the project. Though not anticipated to be required considering the size and scope of the water systems, once the project is approved, it will be submitted the Minister of Environment to determine the necessity of an EIA (which the MoE determines on a case-by-case basis for small scale water projects in Belize.

87. In the case of construction of the water tower, an application for a permit must be submitted to the Central Building Authority (CBA), enabled by the Belize Building Act 2003, which is an act to control building operations in the interest of public health and safety and regulate standards for construction. The infrastructure will be designed by a contracted engineer and all requisite permits and building regulations will be executed by the contracted construction firm and overseen by the MRT.

88. In general, when seeking to build in Belize it is recommended to ensure when building on a selected site that the site has no restrictions placed on it that could result in the denial of a construction permit. Therefore, once the experts have identified the ideal site, based on geo-resistivity studies, community input as well as mitigation from potential contamination, the design firm will provide the PMU with the necessary verification that there are no building restrictions through the Lands Registry nor future road constructions plan through the Ministry of Works. It will also confirm there are no issues on the site through the MoHW.

89. The project has updated all water tests to determine the quality of each community's water source and the MRT will ensure MoHW's compliance with nationally established water quality testing schedules once the new water systems are built and operationalized. The development of the project's infrastructure, ecosystem restoration, and alternative livelihood endeavors will follow all environmental standards to control pollution as established by the DoE. In anticipation of additional people being in the community and the waste generated by construction, the project design firm, overseen by the PMU, will design a plan for sanitation and solid waste management during the implementation of the infrastructure – ensuring portable restrooms (porta-potties) are used and solid waste is removed from the villages. The PMU will obtain all necessary permits for activities developed during implementation, engaging the relevant sector authorities as needed based on national legislation.

**IF GRIEVANCES ARISE, NATIONAL STAKEHOLDERS HAVE VARIOUS GRIEVANCE REDRESS MECHANISMS AVAILABLE FOR RESOLUTION OF CONFLICT, INCLUDING THOSE TRADITIONAL TO THEIR VILLAGES. (**

90. ). Table 4 provides a summary of the national legislation that is applicable to project activities.

Reference <sup>22</sup>	Date	Level of Government	Key points	Description	Requirements applicable to the Project	Responsible Authority
Protected Area Conservation Trust Act, Cap. 218	Revised Edition 2000	National	<ul style="list-style-type: none"> <li>• It establishes the functions of trusts. Powers of the trust.</li> <li>• Conservation of protected areas.</li> <li>• Sustainable management and development of protected areas.</li> </ul>	This Act establishes the functions of trust foundations for protecting protected areas in Belize.	The Project must take into account the management of Conservation of protected areas.	Forest Department, Ministry of Sustainable Development, Climate Change and Disaster Risk Management
National Parks System Act, Cap. 215	Revised Edition 2000	National	<ul style="list-style-type: none"> <li>• Defines the essential characteristics to establish an area as a National Park, Nature Reserve, Wildlife Sanctuary, or Natural Monument.</li> <li>• Restrictions on entering these areas.</li> </ul>	The Law of the National Park System establishes the regulation of use and prohibitions of activities to be developed within the zones of the national park system. In addition, it creates the protection and conservation measures of the national parks.	Through ecotourism activities, the Project aims to expand the employment offer and promote the development of the country's tourism sector. Therefore, you must be aware of and abide by existing regulations on national parks.	Department of the Environment, Ministry of Sustainable Development, climate change and Disaster Risk Management
Environmental Protection Act, Cap. 328	Edition 2011	National	<ul style="list-style-type: none"> <li>• Powers to intervene, prevent and control environmental pollution.</li> <li>• Dumping bans.</li> <li>• Regulations and requirements for environmental assessment.</li> <li>• Regulation and inspection of nutrients. Investigation and procedures for the criminalization of environmental damage.</li> </ul>	Law establishes that any project to be developed in all its phases must implement and follow all regulations and guidelines for environmental protection in terms of pollution control and environmental impact assessment.	The project's actions may develop implementation activities, which must comply with the regulations and requirements necessary to comply with the measures adopted or to be adopted to mitigate the impacts according to the environmental assessment.	Department of the Environment, Ministry of Sustainable Development, Climate Change and Disaster Risk Management
Waste Management Authority Act, Cap. 224	Revised Edition 2000	National	<ul style="list-style-type: none"> <li>• Functions of the authority.</li> <li>• Service areas.</li> <li>• Solid waste regulations.</li> </ul>	This Law establishes the regulations for the handling, transporting, and disposing of solid waste. In addition, it creates the necessary conditions for service areas.	The Project will develop activities that must consider the handling, transport, and final disposal of solid waste.	Forest Department, Ministry of Sustainable Development, Climate Change and Disaster Risk Management

<sup>22</sup> Strategic Environmental and Social Assessment Final Report - Promoting Sustainable Growth in the Blue Economy Program (Burgos E. (2023).

Workers' Compensation Act, Cap. 303	Edition 2003	National	<ul style="list-style-type: none"> <li>• Conditions of compensation for accidents at work Employment for special persons</li> </ul>	This Law establishes that in the event of an accident at work, it will issue regulated compensation to the workers. In addition, it creates the conditions for its issuance.	The project develops activities complying with the regulations on the matter.	Labour Department, Ministry of Rural Transformation
Act, Cap 118	Edition 2000	National.	<ul style="list-style-type: none"> <li>• Power of courts of summary jurisdiction to order the abatement of nuisances.</li> <li>• Power to revoke the order prohibiting recidivism of the nuisance.</li> <li>• Recording of prohibition orders.</li> </ul>	The act establishes the procedure for lodging nuisances with the courts of jurisdiction. In addition, it has the power to revoke orders.	The project will seek to comply with and abide by this Law, with attention to the inconvenience caused by the actions to be carried out.	Court may authorize the city, Village or Town Council in whose district the building, place or way is situated
Impact Assessment Regulations, Cap. 328 Section 21	Edition 2011	National	<ul style="list-style-type: none"> <li>• Powers to intervene, prevent and control environmental pollution.</li> <li>• Dumping bans.</li> <li>• Regulations and requirements for environmental assessment.</li> <li>• Regulation and inspection of nutrients.</li> <li>• Investigation and procedures for the criminalization of environmental damage.</li> </ul>	This regulation establishes the guidelines that every project to be developed in all its phases must implement and follow all rules and guidelines for environmental protection in terms of pollution control and environmental impact assessment.	For projects requiring infrastructure construction, the respective national regulations will be considered to minimize the impacts and establish relevant environmental measures.	Department of the Environment, Ministry of Sustainable Development, Climate Change and Disaster Risk Management
Regulation of pollution, Capt. 328 Section 45	Edition 2000	National	<ul style="list-style-type: none"> <li>• Emission of pollutants into the environment. Overview of air pollution.</li> <li>• Water pollution.</li> <li>• Land pollution</li> </ul>	. Law establishes the requirements to prevent air, water, and land pollution.	The project will avoid in the development of all its phases the contamination of water, air and land resources.	Department of the Environment, Ministry of Sustainable Development, Climate Change and Disaster Risk Management Social Security
Regulations, Chap. 44	Edition 2003	National	<ul style="list-style-type: none"> <li>• Regulations for the payment of social security Regulations for benefits</li> <li>• Occupational diseases and accidents</li> </ul>	This Law establishes that all employers contribute to social security by the established rules.	All actions to be developed by the project must comply with these social security regulations.	Ministry of Finance

Labour Regulations, Chap. 297	Edition 2011	National	<ul style="list-style-type: none"> <li>• Recruitment Working hours and rest days.</li> <li>• Work regulations.</li> <li>• Work for women and children.</li> <li>• Non-discrimination against pregnant women</li> <li>• Statutory protection towards sexual harassment at the workplace</li> </ul>	This Law establishes the regulations that must be considered for all work under certain conditions and provisions.	The project will develop actions in which existing regulations for work must be complied with.	Labour Department, Ministry of Rural Transformation
Immigrant Regulations, Cap. 156 Section 35	Revised Edition 2000	National	<ul style="list-style-type: none"> <li>• Regularization of immigrants.</li> <li>• Temporary permits</li> <li>• People who do not require a passport</li> </ul>	This Law establishes the requirements for the regularization of the migrant population.	The project will seek the integration of the migrant population in compliance with existing regulations on the subject.	Ministry of Foreign Affairs, Foreign Trade and Immigration

*Table 4: Laws and Regulations for the Environmental and Social Sector*

## H. Describe if there is duplication of project with other funding sources, if any.

91. The project is aligned with and aimed at complementing and scaling up the on-going efforts by the Government of Belize, which is highlighted in the country’s Medium-Term Strategy and Action Plan (2022-2025). As the country transitions to Green and Blue Economies, sustaining and improving the health of Belize’s natural resources and biodiversity is paramount as it provides the substrate for such initiatives, to be achieved by supporting local communities as partners and custodians of the natural capital for future generations. Moreover, the Project will contribute to the goals (strategic directions) in Belize’s Climate Finance Strategy (2021-2026), by enhancing the national capacities to access climate finance. Belize has been active in procuring climate funds over the last 3 years, with a strong Green Climate Fund (GCF) Country Program and has two Direct Access Entities in the process of GCF accreditation (BSIF and Development Finance Corporation). PACT was executing entity for the Belize Marine Conservation and Climate Adaptation Project, funded by the World Bank. In essence Belize has an extensive portfolio of past, ongoing, and future climate projects.

92. As a member of the Caribbean Community and Common Market (CARICOM), Central American Integration System (SICA), and Organization of American States (OAS), Belize has access to regional development banks, such as the Caribbean Development Bank (CDB), the Central American Bank for Economic Integration (CABEI), and the Inter-American Development Bank (IADB). Additionally, Belize works closely with the Caribbean Community Climate Change Centre (CCCCC), Food and Agriculture Organization (FAO), and the International Fund for Agricultural Development (IFAD). Of the reviewed projects currently under execution, and others being considered, only those financed under a facility from CDB’s Basic Needs Trust Fund have similar characteristics, but no duplication of investment in Project communities; other projects provide synergies in terms of livelihoods in the same districts where the Project is to be implemented. To further safeguard any duplication efforts, the Project is to take place in communities that have not been and will not be investment objectives of BSIF, the most similar project. The IFAD GCF “Be-Resilient” program, whose executing entity is the Ministry of Economic Development, aims to improve (amongst other things) market access for agricultural production and climate resilient infrastructure. IFAD has made inroads into some communities in the Toledo and Corozal District in supporting value chains for value chain analyses for sweet pepper and tomatoes, pineapple, and cabbage, and climate vulnerability workshops; none of the communities that are participating in the IFAD program are the Project Communities. Notwithstanding, the IFAD program PMU is under the oversight of the Ministry of Economic Development, which is the seat of the Adaptation Focal Point for SEAM; the ministry has been included in the Project Steering Committee to ensure coordination with the MRT PMU. While not an overlap, the project communities could benefit from some of the activities carried out by IFAD, especially in the Infrastructure and Production Plan (IPP) which will be a roadmap for rural communities’ access to markets, and the improvement of rural roads<sup>23</sup>.

Proposal Title	Funding Agency	Executing Entity	Current Status	Description	Complementarities/Synergies
Building Community Resilience via Transformative Adaptation	Adaptation Fund	PACT	Approved but has not commenced	Project Goal: The goal of this project is to improve Belize’s long-term capacity to protect communities from climate threats posed by drought, unpredictable water availability, floods and improper wildfire management. The project would be implemented in Mopan and Macal Rivers which constitute one of the most densely populated watersheds in the country, the Belize River Watershed. Project Components: i. Safeguarding Forest and water resources through strategic protection and restoration solutions. ii. Combating wildfires through adaptive management.	Lessons learned and best practices can be taken into account in the design of this project. Exchange visits can be established to promote capacity and exchange feedback/experience on water treatment, restorations solutions, alternative livelihoods and community disaster risk management. Both projects can support and complement one another, leveraging off of advances made as they are compatible with each other. Therefore, they will not create duplication, especially since they also

<sup>23</sup> IFAD does not indicate which rural roads will be improved. So far one road is being improved (Nago Road) in the Belize District.

				<p>iii. Creating opportunities to support alternative livelihoods.</p> <p>iv. Building national capacity to access adaptation finance.</p> <p>v. Community disaster risk management.</p>	target different areas. The Belize River does not flow through any of the four communities targeted of the SEAM Project.
Adapting to Climate Change through Sustainable Integrated Watershed Management of the New River in Belize	GCF	Belize Red Cross	under review Concept note stage	<p>The Project seeks to increase the resilience of the New River Watershed, the most vulnerable watershed in Belize, to withstand climate impacts by strengthening management practices. This will be achieved through the implementation of the following three components.</p> <p>Component 1: Increased local monitoring and capacities to assess and utilize climate information to reduce climate vulnerability and risks to the system.</p> <p>Component 2: Enhanced integrated watershed and riparian forest management practices to include climate adaptation measures for a behavior shift in watershed management.</p> <p>Component 3: Raising awareness, knowledge management and disseminating information on the importance of watershed and climate change impact to the surrounding communities of the New River Watershed</p>	Together, these projects can work in a complementary manner to strengthen the resilience of local communities to climate change, improve access to clean water, and develop sustainable practices that protect natural resources. Sharing knowledge and resources among these projects could maximize their impacts and benefit communities more comprehensively.
Resilient Rural Belize Programme	IFAD, GCF, Government of Belize (GOB)	Ministry of Economic Development (MED)	Ongoing	<p>Programme to address constraints and improve the profitability of the value chain process within the context of developing climate-smart agriculture while reducing the financial, economic, and climate-related vulnerabilities small farmers face; provide necessary drainage and irrigation infrastructure and reduce the physical vulnerability of public infrastructure through retrofitting and rehabilitation of existing rural infrastructure. This project is taking a more direct approach, especially focusing on existing infrastructure to support the agriculture sector, this project will focus on creating opportunities to support alternative livelihoods, with a strong focus on women involvement.</p>	This project will complement the proposed project by sharing insight and lessons learned on providing drainage and irrigation infrastructure that is critical to ensure access to safe water and proper wastewater disposal. It will also help to ensure that the livelihoods identified during the SEAM project can be realized. Collaborating on best practices to encourage the participation of women will be very valuable. Encouraging and supporting women's participation helps to get them involved in other projects.
Support to Integrated Water Resources Management	IADB	Ministry of Natural Resources, Petroleum & Mining (MNRPM)	Ongoing	<p>Technical assistance to assess the environmental conditions of two New River and the Belize River watersheds. two of the largest watersheds in Belize and review the institutional and legal framework for water resources management in Belize.</p>	Though both projects address water, this project is focused on policy and science while SEAM focuses on accessing sufficient potable water, capacity building and governance. Improving the management of watershed is where

					these two projects complement each other. Additionally, the IADB project plays a crucial role in the conservation and management of the New River watershed, recognized as one of the largest and most vital river systems within the Corozal district, where Copper Bank, one of the four target communities of the SEAM project.
Enabling a Gender-Responsive Disaster Recovery, Climate and Environmental Resilience in the Caribbean ENGENDER	Department For International Development (DFID)  Department of Foreign Affairs, Trade and Development  UNDP	UNDP	Completed	The EnGenDER project aims to bolster Climate Change (CC), Disaster Risk Reduction (DRR), and environmental management initiatives across nine Caribbean nations. It will strategically utilize sector-specific entry points such as National Adaptation Plans (NAPs) and Nationally Appropriate Mitigation Actions (NAMAs) to facilitate the implementation or expansion of each country's crucial initiatives. This project will conduct a comprehensive analysis to prioritize the requirements of the most vulnerable populations concerning both climate adaptation and mitigation in key sectors. The focus will be on enhancing their resilience within vital livelihood areas. Moreover, it will fortify institutional capabilities to efficiently deliver services, particularly for the most vulnerable groups, expediting post-disaster recovery efforts and diminishing risks.	One of the key objectives of this project is to enhance the practices and skills of relevant actors in the implementation and sustainable planning of climate change strategies, integrally incorporating gender perspectives. This initiative is complemented by the actions proposed in the project, thus strengthening the consideration and effective application of gender-sensitive climate measures, ensuring an active and representative participation of all groups in decision-making.
Protection and Sustainable use of the Selva Maya' Project	GIZ	Belize's Forest Department	Completed	The project has developed and piloted measures to protect and sustainably use biodiversity and natural resources in the Selva Maya tri-national forest taking into consideration climate change impacts to the system. Activities under the project extended to the promotion of environmentally friendly income generating programs, creation of value-added products, development of and implement climate-smart management plans for the BNPAS, piloting of participatory community land use plans, and improved environmental governance by strengthening national institutions	Thematic Line 3 of this project focuses on the creation of sustainable alternatives for income generation. This specific part of the project was carried out in the Toledo district and is complemented by the alternative livelihood's selection of the SEAM project. This joint approach reinforces the search for viable and sustainable economic strategies in the region, supporting the diversification of income opportunities and the strengthening of local communities.
Enhancing Belize's Resilience to Adapt to the Effects of Climate Change	European Union Global Climate Change Alliance (EU)	European Union Global Climate Change Alliance (EU)	Completed	The project aims to enhance adaptive capacity and resilience to climate change in national policies and demonstrate action in support of effective governance of climate change and climate change related impacts in the water sector in Belize.	This project has led to the establishment and adoption of a comprehensive water management policy, resulting in the creation of the National Integrated Water Resources Authority (NIWRA) and the

	GCCA) and Ministry of Infrastructure Development and Housing (MIDH)	GCCA) and Ministry of Infrastructure Development and Housing (MIDH)			establishment of a National Climate Change Office (NCCO) within the Ministry of Forestry, Fisheries and Sustainable Development. This has enabled the integration of climate change policies and considerations across all ministries, representing a significant step forward for the activities to be undertaken in the SEAM Project. The lessons learned from this project will be fundamental in guiding the implementation of SEAM Project.
Belize Social Investment Fund Loan III, Basic Needs Trust Fund Tenth Programme	Caribbean Development Bank (SFR Resources)	BSIF	On-going	The program aims to provide funding towards investment towards a broad set of investments that meet specific criteria related to improvement of health, water, and sanitation access, amongst others.	CDB and BSIF have financed over 9 community water systems throughout Belize. The Project took guidance from BSIF when developing this project. While BSIF project also addresses infrastructure concerns, their focus is not on restoration or management of the ecosystem.
Indigenous Female Solar Engineers Scaling up Solar Energy to Machakilha and Graham Creek Villages -Belize`s most rural and remote communities	GEF – Small Grants Programme	Plenty International Belize Limited	On-going	This project seeks to empower villages in the Toledo district by training rural women to build and maintain small-scale solar energy systems.	Building on this experience, Activity 5.1 will broaden its scope to train women in solar technologies for diverse communities and subsectors. This expansion is particularly significant as the focus will shift from providing electricity to powering water pumps with solar technologies.

*Table 5: List of synergistic and complementary projects*



## I. Knowledge and Capacities Management

93. The Project will take several steps to assess and ensure dissemination of knowledge, and sustainability of improved capacities; the project was conceived as building capacities to ensure the ecosystem can sustain the watershed to withstand the impacts of changes in climate. In Component 1, Activity 1.1, has the within its Objective the inclusion of community input on the design of each water system, with the purpose of ensuring that community members are engaged (and understand) the location of the water source, the general operation of the system, and how water is treated and distributed to each household. Under Activity 2.1, Water Boards will be trained by the MRT and solar water systems experts in the operation and management of the Water Board, including technical knowledge in treatment, preventive maintenance, and pump operation in efforts to minimize the repair costs. Component 2, which focuses in ensuring the sustainability of water resources through improvement of livelihoods opportunities, Activity 3.1 will work with the project Communities in ecosystem restoration and conservation, which includes building the capacity base of each community to care for their ecosystem for which they rely on for water and livelihoods. Activities 4.1 aims to leverage actions of Activity 3.1 by link restoration and conservation to livelihood alternatives in project communities, by improving skills, especially of women, that enhances their income. Activity 5.1 address the lack of grid energy in three Toledo District Communities, by replicating a project undertaken by a Belize NGO of training indigenous women in the installation and maintenance of solar systems. Component 3 aims to increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthen the knowledge management capacities of the public authorities and the communities. Activity 6.1 will provide rural communities with an awareness campaign tailored to rural audiences on climate risks, water sustainability, and gender inclusivity. Activity 7.1 will provide hands on training to village leadership and Water Boards to understand the water issues facing the community, health and sanitation, and community empowerment to maintain water supply. Activity 7.2 will provide the Project Communities and other nearby communities with a proctored peer-to-peer workshop to share their experiences in water issues, governance, and climate risks. Activity 8.1 addresses the capacities for the MRT to better train and respond to Water Boards and village leadership in governance, gender inclusivity, water systems, and climate risks. The project log frame contemplates over 100 workshops and training seminars in each community through the project activities, ranging from training the water boards (Activity 2.1, 7.2), to restoring and conserving the nearby ecosystem (Activity 3.1), enhancing livelihoods (Activity 4.1 and 5.1), as well capacity building for the MRT's to ensure improved services to rural communities through Belize.

94. From a gender perspective, all training, capacity-building and educational materials will be reviewed and adapted by the PMU's Gender Specialist to ensure gender sensitivity. Additionally, gender-related issues, such as specific vulnerabilities and impacts from climate change, will be incorporated into the climate change awareness campaigns. The PMU's Gender Specialist will also facilitate workshops on incorporating an intersectional gender perspective in MRT Field Officers' work, demonstrating the interlinkages between gender, water and climate change, and conduct sensitization sessions on gender equality and women's rights. Perspectives on Gender in Belize are assessed in detail in Annex V "Gender Assessment Report".

95. The Project also includes in the Budget the improvement of the PACT website, to serve as platform for sharing monitoring reports, evaluation reports, procurement tenders and awards, contracts with grant award entities, and provide access to the project's GRM. Social networks are widely used as (official) communication mediums in Belize and the project will communicate actions, procurement, and staffing opportunities, through project -specific pages. In each community, Project Road signs with locked boxes for community members to include grievances and suggestions. The MRT PMU will have dedicated phones to provide an outreach channel for stakeholders.

96. Noting that the Project's Goal is for "communities to be empowered to appreciate their water resources which are affected by climate change through investments in capacity building and water sustainability and its relationship to their livelihoods, allowing communities to be more resilient, to be vested through strong inclusive participation and governance permitting an improved response to changes in climate", the role of the MRT to disseminated knowledge gained in the project to other communities is critical. The innovation perspective of the Project is in effect strengthening the public confidence of authorities, to ensure response to potentially severe climate conditions.

97. The project contemplates two independent evaluations, a Mid-term evaluation, and a Final Evaluation; these documents should provide valuable information on the achievement of project objectives, primary to the MRT, who is seeing this project as a model to ensure sustainability in other rural communities under their responsibility.

**J. Describe the consultative process, including the list of stakeholders consulted, undertaken during project preparation, with particular reference to vulnerable groups, including gender considerations, in compliance with the Environmental and Social Policy and Gender Policy of the Adaptation Fund.**

98. During the Project Concept, the approach was designed with participatory governance principles which entails the communities' engagement in the decision-making process by PACT through collaborative planning, budgeting, action, and M&E systems. The approach aimed to contribute towards beneficiary ownership, local capacity enhancement, accountability, and transparency. As such, PACT appointed an MRT committee who met with the four project communities at the very beginning of the design process. Consultations were conducted by Field Officers, well established with the communities, to discuss the project concept in their preferred language. Meetings were also held with various government agencies such as the National Hydrological Service (NHS), the Ministry of Health and Wellness (MoHW), the Belize Water Service (BWS) as well as with the Caribbean Community Climate Centre (CCCC).

99. During the development of the full project proposal, a stakeholder mapping was carried out in collaboration with the MRT and PACT, to identify all relevant stakeholders in the project. Consultations were made through interviews with experts who have in-depth knowledge on the project components, as well as with NGOs with direct experience in the Corozal and Toledo district; project managers of water and solar projects; members from women's groups, and various government ministries. The intention was to combine technical expertise with insight on the interests and needs of the communities, seeking a comprehensive perspective including the gender, cultural and socio-economic context. These interviews were conducted through video calls as well as through face-to-face meetings during the Consultant Team's site visits in Belize. A comprehensive assessment of livelihoods of project communities is detailed in Annex IV "Social Impact Assessment", PACT can make available a Final Consultation Report which outlines all of the consultations carried out during the preparation of this proposal.

100. During Project formulation, over 50 people were consulted, as well as multiple meetings with the community leaders and with the communities. Various topics were discussed, including water and solar systems; sanitation/wastewater management; national water distribution projects; watershed management; alternative livelihoods; gender; capacity building and governance. These consultations provided an opportunity to address concerns identified by the research, such as water salinity, maintenance of solar systems, setting and collecting water fees, and apply lessons learned from other similar projects and work in the villages. Additionally, this provided valuable suggestions for community engagement, gender dynamics and socio-economic context were obtained, as well as the opportunity to incorporate specific suggestions and priorities into the project design and implementation plan that enhances the project's feasibility and effectiveness.

101. In consideration of self-identify indigenous people in the project, the stakeholder consultation process followed Belize's Free Prior, and Informed Consent Protocol (FPIC) as well as remained consistent with UNDRIP. Initial consultations were held with the MRT and its Field Officers, who conveyed key information from their long-term relationships with the communities, provided feedback on the village profiles, cultural norms, insight on needs as well as advice on how to properly execute FPIC protocol. Further guidance and insights were provided by the Commissioner of Indigenous People Affairs (Ministry of Human Development) who provided guidance on the approach to FPIC in the Toledo District Communities. Maya communities in the Toledo District have a unique approach to leadership, based on historical and traditional consideration, and extra care was taken to ensure these considerations were observed both during consultations and during formulation. Meetings were held with indigenous organizations such as the Maya Leaders Association and the Toledo Alcaldes Association, who provided perspective on the governance, social structure, and decision-making processes within these communities.

102. In addition to the original village consultation during the project concept, two other meetings were held with the village leaders and community members discussing: all the components of the project; project benefits and potential adverse effects; inclusive community participatory approach for design and implementation; importance of women's participation and contribution to project discussions and design, inclusive livelihood opportunities; in-kind community contribution; Adaptation Fund project priorities; application process and timelines; feedback and community questions and priorities and brainstorming potential alternative livelihood ideas. Consultations were gender-responsive, since they were designed and carried out to consider and meaningfully integrate the views and experiences of women, men and youth. Tailored measures were implemented to meet the needs of both women and men, thus creating a safe space that respect traditional indigenous norms. Specific actions included: Spanish and Maya translators to improve effective communication, separating men and women for specific moments of the consultation, holding meetings on the weekend, in order to accommodate to different schedules as well as allowing mothers, accompanied by their children, to participate. Communal spaces were chosen to align with Maya customs. These initiatives improved conditions for women's voices to be heard and considered in decision-making. The meetings resulted in a 29% global participation of women and an engagement of 191 individuals.

103. To better understand specific community needs and community members' positions, a questionnaire was conducted that focused on key themes such as challenges to access water, water quality, understanding of climate change and its impact on the Village. The information collected, which was disaggregated by gender, was utilized to align project activities with the needs and priorities expressed by the Village members themselves. After providing sufficient time for the village's consideration, unanimously in favor of the project, the FPIC was signed by each village member attending the meeting. The implementation arrangements have a framework for stakeholder views to be heard during the project implementation as described in Annex I and section III Implementation arrangements. At the commencement of the project, an inception meeting will be held with the PMU and MRT and all project activities have, and will be, designed with in-person participation with the communities.

104. Stakeholder input has influenced the project design, with special attention given to the indigenous leaders and community members, as

well as insight from the Maya Leaders Alliance (MLA), Toledo Leaders Alliance (TAA), and the Commissioner of Indigenous Peoples Affairs. The main feedback and recommendations applied to the project design are summarized in Annex I Implementation and Operations Arrangements. A list of Project stakeholders, including all individuals, organizations, parties, or entities that may have an interest in the outcome of the project, interest either positive or negative, are listed in Annex VI.

105. Amongst the considerations that were addressed during formulation and consultation, was the role of women in the project communities. A Gender Assessment was undertaken as part of the project formulation to a) ascertain the current condition of gender equality and women's rights in Belize and in the Communities, b) identify cultural norms, political, legal and socio-economic aspects in Belize and the Communities, c) gauge the participation of individuals, considering gender roles in leadership, education, and livelihoods, d) examine gender-differentiated impacts of climate change, and e) provide measurable actions that the project can undertake to ensure an inclusive and equitable treatment of women, men and vulnerable groups. The Gender Assessment, which can be found in Annex V, adopts an intersectional perspective by assessing different factors that, combined with gender, may amplify conditions of vulnerability.

**K. Describe how the project draws on multiple perspectives on innovation from e.g., communities that are vulnerable to climate change, research organizations, or other partners in the innovation space, in the context in which the project would take place.**

106. The project is a compendium of solutions that have been tried and tested in different communities in Belize, including the proposed solar technology to be deployed. In some cases, access to water solutions has been implemented by constructing catchments from nearby streams, and in other cases, full solar water systems have been installed to service one or more community. None of the solutions researched were provided under a participatory or inclusive governance perspective as core element of their project, rather, they were stand-alone solutions to a particular problem of each community or affected peoples. Given that solar solutions are widespread in Belize, along with stand alone livelihood option programs, SEAM takes the perspective that these solutions in of it themselves are not truly innovative, rather, it's the innovation is the combination of these tried and tested solutions under inclusive governance that drives the adoption of strong collective action towards sustainability.

107. The project aims to be a game changer for the four project communities by combining several actions that will allow the community to have more ownership in their infrastructure, decision making, and thus their future. Amongst the concerns raised during consultations by were that often water systems solutions were found to be unreliable, thus communities or villages would cease to pay for services. The MRT and field engineers provided inputs on potential solutions, amongst them metering, which is an important part of the system, but this does not resolve reliability issues. The communities that do effectively have high collection rates, such as Sitte River, are able to do so because the institutional commitment of leadership and the community. The sequence of actions established in Section B details how the implementation of the project will allow for community members to increasingly "buy in" to project activities, thus by the end of the 2<sup>nd</sup> year of project implementation, it's expected that an important part of the community would participate in project activities, which would collectively strengthen the relationship between local leadership, MRT, and community members. Another important aspect of strengthening governance is inclusivity; of the four project communities, Boom Creek had a substantial participation of women (4 women, 3 males elected in 2021). The other communities had limited participation of women, being this gender the majority in all four project communities. Research demonstrates that incorporating women in leadership positions, especially water, enhances the sustainability and the effectiveness of the service. The project builds on both the research (see Annex V Gender Assessment), and consultation to bring forth the perspective that collective action is achieved through broad and inclusive participation in community decisions.

108. Another consideration related to the sustainability of the system overtime is income. During consultations with Humana and Best, NGOs with vast experience working with communities in livelihood options, provided a context of what were the potential avenues for communities to earn additional income. Their prior project involved tourism related crafts, such as basket weaving, to seamstress, to drafting business plans. From these consultations, the project draws that no single solution can effectively provide a livelihood for all members of the community. The innovative approach taken was for entities seeking to receive a grant from the project, would have the burden to provide in their proposals their approach and perspectives, based on prior work on how livelihood options to be deployed could be widely disseminated. Moreover, consultation with Xaibe Woman's Group, Tulum Uj Womens Group, both NGOs, determined that different perspectives should be applied to indigenous communities in Southern Belize than in Northern Belize. In this case, further consultation with UNDP, and researching the evaluation reports from their projects, provided valuable lessons for including the solar energy activity (3.3) largely based on the work of Plenty International and Barefoot college.

109. Two other factors draw on perspectives that influence the organization and implementation of the project, a) experience of other similar stand-alone Rudimentary Water Systems, and b) work with Indigenous communities. As mentioned in previous sections, the Belize Social Investment Fund has implemented (and is actively implementing) Rudimentary Water Systems with support from the Caribbean Development Bank. These projects are mostly designed by BSIF in consultation with the MRT and Village Leadership. This approach targets urgent water needs, and its sustainability rests on the fact that community members will pay for a service where there otherwise was none; some of these projects have deteriorated and collection rates decreased. Based on the BSIF experience, the project builds on the long-term community view, where water and related risks due to climate change can impact are considered. The design of each system is to be tailored to each community, ensuring that each system can withstand climate events, source enough water through the season, and more importantly, that community inputs are heard during the design stage. The second factor involves the three communities in Southern Belize, Otoxha, Boom Creek, and Dolores. These indigenous communities require FPIC throughout the implementation of the project to ensure that the activities carried out understand the sensitivity and cultural heritage, reinforcing the separation of the design studies from the construction (differentiating from the BSIF approach), allows for village members to have their views reflected in the design. These views may include proposed site locations for wells and catchments, tank location, piping amongst others. Early buy-in from community members will also reinforce work on livelihood options, as the PMU would regularly visit and meet with community members prior to launching the grant and other components of the project.

110. Perhaps the most convincing finding of the research was the impact of land use on water supply, especially in Southern Belize. The porous limestone formations that cover most of Belize rely on forest cover to limit water runoff towards rivers and eventually the Caribbean Sea. Addressing this concern, which was also flagged by consultation with Ya'axche Conservation Trust (NGO), provided the background for income generation, and strengthening the capacity of the forest ecosystem to sustain water throughout the year. Entities seeking grants will draft proposals with this focus. Reiterated by indigenous groups, such as the Mayan Leader Association, and the Toledo Alcalde Association, increasing incomes on the communities is as important as delivering a long-standing claim for water.

## L. Provide justification for funding requested, focusing on the full cost of adaptation reasoning.

112. The project is requesting total funds in the amount of US\$3,765,680 to support the implementation of the proposed activities intended to reduce vulnerability to water supply and increase adaptive capacity to better respond to climate variability in the Project Communities. In kind contribution from the MRT will be the support from MRT field officers that will collect data to measure some of the [project indicators](#). The proposed investments activities have been widely used in Belize and are able to provide sufficient water to each of the villages, avoiding or minimizing the extent to which climate change continues to threaten the water source and livelihoods highly dependent on traditional farming systems. Further, the project builds on the lessons learned from BSIF project in other communities where the deciding factor for sustainability has been public confidence in Water Board management. The technical assistance, especially under Activity 3.1 and 4.1, build on work of successful programs carried out by NGOs with substantial track record of working in restoration, conservation, and livelihoods with international entities.

113. Currently, the four Project Communities have a total of 339 households, do not have reliable access to water, with Copper Bank, Otoxha, and Boom Creek being most impacted; Dolores has a piped water from creek catchment reservoirs which run dry during the late part of the dry season. The improved water infrastructure will mitigate under Component 1, through a network of water production wells and large storage tanks, the supply of water to each community even during times of climate stress. Notwithstanding, improving the consistency and reliability of water supply would only replicate other projects already undertaken (with some degree of success) in Belize by BSIF. Other interventions that focus on short, medium, and long-term sustainability are necessary to ensure water quality and service are broadly accepted by the community. Components 2 and Component 3 aim to provide sustainability to the water supply.

114. As aforementioned, rural water systems in Belize, are independent, village-run supply systems that typically source groundwater or nearby stream and distribute to members of the community; these systems are referred to in Belize as Rudimentary Water Systems. Over the last 10 years, several communities in Belize have received updated or new RWS with support from BSIF; between 2000 and 2010, 76 RWS have been installed or improved. These systems range in value from US\$400,000 to 900,000, depending on the number of households serviced and the type of system; the geological features and access to grid energy largely influences the type of system provided. The same valuation was used to determine the systems for Project Communities, in the case of Copper Bank, with 150 household and is located in flat coastal area with grid energy, the system would be most similar to the San Victor Village, also in the Corozal District. The San Victor Village system installed in 2016, while fully solar, had a cost of US\$473,448, for 179 households. Another similar system installed in the New River Basin, for the San Carlos and Indian Church Village, had a cost of US\$498,114 for 105 households in the case of the Toledo District communities, Crique Jute village system was installed by BSIF in 2016-2017, and had a cost of US\$600,000, to service 50 households, and used grid energy. The proposed system will have similar costs, in the case of the Copper Bank, the system will cost US\$788,657.00, for 150 households at 2023 prices. In the case of the Toledo District Communities, the systems to be installed are all off grid and will rely on solar energy and batteries for pumping water from various wells to a large storage tank that distribute gravity fed water to households. In the case of Boom Creek, the system will cost US\$339,633.63for 22 households; Dolores, US\$591,922.39for 106 households, and Otoxha, US\$443,112 for 58 households.

Village	System Cost (US\$)	Households	Year	District	Cost/Household (US\$)	Type
Crique Jute	600,000	50	2015	Toledo	12,000	Grid
San Victor		179	2016	Corozal	2,645	Solar only
San Carlos- Indian Church	498,114	105	2016	Orange Walk	4,744	Solar only
Dolores (Project Community)	591,922.	106	2024	Toledo	5,584	Solar only
Otoxha (Project Community)	443,113	58	2024	Toledo	7,640	Solar only
Boom Creek (Project Community)	339,634	22	2024	Toledo	15,438	Solar only
Copper Bank (Project Community)	788,657	150	2024	Corozal	2,763	Solar hybrid

115. To ensure sufficient water in the late dry season, each of the systems will have both well and storage capacity redundancy. The similar system cited above relies on one production well to provide water to the cement storage tank (Crique Jute does not rely on storage tank, rather a large pressure vessel), the proposed systems will rely on several wells. Further, the elevated storage tanks, 22,000 gallons for Dolores and Otoxha, 11,000 gallons for Boom Creek, and 26,000 for Copper Bank. The submersible pumps currently used in Belize are able to pump at a minimum 40 gallons per minute, which takes about 8-10 hours to fill the tanks. Note that in the case of Copper Bank, 6 wells have been indicated, Boom Creek 3, Dolores, 4, and Otoxha 3. These measures far surpass the one well system installed by BSIF, ensuring that even if one well stops producing or produces brackish water, others could be engaged to continue to supply each household with a proposed 2.6 gallons per minute of potable chlorinated water, which would equate to in the dry season, each household could continue to at least 10 gallons of water per day for 21 days. The cost of the system in Copper Bank is higher due to the need for installing a pressure reverse osmosis system; these systems are typical in the Corozal Bay due to higher levels of salinity in source water.

116. While the prior specifications respond to the capacity of each system to adequately provide service to the community, the project aims to ensure these redundancies are sustainable across time. The MRT provides capacity building to Village Councils and Water Boards and has done so in the BSIF projects. The 2010 MDG Framework notes that “poor performance was that members of the water boards are not technically qualified and/or are not sufficiently committed to quality service delivery in the communities”. (MDG Report). In the same year, Belize approved the Integrated Water Resources Act, which creates a national agency to provide governance to all water issues in Belize, a recommendation by the National Adaptation Strategy to Address Climate Change in the Water Sector (2009). The agency still to be installed, although it has been included in Belize Medium-Term Strategy. Fundamentally, regardless of the full implementation of such Agency, communities independently must be trained in water

management, and those that train communities must also be trained. Such training now focuses on basic management, per section 190/2004 of the Statutory Instrument. In effort to ensure this training is adequate, other capacities must be taken into account, such as capacities to engage with the community, demonstrate transparency, gender inclusivity, and risks faced by the community in relation to changes in climate. The MRT and the new community Water Boards must demonstrate a higher level of competency than other village Water Boards, at the end of the Project, to ensure that the investments made in Component 1, are in effect, community assets. Component 3 will focus on improving the governance of the village leadership, Water Boards, and MRT. This component has been allocated a budget of US\$87,335, and will undertake a national awareness campaign, training of the village councils and water boards, peer-to-peer workshops for communities to share experiences, and MRT training on supporting villages. This comprehensive approach to supporting the communities and the MRT will provide a skillset to manage their water systems.

117. Another consideration the project seeks to address is risk to the water source. As mentioned in Section 24 to 54, the Toledo District Communities are impacted by the capacity of their current water sources to retain sufficient water during the dry season. This has many factors, but land use is amongst the most relevant. Transitioning from traditional farming methods to other alternative sources of income will be essential to provide communities with livelihoods that will sustain the cost of water fees and earn additional income. There is an opportunity to provide community members to plant native species and other cultivars that are effective in reforestation. Each cocoa tree yields about 15 pods per year (after year 5), producing about 750g of wet beans, or about US\$1 per tree; yields increase progressively with the age of the tree. The communities have an opportunity to increase cacao production in lands currently being under-used for animal husbandry and that no longer are apt for milpa production. These actions have already had some acceptance in project communities, In the case of Dolores and Otocha, some community members have diversified their income by selling cardamon in Guatemala, and Cocoa in Belize. Component 2, under objective 3.1 aims to provide proven methods, used in other Maya communities to allow to complement milpa farming with other sources of income. Another complementary activity under Component 2 is to train women in installing and maintaining solar panels. In the case of Copper Bank, restoration will be carried out in the mangroves near the village and working with community members to develop business opportunities in tourism, restaurants, and handicrafts.

118. An important element considered is the maintenance cost of each system, which will be paid by the communities through water fees. All water systems in Belize are subsidized, in some form, for example, BWS, has a base tariff, for less than 1,000 gallons per month of US\$5 (plus tax), larger users pay proportionally more. In tourist villages, more if often charged. A financial model was developed to provide some context on sustainability, which yields a minim tariff of US\$5.50 per household for 1,000 gallons max per month, at 90% collection rate. Higher collection rates would be achievable if those activities under Component 3 are successful and stress the fact that the water system is fragile and will require both regular maintenance and a rainy-day fund.

## **M. Describe how the sustainability of the project outcomes have been taken into account when designing the project.**

### *Sustainability of the Water Systems*

119. The sustainability of Rudimentary Water Systems (RWS) in Belize needs to be grounded in public confidence in the authorities. The overwhelming majority of villages and rural population are supplied with potable water via village RWS, most of which rely on ground water. There are some eighty-seven villages Water Boards serving one hundred thirty-nine villages and communities.<sup>32</sup> These villages have water system infrastructure with fully functioning Water Boards and metered service; however, nationally collection rates for rural water service are around 60% (IADB). Community members often cite poor quality of service, deficient administration, and lack of commitment by authorities to quality water service (UNDP MDG). In summary, many communities in Belize have fully functioning RWS, similar to the proposed investments under Activity 1.1 and 1.2, yet these systems tend to function poorly. To address this concern and ensure sustainability three actions must be taken, a) training the Water Boards on effective administration, b) training the Water Board on system operation and activities that affect the water table, c) training of the MRT to provide guidance through time to the project and other rural communities with RWS. Further training the MRT to better serve the communities under its responsibility will improve its response capacity nationally.

120. In the project communities Water Boards will receive two training modules: 1) under Activity 2.1 (Objective 2), Water Boards will be established<sup>24</sup> according to national legislation and trained in basic governance<sup>25</sup> by MRT and in operation of the commissioned system by experts in solar/hybrid systems, and 2) Under Activities 7.1 and 7.2 (Objective 7), once the Water Board has been operating for several months and collecting fees, they will receive an additional series of specialized training modules in decision-making, community participation, WASH, gender, and climate risks.

121. Under Activity 2.1, Project Community Water Boards will be provided training in the operation, maintenance, and troubleshooting problems that arise with the system will be provided to the Water Boards, Village Councils and other community members improving continuity regardless of periodic changes in membership. Pump operators will obtain certified training for the operation of the systems. The PMU's Gender Specialist will provide gender specific training will be offered to enhance participation. The training will, for example, include topics on setting water rates, the operations of the water system, solar/hybrid systems, technical capacity to install and repair chlorinators; and pumping and filling of the water tanks. MRT and PMU will participate in the initial meetings of the Water Board, providing guidance on budgeting, bank accounts, external maintenance contracts, and staffing.

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<sup>24</sup> A Memorandum of Understanding (MOU) will be established between the Village Council and the MRT detailed the responsibilities in each party.

<sup>25</sup> Water Board members have been trained on village Water Board regulations in the Village Council Act Chapter 88, Revised Edition 2003, which outlines their obligations and duties and ensure the abilities.

122. Under Activity 7.1 and 7.2, the Water Board, Village Leadership, and the community will be accompanied by the MRT and PMU to receive additional training on water sustainability by external experts. The training modules will focus on the prevailing water issues facing the community, the installed water systems, and WASH practices, and community empowerment to maintain and safeguard water supply. NGOs and participation of health workers from the MoHW, who have the mandate to provide such services, will train and disseminate Information on the standards of WASH practices to the general public, starting with teachers, who will convey the training to their students who in turn share it with their families.

123. Activity 8.1 (Objective 8) will focus on the response capacity of the MRT, a fundamental element to ensure sustainability to Project Communities, and other villages served by the Ministry. MRT field officers (and Local Government Officers) will be trained by experts in governance, gender inclusivity, operation and maintenance of solar/hybrid system, and climate risks faced by rural communities in Belize. MRT Field officers are assigned districts throughout Belize are often the main government contact person to resolve village water issues. Enhancing the capacities of the MRT Field Officers, especially in understating common social issues, such as gender violence and economic alternatives, will improve the overall confidence of communities in leadership. Further strengthening the service capacity of MRT field officers in guiding Water Boards to make informed decisions (and communicate these to the community) are fundamental elements to ensuring the sustainability of the system.

124. Another important aspect that fosters water systems sustainability is women’s participation along the project cycle, from design, through implementation, but more importantly, within the water systems management and maintenance. Inclusive public participation is pivotal for successful water management, as highlighted by prior studies<sup>34,35</sup> since it fosters a sense of ownership and responsibility<sup>36</sup> and enables a deeper understanding of water user requirements and local challenges.<sup>34,37</sup> In this regard, women's participation is a key factor in water project effectiveness and sustainability,<sup>34,38</sup> because equal participation of women and men in decision-making enhances project outcomes and promotes efficient water use and maintenance, optimal funding arrangements, as well as reduces breakdowns.<sup>34,38</sup>

*Sustainability of Ecosystems and Livelihoods*

125. Other sustainability actions are community focused, especially in those communities where the watershed is at risk of depletion due to land use and/or contamination or degradation. Activities under Component 2, have these objectives in mind, in terms of ecosystem restoration and conservation, improvements of livelihoods, and enhancing capacities to diversify incomes. Activity 6.1 and 6.2 (Objective 6) will undertake peer exchanges and capacity building with other neighboring communities, will provide knowledge about the construction and operation of water systems which will strengthen community development and empowerment, as well as have a potential impact on the environment by sharing the importance of water conservation.

Socio-Economic Sustainability	Institutional Sustainability	Ecosystem Sustainability
<ul style="list-style-type: none"> <li>• Training of the Water Board on water rates and collections. (Activity 2.1)</li> <li>• Training on staffing and operation of the system. (Activity 2.1)</li> <li>• Community engagement to communicate the importance of participation in decision making and water sustainability. (Activity 2.1)</li> <li>• Improvement and diversification of community skills to increase incomes (Activity 4.1 and 5.1)</li> <li>• Restoration and conservation of community ecosystems used as sources of income (Activity 3.1)</li> </ul>	<ul style="list-style-type: none"> <li>• Training of the Water Board in Governance, including decision making. (Activity 2.1 and 7.1)</li> <li>• Training of the MRT in monitoring Water Board management and engagement (Activity 7.2 and 8.1)</li> <li>• Community peer-to-peer exchange on best practices and experiences in Water Board administration (Activity 7.1).</li> </ul>	<ul style="list-style-type: none"> <li>• Livelihood options through restoration and conservation of the watershed ecosystem. (Activity 4.1)</li> <li>• Diversification of income sources through improvement of capacities (Activity 5.1)</li> <li>• Water Board, Village Leadership, and Community training on WASH. (Activity 7.1)</li> <li>• Training schools and students on WASH (Activity 7.1)</li> <li>• Dissemination of Climate Risks and Land Use impact on the water source. (Activity 3.1 and 7.2)</li> <li>•</li> </ul>

Table 6: Sustainability

126. **Socio-economic sustainability:** Social sustainability is ensured by inclusive capacity building and skill development and maintained communication between the MRT and village leaders to uphold oversight after project close.

- Capacity building and skills enhancement. The project activities promote the acquisition and improvement of skills and information to maintain the momentum of project activities after its completion. The inclusive design and extensive topics of capacity building activities promote buy-in and wide dissemination of information and skills for the water and solar system operation and maintenance, administrative and financial management, eco-system restoration and conservation, alternative livelihoods, and health and sanitation related to water use. In addition, peer sharing with other communities will promote dissemination and application of lessons learned to additional communities.
- Gender considerations. Activities include specific sub-activities to ensure gender action, which include assessment, workshops, seminars, and national campaign to provide the women in the communities with awareness and a skillset that contributes to improved governance and sustainability of livelihoods. Further, attention to indigenous peoples and ethnic diversity, minority groups including youth, and marginalized communities have been considered and included as part of the expect, and will be, engaged throughout the duration of the project which is essential for social sustainability.
- Community Engagement and dissemination of results. The methodology for inclusive community engagement will be available for further participation after project completion and the peer exchange will promote the dissemination of project results.

- Health, sanitation and water conservation. Awareness about the health and developmental benefits of water quality, water contamination prevention and water conservation measures lay the foundation for long-term prevention and control measures for improving health, reducing poverty, and improving socio-economic development. Several activities address this, including Activity 2.1, 3.1, 6.1, 7.1 and 8.1 working at an institutional level to ensure the MRT has capacities and awareness to work in other communities.

127. **Ecosystem sustainability:** The activities for ecosystem restoration and conservation were designed using tried and tested practices in Belize.

- Ecosystem restoration for adaptation purposes through reforestation, riparian buffer repair, or mangrove work, are in line with Belize's long-term climate policy instruments such as the NDC under the Paris Agreement and the NAPs which should aid their long-term priority to Belize and enhance sustainability.
  - Ecosystem restoration actions provide long-term protection of water resources, by maintaining the ecological functionality of the watersheds to catch, filter and store water as well as to contribute to the maintenance of water quality. This action will ensure that water resources are more readily available despite the changing climate.
- Water conservation. All households will receive piped potable metered water, which is conducive towards water conservation and community awareness, especially during the dry months. Metering water consumption will contribute to the proper and efficient management of the water system by monitoring consumption as well as in aiding the Water Board in identifying possible leakages, discourage water wastage, and provide the Water Boards a clear system to determine fees.

128. **Water System and Solar Sustainability: Training:** Inclusive training for the operation and maintenance will be provided to the Water Boards, Village Councils and other community members improving continuity regardless of periodic changes in membership.

- Gender specific training will be offered to enhance participation. The training will, for example, include topics on the operations of the water system; solar systems, technical capacity to install and repair chlorinators; and pumping and filling of the water tanks. The MRT will ensure that each Water Board will have a trained pump operator and each district will have an on-call licensed technician.
- The project seeks broad participation of women in Community decision making. The target for participation in Water Board for women in minimum 30% in all Communities; this action was validated through consultation.
- Water Board members will be trained on village Water Board regulations outlined in the Village Council Act Chapter 88, Revised Edition 2003, and MRT will oversee and confirm that members have the needed capacity to fulfill their obligations and duties. Technical training and certification will be provided for operators of RWS, to guarantee the correct use of chlorinators.
- By increasing the capacity of MRT, the Field Officers will be able to develop regulations for establishment and management of the systems.

#### **Economic sustainability:**

- Monthly water fee rates will be set by each village Water Board and the MRT based on the operational cost of the system that will maintain the system and allow for surplus to be realized, though may require capital injection from the MRT when upgrade to the solar system is needed.
- A formal maintenance Memorandum of Understanding (MOU) will be established during project implementation between the Water Board and the village members establishing water service.
- Targeted administrative training of the village Water Board are expected to increase collection rates by, for example, establishing best practices for fee and debt collection, establishing timelines for billing, protocol for cutting water services due to non-compliance.
- Technical training will teach members water meter reading, writing bills and proper accounting and reporting procedures, increasing their capacity to collect fees and remain organized.
- MRT monitoring of Water Boards and reporting requirements will increase accountability in managing the system. Water Boards will keep records, including an up-to-date cash book, bank account and submit bi-annual and annual reports to the Minister or the MRT.
- MRT will oversee that MoHW provides the scheduled water tests and provides test results to Water Boards and Village Councils.
- The MRT and village Water Boards will set an acceptable percentage of future capital costs such as rehabilitation, upgrades, and expansions that it is reasonable for village Water Boards to contribute towards based on the ability of customers to pay.
- It is the MRT's mandate to maintain the water system and monitor their effective operations and will be done using its budget allocation to assist the Water Boards to maintain the systems after project completion.

#### **Institutional sustainability**

- Training of the Water Board in governance, including decision making.
- Training of the MRT in monitoring Water Board management and ongoing oversight of scheduled water testing.
- Community peer-to-peer exchange on best practices and experiences in Water Board administration

129. The Project will include peer exchanges and capacity building with other neighboring communities under Activity 7.2, that aims to enable other local municipalities to garner knowledge on the construction and operation of similar water systems in their own communities. This will not only serve as a form of social inclusion to strengthen community development and empowerment, but it will also impact environmentally sustainability as other communities will view the importance of water conservation and positive economic benefits of the implementation of water meters.

130. Collaborating between the MRT, BSIF and other projects which gain experience in this area, sharing best practices and lessons learned can aid in the replication for installation of similar systems in other water deprived areas of the country. The engagement of the communities and their members in the process will also ensure the success of the project.



131. It is vital for this project to have social and economic sustainability and therefore, the alternative Livelihood activities in the project will provide members of the communities with a source of income taking into consideration their cultural and traditional practices.

**N. Provide an overview of the environmental and social impacts and risks identified as being relevant to the project.**

132. The proposed project activities are unlikely to result in significant negative social and environmental impacts. Based on the AF ESP the risk classification for the Project is C, due to the fact the Project is expected to generate positive social and environmental impact with no significant risk. At the environmental level the project will address water shortages and quality issues; provide eco-systems restoration; and provide health and sanitation training to address controllable contamination to the water supply. In parallel the project will strengthen the stakeholder's capacity, especially that of the MRT governance and their capacity to train the newly formed Water Boards. At the social level the project will ensure that one of the basic needs of the rural poor population, access to potable water, is secured even in adverse climate conditions through the construction of a water distribution systems, addressed in a holistic approach including ecosystem management of the watershed, health and sanitation, and alternative livelihoods. The project will support the development of alternative livelihoods, and the strengthening of community organizations. From a gender perspective, the project will promote income generating activities targeting women and youth.

133. Despite the positive impacts that project activities will provide for the communities and ecosystems, some environmental and social impacts and risks could be triggered. Below details the mitigation measures to be taken by the project to avoid any such issues.

Potential Impacts and Risks	Mitigation Efforts	Further Assessment Required for Compliance
Compliance with the law	<ul style="list-style-type: none"> <li>The Project will ensure compliance with all relevant national and international law, through PMU oversight and awarded contracts.</li> <li>Contracts with consultants and Grant Award Entities will include standard provisions to ensure compliance with national legislation and international standards.</li> <li>The PMU will have a part time E&amp;S specialist to provide oversight of compliance with national law, PACT's E&amp;S Safeguards and ensure AF standards are upheld.</li> </ul>	None Needed
<p>Access and equity</p> <p><u>Positive Impact:</u> The design of the project supports equal access to training, infrastructure and services, and livelihood opportunities including temporary employment during infrastructure construction taking especially into account marginalized and vulnerable groups, namely women and indigenous people.</p>	<ul style="list-style-type: none"> <li>The project design and oversight will ensure that its benefits are being distributed fairly and encourage equity, non-discrimination and provide equal access opportunities to all.</li> <li>All the water distribution system infrastructures will be subject to competitive procurement under PACT's Procurement Guidelines.</li> <li>The Project has been designed and budgeted for every household to receive piped, potable water to their homes.</li> <li>The alternative livelihood program will be designed to ensure all members of the community are engaged in the selection and implementation. To ensure equitable inclusion via a participatory process meeting will be available to all villagers – supported by providing meetings separated by gender (when culturally appropriate) to enhance women's participation – especially in decision making processes.</li> <li>Practical solutions will be used to increase participation such as provision of childcare if needed and drinks and snacks. The program activities will be guided by each communities' individual priorities.</li> <li>MRT will receive gender sensitization training as part of its capacity building.</li> <li>Training and support from the PMU Gender Specialist, and Grant awardees aim to enhance women's voices being heard, self-advocacy for gender inclusion, and equitable access to decision making processes.</li> <li>The project targets specific areas to increase women's participation such as training and participation on the Water Boards and solar maintenance training for women.</li> <li>The project activities will be designed to enhance engagement by working with community indicated scheduling, providing childcare to increase participation and providing gender separated activities when culturally helpful</li> </ul>	System design firm will have the responsibility to engage with communities to draft plans that contribute to an optimal and equitable system layout. The contract will be monitored by the PMU
<p>Marginalized and vulnerable groups</p> <p><u>Positive Impact:</u> The project specifically targets marginalized and vulnerable groups with a heavy focus on indigenous communities and an integrated gender and youth approach, who will benefit from climate- resilient goals throughout the project.</p>	<ul style="list-style-type: none"> <li>The project beneficiaries encompass the village community as a whole. The project area in the Toledo district is amongst the most impoverished areas of Belize.</li> <li>Drawing on lessons learned from other projects in the Toledo district, there have been specific measures to be gender and youth inclusive – in addition to working in the native language and familiarity with the Maya cultural, gender separation for meetings, community led meeting times and childcare or child friendly provisions.</li> <li>The MRT Field Officers, drawing on long established relationships with the communities, speak their native language and have a strong cultural understanding of the needs of these groups will enhance inclusion.</li> <li>PMU Gender Specialist will oversee Project implementation is gender-responsive and inclusive to all the community.</li> <li>MRT Field Officers will be trained in gender mainstreaming and sensitized on gender equality and women's rights to further enhance a gender-responsive project implementation.</li> <li>Through the inclusive village consultation process gender separated surveys, the update of village profiles, the project will remain inclusive within the village context and inputs.</li> </ul>	PMU E&S Specialist will ensure ongoing alignment and necessary updates of FPIC in the Toledo District Communities.
<p>Human Rights</p> <p><u>Positive Impact:</u> The Universal Declaration of Human Rights (UDHR) of 10 December 1948 provides a common standard of achievements for all peoples.</p>	<ul style="list-style-type: none"> <li>The project is designed to respect and adhere to the requirements of all relevant national legislation and international conventions on human rights.</li> <li>The AF and PACT is committed to support good international practices by supporting the realization of United Nations principles expressed in the Universal Declaration of Human Rights and the toolkits for mainstreaming employment and decent work.</li> <li>This project is particularly committed to support General Assembly resolution 58/217, passed in 2004, which stresses that "water is essential for sustainable</li> </ul>	During implementation, monitoring of contracts with awarded tenders and grants by PMU. Program redress mechanism is established.

<p>and all nations by setting out fundamental human rights to be universally protected.</p> <p>A number of human rights bodies were created based on the UN Charter, including the Human Rights Council, and under the international human rights treaties to monitor their implementation. The Office of the High Commissioner for Human Rights (OHCHR) supports the different human rights monitoring mechanisms in the United Nations system</p>	<p>development, including environmental integrity and the eradication of poverty and hunger, and is indispensable for human health and well-being".</p>	
<p>Gender equality and women's empowerment</p> <p><u>Positive Impact:</u> The project will have specific gender targets and budget allocations, service providers with women staff to ensure outreach to women and integrate gender aspects in all reports. The project will have an approach to encourage the inclusion of women and specific targets have been identified for them. The identification of assets and skills training would be designed to address opportunities of relevance for women – and do so in a culturally sensitive manner.</p>	<ul style="list-style-type: none"> <li>• The project provides for specific activities for women: <ul style="list-style-type: none"> <li>• (i) strengthening their awareness about: the value of clean water to the health and wellbeing of their households; health and sanitation, including the contamination of current sanitation practices and the importance of adapting alternatives; impact of water conservation (ii) technical training on solar maintenance; (iii) the integration of women into the decision-making bodies of Water Boards and other village organizations stemming from the Project and encouraging them to create autonomous organizations.</li> </ul> </li> <li>• The indicators for the project's monitoring-evaluation system are gender disaggregated: (i) specific targets of 50% for women in Water Boards, 30% of women in activities related to the livelihoods and watershed programs, and 40% for young people (40% of whom are young women) have been set in the project's logical framework.</li> <li>• The project will recruit an international expert specifically in charge of gender and social inclusion.</li> <li>• The unique characteristics of Indigenous women have been taken into consideration from the project design phase and peer exchanges with other projects in the districts will increase their engagement.</li> <li>• The MRT FOs, after receiving gender sensitization training will work with the village Alcaldes and Community Leaders to convey the importance of women's involvement and further engage women in the project implementation.</li> <li>• Facilitate in the inclusion of women in project activities through various measures such as transportation to worksite and having culturally appropriate provisions.</li> <li>• Provide childcare services to enable women to attend meetings and training workshops.</li> <li>• Targeted consultation with indigenous women will be held to ensure that gender considerations for participation in the project activities are integrated.</li> <li>• The Contractors for the construction of the water distribution systems will be encouraged to promote the hiring of women, and training of women from the communities will be required. preferably aiming to hire a minimum 15% female staff in the construction sector.</li> </ul>	<p>Procurement of PMU Gender Specialist and Preparation of a Gender Monitoring Plan, according to the Gender Assessment by the Gender Specialist.</p>
<p>Core Labor Rights</p> <p><u>Positive Impact:</u> Employment creation, abiding by core labor rights, enabling marginalized and vulnerable groups including unemployed youth and women to raise their income.</p>	<ul style="list-style-type: none"> <li>• The project will fully comply with relevant national and international labor laws and rights guided by the ILO labor standards throughout implementation. The construction contractors will comply with the requirements relating to the safety of workers in accordance with ILO Convention No. 62 as far as they are applicable to the project.</li> <li>• The Contractors will have ToR that give priority to hiring labor from the surrounding areas to avoid the need for temporary workers' camps.</li> </ul>	<p>None Needed</p>
<p>Indigenous peoples</p> <p>Three of the communities are self-identified indigenous communities: Boom Creek, Otoxha and Dolores</p>	<ul style="list-style-type: none"> <li>• The "Maya of Southern Belize Free Prior and Informed Consent Protocol" outlines indigenous peoples rights and protocol for engagement.</li> <li>• Maya customary land tenure exists in the entire Toledo District and gives rise to collective and individual land rights within sections 3d) and 17 of the Belize Constitution. As such, Mayan land in the Toledo district is attributed with all the elements of private property and its protection within the meaning of the Belize's property laws. In complying with this PS, PACT and its clients must also comply with this decision.</li> </ul>	

- Similarly, Belize's international obligations to protect and recognize the rights of indigenous peoples under the Universal Declaration of Human Rights, the Convention on the Prevention and Punishment of the Crime of Genocide, the International Covenant on Civil and Political Rights, the Convention on the Elimination of All Forms of Racial Discrimination, and the Convention on the Rights of the Child and the United Nations Declaration on the Rights of Indigenous Peoples must be complied with as well.
- Belize Constitution support indigenous rights as follows:
  - Section 3(d) and 17 in the Constitution.
  - This was used in the 2007 Supreme Court ruling, declaring that Maya customary land tenure exists in all Maya villages in the Toledo District and where it exists, gives rise to collective and individual property rights.
- Belize Constitution – PREAMBLE
  - States that Indigenous peoples in Belize are duly recognized by the Belize Constitution and are guaranteed the same fundamental rights and freedoms as all other citizens.
- In addition to the Belize Constitution, Belize has subscribed to international conventions which have a bearing on the protection and well-being of Indigenous Peoples:
- United Nations Declaration on the Rights of Indigenous Peoples (signed September 2007)
- International Covenant on Economic, Social and Cultural Rights (ratified in 2015)
- International Covenant on Civil and Political Rights (ICCPR) (ratified in 1996)
- International Convention on the Elimination of All Forms of Racial Discrimination (ICERD) (ratified 2001)
- Expressed support for the Universal Declaration of Human Rights
- The Convention on the Prevention and Punishment of the Crime of Genocide
- The Convention on the Rights of the Child
- SEAM must comply with the AF Policies, including Principle 7, indigenous peoples, for AF-funded projects.
- PACT's ESMF, which adopts the eight Performance Standards (PS) of the International Finance Corporation, of which, PS 7 Indigenous People, also require all PACT projects to comply with these standards.
- Free, Prior and Informed Consent is a standard requirement for PACT's actions, projects, programs, and investments that may involve or affect indigenous people.
- The risk of adversely impacting traditional norms through the introduction of new practices to Indigenous communities will be addressed through working with the field officers that have a long-term relationship with the communities, know the culture, and speak their language. Additionally, the NGOs that will be working with the alternative livelihood and watershed management aspects are well versed in their respective regions. The solar training will be done with a Maya female solar engineer that has worked with various maya communities.
- The project will respect the right of the Indigenous communities in line with national and international legislations and convention.
- Additionally, the communities have requested a water distribution system repeatedly.
- Through its work with these communities, the EE will ensure that the rights and cultural norms/values of the communities are respected during the design and implementation of the project.
- Community Leaders, Alcaldes and community members will be regularly engaged in the design and implementation of the project. The participatory governance approach to project implementation will ensure that the communities are involved in the decision-making process and that their priorities and needs are fully considered.
- The three indigenous communities have signed FPIC consents for the projects after multiple consultations and fully understanding the components of the project.
- If changes are made to the project, Free, Prior and Informed Consent will be obtained accordingly.

	<ul style="list-style-type: none"> <li>Furthermore, the EE, PACT and others involved with project training will utilize the existing communications protocols and structures established to consult with Indigenous communities for the design and implementation of the project.</li> <li>The Belize National Indigenous Council (BENIC), the Maya Leader's Alliance, Toledo Alcaldes Association, Norther Maya Association of Belize National Association of Village Councils (NAVCO) and District Association of Village Councils (DAVCO) will also be engaged throughout the process</li> </ul>	
Involuntary Resettlement:	<ul style="list-style-type: none"> <li>The project activities will not involve involuntary or voluntary resettlement.</li> </ul>	None Needed
Protection of Natural Habitats	<ul style="list-style-type: none"> <li>The project will respect the rights of habitats that are recognized as protected by traditional or Indigenous local communities.</li> <li>Critical habitats will be identified with the help of community leaders and members.</li> <li>The project activities have been formulated based on the ecological functionality of watersheds. Therefore, actions for restoration and alternative livelihoods will be designed to avoid any negative risk to natural habitats.</li> <li>Restoration and conservation activities will take place on land either previously or currently used for agriculture, none of the protected areas will be affected negatively by project activities.</li> <li>PACT's Policy on Natural Habitats and Biodiversity as well as national legislation for the protection of the natural environment will be adhered to.</li> <li>During the implementation of the proposed project, prior to the selection of water extraction point, the Department of Hydrology in conjunction with the MRT and the Ministry of Health and Wellness will support the system design firm to assess of potential water sources to determine appropriate water extraction points and determine the volume of water that can be safely extracted to meet community supply needs.</li> <li>The feasibility of water extraction methodologies will be further determined during the project implementation phase.</li> <li>The project will identify the national critical habitat areas and monitor that the project implementation will not encroach or affect them in any way.</li> </ul>	None Needed
Conservation of biodiversity	<ul style="list-style-type: none"> <li>The project will be in line with the Government's priorities in the Intended Nationally Determined Contributions (INDC) which focus on reducing vulnerability to droughts, protection against increasing the average sea level, increasing access to water, protecting biodiversity and building the resilience of rural people.</li> <li>Belize, ratified the Convention on Biological Diversity in 2013, will implement the Project in accordance with the texts adopted pursuant to the CBD on the protection of biodiversity as well as the National Biodiversity Strategy and Action Plan.</li> <li>The project will avoid the introduction of non-native and invasive species to target reforestation areas.</li> <li>An assessment of local biodiversity will be used to guide the selection of species for restoration activities.</li> <li>PACT's Policy on Natural Habitats and Biodiversity as well as national legislation and international conventional to which Belize is a Party will be adhered to.</li> </ul>	None Needed
Climate Change	<p><u>Positive Impact:</u> Ecosystem restoration activities will benefit biodiversity conservation efforts.</p> <ul style="list-style-type: none"> <li>The project will contribute to Belize's climate change adaptation and mitigation efforts. The project, in no way, intends to increase greenhouse gas emissions.</li> <li>The proposed project activities will enhance the ability of the target communities to adapt to anticipated climate change impacts.</li> <li>Ecosystem restoration through reforestation, mangrove rehabilitation and riparian forest management practices will include climate adaptation measures for the communities' attention to watershed management.</li> <li>Renewable energy, through solar systems, will be utilized, with Copper Bank relying on grid energy as a backup system at night.</li> <li>Project activities will be aligned with Belize's national climate change policies and strategies.</li> <li>With the understanding of Belize's vulnerability to hurricanes, high winds and flooding the water distribution systems will be constructed with hurricane resistant design features and be constructed away from flood zones.</li> </ul> <p><u>Positive Impact:</u> The Project's use of solar will avoid the emissions that would have stemmed from diesel generated water pumps – thereby avoiding greenhouse gas emissions.</p>	None Needed

<p>Pollution Prevention and Resource Efficiency</p> <p><u>Positive Impact:</u> The Projects supported by the Fund shall be designed and implemented in a way that meets applicable international standards for maximizing energy efficiency and minimizing material resource use, the production of wastes, and the release of pollutants.</p>	<ul style="list-style-type: none"> <li>• The project will utilize renewable energy through implementation of a solar energy system to operate the water pumps.</li> <li>• The project will produce minimal waste from the construction of water systems and will have a waste management and sanitation solution designed for workers during the implementation stage. An effort will be made to reuse or salvage waste materials.</li> <li>• The waste produced will be disposed of as mandated by the laws of Belize including those included in the Environmental Protection Act.</li> <li>• The project will not release pollutants.</li> </ul>	None Needed
<p>Public Health</p> <p><u>Positive Impact:</u> The project is designed to have a positive impact on public health by decreasing water born disease by providing reliable potable water and through WASH training.</p>	<ul style="list-style-type: none"> <li>• The project is expected to have a positive impact on public health, with improved access to water and improved hygiene practices.</li> <li>• Relevant national standards and protocols will be adhered to in an effort to avoid the distribution of water that is deemed unsafe.</li> <li>• Communities and local Water Boards will be trained on protocols included in the Operations and Maintenance Manual of Rural Water Systems developed in conjunction with the Ministry of Health and Wellness of Belize.</li> <li>• The project will work to reduce COVID – 19 associated risks by following international and WHO standards.</li> <li>• Should large public gatherings not be possible, then suitable alternatives will be sought that are in compliance with best practices in reducing the risk of infection.</li> </ul>	None Needed
<p>Physical and cultural heritage</p>	<ul style="list-style-type: none"> <li>• The project will be in compliance with the law on archaeological heritage preservation.</li> <li>• Consultations will be held with community leaders and members to identify if there are any physical and cultural resources in the project site.</li> <li>• The project will be designed to avoid any potential impacts to heritage in the area.</li> <li>• If archaeological sites, historical sites, remains and objects, including graveyards and/or individual graves during excavation is discovered during construction of the water distribution system, the Contractor shall: <ul style="list-style-type: none"> <li>• Stop the construction activities in the area of the chance find.</li> <li>• Clearly delineate the discovered site or area.</li> <li>• Secure the site to prevent any damage or loss of removable objects.</li> </ul> </li> <li>• In cases of removable antiquities or sensitive remains, a night guard shall be arranged until the Institute of Archaeology is able to take over; Notify the supervisory Project Environmental, Social, Health and Safety Officer and Project Engineer who in turn will notify the Institute of Archaeology immediately.</li> <li>• Protocols established by the National Institute of Culture and History (NICH) will be adhered to as well as the principles of PACT’s Policy on Physical and Cultural Resources.</li> </ul>	None Needed
<p>Lands and soil conservation</p> <p><u>Positive Impact:</u> The project is designed to have positive impact on lands through various eco-system restoration measures.</p>	<ul style="list-style-type: none"> <li>• The project aims to implement watershed management activities which are to include the conservation of soil erosion, restoration of the riparian buffer, mangrove rehabilitation and other land conservation measures.</li> <li>• The activities under component 2 for reforestation will avoid any further potential damage to the ecological functionality of the system.</li> <li>• Awareness raising and training on watershed restoration and management will be undertaken.</li> <li>• Selected alternative livelihood actions can pose potential negative impacts on land and soil conservation. The project will ensure that selected alternative livelihood options do not result in any negative impacts to lands and soils. Any livelihood actions involving agricultural actions will be sure to adhere to the principles of sustainable agriculture with a climate change focus.</li> </ul>	None Needed

Table 7: Environmental and social impacts and risks

## Indigenous People

134. The Project has been and will be, aligned with key articles of the United Nations Declaration on the Rights of Indigenous Peoples (UNDRIP). The articles address issues such as the right to self-determination, the involvement of community members in design and decision-making processes, and indigenous autonomy. They also cover aspects related to community consultation in a way that includes a gender perspective, health care and cultural rights.

135. As outlined in the above table - PS 7, *Indigenous People*, Belize is obligated, by its own Constitution as well as its legal commitments in international human rights treaties, to recognize and protect indigenous peoples' rights to land and resources. In addition, customary international law requires Belize to uphold these rights apart from its treaty commitments. Belize has two main documents related to the FPIC, the Expert Mechanism on the Rights of Indigenous Peoples and the Maya of Southern Belize FPIC. Regarding the Maya of Southern Belize FPIC, the principles of consultation were followed, that establish that the identity, dignity, and social and cultural values of Belize's indigenous people were protected, and all the customary practices of the Maya villages are respected. From the beginning, honoring the FPIC protocol during project design, implementation and in regard to intended outcomes related to the impacts affecting the communities of indigenous peoples will be, and has been, a priority. And the water supply activities are amongst the listed activities that require an FPIC process according to the Maya of Southern FPIC. Written notifications were sent to the Village Council and the Alcaldes requesting consultation to discuss the project. There were three consultations with each of the project's indigenous villages which were conducted following the protocol outlined in the *Maya of Southern Belize Free Prior Informed Consent Protocol*.

136. Southern Belize Free Prior and Informed Consent Protocol. The full consultation process and signing of the FPIC according to these guidelines is outlined in Annex VI. Though Belize has two reports made with respect to the rights of indigenous peoples by the ILO Convention 169 Special Rapporteur, neither is relevant to any aspect of the project.<sup>26</sup> During the consultation process with the Field Officers and the consultants, it was ensured that community members were engaged, had means of communication and mechanisms to voice their opinion, and the ability to communicate their concerns, needs and complaints. Throughout the project design process, the Field Officers, who maintain close communication and regularly visit the communities, did not receive any reports or complaints.

### **Belize's status of ratification of ILO Convention 169**

137. Though Belize has the most ratifications of any Member State covered by ILO Caribbean, it has yet to join the 24 countries who have ratified Convention 169.

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<sup>26</sup> <https://spcommreports.ohchr.org/TmSearch/Mandates?m=26>



## Project/programme consistency with the UNDRIP

The following are the main articles of UNDRIP and how Project aligns with each of them.

Article	Project Alignment
<p><b>Article 3</b> Indigenous peoples have the right to self-determination. By virtue of that right they freely determine their political status and freely pursue their economic, social and cultural development.</p>	<p>In the design and implementation of the project, the right to self-determination and autonomy is guaranteed. The participation of community members in project design, implementation and decision-making is actively promoted, recognizing their role as the main beneficiaries, which is crucial to the success of the project.</p>
<p><b>Article 4</b> Indigenous peoples, in exercising their right to self-determination, have the right to autonomy or self-government in matters relating to their internal and local affairs, as well as ways and means for financing their autonomous functions.</p>	<p>The project activities aim to strengthen confidence in both community action and authority. Improvement of the nearby ecosystems, and enhancing the skill base, both contribute to increase and diversification of household income (especially women), which seeks to provide the Toledo District communities with a sustainability conducive to effective self-governance. The work with the Toledo District communities, all which demonstrate high levels of poverty, is accompanied by strengthening the relationship between community members with leadership, which is addressed by improvement of water services through effective water boards. The prior aims to provide all project communities with a greater degree of autonomy.</p>
<p><b>Article 18</b> Indigenous peoples have the right to participate in decision-making in matters which would affect 16 of their rights, through representatives chosen by themselves in accordance with their own procedures, as well as to maintain and develop their own indigenous decision-making institutions</p>	<p>All stakeholders are committed to consulting and cooperating in a meaningful way so that communities can convey their opinion regarding project activities. This has and will be done in a gender inclusive manner.</p>
<p><b>Article 24 2.</b> Indigenous individuals have an equal right to the enjoyment of the highest attainable standard of physical and mental health. States shall take the necessary steps with a view to achieving progressively the full realization of this right.</p>	<p>The project, through its activities, aims to improve the living conditions of all households in each community. It improves the ecosystem which communities rely on for livelihoods, it provides additional capacities to earn income from other undertakings and provides a water system that will greatly improve the communities' health. The expected results from these actions materialize into higher levels of self-realization, autonomy, and reliance of the indigenous communities in the Toledo District.</p>
<p><b>Article 31 1.</b> Indigenous peoples have the right to maintain, control, protect and develop their cultural heritage, traditional knowledge, and traditional cultural expressions, as well as the manifestations of their sciences, technologies and cultures</p>	<p>The project recognizes and respects the rights of indigenous peoples, ensuring the preservation of their cultural practices and legal systems. During the survey of the communities, their cultural sites were specifically consulted, ensuring that they would not be affected during the installation of the water supply systems. In addition, their legal systems were respected, taken into consideration their formal and informal governance structures, such as Village Council chairpersons, Alcaldes, and other identified village leaders or people of influence such as the Minister of National Defense and Border Security.</p> <p>Indigenous peoples have played a fundamental role in the design and execution of the program. From the outset, the selection of the communities where the project will be implemented arose from the direct request of the communities themselves, motivated by their increasing difficulties accessing water. Local leaders contacted the Field Officers of the Belize Ministry of Rural Transformation to express the need for support.</p> <p>During the design phase, the Consultant Team visited these communities and spent time in dialogue with their members, listening carefully to their needs and concerns. At this initial stage the project was presented with all components explained, positive and adverse impacts discussed, and questions answered, after which a brief survey was conducted to explore issues related to water access and village priorities. Subsequently, a more comprehensive study was conducted to gain a deeper understanding of the situation of these communities.</p> <p>At each stage of the process, effective communication channels were facilitated so that the communities could express their opinions, doubts, or concerns regarding the project. This close and continuous collaboration ensured that the voices of the communities were heard and will continue to do so in order to have their considerations at all stages of the project.</p>

Table 8: UNDRIP articles

### A. Describe the arrangements for project/programme implementation.

138. The project will be implemented by PACT through a PMU established in the MRT, who acts as the Executing Entity, with the support of two committees, the PSC and PSC. PACT, accredited National Implementing Entity to the AF will serve as steward of the disbursed funds and will provide the AF and other stakeholders with monitoring reports. The PMU will be established within the MRT and will be led by a Project Manager, a Technical Officer with the support of an E&S Specialist and Gender Specialist. The PMU undertakes procurement, monitor on completion of goals established in the awarded contracts, reporting to the MRT, the Project Steering Committee and PACT. Annex II details the implementation arrangements for the project.

139. Project implementation stakeholders:

**Department of Economic Development:** Belize's Focal Point of to the Adaptation Fund, designated authority which is charged to endorse this proposed Adaptation Fund Project.

**Protected Areas Conservation Trust (PACT):** is the National Implementing Entity (NIE) Adaptation Fund, will be the grant recipient, execute a Legal Agreement with the AF and ensure compliance of the project with terms and conditions established therein. PACT has the duty to ensure that the proposed project complies with all AF polices, rules and requirements.

**Ministry of Rural Transformation, Community Development, Labour, and Local government of Belize (MRT):** The MRT will be the Executing Entity for the Project and will provide guidance and logistical support for the Project Manager, the E&S Specialist, the Gender Specialist, along with the Evaluation Firm. The MRT must ensure that its internal instances are able to ensure the Project Manager is administering project activities accordingly, and that corrective actions are taken.

**Project Management Unit (PMU):** The PMU is a non-permanent entity within the MRT established for overall project management responsibilities, including administering the project and financial oversight, monitoring and reporting to the MRT. The PMU is composed of the Project Manager, the E&S Specialist, Gender Specialist and 1 Technical Officer. The PMU will be led by the Project Manager.

PMU Staffing:

**Project Manager:** Lead administrator of the PMU, and main contact for the project. The Project Manager will be available from the beginning of project implementation until closure.

**E&S Specialist:** Supports assessing and monitoring the project's environmental and social risks during project implementation.

**Gender Specialist:** Lead to mainstream gender into all the project's results framework, encompassing an intersectional gender perspective in its outcomes, objectives, components, and activities. Oversee the execution of the gender action plan, promoting women's participation at all levels within the project and monitor project activities to ensure that gender-responsive targets are accomplished.

**Technical Officer:** Coordinates the activities associated with the projects and provide support to the project manager. The TO perform various administrative and technical tasks to ensure the smooth running of processes and systems. The goal is to ensure the successful and efficient completion of projects.

**Project Steering Committee (PSC):** The Project Steering Committee, established from members of Belize's AF Focal Point, the MRT senior officials, and PACT. The role of the Project Steering Committee is to a) review reports issued by the Project Manager, b) provide guidance for successful execution of project activities, c) take corrective action to ensure successful project execution, d) evaluate procurement for those activities not included in the responsibilities of the Technical Committee. The MRT will designate an officer to be chair of the Project Steering Committee.

**Project Technical Committee (PTC):** The role of the Technical Committee is to support the preparation of the Tender Documents, review outputs from hired consultants, provide advice to the Project Manager on project executed related affairs, and support the evaluation of the tender process of Activities 1.1, 3.1, 4.1, and 5.1.

**Grant Award Entities:** Entities that, after a grant request process, receive and execute project funds for earmarked project activities (3.1, 4.1, 5.1). These entities will be evaluated in a two-step process, according to PACT "Investment Programme Policy and Procedures Manual, grants", a) entities will be first evaluated on organizational (fiduciary) capacity, and b) short-listed entities will present their proposal on their perspective and budget to carry out the requested activity. Depending on the nature of the activity, bidders will need to demonstrate experience working with women from Maya communities and using a gender perspective with a human-rights based approach. A detailed discussion on this arrangement is available in Annex II - Implementation and Operational Arrangements.

140. Figure 2 outlines the key stakeholders involved in implementing the project.

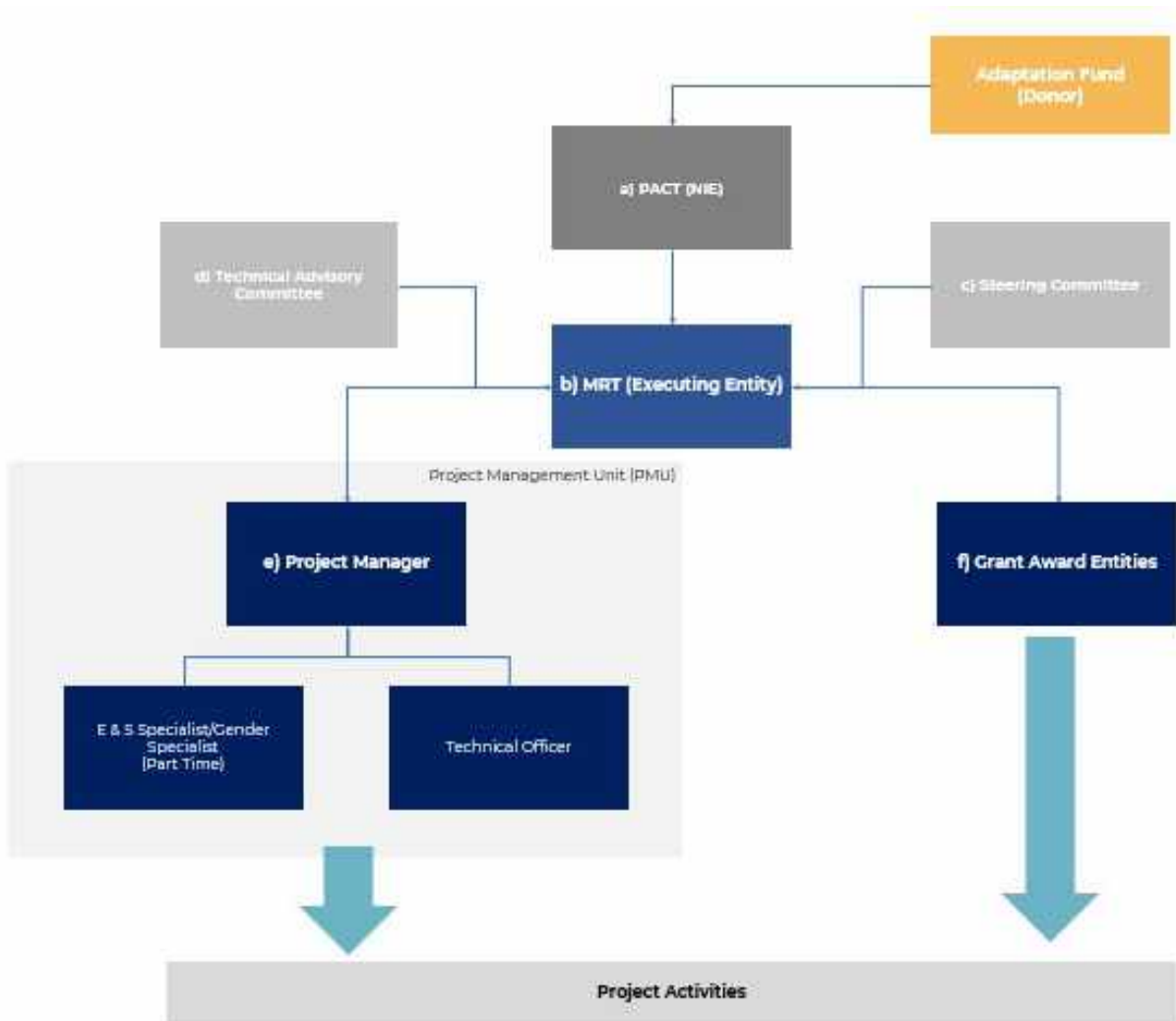


Figure 4: Governance.

## B. Describe the measures for financial and project risk management.

141. The project's funds will be administered by PACT, with disbursements requested by the MRT PMU. At all times during implementation, PACT will have custody of project funds in a (separate) project account, and these will only be disbursed for prior approved activities. The PMU will keep expenditure and commitments tracking, and a reconciliation with the project account will be made and reported in Quarterly Reports. Additionally, an annual independent audit will be conducted after the end of each Financial Year; the independent auditor will be retained throughout the project implementation period. Figure 3 details the project flow of Funds.

Project management Risks				
Activity	Risks	Impact	Probability	Mitigant
Procurement	<ul style="list-style-type: none"> <li>▪ Lack of sufficient tenders in procurement processes.</li> <li>▪ Contracts awarded to prohibited parties.</li> <li>▪ Lack of detailed specifications in TOR.</li> </ul>	<b>High</b>	<b>medium</b>	<ul style="list-style-type: none"> <li>▪ PACT will announce the project and activities soon after AF approval via video conference to describe the project and generate interest.</li> <li>▪ MRT PMU, when onboarded, will provide video conferences prior to each procurement, detailing the assignment.</li> <li>▪ Procurement opens to international firms, publishing in UNDB.</li> <li>▪ Consortium of firms or subcontracting allowed.</li> <li>▪ Budget guidance included in the ToRs.</li> <li>▪ Detailed specifications provided by the Project Technical Committee.</li> </ul>
Call for Proposals	<ul style="list-style-type: none"> <li>▪ Budget insufficient to accommodate engagement.</li> <li>▪ A low number of NGOs are interested in sending proposals.</li> <li>▪ Low quality proposals (no indicators, or ex-ante stakeholder engagement).</li> </ul>	<b>High</b>	<b>Medium</b>	<ul style="list-style-type: none"> <li>▪ Entities seeking grants must show evidence in their proposal of prior community engagement.</li> <li>▪ MRT PMU E&amp;S Specialist will coordinate meetings with village leadership and other stakeholders for those entities seeking grants to interview communities.</li> <li>▪ Belize has no less than 17 NGOs with grant mobilization experience in the project areas.</li> </ul>
Contract Management	<ul style="list-style-type: none"> <li>▪ Non-compliance by contractors</li> </ul>	<b>Medium</b>	<b>Medium</b>	<ul style="list-style-type: none"> <li>▪ MRT PMU and PACT will ensure legal recourse in the contracts to ensure compliance.</li> <li>▪ MRT PMU Project Manager will be an experienced professional with technical knowledge in development projects that involve construction.</li> </ul>
Project Account	<ul style="list-style-type: none"> <li>▪ Inadequate custody of project funds</li> </ul>	<b>High</b>	<b>Low</b>	<ul style="list-style-type: none"> <li>▪ All disbursements from the AF will be made into a specific Project Account, established in a Deposit Taking institution regulated by the Central Bank of Belize.</li> </ul>
Disbursements	<ul style="list-style-type: none"> <li>▪ Know your customer.</li> <li>▪ Approvals</li> </ul>	<b>Medium</b>	<b>Medium</b>	<ul style="list-style-type: none"> <li>▪ All payments will be made by PACT.</li> <li>▪ All payments will be done through Bank-to-Bank transfers.</li> <li>▪ All contract awards will require submission of identification of final beneficiaries and bank accounts.</li> <li>▪ Two-step payment request, by memo by MRT PMU Manager to PACT.</li> </ul>
Accounting Expenditures	<ul style="list-style-type: none"> <li>▪ Inability for project expenditures to reflect cash accounts</li> </ul>	<b>Low</b>	<b>Low</b>	<ul style="list-style-type: none"> <li>▪ Expenditures will be debited from committed fund by MRT PMU.</li> <li>▪ Budget tracking by MRT PMU.</li> <li>▪ PACT will draw down cash from Bank accounts, monthly reconciliation.</li> <li>▪ Annual audits.</li> </ul>
Fund Management	<ul style="list-style-type: none"> <li>▪ Misappropriation of project funds</li> </ul>	<b>Low</b>	<b>Low</b>	<ul style="list-style-type: none"> <li>▪ Project account audited annually.</li> <li>▪ All disbursement will be approved by both MRT PMU and PACT.</li> </ul>

Table 9: Risks and mitigants in project management and implementation.

## Procurement

142. The project aims to mobilize project funds through, fair, value based, and transparent allocations. With the exception of the Project Manager, all procurement will be managed by the MRT PMU in collaboration with PACT. All procurement will be carried out using PACT's Procurement Policies and Procedures Manual.

Definitions:

Method	Description	Threshold (US\$)	Type	No. Processes
QCBS	Quality Cost Based Selection	Over 50,000	Consultants / Services	4
CQS	Consultants Qualification Selection	Up to 50,000	Consultants / Services	2
LCS	Least Cost Selection	Up to 25,000	Consultants / Services	5
ICB	International Competitive Bidding	Over 50,000	Works, goods, non-consulting services	1
NCB	National Competitive Bidding	Over 15,000	Works, goods, non-consulting services	1
IC	Individual Consultants	Up to 22,500	Consultants / Services	0
S	Shopping	Up to 15,000	Works, goods, non-consulting services	5
<b>Sub-Total Procurement Processes</b>				<b>18</b>

*Table 10: Definitions of procurement methods*

143. Descriptions:

**Quality Cost Based Selection:** Two-step process, firms must respond to an Expression of Interest (EOI) for pre-qualification, those that pre-qualify are invited to respond to a Request for Proposal (RFP) through a letter of invitation. Technical and Financial proposal are submitted separately, Technical Proposals are evaluated and ranked and only the Financial Proposal of top ranked consultants are evaluated.

**Consultants Qualification Selection:** Two-step process, firms must respond to an EOI for pre-qualification, and then are invited to respond to an RFP through a letter of invitation. Technical and Financial proposal are submitted separately, Technical Proposals are evaluated and ranked and only the Financial Proposal of top ranked consultants are evaluated.

**Least Cost Selection:** Two-step process, consultants respond to RFP, submitting technical and financial envelopes separately. Only the Financial Proposal of those consultant's proposal that pass a minimum technical threshold are opened.

**International Competitive Bidding:** Two-step process, a) prequalification, and b) invitation. Firms must prequalify to receive bidding documents, which will include design studies and indicative budget. Prequalification should include track record, assets, prior work experience. Guarantees may be requested and should be published in international sites such as UNDP.

**National Competitive Bidding:** Two-step process, a) prequalification, and b) invitation. Firms must prequalify to receive bidding documents, which will include design studies and indicative budget. Prequalification should include track record, assets, prior work experience.

**Individual Consultants:** Publishing of process through PACT website and other mediums of EOI to receive CVs (or Proposals) of potential consultants. CVs (or Proposals) are evaluated on at least three options. Top ranked consultant is selected.

**Shopping (Quotations):** Several quotes for the same service or goods is evaluated, and best option in terms of price and value is selected. Process must be fully documented.

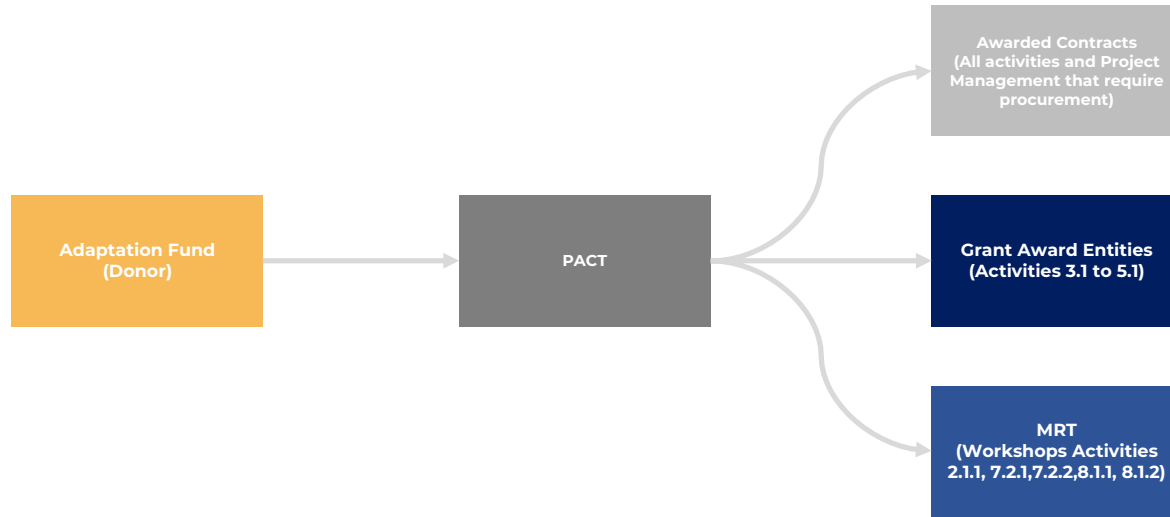


Figure 5: Flow of funds

**Procurement Plan**

**Project Management Procurement**

<b>Contract with Project Manager</b>	Individual Contract (Full Time)	Yes	Quality Cost Based Selection	135,000	Y1 Q2
<b>Contact with E&amp;S Specialist</b>	Individual Contract (Part Time)	Yes	Quality Cost Based Selection	72,000	Y1 Q3
<b>Contact with Gender Specialist</b>	Individual Contract (Part Time)	Yes	Quality Cost Based Selection	72,000	Y1 Q2
<b>Technical Officer</b>	Individual Contract (Full Time)	Yes	Quality Cost Based Selection	81,000	Y1 Q2
<b>Project Auditor</b>	Professional Services Contract	Yes	National Competitive Bidding	34,000	Y1 Q4
<b>PMU Infrastructure (PC, Cell, Office space) **</b>	Goods/Services	No	Shopping	73,760	Y1 Q2
<b>Marketing &amp; Communication***</b>	Goods, and Non-Consulting Services	No	Shopping	1,850	Y1 Q2
<b>Mid Term Evaluation Firm</b>	Professional Services Contract	Yes	International Competitive Bidding*	18,000	Y2 Q3
<b>Final Evaluation Firm</b>	Professional Services Contract	Yes	International Competitive Bidding*	18,000	Y2 Q3
<b>Sub-Total Project Management</b>				<b>505,610</b>	

*Table 11: Project Management Procurement*

\*If the same firm is selected to undertake both the Mid and Final Evaluation, International Competitive Bidding applies, otherwise National Competitive Bidding.

**PMU infrastructure includes:** one laptop PC and 1 one cell phone for each PMU team member and a monthly rent for Office space. **Marketing & Communication:** Includes a) project signage, b) improvement of PACT website for project communication, c) cell phone service during implementation, and d) graphic design for project identity.

**Component 1 Procurement**

<i>Activity</i>	<i>Execution</i>	<i>Tender</i>	<i>Type</i>	<i>Total Budget (USD)</i>	<i>Implementation Year / Quarter</i>
<i>Activity (1.1.1) Contract with Firm or Consortium with expertise on solar water system in Belize, experience working with Toledo and Corozal District to design water system for each of the Communities.</i>	<i>Engineering Firm (s) and or Engineering Consortium (QCBS)/ Construction Firm (ICB)</i>	<i>Yes</i>	<i>Consultancy Services</i>	<i>3,103,389.25</i>	<i>Y1 Q4</i>
<i>Activity (1.1.2) Firm contracted in 1.1.1 will undertake a consultative approach to develop each water system, and in the design and build, will specify the local skill available that could be included in the construction of the system. , undertake a series of workshops with specific training to women in construction skills.</i>	<i>Engineering Firm (s) and or Engineering Consortium (QCBS)/ Construction Firm (ICB)</i>	<i>Yes</i>	<i>Consultancy Services</i>	<i>-</i>	<i>Y1 Q4</i>
<i>Activity (2.1.1.) MRT workshop to constitute Water Board for water systems management.</i>	<i>MRT PMU (EE)</i>	<i>No</i>	<i>Works, Goods, and Non-Consulting Services</i>	<i>12,000.00</i>	<i>Y1 Q1</i>
	<i>: Workshop venue rental, catering. (S)</i>				
<i>Activity (2.1.2) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems.</i>	<i>Engineering Firm (s) and or Engineering Consortium and/or Qualified equipment supply firm. (LCS)*</i>	<i>Yes</i>	<i>Consultancy Services</i>	<i>48,000.00</i>	<i>Y4 Q1</i>
<i>Sub Total Component 1</i>				<b><i>3,163,389.25</i></b>	

*Table 12: Component 1 Procurement.*

\*Procurement for Activity 2.1.2 may be included in Activity 1.1.2 tender process and procured as ICB. 1



**Component 3 Procurement**

Activity	Execution	Tender	Type	Total Budget (USD)	Implementation Year / Quarter
Activity (6.1.1) Tender a contract for a stakeholder engagement firm to develop and implement a gender-sensitive campaign & survey, both in terms of format (languages, media) and content (climate change differentiated impacts on men and women), on the impacts of climate change on water sustainability.	Consultancy, experienced in stakeholder communication and engagement.	Yes	Consultancy Services (QBS)	30,000.00	Y3 Q1
Activity (7.1.1) Tender a contract for firm for the development of training materials on inclusive governance covering gender equality principles, recognizing women's rights and the importance of women's participation in project leadership positions	Consultancy (or consortium), experienced in community organizations, governance, in health and gender inclusiveness.	Yes	Consultancy Services (QBS)	10,500.00	Y4 Q1
Activity (7.1.2.) Tender a contract for firm for the development of training of village schoolteachers in the four communities on WASH with a gender perspective, along with the provision of visual reference materials to be posted in school grounds.	Consultancy (or consortium), experienced in community organizations, governance, in health and gender inclusiveness.	Yes	Consultancy Services (LCS)	1,000.00	Y4 Q2
Activity (7.2.1) MRT will summon interested nearby project communities to a one-day field workshop to understand the relevance of the impact of climate change i on water.	Consultancy (or consortium), experienced in climate change impacts in Belize, Central America, and the Caribbean.	Yes	Consultancy Services (LCS)	1000	Y4 Q1
	MRT PMU (EE): Workshop venue rental, catering, transportation for community members	No	Works, Goods, and Non-Consulting Services (S)	3,500.00	Y4 Q3
Activity (7.2.2) MRT will summon interested nearby communities to a one-day field workshop to understand the relevance of the inclusive governance and water systems maintenance.	Consultancy (or consortium), experienced in climate change impacts in Belize, Central America, and the Caribbean.	Yes	Consultancy Services (LCS)	1000	Y3 Q3
	MRT PMU (EE): Workshop venue rental, catering, transportation for community members	No	Works, Goods, and Non-Consulting Services (S)	3,500.00	Y3 Q3
Activity (8.1.1) Tender for a consultancy firm to conduct capacity-development seminars, including workshops for the MRT's regional and field officers to mainstream gender within their day-to-day activities, Water Board management and interaction, and maintenance of water systems (including solar / hybrid systems).	Consultancy (or consortium), experienced in inclusive governance, gender, and water systems.	Yes	Consultancy Services (LCS)	18,000.00	Y2 Q1
	MRT PMU (EE): Workshop venue rental, catering.	No	Works, Goods, and Non-Consulting Services (S)	3,750.00	Y2 Q1
Activity (8.1.2) Tender for a consultancy firm to conduct capacity-development of the broad MRT on gender equality and women's rights, climate risks and adaptation measures, and governance.	Consultancy (or consortium), gender, and climate change.	Yes	Consultancy Services (LCS)	14,000.00	Y3 Q2
	MRT PMU (EE): Workshop venue rental, catering.	No	Works, Goods, and Non-Consulting Services (S)	600	Y3 Q2
<b>Sub Total</b>				<b>86,850</b>	

Table 13: Component 3 Procurement

**Project implementation Plan**

Sub-Activity	Number	Y0				Y1				Y2				Y3				Y4				Y5	
		Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2
Activity (0.1) AF Review & Board Approval	0.1																						
Activity (0.2) Legal Arrangements	0.2																						
Activity (0.3) Disbursement to PACT	0.3																						
Activity (0.4) Procurement & Contract - PMU Staff (Manager, E&S Specialist, Gender Specialist and Technical Officer)	0.5																						
Activity (0.5) Procurement & Contract - Auditor	0.5																						
Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities	1.1																						
Activity (2.1) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems	2.1																						
Activity (3.1) Restoration and sustainability actions of community-near ecosystems services.	3.1																						
Activity (4.1) Enhancing the participation of men and women through workshops and capacity building activities in income generation activities.	4.1																						
Activity (5.1) Enhancing the participation of men and women through workshops and capacity building activities in maintenance and installation of solar systems.	5.1																						
Activity (6.1) Develop and implement a national public awareness campaign throughout village leadership for the communities on the effects of climate change on water sustainability.	6.1																						
Activity (7.1) Training of Village Leadership of the four communities and their Water Boards on inclusive governance.	7.1																						
Activity (7.2) Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.	7.2																						
Activity (8.1) Strengthen the MRT's knowledge base through trainings of its regional and field officers of best practices in water board management and hybrid / solar water systems.	8.1																						
Activity (0.6) Project Closure																							

Table 12: Implementation schedule.

## **C. Describe the measures for environmental and social risk management, in line with the Environmental and Social Policy and Gender Policy of the Adaptation Fund.**

144. The proposed project has been assessed as a Category C project given the low to minimal risk posed to the communities and natural environment in which the project interventions are proposed. A comprehensive assessment of environmental, social and gender risk demonstrates the project is expected to yield an overall positive environmental and social benefits by developing a water supply and distribution system to provide safe, sufficient, and year-round potable water to four communities. The project aims to address the full water cycle including water shed management measures; capacity building to enhance sanitation practices to address water contamination; extraction and distribution; as well as innovative approach to wastewater use. The project anticipates a reduction in the vulnerability of men, women and children to incidences of water-borne, food borne, and vector borne diseases in addition to communicable and non-communicable diseases. Thus, making critical achievements in improving wellness and quality of health to the community. To further safeguard any non-compliance by contractual actors (awarded contracts or grants) the project contemplates two part-time officers a) and E&S Specialist, and b) a Gender Specialist. While these add to the overall project management cost, they are inherently necessary to ensure project activities are carried out according to best practices.

145. Appropriate mitigation measures have been developed for those minimal adverse risks that have been identified. The environmental risk classification is low. The key environmental risks that were identified include (i) nuisance related to noise and air emissions from construction of the water system; (ii) occupational health and safety of workers and supervisors of infrastructure works; and (iii) community health and safety.

146. The social risk classification is also low. The key social risks include: (i) there are risks for discrimination in employment opportunities, (ii) Gender disparities inhibiting access to potential economic or social benefits and leadership roles, (iii) capacity issues of the various stakeholders, (iv) cultural understanding and protocol requirements when working with indigenous communities.

147. PACT will be the national implementing entity and is well versed in working with AF safeguards. It will be responsible for the oversight of the environmental and social risk management by the MRT PMU during the SEAM Project's entire four-year implementation. The MRT PMU will ensure, at all times, that the mitigation measures included herein are adhered to, including compliance with the law, relationship between contractors and other stakeholders with the communities, and observance of any requirement that may be imposed by other government of local entities. A part-time E&S Specialist will be part of the MRT PMU, who will have responsibility for evaluating and ensuring compliance. The PMU MRT will manage all project components and prepare the necessary *procedures, assessments, mitigation measures and monitoring actions to ensure that the potential environmental and social risks and impacts are adequately addressed in a manner acceptable to the AF.*

148. PACT will oversee that the Project Manager and MRT, will manage all project components and prepare the necessary procedures, assessments, mitigation measures and monitoring actions to ensure that the potential environmental and social risks and impacts are addressed according to PACT, AF and international standards.

149. SEAM will incorporate specific gender actions to ensure that women benefit fully from all the Project's components including technical assistance, potential employment during construction, leadership possibility with the Water Boards and solar maintenance. SEAM implementation strategy includes identification of gender gaps to be addressed; integration of gender responsive approach at all levels of implementation; gender-sensitization training for staff in participating institutions; inclusion of specific activities for women to obtain project services and resources, and mechanisms for addressing identified gender gaps.

150. Feedback from consultations made at different levels during the project cycle will inform the on-going project design process, improve implementation efficiency, and ensure that the project has an effective exit strategy. The project will ensure that the consultation platforms provided for are well facilitated through technical support from experienced professionals and provide enough time and resources for stakeholders to give feedback. During consultations, feedback will be gathered and will be designed to receive complaints as well as feedback. The PMU will be the main entity responsible for receiving feedback and ensuring that issues raised are addressed.

151. The MRT's capacity to manage environmental and social risk will be strengthened via: (1) training MRT Regional and Field Officers, (2) PMU will hire an environmental and social specialist with expertise in environmental and social risk assessment and management, a gender specialist with experience with the Maya culture, and (3) seek technical support from the Ministry of Sustainable Development, Climate Change and Disaster Risk Management, which has experience in managing such water distribution projects.

	<b>Risk</b>	<b>Mitigation Measure</b>
<b>Population Characteristics</b>	<ul style="list-style-type: none"> <li>• Temporary workers - intrusive impact of construction work crews and other contracted people coming from distinct cultural backgrounds.</li> </ul>	<ul style="list-style-type: none"> <li>• Source labor as much as possible from target communities.</li> <li>• Ensure communities are informed of project Grievance Redress Mechanism</li> <li>• Encourage Village Councils and residents to use the Grievance Redress Mechanism to address issues relating to work crews' behaviors.</li> <li>• The contractor will be required to assist in investigating and addressing related grievances expeditiously.</li> <li>• Defined protocol outlining steps to investigate and address reports of worker misbehavior.</li> <li>• All workers are to sign the Code of Conduct presented in the Labor Management Procedures as a condition of employment.</li> </ul>
<b>Community and Livelihood Opportunities</b>	<ul style="list-style-type: none"> <li>• Discrimination in employment opportunities for village community.</li> <li>• Disruption of access to properties from main road due to trench construction for water distribution lines.</li> </ul>	<ul style="list-style-type: none"> <li>• Contractors will hire local village residents as 30% of their workforce at a minimum.</li> <li>• Hiring will be done in consultation with village leadership, especially for unskilled and semi-skilled work.</li> <li>• Contracting firm for Activity 1.2 will be required to ex-ante interested village residents in construction.</li> <li>• Encourage contractors to ensure gender responsive hiring practices.</li> <li>• Disruption of access to properties should be minimized as much as possible. Where not possible, ensure that leadership and households are given at least a week's notice of any such disruption.</li> </ul>
<b>Gender Issues</b>	<ul style="list-style-type: none"> <li>• Gender disparities could be further entrenched inhibiting access to the potential economic or social benefits and leadership roles the project offers.</li> <li>• Job opportunities for the construction of the water towers, as well as trenches for laying water distribution lines, may not be inclusive due to cultural norms.</li> <li>• Water Board are mostly male dominated and may perpetuate gender exclusion.</li> <li>• Solar maintenance training can sideline women who are often not able to participate due to their social roles or family duties and the male-dominated nature of the technology sector.</li> </ul>	<ul style="list-style-type: none"> <li>• Encourage contractors to ensure gender responsive hiring practices. Promote the hiring of women, preferably aiming to hire at least a 30% female work force.</li> <li>• To enhance women's access to job opportunities in the construction sector, the contractor will implement a targeted training program, aiming for a 15% representation of women among new hires.</li> <li>• Ensure that there is gender-responsive measures to ensure women's participation in consultation meetings and activities.</li> <li>• Facilitate in the inclusion of women on worksites through various measures such as transportation to worksite and other provisions that address obstacles to participation by both genders.</li> <li>• Provide childcare services to enable women to attend meetings and training workshops.</li> <li>• Village leadership agreed to half the Water Board being comprised of women.</li> <li>• Under Component 3, Activity 5.1, Maya female solar engineer sub-project will provide training for solar maintenance and provide female leadership activities.</li> </ul>
<b>Safety and Security</b>	<ul style="list-style-type: none"> <li>• Temporary workers can lead to the transmission of diseases.</li> <li>• Possibilities of increased work-related accidents, injuries, or illnesses.</li> <li>• Noise pollution from drilling rigs and heavy machinery may disturb wildlife and nearby residents especially if works are being near residences.</li> <li>• Dust and air pollution from construction of the water towers.</li> </ul>	<ul style="list-style-type: none"> <li>• Contractors are encouraged to hire from local communities whenever possible.</li> <li>• Contractors are obligated to follow all occupational, health and safety standards.</li> <li>• Children are strictly forbidden near work sites.</li> <li>• Contractors will use road signs that are highly visible both day and night during construction period.</li> <li>• Equipment and work vehicles will be maintained in proper running conditions and adequate muffling devices installed.</li> <li>• Avoid having heavy machinery turned on (idle) when not in operation.</li> <li>• Work activities will be restricted to the daytime to avoid night-time disturbances.</li> <li>• Work personnel will wear hearing protection.</li> <li>• Construction vehicles will be required to use lower speeds to decrease dust from roads.</li> </ul>

<b>Dynamic with EE, other Ministries and NGOs</b>	<ul style="list-style-type: none"> <li>Undermining of local authority through inadequate communication, consultation or engagement by EE, other Ministries or NGOs.</li> </ul>	<ul style="list-style-type: none"> <li>Ensure that Village Councils and Alcaldes are informed and kept up to date on project implementation.</li> <li>Include Village Councils and Alcaldes in decision making for implementation timelines and identification for prohibited times.</li> </ul>
<b>Community Resources</b>	<ul style="list-style-type: none"> <li>Disturbances to historical and archaeological sites due to construction of wells, water towers, water distribution systems and sanitation solutions.</li> </ul>	<ul style="list-style-type: none"> <li>Ensure that contractors have all necessary permits and licenses.</li> <li>Ensure that contractors source materials from legitimate places.</li> <li>If the Contractor discovers archaeological sites, historical sites, remains and objects, including graveyards and/or individual graves during excavation or construction, the Contractor shall: <ul style="list-style-type: none"> <li>Stop the construction activities in the area of the chance find; Clearly delineate the discovered site or area; Secure the site to prevent any damage or loss of removable objects. In cases of removable antiquities or sensitive remains, a night guard shall be arranged until the Institute of Archaeology is able to take over.</li> </ul> </li> <li>Notify the supervisory Project Environmental, Social, Health and Safety Officer and Project Engineer who in turn will who in turn will notify the Institute of Archaeology immediately</li> </ul>
<b>Equipment Maintenance</b>	<p>Poor water and solar system maintenance leading to low functionality and shortened lifespan of technology.</p>	<ul style="list-style-type: none"> <li>Water Boards will be responsible to maintain the system, and will be provided training in hiring staff, general and preventive maintenance, by the firm contracted to build the system.</li> <li>Water Boards will be trained by the MRT in management, including how to establish maintenance and repair contracts with equipment maintenance firms or experts.</li> <li>Targeted training program tailored to each community's needs provided by Experts, MRT PMU, MRT field officers, and construction firm. All will do so with cultural understanding and use the preferred language of the community.</li> <li>The MRT and the NGOs will receive gender sensitization training prior to providing this training.</li> <li>Regular follow-up will be provided, and oversight of successful implementation will be built into the monitoring plan.</li> </ul>
<b>Water</b>	<ul style="list-style-type: none"> <li>Excessive water consumption or water waste.</li> <li>Contamination and wastewater issues.</li> <li>Free roaming livestock may threaten water contamination and public health, as well as affect biodiversity through diffusion of pathogens to wildlife.</li> </ul>	<ul style="list-style-type: none"> <li>Installation of water meters for each household to monitor use.</li> <li>National water conservation and climate change awareness campaigns.</li> <li>Guidance on waste disposal – separate organic and inorganic waste properly.</li> <li>Reuse or salvage waste materials.</li> <li>Convert organic waste into compost.</li> <li>Offer practical guidance and training on water quality protection and livestock management strategies.</li> </ul>
<b>Natural Disaster</b>	<ul style="list-style-type: none"> <li>Hurricanes, high winds and flooding threaten new infrastructure resulting in a loss of investment</li> </ul>	<ul style="list-style-type: none"> <li>Construct facilities with hurricane resistant design features.</li> <li>Construct facilities away from flood prone areas.</li> </ul>
<b>Executing Entity</b>	<ul style="list-style-type: none"> <li>Low implementation capacity, due to difficulties (i) coordinating collaboration efforts with public institutions currently experiencing shortage of staff and vehicles, making it difficult to maintain the needed project pace, (ii) recruiting qualified staff that have the established relationship with the communities, the cultural understanding, and language capacity, and (iii) issues with completing procurement procedures could increase costs and impede the achievement of outputs and outcomes.</li> <li>Personnel turnover leading to disruptions.</li> </ul>	<ul style="list-style-type: none"> <li>A PSC has been established and prior to project approval, will further outline roles and responsibilities.</li> <li>Training and capacity building opportunities will be made for EE staff on numerous governance capacity building areas.</li> <li>The Project Manager and partnering NGOs will explore instruments and collaborative frameworks that increase efficiency and promote attaining goals and targets.</li> <li>Project startup will entail verification that the monitoring system is operationalized.</li> </ul>
<b>Solar water systems</b>	<ul style="list-style-type: none"> <li>Inability to maintain the solar equipment for a lengthy period of time due to warranty restrictions.</li> <li>Parts will be difficult to obtain in a timely manner.</li> </ul>	<ul style="list-style-type: none"> <li>Under Component 1, a contracted firm will train the Water Boards (and staff) on maintenance of the installed system.</li> <li>Under Component 2, communities in the Toledo District will be provided with a course on solar engineering, while this part of the project is not related to maintaining the Water Board -owned system, these skills can be used.</li> </ul>

		<p>Construction firm contracted to build the system will provide a warranty in form and function, customary in these types of installations in Belize.</p> <ul style="list-style-type: none"> <li>• Solar technology in Belize has widespread use, and there are several suppliers who maintain spare parts.</li> </ul>
<b>Water System Maintenance</b>	<ul style="list-style-type: none"> <li>• Community members will be resistant to paying fees for water.</li> </ul>	<ul style="list-style-type: none"> <li>• Each household will have a water meter installed.</li> <li>• Fees will be set by the Water Boards which are comprised of members of each community.</li> <li>• Community awareness raising started during project concept development and will continue through the FOs and PMU – explaining the use and importance of the fees to maintain the water system. As the water distribution is complete, each household will sign an agreement that they will pay their monthly fees.</li> </ul>

Table 14: E&S Risk Monitoring

### Grievance Redress Mechanism

152. The Grievance and Redress Mechanism (GRM) will be available to all project’s target communities and will be open to beneficiaries and non-beneficiaries. It will allow them an accessible, transparent, fair, and effective means to communicate with project management if there are any concerns regarding the project design and implementation. All stakeholders, including the executing entity, consultants/contractors, and community members will be made aware of the grievance mechanism which will be a means to receive and facilitate the resolution of concerns and grievances about the project.

153. The GRM offers various means of communication that take into consideration the culture and connectivity of the community members. Additionally, any stakeholder involved with the project can use any training event or any other event organized by the project, either in public or in private to raise a grievance verbally.

154. As per PACT’s ESMF, projects requiring FPIC will also include project specific, local conflict resolution and grievance redress mechanism which will be developed as a first step in the implementation process with the participation of the affected communities in culturally appropriate ways and will ensure adequate representation from vulnerable or marginalized groups and sub-groups, such as women and youth. A dedicated approach for communication and participation of indigenous groups, will ensure that there are effective channels of communication, access to participation and agency in making decisions about problems that will potentially affect them (positively or negatively).

155. The project will establish several options for communicating grievances, including utilizing the village’s traditional systems such as the role of the Alcalde in the case of the Maya Communities. The Maya communities have existing GRM arrangements in place, where differences and conflicts can be heard and adjourned by the Alcalde acting as magistrate (cases up to US\$25/BZ\$50) for those cases where the Alcalde is unable to adjourn or for which there is an appeal, the case can be presented to the Toledo Alcalde Association. A case can go up to the country’s Supreme Court (advised by Toledo Alcalde’s Association).

156. Additionally, the Field Officers of the MRT drawing on their established relationships with the villages, can be utilized. Being cognizant of the high illiteracy rate amongst Maya ethnic groups, there will be an option for verbally conveying a grievance. In such a case, a report will be written up by the Field officer, read back to the claimant for verification of accuracy, and then submitted that day for review by the SEAM GRM.

157. All stakeholders, including female and male beneficiaries, will be made aware of the grievance mechanism, their options for reporting, what constitutes a grievance, and their right to anonymity; awareness of the GRM will be made available through the community leadership, the MRT officers, and the MRT PMU. Signage and complaints box will be provided in each project community and complaints provided in the box will be collected at least monthly by the MRT officer or the MRT PMU. The GRM includes specific and confidential channels that can be used by vulnerable groups. Stakeholders will be informed that the implementation of a project specific mechanism will not incur any costs and that the same mechanism remains in place for the duration of the project. During the project validation exercise, stakeholders will provide feedback and endorsement for the project specific conflict resolution mechanism and will be reminded of the grievance mechanism periodically throughout the project.

158. GRM allows to improve the response efficiency and accountability level to the project beneficiaries in addressing complaints and providing feedback, as well as problems identification and finding their solutions together with stakeholders. By increasing transparency and accountability, GRM seeks to reduce the project risk that unintentionally adversely affects beneficiaries and serves as a critical feedback mechanism that can help to improve the project impact. Project staff, including those from the executing entities, will also be trained to recognize grievances from community members, with a gender-sensitive approach, and be trained on how to deal with grievance reports.

159. Registered grievances will be reviewed and managed by the MRT PMU assigned personnel. At project inception, the following steps will be taken: (1) Identify appropriate staff within the PMU who will aid with responses to conflicts and grievance that may arise from stakeholder; (2) Develop a system, with participation with the indigenous communities, for culturally relevant and context specific indigenous GRM, (3) install specific guidelines for use by staff and other personnel who will be assigned to enact various roles for the resolution of any conflict or grievance; and (3)

Provide formal training to staff and other personnel who have assigned roles to perform in the implementation of the conflict and grievance mechanism. Figure 4 enhances PACT’s established GRM to allow for a) complaints and b) request for project information.

**PACT Grievance Redress Process**

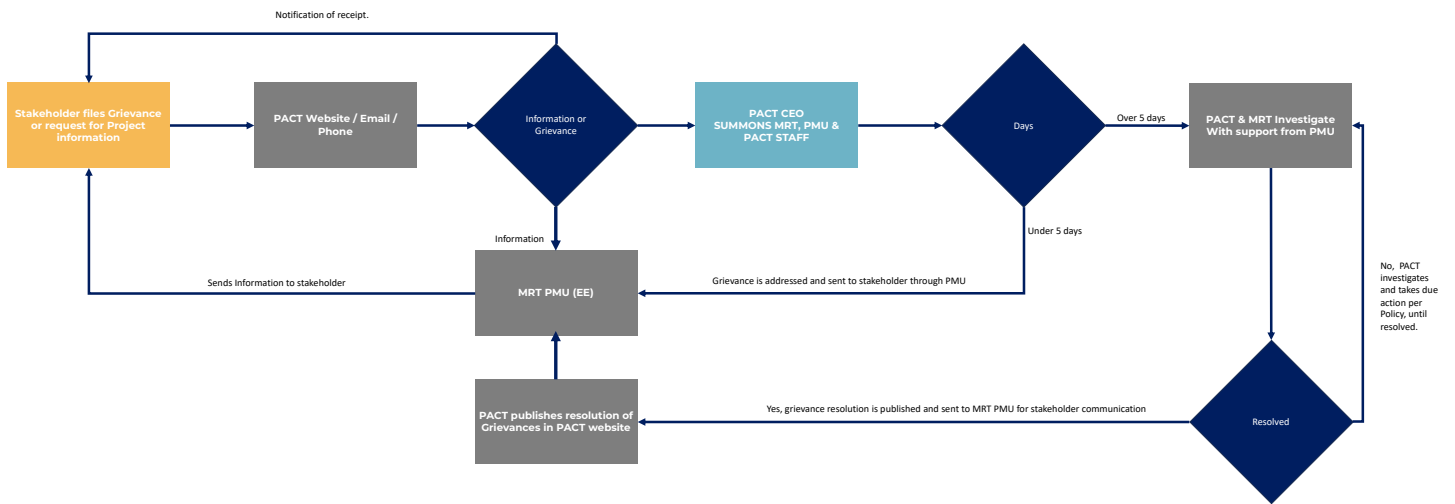


Figure 6: GRM.

PACT website grievance form. <https://www.pactbelize.org/greviance-form/>

Complaints regarding projects/programmes supported by the Fund can also be filed with the secretariat at the following address:

Adaptation Fund Board secretariat  
 Mail stop: MSN P-4-400  
 1818 H Street NW  
 Washington DC 20433 USA  
 Tel: 001-202-478-7347 [afbsec@adaptation-fund.org](mailto:afbsec@adaptation-fund.org)

The secretariat will respond promptly to all such complaints. Where appropriate, the secretariat will refer complainants to a grievance mechanism identified by the implementing entity as the primary place for addressing complaints.

**E. Describe the monitoring and evaluation arrangements and provide a budgeted M&E plan, in compliance with the ESP and the Gender Policy of the Adaptation Fund.**

160. All project activities will be monitored by the MRT PMU, who will be tasked with administering procurement process, contract management, review of deliverables (with inputs from the Project Technical Committee), and report to the Project Steering Committee, PACT, and other stakeholders. **Annex III - Logic Framework, Monitoring and Reporting, Detailed Budget, Financial Model** provides a detailed explanation of monitoring, verification, and reporting of each of the project activities. Gender has been integrated into the log frame with distinct gender-responsive indicators and targets. The Gender Action Plan Matrix aligns with the overall project log frame. The Gender Specialist within the PMU will oversee the advancement of gender-specific indicators, detect any deviations, and recommend corrective actions to address them.

161. The MRT PMU will provide periodic reports to stakeholders; information from these reports will be furnished by PACT to the Adaptation Fund and to Belize’s Focal Point (which will serve in the PSC). Table 16 indicates the reports and the frequency to be provided by the MRT PMU to PACT.

Report	Description and Content	Frequency
Monthly Report	<ul style="list-style-type: none"> <li>▪ Narrative on the activities of the PMU and its staff</li> <li>▪ Progress on implementation of project activities</li> <li>▪ Procurement</li> <li>▪ Budget expenditures and commitments</li> </ul>	Monthly
Quarterly Progress Report	<ul style="list-style-type: none"> <li>▪ Report on achievement of project objectives.</li> <li>▪ Budget execution for the period and cumulative, including next period commitments.</li> <li>▪ Procurement processes concluded, ongoing and next period processes.</li> </ul>	Quarterly
Semi Annual Audit Report	<ul style="list-style-type: none"> <li>▪ Achievement of project objectives – Logic Framework.</li> <li>▪ Audited budget and reconciliation.</li> <li>▪ Narrative on annual progress on activities.</li> <li>▪ Procurement reporting.</li> <li>▪ Lessons learned and corrective actions.</li> <li>▪ Project risks</li> </ul>	Semi-Annual (August)
Audited Budget	<ul style="list-style-type: none"> <li>▪ Statement of Expenditures</li> <li>▪ Committed funds and budget execution.</li> <li>▪ Reconciliation and cash balances</li> <li>▪ Recommendations</li> </ul>	Annually (February-March)

*Table 15: PMU to PACT reporting*

162. PACT will provide regular and periodic reports to the AF. Table 17 indicates the reports and the frequency to be provided.

Report	Description and Content	Frequency
Semi-annual Progress Report	<ul style="list-style-type: none"> <li>▪ Report on achievement of project objectives.</li> <li>▪ Budget execution.</li> <li>▪ Narrative on project progress and activities undertaken.</li> </ul>	Semi-Annual (August)
Annual and Audit Report	<ul style="list-style-type: none"> <li>▪ Achievement of project objectives – Logic Framework.</li> <li>▪ Audited budget and reconciliation.</li> <li>▪ Narrative on annual progress on activities.</li> <li>▪ Procurement reporting.</li> <li>▪ Lessons learned and corrective actions.</li> <li>▪ Project risks</li> </ul>	Annually (February-March)
Mid Term Evaluation	<ul style="list-style-type: none"> <li>▪ Effectiveness and efficiency in achievement of project objectives</li> <li>▪ Lessons learned and corrective actions.</li> <li>▪ Action plan for implementation improvement.</li> </ul>	After 2 years
Final Evaluation	<ul style="list-style-type: none"> <li>▪ Effectiveness and efficiency in achievement of project objectives</li> <li>▪ Lessons learned and corrective actions.</li> </ul>	After finalization

*Table 16: PACT to AF Reporting*

163. In addition to periodic reporting by the PMU, an additional layer of control has been added to ensure proper, effective, and efficient use of program funds. Four audits are planned during the project implementation, and 2 evaluations, a midterm, and a final. An audit firm will be retained from project inception to carry out an audit on the financial resources of the program. The audit firm will be independent.



164. Two Project evaluations are planned, a Mid-Term Review after the first 24 months into the Project implementation and a Final Evaluation, 3 months before the scheduled End Date of the four-year Project. This is to allow for adequate time to finalize and formalize all final reports. The Final Evaluation will be conducted under the leadership of the Independent Evaluator procured by the PMU with the three-general criteria; degree to which the Project was logical and adequate, its performance and its success, Table 18 illustrates this:

Evaluation	Criteria
<b>Relevance:</b> Degree to which the objective of the project is relevant to resilient agriculture with climate change and climate variability in the project communities.	<ul style="list-style-type: none"> <li>▪ The design of the project and its adequacy. Was there a logical approach to project planning and implementation.</li> </ul>
<b>Performance:</b> The progress made by the Project relative to the objective.	<ul style="list-style-type: none"> <li>▪ Efficiency- Was the project planned and undertaken in a cost-effective manner and were the best options selected for the expected objectives?</li> <li>▪ Effectiveness-Were the assumptions and risks identified on target and will the expected activities produce the objectives/Outputs?</li> <li>▪ Timeliness - Were the outputs timely and the expected quality/quantity relative to the expected outcomes?</li> </ul>
<b>Success:</b> The extent to which the project has brought about change	<ul style="list-style-type: none"> <li>▪ Impact – How have the project outcomes impacted the objectives of each component and overall, towards resilience to climate change?</li> <li>▪ Sustainability- Are there indicators of project sustainability and can they be described?</li> </ul>

*Table 17: Evaluation criteria*

**F. Results framework for the project proposal, including milestones, targets and indicators, including one or more core outcome indicators of the Adaptation Fund Results Framework, and in compliance with the Gender Policy of the Adaptation Fund.**

Component 1: Design and build cost-effective solar hybrid sustainable water systems and waste management systems in the four selected communities that will allow households to have access to potable and reliable water service as well as waste management.						
§ Objective 1: Reduce exposure to climate variability in 4 rural communities of Belize through an inclusive drought-resistant potable and consistent water supply.						
Activity	Indicator	Baseline	Mid Term Target	Final Target	MOV	Budget
Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities	# of design studies per community.	Dolores = 0	Dolores = 1	Dolores = 1	Acceptance of studies by Village Council & MRT	
		Otoxha = 0	Otoxha = 1	Otoxha = 1		
		Boom Creek = 0	Boom Creek = 1	Boom Creek = 1		
		Copper Bank = 0	Copper Bank = 1	Copper Bank = 1		
Objective 2: Strengthen the capacities of community members and leaders to operate and maintain their village water system.	# community members trained and participating in construction of the system, 30% of which are at least women.	Dolores = 0	Dolores = 15	Dolores = 15	MRT Field officers	3,103,389.25
		Otoxha = 0	Otoxha = 15	Otoxha = 15	PMU Gender Specialist.	
		Boom Creek = 0	Boom Creek = 15	Boom Creek = 15		
		Copper Bank = 0	Copper Bank = 15	Copper Bank = 15		
		Participation of women = 0	Participation of women > 30%	Participation of women > 30%		
	1 Water system commissioned and operational per community.	Dolores = 0	Dolores = 1	Dolores = 1	Commissioning of each system by Village Council & MRT	
		Otoxha = 0	Otoxha = 1	Otoxha = 1		
		Boom Creek = 0	Boom Creek = 1	Boom Creek = 1		
		Copper Bank = 0	Copper Bank = 1	Copper Bank = 1		
	# of households with installed water and meters	Dolores = 0	Dolores = 50	Dolores = 106	Commissioning of each system by Village Council & MRT	
		Otoxha = 0	Otoxha = 25	Otoxha = 54		
		Boom Creek = 0	Boom Creek = 15	Boom Creek = 25		
		Copper Bank = 0	Copper Bank = 60	Copper Bank = 150		
Activity (2.1) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems						
Component 1: Design and build cost-effective solar hybrid sustainable water systems and waste management systems in the four selected communities that will allow households to have access to potable and reliable water service as well as waste management.	Water Boards fully established, and all members nominated in each community	Dolores = 0	Dolores = 1	Dolores = 1	MRT Field Officer	60,000
		Otoxha = 0	Otoxha = 1	Otoxha = 1		
		Boom Creek = 0	Boom Creek = 1	Boom Creek = 1		
		Copper Bank = 0	Copper Bank = 1	Copper Bank = 1		
	% of people appointed to Water Board in each Community are women	Dolores = 0	Dolores = 50%	Dolores = 50%	MRT CEO Appointment Letters.	
		Otoxha = 0	Otoxha = 50%	Otoxha = 50%		
		Boom Creek = 0	Boom Creek = 50%	Boom Creek = 50%		
		Copper Bank = 0	Copper Bank = 50%	Copper Bank = 50%		
	Days of training on water system in for each Water Board	Dolores = 0	Dolores = 1	Dolores = 8	Consultancy Report Deliverable	
		Otoxha = 0	Otoxha = 1	Otoxha = 8		
		Boom Creek = 0	Boom Creek = 1	Boom Creek = 8		
		Copper Bank = 0	Copper Bank = 1	Copper Bank = 8		
	% of community members in preventative maintenance of their respective water system, of which at least 30% are women.	Dolores = 0	Dolores = 30%	Dolores = 30%	Consultancy Report Deliverable	
Otoxha = 0		Otoxha = 30%	Otoxha = 30%			
Boom Creek = 0		Boom Creek = 30%	Boom Creek = 30%			
Copper Bank = 0		Copper Bank = 30%	Copper Bank = 30%			
		Participation of women = 0	Participation of women > 30%	Participation of women > 30%	PMU Gender Specialist.	

Table 18: Log Frame - Component 1

Component 2: Ensuring the sustainability of water resources through improvement of livelihoods opportunities.						
§ Objective 3. Ensure long term change in attitudes towards water preservation and climate change impacts therein, through restoration of community-near ecosystem services.						
§ Objective 4. Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.						
Activity	Indicator	Baseline	Mid Term Target	Final Target	MOV	Budget
Activity (3.1) Restoration and sustainability actions of community-near ecosystems services.	# of beneficiaries of activities realized in improvement of ecosystem services that are related to ensuring water sustainability and quality in the Toledo District.	Dolores = 0	Dolores = 0	Dolores = 84	Grant award entity progress report, verified in field by PMU Staff.	648,500
		Otoxa = 0	Otoxa = 0	Otoxa = 70		
		Boom Creek = 0	Boom Creek = 0	Boom Creek = 123		
	# of beneficiary activities realized in improvement of ecosystem services that to the protection of Corozal Bay.	Copper Bank = 0	Copper Bank = 0	Copper Bank = 125	Grant award entity progress report, verified in field by PMU Staff participation.	
	# of workshops and skill building events in each Toledo District community related to reforestation, conservation, and land use to ensure water sustainability, of which the participation is at least 30% women.	Dolores = 0	Dolores > 20	Dolores > 40	Grant award entity progress report, verified in field by PMU Staff participation.	
		Otoxa = 0	Otoxa > 15	Otoxa > 30		
	# of workshops and skill building events in Copper Bank related to conservation of the Corozal Bay estuary, of which the participation is at least 30% women.	Boom Creek = 0	Boom Creek > 8	Boom Creek > 15	Grant award entity progress report, verified in field by PMU Staff participation.	
Participation of women = 0		Participation of women > 30%	Participation of women > 30%			
Activity (4.1) improving the participation of men and women in alternative livelihoods through ecosystem restoration and improvement practices.	Gender-Needs assessment in each respective community, prior to commencement of core activities of the Grant Award Entity in activity 3.1.	Copper Bank = US\$100	Copper Bank = 30	Copper Bank = 60	Grant award entity progress report, verified in field by PMU Staff participation.	
		Participation of women = 0	Participation of women > 30%	Participation of women > 30%		
		Dolores = 0	Dolores = 1	Dolores = 1		Grant Entity - Gender Needs Assessment Deliverable, reviewed by PMU Gender Analyst
		Otoxa = 0	Otoxa = 1	Otoxa = 1		
	Boom Creek = 0	Boom Creek = 1	Boom Creek = 1			
	# of workshops realized related to improve, enhance, and/or diversify community livelihoods that lead to increase in average household income through activities associated to restoration or improvement of community ecosystem services. At least, 30% of which are women 10% of which are youth (aged 20-24).	Copper Bank = 0	Copper Bank = 1	Copper Bank = 1	Grant award entity progress report, verified in field by PMU Staff.	
		Dolores = 0	Dolores = 28	Dolores = 54		
		Otoxa = 0	Otoxa = 20	Otoxa = 41		
		Boom Creek = 0	Boom Creek = 9	Boom Creek = 17		
	Positive change in average household income in each community during project execution.	Copper Bank = 0	Copper Bank = 30	Copper Bank = 61	MRT Field officer survey, verified by PMU.	
		Participation of women = 0	Participation of women > 30%	Participation of women > 30%		
		Youth (aged 20-24) = 0	Youth (aged 20-24) = 10%	Youth (aged 20-24) = 10%		
		Dolores = US\$100	Dolores > US\$115	Dolores > US\$125		
# of workshops realized related on gender equality and women's rights, carried out throughout the assignment, under the project programming by the Grant Award Entity.	Otoxa = US\$100	Otoxa > US\$115	Otoxa > US\$125	Grant award entity progress report, verified in field by PMU Staff participation.		
	Boom Creek = US\$100	Boom Creek > US\$115	Boom Creek > US\$125			
	Copper Bank = US\$250	Copper Bank > US\$265	Copper Bank = US\$275			
	Dolores = 0	Dolores = 16	Dolores = 27			
	Otoxa = 0	Otoxa = 13	Otoxa = 21			
	Boom Creek = 0	Boom Creek = 5	Boom Creek = 9			
	Copper Bank = 0	Copper Bank = 17	Copper Bank = 31			
	Dolores = 0	Dolores > 3	Dolores = 7			

	# of community workshops and/or seminars to enhance understanding of the climate risks faced by the community and the livelihood opportunities resulting from reliable, potable water and the restoration and management of the community ecosystems.	Otoxha = 0	Otoxha > 2	Otoxha = 5	Grant award entity progress report, verified in field by PMU Staff participation.	
		Boom Creek = 0	Boom Creek > 1	Boom Creek = 2		
		Copper Bank = 0	Copper Bank > 4	Copper Bank = 8		
§ Objective 5. Increased capacities in solar technologies through training of indigenous women and men in the 3 Toledo District Communities.						
Activity (5.1) Enhancing the participation of men and women through workshops and capacity building activities in maintenance and installation of solar systems.	# of training seminars undertaken in operation and maintenance of distributed solar system,	Dolores = 0	Dolores = 0	Dolores = 12	Progress report Grant Award Entity.	43,780.00
		Otoxha = 0	Otoxha = 0	Otoxha = 12		
	Boom Creek = 0	Boom Creek = 0	Boom Creek = 12			
	# of persons trained in each community operation and maintenance of distributed solar system.	Dolores = 0	Dolores = 17	Dolores = 21		
		Otoxha = 0	Otoxha = 13	Otoxha = 25		
	Boom Creek = 0	Boom Creek = 5	Boom Creek = 9			
	% of persons trained in each community operation and maintenance of distributed solar system are women	Dolores = 0	Dolores = 15%	Dolores = 30%		
		Otoxha = 0	Otoxha = 15%	Otoxha = 30%		
Boom Creek = 0		Boom Creek = 15%	Boom Creek = 30%			

Table 19: Log Frame - Component 2

Component 3: Increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthen the knowledge management capacities of the public authorities and the communities.

**Objective 6.** Strengthen the awareness of rural communities in Belize about the impacts of climate change on water sustainability.

Activity	Indicator	Baseline	Mid Term Target	Final Target	MOV	Budget
Activity (6.1) Develop and implement a national public awareness campaign throughout village leadership for the communities on the effects of climate change on water sustainability.	2 awareness communication campaigns, through locally used media, on climate change and water sustainability with a gender perspective	Campaign = 0	Campaign = 1	Campaign = 2	Post awareness campaign Survey response	30,000
	Post-campaign survey with village leadership disaggregated, who responded awareness on water issues related to climate change with a gender perspective.	Belize = 0	Belize > 50 communities responding.	Belize = 181 communities responding		
	% of respondents to MRT survey are women	Belize = 0	% of village leadership who responded awareness	% of village leadership who responded awareness		
		Belize = 0	Female survey responses = 50%	Female survey responses = 50%		

**Objective 7.** Improve the resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability.

Activity (7.1) Training of Village Leadership of the four communities and their Water Boards on inclusive governance.	1 workshop per community on inclusive water board management	Belize = 0	Dolores = 0	Dolores = 1	Consultancy Deliverable Report	34,500
			Otoxha = 0	Otoxha = 1		
			Boom Creek = 0	Boom Creek = 1		
			Copper Bank = 0	Copper Bank = 1		
	1 workshop per community on WASH with gender perspective, for schoolteachers	Belize = 0	Dolores = 0	Dolores = 1		
			Otoxha = 0	Otoxha = 1		
			Boom Creek = 0	Boom Creek = 1		
	% of women in each workshop	Belize = 0	Dolores = 0	Dolores = > 30%		
			Otoxha = 0	Otoxha => 30%		
Boom Creek = 0			Boom Creek = > 30%			
Activity (7.2) Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.	1 peer-to-peer workshop in the Toledo District	Belize = 0	Dolores = 0	Dolores = 1	MRT Regional Field Officer Report	9,000
			Otoxha = 0	Otoxha = 1		
			Boom Creek = 0	Boom Creek = 1		
			Copper Bank = 0	Copper Bank = 1		
	1 peer-to-peer workshop in the Corozal District	Belize = 0	Dolores = 0	Dolores = 1		
			Otoxha = 0	Otoxha = 1		
			Boom Creek = 0	Boom Creek = 1		
	% of women in each workshop	Belize = 0	Dolores = 0	Dolores = > 30%		
			Otoxha = 0	Otoxha => 30%		
Boom Creek = 0			Boom Creek = > 30%			
		Belize = 0	Copper Bank = 0	Copper Bank = >30%		

**Objective 8.** Strengthen the MRT's capacity to respond to water issues in the communities.

Activity (8.1) Strengthen the MRT's knowledge base through training of its regional and field officers in best practices in water board management and hybrid / solar water systems.	4-week course for MRT officers on climate change, inclusive governance, gender mainstreaming and solar hybrid system	MRT Field officers with diploma = 0	MRT Field officers with diploma = 8	MRT Field officers with diploma = 8	Course provider Report Deliverable	35,350.00
	2 – week course to the MRT on climate change, water board management, and gender perspective.	MRT Staff = 109	MRT Staff = 0	MRT Staff = 109	Course provider Report Deliverable	

Table 20: Log Frame - Component 3

## G. Demonstrate how the project aligns with the Results Framework of the Adaptation Fund

Project Objective	Project Indicator	Fund level Outcome	Fund Level Indicator
<b>Objective 1:</b> Reduce exposure to climate variability in 4 rural communities of Belize through an inclusive drought-resilient potable and consistent water supply.	One design study for a solar or solar hybrid water system that addresses the specific needs and circumstances for each community, along with specific recommendations for training waterboards and community members on maintenance.	Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets	4.2. Physical infrastructure improved to withstand climate change and variability induced stress
	# community members trained and participating in construction of the system, 30% of which are at least women.	Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies	8. Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level.
	1 Water system commissioned and operational per community.	Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets	4.1. Responsiveness of development sector services to evolving needs from changing and variable climate
	# of households with installed water and meters	Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies	8. Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level.
<b>Objective 2:</b> Strengthen the capacities of community members and leaders to operate and maintain their village water system.	Water Boards fully established, and all members nominated in each community	Output 2.1: Strengthened capacity of national and sub-national centers and networks to respond rapidly to extreme weather events	2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)
	% of women appointed to Water Board in each Community		
	Days of training on water system in for each Water Board		
	% of community members in preventative maintenance of their respective water system, of which at least 30% are women.		
<b>Objective 3:</b> Ensure long term change in attitudes towards water preservation and climate change impacts therein, through restoration of community-near ecosystem services	# of activities realized in improvement of ecosystem services that are related to ensuring water sustainability and quality in the Toledo District.	Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress	5. Ecosystem services and natural assets maintained or improved under climate change and variability- induced stress.
	# of activities realized in improvement of ecosystem services that to the protection of Corozal Bay.		
	# of workshops and skill building events in each Toledo District community related to reforestation, conservation, and land use to ensure water sustainability.		
	# of workshops and skill building events in Copper Bank related to conservation of the Corozal Bay estuary. 30% participation of women		
<b>Objective 4:</b> Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.	Gender-Needs assessment in each respective community, prior to commencement of core activities of the Grant Award Entity in activity 3.1.	Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas	6.1 Percentage of households and communities having more secure access to livelihood assets
	# of workshops realized related to improve, enhance, and/or diversify community livelihoods that lead to increase in average household income through activities associated to restoration or improvement of community ecosystem services. At least, 30% of which are women 10% of which are youth (aged 20-24).		
	Positive change in average household income in each community during project execution.		6.2. Percentage of targeted population with sustained climate resilient alternative livelihoods
	# of workshops realized related on gender equality and women's rights, carried out throughout the assignment, under the project programming by the Grant Award Entity.		
	# of community workshops and/or seminars to enhance understanding of the climate risks faced by the community and the livelihood opportunities resulting from reliable, potable water and the restoration and management of the community ecosystems.		
<b>Objective 5:</b> Increased capacities in solar technologies through training of indigenous women in the 3 Toledo District Communities.	# of training seminars undertaken in operation and maintenance of distributed solar system,	Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies	8.1. No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated
	# of persons trained in each community operation and maintenance of distributed solar system.		
	% of women of the community trained in each community operation and maintenance of distributed solar system		

<b>Objective 6.</b> Strengthen the awareness of rural communities in Belize about the impacts of climate change on water sustainability.	2 awareness communication campaigns, through locally used media, on climate change and water sustainability	Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	3.2. Percentage of targeted population applying appropriate adaptation responses
	Post-campaign survey with village leadership disaggregated, who responded awareness on water issues related to climate change.		3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses
	% of respondents to MRT survey are women		
<b>Objective 7:</b> Improve the resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability.	1 workshop per community on inclusive water board management	Output 2.1: Strengthened capacity of national and sub-national centers and networks to respond rapidly to extreme weather events	2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)
	1 workshop per community on WASH with gender perspective		
	% of women in each workshop		
<b>Objective 8:</b> Strengthen the MRT's capacity to respond to water issues in the communities	4-week course for MRT officers on climate change, inclusive governance, gender community issues and solar hybrid system	Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and	3.2.2 No. of tools and guidelines developed
	4-week course for MRT officers on climate change, inclusive governance, gender community issues and solar hybrid system	disseminate knowledge and learning	(thematic, sectoral, institutional) and shared with relevant stakeholders
		Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets	4.1. Responsiveness of development sector services to evolving needs from changing and variable climate

Table 21: Alignment with Adaptation Fund Results Framework

**H. Include a detailed budget with budget notes, a budget on the Implementing Entity management fee use, and an explanation and a breakdown of the execution costs.**

Component	Objective	Activity	AF Grant	Notes
Component 1: Design and build cost-effective solar hybrid sustainable water systems in the four selected communities that will allow households to have access to potable and reliable water service.	Objective 1: Reduce exposure to climate variability in 4 rural communities of Belize through an inclusive drought-resistant potable and consistent water supply. Objective 2: Strengthen the capacities of community members and leaders to operate and maintain their village water system.	Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities.	3,103,389.25	1
		Activity (2.1) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems.	60,000.00	2
Component 2: Ensuring the sustainability of water resources through improvement of livelihoods opportunities	Objective 3. Ensure long term change in attitudes towards water preservation and climate change impacts therein, through restoration of community-near ecosystem services	Activity (3.1) Restoration and sustainability actions of the community-near ecosystems services	648,500.00	3
Component 3: Increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthening the knowledge management capacities of the public authorities and the communities.	Objective 4. Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.	Activity (4.1) improving the participation of men and women in alternative livelihoods through ecosystem restoration and improvement practices.	79,611.67	4
	Objective 5. Increased capacities in solar technologies through training of indigenous women in the 3 Toledo District Communities	Activity (5.1) Enhancing the participation of women through workshops and capacity building activities in maintenance and installation of solar systems.	43,780.00	5
	Objective 6. Strengthen the awareness of rural communities (villages) in Belize on the impacts on water sustainability on the effects of climate change.	Activity (6.1) Develop and implement a national public awareness campaign through village leadership for the communities on the impacts on water sustainability on the effects of climate change.	30000	6
	Objective 7. Improve the resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability.	Activity (7.1) Training of Village Leadership of the four communities and their Water Boards on inclusive governance.	34,500.00	7
	Objective 8. Strengthen the MRT's capacity to respond to water issues in the communities.	Activity (7.2) Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.	9000	8
		Activity (8.1) Strengthen the MRT's knowledge base through trainings of its regional and field officers of best practices in water board management and hybrid / solar water systems.	36350	9
		Sub-total Project Activities	4,045,130.92	10
		Project Management Staffing Sub-Total	360000	11
		Project Management - Goods and Services Sub- Total	75610	12
		Audit & Evaluation Sub-Total	70000	13
		PACT NIE Fees and Contingencies Sub-Total	444,964.40	14
		Total Project Costs	4,995,705.32	

Table 22: Detailed Project Budget.



## Budget notes

### Component 1

**Objective 1:** Reduce exposure to climate variability in 4 rural communities of Belize through an inclusive drought-resistant potable and consistent water supply.

- Activity (1.1)** Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities.

Activity	Sub-Activity	Type of Firm	USD	BZD
Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities.	Activity (1.1.1) Contract with established Firm or Consortium with expertise on solar water system in Belize, experience working with Toledo and Corozal District to design and build water system for each of the Communities	Engineering Firm (s) and or Engineering Consortium	3,103,389.25	6,206,778.50
	Activity (1.1.2) Firm contracted in 1.1.1 will undertake a consultive approach to develop each water system, and in the design, will specify the local skill available that could be included in the construction of the system.	Engineering Firm (s) and or Engineering Consortium		

Table 23: Activity 1.1 budget notes

Grant Allocation per Community:

Dolores	Otoxha	Boom Creek	Copper Bank
734,614.25	579,812.50	525,162.50	1,263,800.00

Table 24: Activity 1.1 Grant allocation per community.

- Professional services firm will be tasked in coordination and collaboration with the MRT and the communities, in finding adequate drilling areas. Tank and distribution location will be designed with community input. The firm will be tasked with preparing all required material for permit obtention. PMU E&S and Gender Specialist will undertake an assessment of the sites and develop the Environmental and Social Assessment Plan, to be used during construction. The selected firm must provide evidence of a field liaison officer with experience working in each district.
- Amounts correspond to systems tailored to the number of households in each community, terrain environment, and system capacity. The system in Boom Creek cannot be scaled to number of households, due to terrain and is disproportionately more expensive per household than the others. Copper Bank requires an RO system, which adds a US\$250,000 expense due to higher salinity.
- Prior to construction, the contract award firm will be required to obtain all the necessary permits.
- 30% of community members involved in the construction to be women.
- Construction days per community: 480, per day construction cost: US\$1167.65.

**Objective 2:** Strengthen the capacities of community members and leaders to operate and maintain their village water system.

**2. Activity (2.1)** Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems.

Activity	Sub-Activity	Type of Firm	US\$	BZ\$
Activity (2.1) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems.	Activity (2.1.1.) MRT workshop to constitute water board for water systems management.	MRT PMU (EE): Workshop venue rental, catering.	12,000.00	24,000.00
	Activity (2.1.2) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems.	Engineering Firm (s) and or Engineering Consortium and/or Qualified equipment supply firm.	48,000.00	96,000.00

Table 25: Activity 2.1 Budget notes

## Component 2

**Objective 3.** Ensure long term change in attitudes towards water preservation and climate change impacts therein, through restoration of community-near ecosystem services.

**3. Activity (3.1)** Restoration and sustainability actions of the community-near ecosystems services

Activity	Sub-Activity	Type of Firm	US\$	BZ\$
Activity (3.1) Restoration and sustainability actions of the community-near ecosystems services	Activity (3.1.1) Call for Proposals for entities with experience working in the Toledo District, on improvement of livelihoods through the restoration of the ecosystems nearby the communities.	Qualified entity with experience in executing grants (NGOs or CSOs)	419,750.00	839,500.00
	Activity (3.1.2) Call for Proposals for entities with experience working in the Corozal District (or Orange Walk District), on improvement of livelihoods through the restoration of the watershed.	Qualified entity with experience in executing grants (NGOs or CSOs)	228,750.00	457,500.00

Table 26: Activity 3.1 Budget Notes

Grant Allocation Activity 3.1	Dolores	Otoxha	Boom Creek	Copper Bank
Activity 3.1.1	200,750.00	155,125.00	63,875.00	-
Activity 3.1.2	-	-	-	228,750.00
Total Allocation Activity 3.1	200,750.00	155,125.00	63,875.00	228,750.00
Allocation % of Total	31.0%	23.9%	9.8%	35.3%
per household	1,265.10	1,786.61	1,939.48	1,018.70

Days allocated (Activity 3.1)	Year 0	Year 1	Year 2	Year 3	Year 4
Toledo District Communities (Dolores, Otoxha, Boom Creek)	20	554	520	503	84
Corozal District Communities (Copper Bank)	11	302	284	275	46

- Grant to be awarded to an entity (NGO, CSO, CBO) legally based in Belize.
- Entities will submit grant proposals to be evaluated by the MRT/PMU and the Technical Advisory Committee.
- Entities must meet PACT grant award guidelines, provide evidence of solid fiduciary capacities, and experience in similar undertakings.
- The same entity that submits a proposal for 3.1 must submit a proposal for 4.1.
- Please refer to Annex II "Implementation and Operations Arrangements".
- Duration 50 months.

**Objective 4.** Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.

**4. Activity (4.1)** Enhancing the participation of men and women through workshops and capacity building activities in income generation activities.

Activity	Sub-Activity	Type of Firm	US\$	BZ\$
Activity (4.1) Enhancing the participation of men and women through workshops and capacity building activities in income generation activities.	Activity (4.1.1) Development of a gender-responsive needs assessment considering the interests and needs of women, men, and youth in the communities, to be carried out by the entity responsible of this activity before implementation.	Qualified entity with experience in executing grants (NGOs or CSOs)	12,600.00	25,200.00
	Activity (4.1.2) Workshops on gender equality and women's rights for the communities are carried out by entity awarded with grant for 4.1.	Qualified entity with experience in executing grants (NGOs or CSOs)	17,293.33	34,586.67
	Activity (4.1.3) Workshops and other skill building exercises related to livelihood options that allow community member to gain new skills, enhance current skills, or improve existing livelihoods.	Qualified entity with experience in executing grants (NGOs or CSOs)	43,233.33	86,466.67
	Activity (4.1.4) Community seminar or workshops that socialize the risks faced by community members in climate change and opportunities to mitigate through participation in project activities.	Qualified entity with experience in executing grants (NGOs or CSOs)	6,485.00	12,970.00

Table 27: Activity 4.1 Budget notes

Grant Allocation Activity 4.1	Dolores	Otocha	Boom Creek	Copper Bank
Activity 4.1.1	3,200.00	2,400.00	2,000.00	5,000.00
Activity 4.1.2	5,353.33	4,136.67	1,703.33	6,100.00
Activity 4.1.3	13,383.33	10,341.67	4,258.33	15,250.00
Activity 4.1.4	2,007.50	1,551.25	638.75	2,287.50
Total Allocation Activity 4.1	23,944.17	18,429.58	8,600.42	28,637.50
% per household	30.1%	23.1%	10.8%	36.0%
Allocation per household	225.89	317.75	390.93	190.92

- Activity 4.1.1, total days allocation 63 distributed proportionally in each community for the assessment, at US\$200 per day,
- Activity 4.1.2, 86 workshops, Dolores 27, Otocha 21, Boom Creek 9, Copper Bank, 31, at US\$200 per workshop.
- Activity 4.1.3, 176 workshops, Dolores 54, Otocha 41, Boom Creek 17, Copper Bank, 61, at US\$250 per workshop.
- Activity 4.1.4, 22 workshops, Dolores 7, Otocha 5, Boom Creek 2, Copper Bank, 8, at US\$300 per workshop.
- All activities are inclusive of transportation, venue, and material costs.
- Duration 50 months.

**Objective 5.** Increased capacities in solar technologies through training of indigenous women in the 3 Toledo District Communities.

**5. Activity (5.1)** Enhancing the participation of women through workshops and capacity building activities in maintenance and installation of solar systems.

Activity	Sub-Activity	Type of Firm	US\$	BZ\$
Activity (5.1) Enhancing the participation of women through workshops and capacity building activities in maintenance and installation of solar systems.	Activity (5.1.1) Call for Proposals for entities with experience in working with women on maintenance and installation of distributed energy for the Toledo District	Qualified entity with experience in executing grants (NGOs or CSOs)	43,780	87,560

*Table 28: Activity 5.1 Budget Notes*

- Costs based on a two-year implementation period of GEF Small Grants Program with Plenty International of Belize; that project provided solar panels, batteries, converters to all households, community electric board, and other related activity, for a total grant of US\$ 150,000, plus contributions from villages, MRT, and UNDP. This activity will only provide demonstrative installation to interested households.
- Three workshops per month, per community, during the engagement.
- Total months of grant activities 12.

Allocation	Dolores (US\$)	Otoxha (US\$)	Boom Creek
Amount	19,540	14,984	US\$ 9,256.56
Per household	184	258	420
Per Month	1,628	1,249	US\$ 771.38

### Component 3

**Objective 6.** Strengthen the awareness of rural communities in Belize about the impacts of climate change on water sustainability.

**6. Activity (6.1)** Develop and implement a national public awareness campaign throughout village leadership for the communities on the effects of climate change on water sustainability.

Activity	Sub-Activity	Type of Firm	US\$	BZ\$
Activity (6.1) Develop and implement a national public awareness campaign throughout village leadership for the communities on the effects of climate change on water sustainability.	Activity (6.1.1) Tender a contract for a stakeholder engagement firm to develop and implement of a gender-sensitive campaign & survey, both in terms of format (languages, media) and content (climate change differentiated impacts of men and women), on the impacts of climate change on water sustainability.	Consultancy, experienced in stakeholder communication and engagement	30000	60,000.00

*Table 29: Activity 6.1 Budget Notes*

- Consultancy/Communication days: 60, per diem US\$500.
- Prices reflect costs for a recurring campaign during year 3 of the project and include ex-ante and ex-post survey.

**Objective 7.** Improve the resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability.

**7. Activity (7.1)** Training of Village Leadership of the four communities and their Water Boards on inclusive governance.

Activity	Sub-Activity	Type of Firm	US\$	BZ\$
Activity (7.1) Training of Village Leadership of the four communities and their Water Boards on inclusive governance.	Activity (7.1.1) Tender a contract for firm to for the development of training materials on inclusive governance cover gender equality principles, recognize women's rights and the importance of women's participation in leadership positions	Consultancy (or consortium), experienced in community organizations, governance, in health and gender inclusiveness.	24000	48,000.00
	Activity (7.1.2.) Tender a contract for firm to for the development of training of Village School teachers of the four communities on WASH with a gender perspective, along with the provision of visual reference materials to be posted in school grounds.	Consultancy (or consortium), experienced in community organizations, governance, in health and gender inclusiveness.	10,500.00	21,000.00

*Table 30: Activity 7.1 Budget Notes*

- Consultancy/Communication days: 60, per diem US\$ 400. Firm must be based in the region and be fluent in Maya.
- If PACT determines adequate firms, Activities 7.1.1 and 7.1.2 can be combined into a single tender.

- International Entities and Public Entities (Ministry of Health) may participate in the tender.

Allocation	Dolores (US\$)	Otozha (US\$)	Boom Creek (US\$)	Copper Bank (US\$)
Amount	2,800	2,100	2,100	3,500
Days	20	15	15	25

- 8. Activity (7.2)** Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.

Activity	Sub-Activity	Type of Firm	US\$	BZ\$
Activity (7.2) Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.	Activity (7.2.1) MRT will summon nearby project communities to a 1-day field workshop understand the relevance of the impacts of water and climate change.	Consultancy (or consortium), experienced in climate change impacts in Belize, Central America, and the Caribbean.	1000	2,000.00
		MRT PMU (EE): Workshop venue rental, catering, transportation for community members	3500	7,000.00
	Activity (7.2.2) MRT will summon interested communities to a 1-day field workshop understand the relevance of the inclusive governance and water systems maintenance.	Consultancy (or consortium), experienced in climate change impacts in Belize, Central America, and the Caribbean.	1000	2,000.00
		MRT PMU (EE): Workshop venue rental, catering, transportation for community members	3500	7,000.00

Table 31: Activity 7.2 Budget Notes

- Community selection will be undertaken by the MRT, and organization and support for the workshops will be organized by the PMU.
- Consultant/Facilitator for each workshop: US\$1,000
- MRT Workshop, logistics including transportation of community leaders to a centralized location, catering: US\$3,500.

**Objective 8.** Strengthen the MRT's capacity to respond to water issues in the communities.

- 9. Activity (8.1)** Strengthen the MRT's knowledge base through training of its regional and field officers of best practices in water board management and hybrid / solar water systems.

Activity	Sub-Activity	Type of Firm	US\$	BZ\$
Activity (8.1) Strengthen the MRT's knowledge base through trainings of its regional and field officers of best practices in water board management and hybrid / solar water systems.	Activity (8.1.1) Tender for a consultancy firm to conduct capacity-development tailored seminar, including workshops, of the MRT's regional and field officers to mainstream gender within their day-to-day activities, water board management and interaction, and water systems (including solar / hybrid systems).	Consultancy (or consortium), experienced in inclusive governance, gender, and water systems.	18000	36,000.00
		MRT PMU (EE): Workshop venue rental, catering.	3750	7,500.00
	Activity (8.1.2) Tender for a consultancy firm to conduct capacity-development of the broad MRT on gender equality and women's rights, climate risks and adaptation measures, and governance.	Consultancy (or consortium), gender, and climate change.	14000	28,000.00
		MRT PMU (EE): Workshop venue rental, catering.	600	1,200.00

Table 32: Activity 8.1 Budget Notes

- Workshops will be facilitated, part online, and part in-situ, in either Belmopan or Belize City.
- Consultancy, experienced in climate, gender inclusivity, water systems: days: 30, per diem US\$600. Consultancy can provide part of the coursework online, however in-situ workshops are mandatory.
- Workshop venue rental, catering, transport of field officers: US\$3,750. Ranges of venue rentals in Belmopan or Belize city can be between US\$850 to US\$2,000, per day.
- Consultancy/Communication days: 20, per diem US\$700.
- Workshop venue accommodation (MRT), catering, transport of field officers: US\$600.

## 10. Project Management

Project Management Staffing	Total	Days	Rate
Project Manager (Full Time)	135000	1080	125
E & S Specialist (Part Time)	72000	480	150
Gender Specialist (Part Time)	72000	480	150
Technical Officer	81000	1080	75
<b>Project Management Staffing Sub-Total</b>	<b>360,000</b>		

Project Management - Goods and Services	Total	Rate	Quantity
PMU Tech infrastructure			
Rental Space	64800	54	1,200
Cell phone service	3600	144	25
Project website	560	140	4
Laptop Computers	4800	1600	3
Marketing & Communication			
Graphic Design	250	250	1
Signage (Village)	1600	400	4
<b>Project Management - Goods and Services Sub- Total</b>	<b>75,610</b>		

- Project Manager, monthly Salary US\$2,500, for 54 working months.
- Project Manager contract will require own transportation.
- E&S Specialist, part time, monthly Salary US\$1,500, for 48 working months.
- Gender Specialist, part time, Monthly Salary US\$1,500, for 48 working months.
- PACT can combine the E&S and Gender Specialist into one position if adequate candidates are available.
- Technical Officer, monthly salary US\$1,500, for 54 working months.
- Rental space for PMU, monthly service US\$1,200 for 54 months.
- Marketing & Communication involve creating project images and project signage in each of the villages.
- Marketing & Communication includes payments for PACT webpage upgrade and maintenance as to accommodate a centralized project communication space, USD 560, graphic design services to develop a project image, USD 150, project road signs in all four communities, providing stakeholders with project contact information, USD 1600 (400 each), and cell phone service for the PMU staff for the project period, USD 3600.

## 11. Audit and Evaluation

Audit & Evaluation	Total (US\$)	Qty	Cost (US\$)
Audit (Annual)	34,000	4	8,500
Evaluation (Mid-term)	18,000	1	18,000
Evaluation (Final)	18,000	1	18,000
<b>Audit &amp; Evaluation Sub-Total</b>	<b>70,000</b>		

- Project audits in Belize are quoted at US\$ 8,000 per audit.
- Evaluation costs reflect 30 per diem, at US\$ 600.

## 12. Fees and Contingencies

Fees & Contingencies	Total (US\$)	Percent
PACT (NIE) Fee	323,610.47	8%
Contingencies	121,353.93	3%
<b>PACT NIE Fees and Contingencies Sub-Total</b>	<b>444,964.40</b>	

- NIE fee calculated of 8% of US\$ 4,045,130.92
- Contingencies 3% of US\$ 4,045,130.92.

**I. Include a disbursement schedule with time-bound milestones.**

	<b>Year 0</b>	<b>Year 1</b>	<b>Year 2</b>	<b>Year 3</b>	<b>Year 4</b>	<b>Year 5</b>
<b>Schedule date</b>	2025-Q1	2025	2026	2027	2028	2029
<b>Disbursement to Project Account</b>	4,381,464	\$3,284,680	\$888,505	\$449,785	\$290,217	\$82,519
<b>% of Total Activities</b>		77%	16%	5%	2%	0%
<b>Execution Costs (Activities Only)</b>	27,000.00	\$3,103,389	\$636,084	\$214,863	\$90,795	\$0
<b>Project Management, Audit, &amp; Evaluation</b>		\$165,110	\$125,000	\$107,500	\$72,000	\$36,000
<b>Implementing Entity Fee</b>	63,442.02	\$16,181	\$97,083	\$97,083	\$97,083	\$16,181
<b>Contingencies</b>		\$0	\$30,338	\$30,338	\$30,338	\$30,338

**IV ENDORSEMENT**

**Government endorsement**

**PART IV: ENDORSEMENT BY GOVERNMENTS AND CERTIFICATION BY THE IMPLEMENTING ENTITY**

**A. Record of endorsement on behalf of the government<sup>27</sup>** *Provide the name and position of the government official and indicate date of endorsement for each country participating in the proposed project / programme. Add more lines as necessary. The endorsement letters should be attached as an annex to the project/programme proposal. Please attach the endorsement letters with this template; add as many participating governments if a regional project/programme:*

  Mr. Carlos Pol Ag. Chief Executive Director Ministry of Economic Development	Date:  23/05/24
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<sup>6</sup>. Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities.



**B. Implementing Entity certification.**

**B. Implementing Entity certification** *Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Provide also the project/programme contact person's name, telephone number and email address*

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (Plan Belize: Medium Term Development Strategy and the National Climate Change Policy, Strategy and Action Plan and Belize's Nationally Determine Contributions to the UNFCCC) and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.

*Mr. Pablo Ayala  
Accounting and Administrative Manager  
Protected Areas Conservation Trust  
Implementing Entity Coordinator*

Date:

*12 APRIL 2024.*

Tel. and email:

(501) 822-3637

finmanager@pactbelize.org

Project Contact Person: Ms. Abihail Pech

Project Development Officer

Tel. And Email:

(501) 822-3637

projdevofficer2@pactbelize.org



## GOVERNMENT OF BELIZE

Ministry of Finance, Economic Development and Investment

**ECONOMIC DEVELOPMENT**  
**P.O. Box 42**  
**Ground Floor, Sir Edney Cain Building**  
**Belmopan City**  
**Belize, Central America**

**Tel: (501) 880-2526**  
**(501) 880-2527**  
**Email: econdev@med.gov.bz**

**Our Ref: IA/AF/1/24 (16)**

May 27, 2024

The Adaptation Fund Board  
c/o Adaptation Fund Board Secretariat  
Email: Secretariat@Adaptation-Fund.org  
Fax: 202 522 3240/5

**Re: Endorsement for “Securing Water Resources through Solar Energy and  
Innovative Adaptive Management (SEAM)”**

In my capacity as designated authority for the Adaptation Fund in Belize, I confirm that the above national grant proposal is in accordance with the government’s national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the country.

Accordingly, I am pleased to endorse the above grant proposal with support from the Adaptation Fund. If approved, the project will be implemented by the Protected Areas Conservation Trust (PACT) and executed by the Ministry of Rural Transformation, Community Development, Labour and Local Government.

Sincerely,

A handwritten signature in blue ink, appearing to read 'C. Pol'.

**Mr. Carlos Pol**  
Ag. Chief Executive Officer  
Ministry of Economic Development

## ANNEXES

### Annex I: Geology, Geography and Climate

#### GEOGRAPHY

##### J. BELIZE

Belize is a Central American country, formerly known as British Honduras, that borders Mexico's Yucatan peninsula to the north and Guatemala to the South and to the West (Izabal Department). Belize also shares an ocean border in the Gulf with Honduras, with Honduras. The national territory of Belize covers a land area of approximately 22,967 km<sup>2</sup>, including 280 km of coastland. The mainland makes up 95% of the territory, and 5% is represented by small islands or Cays.



Figure 7: Administrative and Political Map of Belize

To the east, Belize borders the Caribbean Sea, with over 1,000 small islands and cays, along the Caribbean Reef. The southern border of Belize is established by Sarstoon River, which borders Guatemala in the southern front. The western border, which begins south in the Sarstoon River, following the 88°45' West meridian, north until reading the Arroyo Azul, which along with the Blue Creek and Rio Ixno-ha (from the Mexican State of Quintana Roo) are tributaries to the Rio Hondo, forming the north border with Mexico until reaching the Caribbean Sea in the Chetumal estuary. The south and western border are separated by the Maya Mountains range, which begin formation in eastern Belize, cross towards Guatemala, before the Santa Cruz Range.

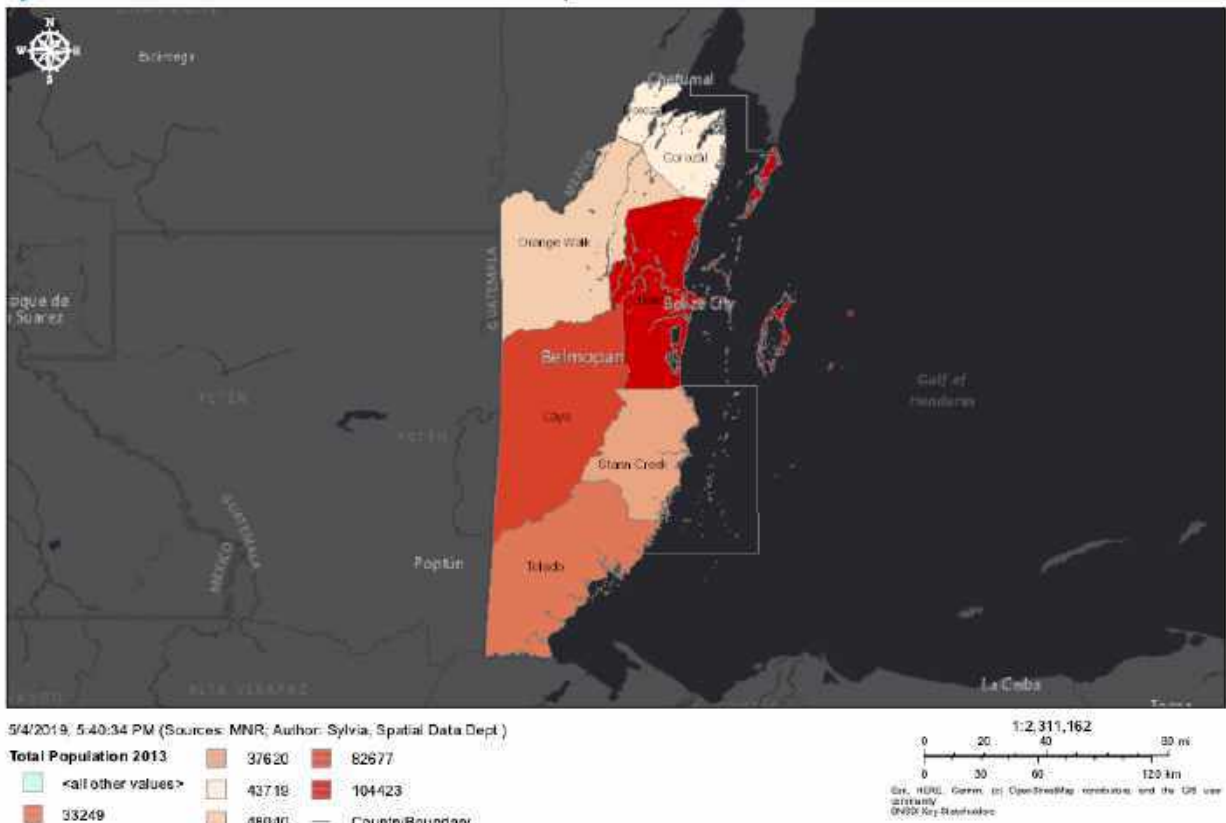


Figure 8: Geospatial map of the six administrative districts of Belize according to population.

The administrative development of Belize closely follows its geographical features; the country is divided into six administrative districts. Cayo, Orange Walk, Belize, Toledo, Corozal, and Stann Creek Districts. The Cayo District, in western Belize, borders Guatemala, and it's characterized by its strong Maya and Spanish colonial influence, and it's nested in the northern end of the Mayan Mountain range. The Orange Walk District, in the north branching from the Guatemala Border to the eastern Caribbean seaboard, is mostly flat, and where most of the sugar cane farming is carried out. The Stann Creek District borders the Cayo District to the west, Belize District to the north, and Toledo District to the south, with a varied topography which includes the eastern branch of the Mayan mountains, and home to Belize's highest point, the Victoria Peak (1,120 m). In the southern border, the Toledo District is mostly mountainous, albeit the topography decreases to plains and mangroves as it borders the Caribbean Sea. The central district, Belize District, is home to the largest city, and administrative capital, and also includes the cayes, which as barrier islands that extend some 100km from the coast. Most of the population of Belize is housed in the Belize District.

Each district has an administrative seat, where hospitals, government offices, banks and other services are offered. In the Corozal District, Corozal Town; in the Orange Walk, Orange Walk town; in Stann Creek, Dangriga; Cayo District, San Ignacio; and Toledo District, Punta Gorda.

## K. PROJECT COMMUNITIES



Figure 9: Project Communities, Copper Bank in the north (Corozal District), and Dolores, Otoxha, and Boom Creek to the south (Toledo District).

Three of the project communities, Dolores, Otoxha, and Boom Creek, are located in the Toledo District, a strong Maya enclave in the southern part of the country, a fourth community, Copper Bank, is located in the Corozal District. Dolores and Otoxha, are nearly 7 km apart, and Dolores is about 2km from the border of Guatemala. The largest town to these communities is Modesto Mendez, located in Guatemala. Boom Creek, located towards the western end of the Toledo District, about 15km from the district's seat, Punta Gorda. The three Toledo district communities have some access to rivers or streams; Boom Creek, is located on the higher banks of the navigable Moho River; Dolores and Otoxha, have tributaries, albeit their water flow varies depending on the time of the year. The four towns are characterized by Maya influence, non-grid pattern street development.

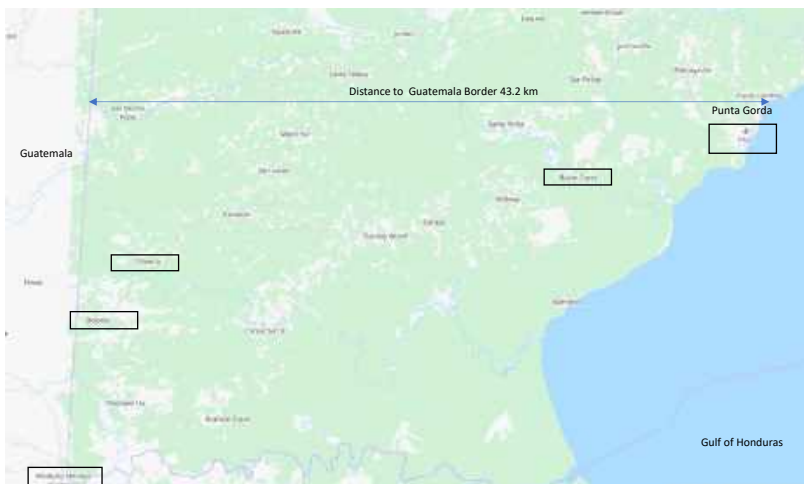


Figure 10: Dolores, Otoxha, and Boom Creek.

Copper Bank, located in the flat plain of the Corozal Bay estuary, 54km in direct distance from the western border of Guatemala, and 11km directly north from the border of Mexico and 30km driving distance to the Mexican border. The town is connected by (unpaved) road from the main (paved) highway that connects Orange Walk with Corozal Town (26km). In contrast with the Toledo District community, Copper Bank is more urbanized, and has several towns of over 500 inhabitants, nearby, such as Chunox and Sarteneja.



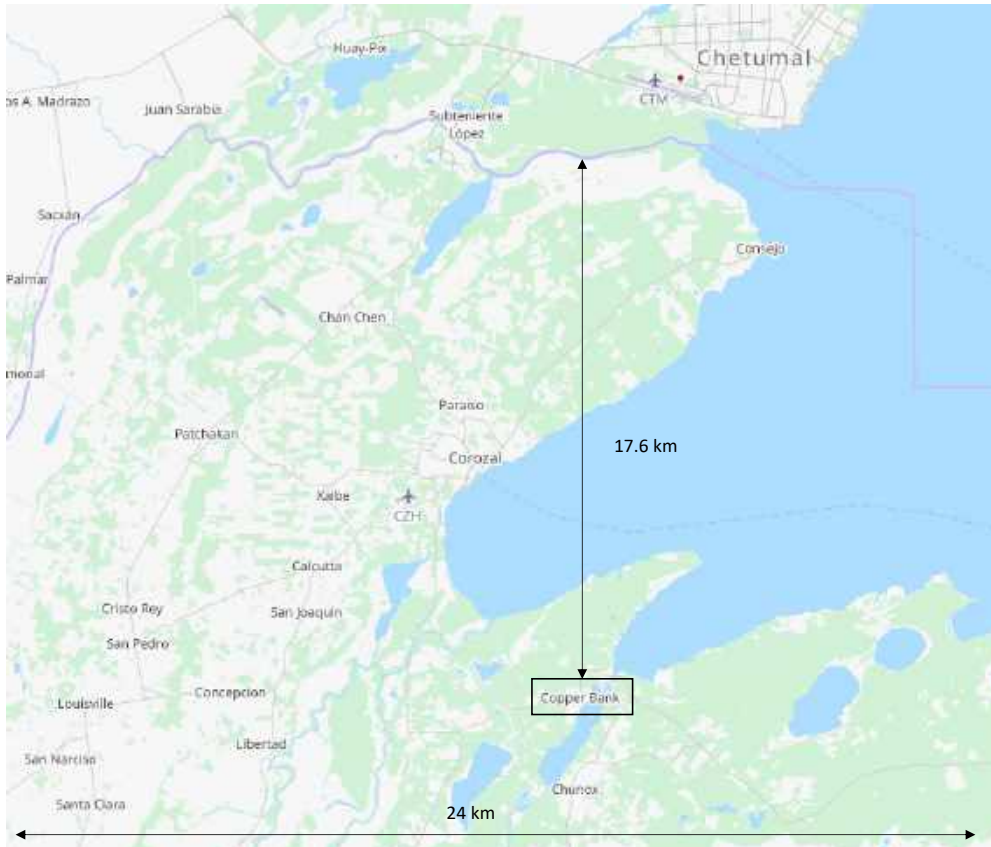


Figure 11: Copper Bank, distances from western (Belize) and norther (Mexico) borders.

## GEOLOGICAL FEATRUES

The broad geology of Belize is formed by underlying limestone, with distinction between the northern flatlands and the more mountainous southwest, which are characterized by the Maya Mountains<sup>1</sup>. Belize is characterized by seven land regions, which have been cited throughout literature and are also used in soil suite classifications<sup>2</sup>: Northern Coastal Plains, Bravo Hills, Central Coastal Plan, Central Foothills, Western uplands, Maya Mountains, and the Toledo Foothills. The project communities are located in the Northern Coastal Plain (Copper Bank) and in the Toledo Foothills (Boom Creek, Otoxha, and Dolores). Most rock formation is Belize date from the Crustaceous, through the Cenozoic and towards Neogene periods.

The northern flatlands are of limestone formation, with a shallow layer of clay, low in magnesium content, and limited drainage. The southern part of Belize, especially in the Toledo District, is composed of a mixture formation, from the granite contexture of the Maya mountains, and the limestone formation towards the lower lands<sup>2</sup>.



Figure 12: Geological formations in Belize<sup>3</sup>.

Other relevant regions are the Mayan Mountains and the Western Uplands. The Maya Mountains in Southern Cayo have hard rocks, high and rugged terrain, and diverse vegetation. They range from 120m to 1,124m in altitude, from 20m to 400m in local relief, and from gentle to steep in slope. The Maya Mountains are part of the Santa Rosa formation, dating to the Late Palaeozoic (Late Carboniferous to Permian). Previously, 'Doyle's Delight' was (1,124m) thought to be highest point in Belize; currently, this record is held by Victoria Peak<sup>4</sup>. Granite is the rock type of much of the Mountain (Maya) Pine Plateau<sup>5</sup> and the few occurrences of the Stopper Plain with Hills land system. Mountain Pine Plateau is strictly an aplite dyke consisting almost entirely of granular quartz and feldspar. The Maya Mountains, also include several land systems, characterized by the dominant rock types, mostly consisting of metasediments, hard limestone, and granite, which influence the drainage density of the soil<sup>5</sup>. The Western Uplands land region covers the area occupied by Cretaceous limestones in western Southern Cayo, bounded by the Central Foothills in the north, and the Maya Mountains in the east and south. it includes the area demarcated as the Vaca Plateau<sup>6</sup>. This area of Belize is characterized by karst, which include caves, sinkholes, and underwater rivers. The altitude ranges from 180m in the north to 700m in the south in the Maya Mountain border. The depth of the limestone formation, drains much of the rainwater, discouraging settlement.

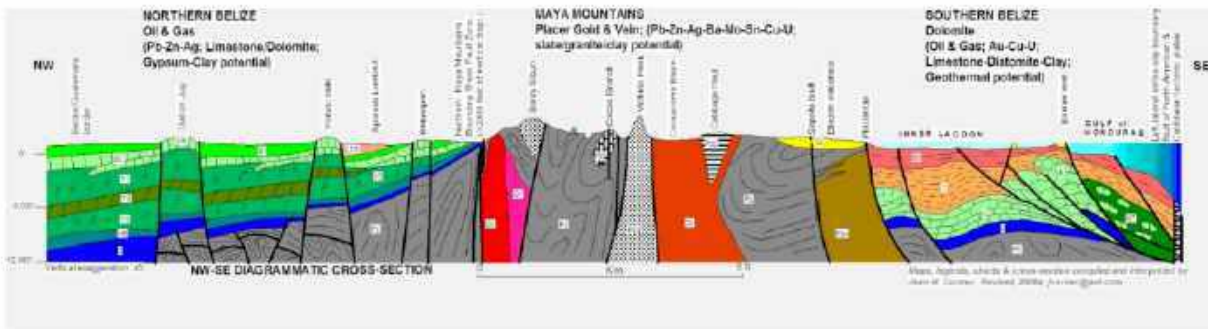


Figure 13: Cross Section of geological features of Belize<sup>7</sup>.

The Corozal District, part of the Northern Coastal Plains Region, also includes several land systems, the Xaibe, Glady, Blackshore, as well as the Jobo plain. The Xaibe system is characterized by shallow soils on flat plains, the Glady, forest savanna or low marsh forest mosaic with dominant forest, the Blackshore, savanna forest mosaic with dominant savanna<sup>2,7</sup>. The most common rock type found in the Northern Coastal Plain region are limestone, with different levels of hardness, gypseous limestones, flint, chert limestone, alluvium, and hard limestone. Copper Bank located in Xaibe land system, shallow soils, named xaibe puluacax remate, classified as Lithic Ustropept. Xaibe soil, one of the most common soils in northern Belize. Xaibe soil has a shallow, dusky red, clay-textured surface horizon over hard limestone or weathered coral with a base saturation of less than 35 percent. Xaibe soil is well-supplied with bases, but has low effective depth, poor drainage, and micronutrient deficiencies.

The Toledo District, which is part of the Toledo Foothills region, mostly of the Paleogene age, characterized by the Coban Formation<sup>2</sup>, a layer of rock that lies beneath the Western Uplands and the Toledo Foothills. This rock layer is made of thick and huge limestones and dolomites from the Cretaceous period (from about 145 to 90 million years ago). The northern part of the Toledo District, and southern Stann Creek is known for impressive karst landscapes especially in the southern part of the Western Uplands; with large caves, such as the Chiquibul Branch (one of the largest globally), in Stann Creek<sup>6</sup>. The rock layer has a deep section of about 700m of limestones and dolomites with salt deposits in the bottom part. This is the source of the Vaca soil suite, and one of the sources of the Chacalte Suite. The Coban Formation sometimes has shale mixed in and can be sandy. The three project communities in the Toledo District, evidence the influence of limestone drainage systems, limited capacity to sustain water levels during the drier months of the year.

Most relevant (intense) tectonic activity in Belize, arise in the late cretaceous, with minor corrections during in the Tertiary, which distributed sedimentary formations which exist today. Belize lies on the edge of the North American Plate; the boundary between the North American and Caribbean Plates bisects the Gulf of Honduras; with two tectonic regions the with boundary in uplifted the Santa Rosa Group (Maya Mountains). It's thought that folding of the granite plates, moved some 80 million years ago., which resulted in most of the unique features of Belize, including the Maya Mountains, and the atolls along the barrier reef. The northern plate, characterized by carbonate deposits, which rose some 50m from sea level, in the Tertiary, as movements in the mountains lifted the territory. The Toledo district contains less homogenous tectonic formation, mainly due to the influence of mountains, which greatly influence this part of the country, albeit to a less degree than the central area where Stann Creek, Cayo, and Belize Districts are located. The Toledo District's formation has been influenced by the diversity of geological context, given that the mostly non-carbonate granite mountains, are bound by sediments and tertiary carbonates, propitious to karst or limestone cave formations.

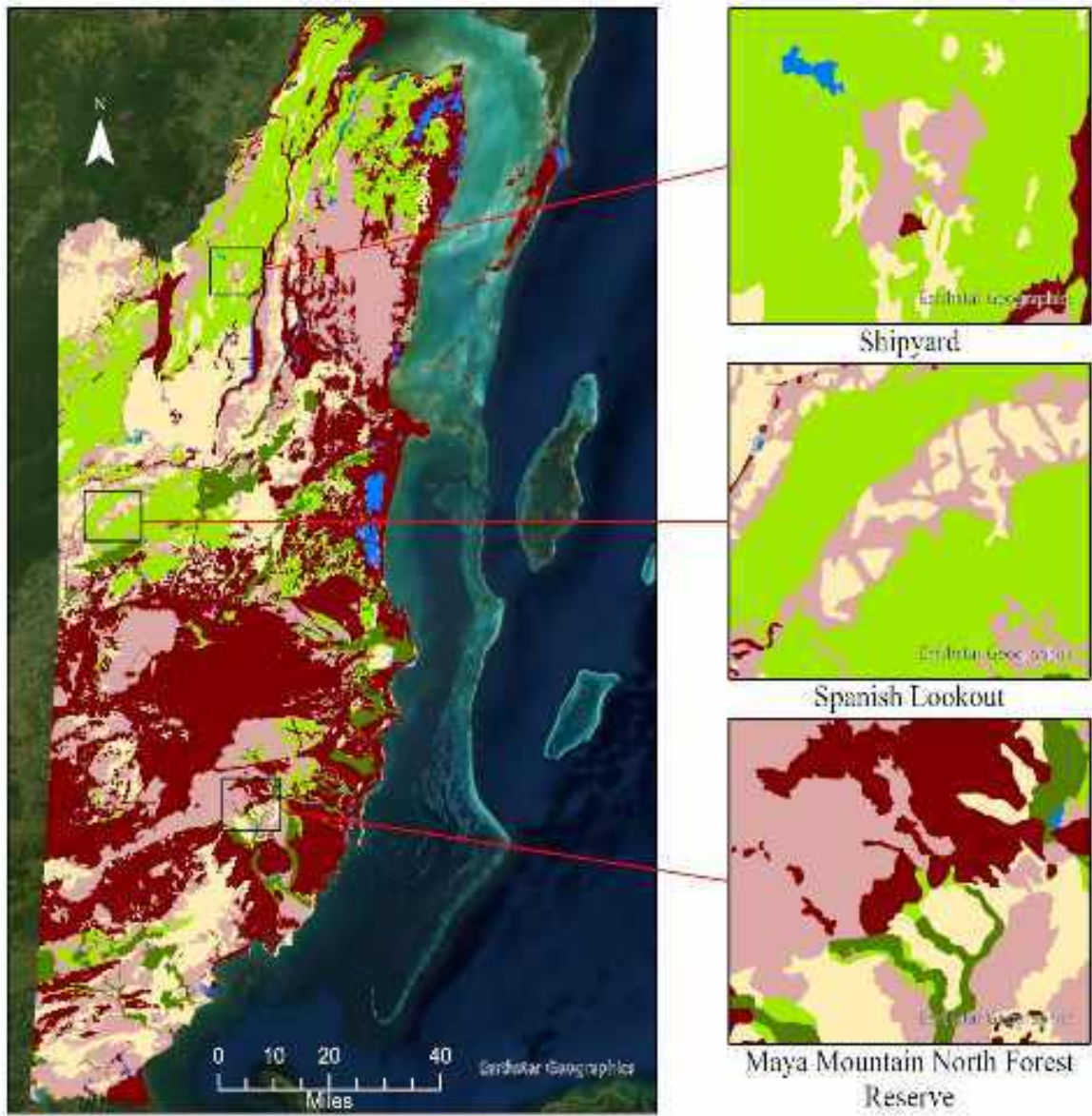
## L. Soils

The geological formation of Belize has influenced settlements and current agricultural production. Moreover, it appears that soil and water retention thereof, may have influenced Maya agricultural practices<sup>7</sup>. Milpa farming is often cited as a detriment to soil quality, albeit the term is broad, it would generally refer to cutting and burning a forest parcel, planting, and upon low yields, moving towards a new parcel using the same technique; the unused parcel regenerates forest cover, and the cycle continues. The Milpa farming system is still widely used across Belize, Guatemala, Honduras, and parts of Mexico.

Large forest covers in southern and central Belize allow for Milpa farming, however, as population levels have increased, along with persistent logging activities, the system is inadequate to alleviate soil regeneration sufficiently to accommodate for changes in climate<sup>8</sup>. Soils in the Toledo district, which are classified andosols, vertosols, and inceptisols are more prone to degradation than those soils in the northern part of Belize. These soils are largely developed over solid volcanic rock formation, are prone to degradation as with higher rainfalls. In contrast with the north, where soils fluvaquents, vertosols, gleysols, inceptisols<sup>2,9</sup> are based on limestone and alluvium, have improved drainage. The northern part of Belize includes most larger settlements, such as Corozal Town, Belmopan, and Belize City.

The type of agricultural production of Belize is strongly influenced by the soil type. The North, provides much of the agricultural production of the country, consisting of sugar cane, bananas, and citrus. Also Mennonite communities in the north of Belize<sup>7</sup> have used modern agricultural techniques, enhanced by soils, to improve yields on corn (yellow), in contrast to the Maya communities who use traditional methods.





## Agricultural Capacity by King et al. 1992

Credits: BIFS, King et al. (1992), Land Information Center, U.S. Geological Survey

Figure 14: From "Landscape Effects on Soil Fertility Across Belize", 2022. Three communities in distinct areas of Belize, and per King et al (1992), the adequacy of the soil.

## CLIMATE

### M. Hydrology

The hydrology of Belize is influenced by its geology, topography, climate, and land use. Belize has 18 major river catchments and 16 sub-catchments, which drain the Maya Mountains and discharge into the Caribbean Sea.



Figure 15: Belize watershed.

Figure XX, illustrates where most creek tributaries merge into larger rivers and estuaries, noting the large drainage in northern part of the country, where project community Copper Bank is located. A 1999 study commissioned by the Ministry of Education, identified sixteen principal watersheds, grouped into six main watershed regions based on general characteristics of topography, geology, soils, rainfall and land use: the Northern Watershed Region, the Northeastern, the Central, the Southeastern, the Southwestern and the Southern Watershed Region.

## N. Meteorology and climatology

Belize's climate is humid and tropical, but different from other Caribbean countries, since it is on the mainland, not an island. It has a wet season from May to October, and a dry season from November to April. The temperature is usually 23-27°C, but varies based on location, with the coast being hotter than the inland. The El Niño Southern Oscillation (ENSO) and the Inter-Tropical Convergence Zone (ITCZ) affect Belize's climate. El Niño makes it warmer from June to August, and La Niña makes it wetter, especially with tropical storms from the Atlantic. In the wet season, the south of Belize gets a lot of rain, 150-400 mm per month. The rest of the country gets very little rain, less than 100 mm per month. Belize can face hurricanes because it is in the path of most of the Atlantic storms<sup>10</sup>.

The climate of Central America is controlled by the interaction between the easterly trade winds and the central mountain ridge. The mountains divide the isthmus into a dry subtropical Pacific coast and a humid, tropical Caribbean coast. In the case of the Pacific coast, trade winds push surface water away from this steep coast, allowing for nutrient rich deep waters to spout into the photic zone supporting massive phytoplankton generation and thus creating highly productive fisheries. The Caribbean Coast, where Belize is located, was an ample, gentle inclined continental shelf, where onshore winds do not spout nutrients, rather, the watersheds, estuaries (mangroves and seagrasses), and corals, fulfil this role. Productive fisheries of Belize are highly dependent on runoffs.

Mean annual temperatures have increased by 0.45°C at an average rate of 0.10°C per decade since 1960. The frequency of hot days and hot nights has increased by 67 days per year, respectively, between 1960 and 2003. The frequency of cold days and cold nights has decreased by 21 days per year, respectively between 1960 and 2003. All seasons appear to have shown decreasing precipitation trends since 1960, only February, March and April have a statistically significant trend. There is insufficient daily observational data to identify trends in all aspects of rainfall extremes in Belize. The magnitude of maximum five-day rainfall shows an increasing trend of 5.37mm per decade over the period 1960 - 2006.

**Monthly Climatology of Average Minimum Surface Air Temperature, Average Mean Surface Air Temperature, Average Maximum Surface Air Temperature & Precipitation 1991-2020; Belize**

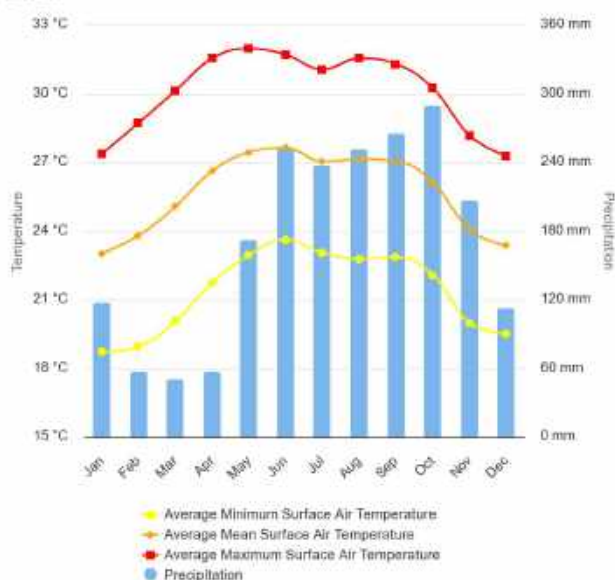


Figure 16: Seasonal surface temperature and precipitation, 1991-2020.

Since 1960, the average annual temperature in Belize has risen by 0.45°C, or 0.10°C every ten years. Between 1960 and 2003, there were 67 more days per year with high temperatures, and 21 fewer days per year with low temperatures. The average annual rainfall in Belize has dropped by 3.1mm per month every ten years since 1960, but this change is not statistically significant. Only the months of February, March and April have shown a clear decline in rainfall. The daily rainfall data is not enough to determine the trends in extreme rainfall events in Belize. However, the maximum amount of rainfall in five days has increased by 5.37 mm every ten years from 1960 to 2006<sup>10</sup>.

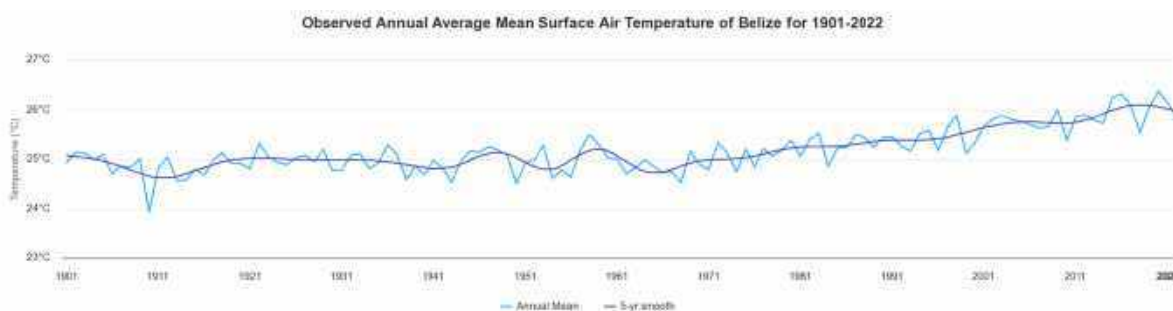


Figure 17: Air Temperature Belize (1901-2022).

Regional climate models such as PRECIS (Providing Regional Climate Impact Scenarios), provide statistical downscaling analysis divided by zone of approximately 25km (grid resolution of  $0.22^\circ \times 0.22^\circ$ ). This model has been validated for the Caribbean and used for regional impact studies<sup>11</sup>. The domain is centred on the main Caribbean basin but also includes Caribbean territories in northern South America (Guyana and Suriname) and Central America (Belize). Figure 6 below illustrates the six zones in the Caribbean.

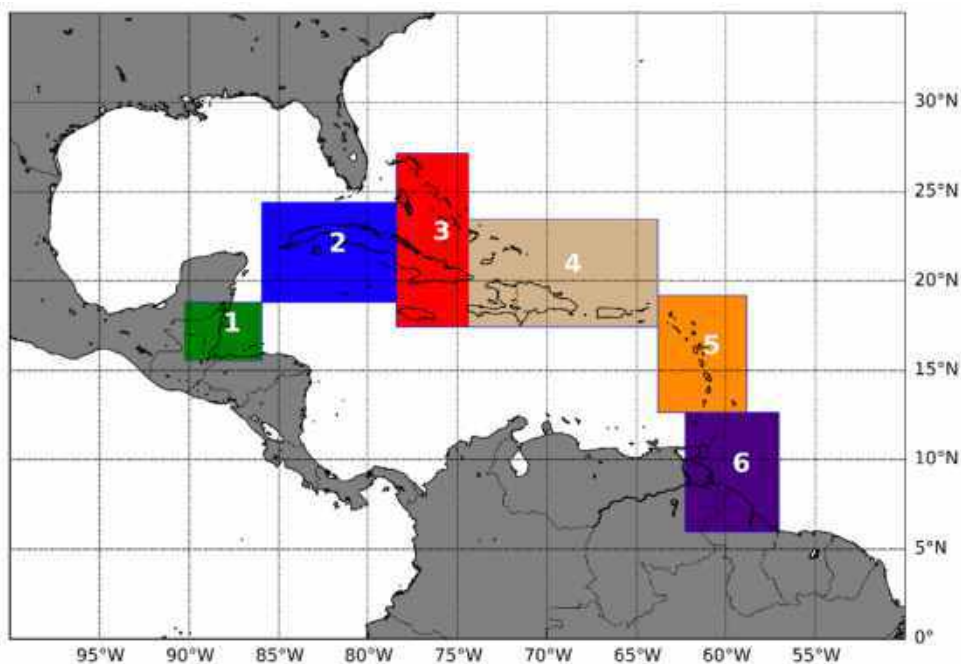
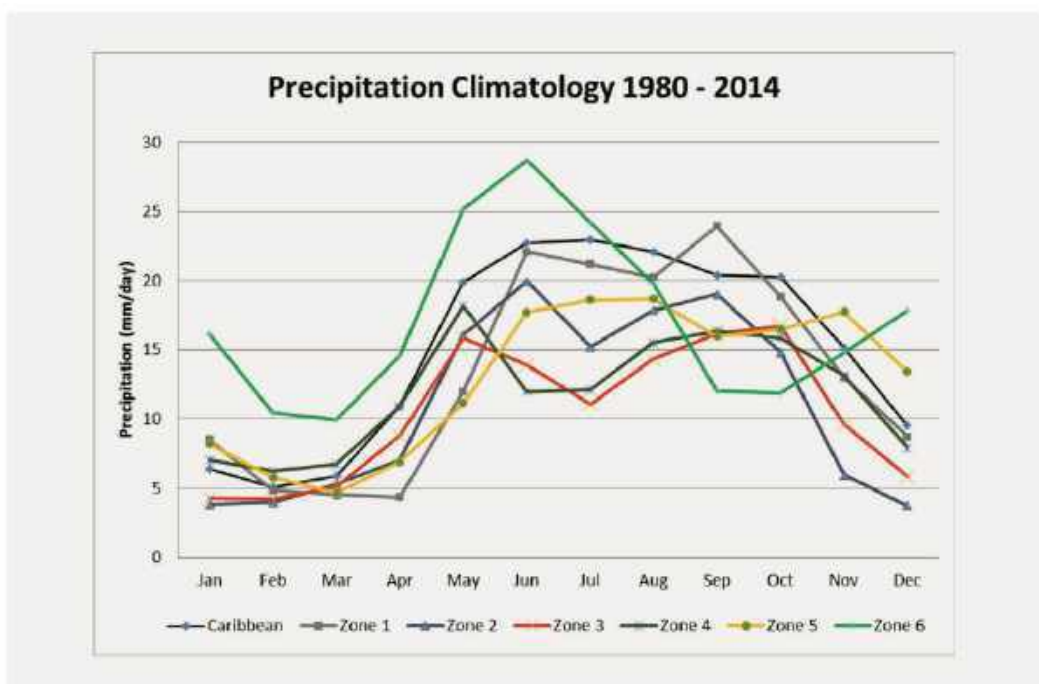


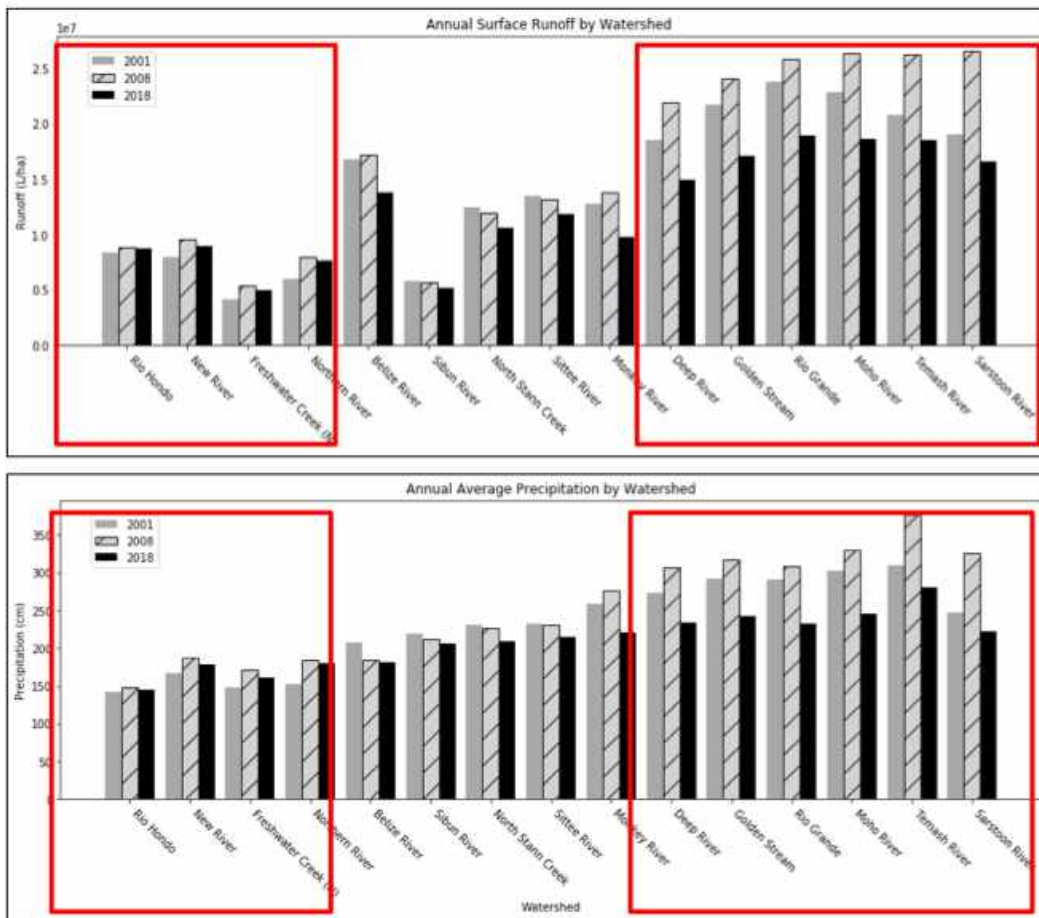
Figure 18: Climatic zones in the Caribbean



A model projected based on historical LULC maps by Nasa and the University of Alabama<sup>12</sup>, illustrates the relationship between meteorology, land use, and changes in climate in both southern and northern Belize. The study modelled changes in land use from 2008 to 2018 to project, under three scenarios, Conservation, Business as Usual, and Development, with the main variable being the



rate of deforestation. Belize has had a loss of forest cover of 10% per decade. This forest cover is a major contributor to watershed management, especially in the southern districts (Stann Creek and Toledo). The study demonstrates that, due to its unique geographical location, climate induced rain patterns, along with larger use of forest lands for agriculture and pasture, the southern portion of Belize will increase its watershed runoff. Figure XX illustrates this relationship, the three rivers in the Toledo District have increased their annual flow, Moho, Tamash, and Sartsoon; meanwhile the Rio Hondo, which discharges into Corozal, and the New River, discharges at its mouth on Copper Bank.



## O. Climate Projections and Risks

Using the latest models, the IPCC's Sixth Assessment Report (AR-6) presents different climate scenarios for the Caribbean region. The report uses four SSP's scenarios, which depend on the amount of GHG emissions: SSP-1, with low GHG emissions (SSP-1 2.6), two intermediate scenarios (SSP-2 4.5 and SSP-3 7.0) and one scenario with very high GHG emissions (SSP-5 8.5). The report compares the average temperature and rain fall levels to the period of 1850-1900, and the sea level rise to the period of 1995-2014. It shows how these variables will change in the short (2021-2040), medium (2041-2060) and long term (2081-2100).

1	SSP scenarios	2	Mean temp. near-3 term (2021-2040)	Mean temp.4 medium-term (2041-2060)	Mean temp. long-term (2081-2100)
5	SSP-1 2.6	6	1.3°C	7	1.6°C
9	SSP-2 4.5	10	1.3°C	11	1.3°C
13	SSP-3 7.0	14	1.3°C	15	1.9°C
17	SSP-5 8.5	18	1.4°C	19	2.1°C
				20	3.8°C

SSP Scenarios	Rainfall near-term (2021-2040)	Rainfall medium-term (2041-2060)	Rainfall long-term (2081-2100)
SSP-1 2.6	-3.8%	-4.3%	-4.7%
SSP-2 4.5	-3.6%	-5.9%	-7.3%
SSP-3 7.0	-4.7%	-8.7%	-18.3%
SSP-5 8.5	-6.4%	-10%	-21.9%

The Caribbean as a whole will gradually dry through to the end of the century; the trend is likely driven by drying in the late wet season (September-November). The number of consecutive dry days is increasing, as well as the amount of rainfall during rainfall events. Further, climate models suggest sub-regional variation in projections with some parts of the region being more significantly impacted by drier conditions than others. A general pattern is for Belize to be amongst the most severely impacted (zones) once drying has begun.

The Caribbean as a whole, will both gradually warm and dry through the end of the century. Minimum, maximum, and mean temperatures increase irrespective of scenario through this period. Drying is expected to be less in the far north Caribbean and more in the south and southeast. Projections show an increase for both warm days and warm nights by the end of the century, warm days ranged between 51 and 251 days, and warm nights between 24 and 360 days for RCP 8.5. The trend is for a decrease in both cool days and nights. The range for cool days was between 1 and 41 days, and between 1 and 32 days for cool nights for the end of century under RCP 8.5.

There is a general increasing trend in the sea level of the Caribbean region. The trend has intensified over the last decade. A regional rate of increase of 1.8mm ± 0.1 mm/year between 1950 and 2009; higher rate of increase in later years: 1.7 ± 1.3 mm/year between 1993 and 2010. The combined range for projected rise in sea level spans 0.26-0.82m by 2100 relative to 1986-2005 levels. The range is 0.17-0.38 for 2046 – 2065. Other recent studies suggest an upper limit for the Caribbean of up to 1.5m under RCP8.5.

A major concern for Belize, will be the increase in frequency and duration of Atlantic hurricanes, which has intensified since 1995. Projections demonstrate an 80% increase in the frequency of Category 4 and 5 Atlantic hurricanes over the next 80 years (A1B scenario). The frequency is accompanied with stronger storms towards the end of the century, as measured by increases in wind speeds of +2% to +11%.

## CONCLUSIONS AND DETERMINATIONS

Belize amounts volumes of research related to the impact of climate change in delicate ecosystems such as coral reefs. However, more research, especially in the impact of watershed management in southern Belize, noting the soil and traditional agricultural practices is needed.

There is an evident difference in the impacts of climate change on the project communities; the Toledo District communities have attributes such as subsistence traditional agriculture and draining soils that require forests to balance runoff. Increased reliance on traditional agricultural methods contribute to decrease in forest covers, mainly due to milpa farming<sup>13</sup>, which in turns limits the capacity of the ecosystem to retain water and deteriorates the nutrient base through excessive runoffs into the Caribbean Sea. Copper Bank, located in the Corozal District, has a distinct exposure to changes in climate, its location on a lagoon estuary, is prone to salination. In contrast to the Toledo District Communities, Copper Bank is an agricultural productive area of Belize with good soils and provides a livelihood for many community members.

The Toledo District communities are faced with combination of factors that will influence livelihoods as changes in climate affect, principally rainfall<sup>6</sup>. The Otoxha and Dolores, close to the Guatemala border, are located in the foothills of the Maya Mountains, a large granite formation that separates the northern and southern part of Belize. Consequently, the limestone and dolomite layer over the granite formation, have allowed for karst and underwater streams, filtering water towards the Moho and Sarstoon watersheds. Incidentally, Boom Creek is located along the Moho River<sup>12</sup>. These watersheds have been found to have increased the level of nitrogen and other nutrients, thought to be derived from agricultural activities upriver; a normal level of nutrients allow for phytoplankton in the Caribbean, and sustain fisheries on which many coastal communities depend.

Belize's meteorology is heavily affected by the eastern winds from the warm Caribbean Ocean, with the southern portion of the country receiving more rain than the north, as influenced by the Intertropical Convergence zone moving north and orographic uplifting in the Maya mountains<sup>12</sup>. A region that receives more rain, and whose geological formation are strained by limited water retention will increase runoff into the Caribbean, taking away much needed soil nutrients required by traditional agricultural systems such as Milpa farming. Conversely, surface models predict that the northern part of Belize, will likely receive less rain affecting agricultural practices.

Much of the research reviewed has concentrated in Land Use and Land Cover in Belize; with some research focusing on the impacts of observed changes in air and sea temperature, mostly biased toward coral reefs ecosystems. Air temperatures in Belize are expected to increase in all models, however more research will have to be undertaken to understand how changes in temperature can impact livelihoods.

Work to sustain water in the project communities takes a higher level of relevance, especially in the Toledo District communities. Forest restoration, and climate smart agriculture (on going work by IFAD) are fundamental to modifying the traditional Milpa farming techniques, that which changes in climate and subdued soil conditions, will likely impact their livelihoods and the watershed serving communities downstream and potentially the reef.

## Annex II: Implementation and Operations Arrangements

### 1. Main PROJECT Governance Actors

#### A) PROTECTED AREAS CONSERVATION TRUST (PACT)

PACT, as NIE, will be the grant recipient, and will execute a Legal Agreement with the AF, which will provide terms and conditions that will need to be followed during implementation. PACT has the duty to ensure that the proposed project complies with all AF policies, rules and requirements. Additionally, the NIE has the role to ensure the MRT, as EE, abides by the same and oversees that all contracted project participants remain in compliance. Further, PACT's Procurement Department and Accounts and Finance Department will provide logistical support for project implementation.

#### B) MINISTRY OF RURAL TRANSFORMATION, COMMUNITY DEVELOPMENT, LABOUR, AND LOCAL GOVERNMENT OF BELIZE (MRT)

The MRT will be the Executing Entity for the Project and will provide guidance and logistical support for the Project Manager, the E&S Specialist, the Gender Specialist, along with the Evaluation Firm. The MRT must ensure that its internal instances are able to ensure the Project Manager is administering project activities accordingly, and that corrective actions are taken.

#### C) PROJECT STEERING COMMITTEE

The Project Steering Committee, established from members of Belize's AF Focal Point, the MRT senior officials, and PACT. The role of the Project Steering Committee is to a) review reports issued by the Project Manager, b) provide guidance for successful execution of project activities, c) take corrective action to ensure successful project execution, d) evaluate procurement for those activities not included in the responsibilities of the Technical Committee. The MRT will designate an officer to be chair of the Project Steering Committee.

### 2. Other Key Actors In Project Governance

#### D) PROJECT MANAGEMENT UNIT

The PMU is a non-permanent entity within the MRT that will administer the project, and reports to the MRT. The PMU is composed of the Project Manager, Technical Officer, the E&S Specialist, and the Gender Specialist. The PMU will be led by the Project Manager.

Most of the activities of the PMU will be to undertake procurement, monitor on completion of goals established in the awarded contracts, report to the MRT, the Project Steering Committee and PACT. Further, the PMU will liaison with PACT, MRT field officers, government, and other stakeholders (See Stakeholders). The Project Manager will serve as Chairperson for the Technical Committee.

The Project Manager will maintain budget (including expenditures) records, surveys, contract deliverables, and other key project documents that will be audited and evaluated. The PMU and PACT will also manage the project GRM.

#### E) TECHNICAL COMMITTEE

The Technical Committee will be formed by the MRT and the Project Manager, and will appoint at least four pro-bono distinguished experts from the following areas:

- Electrical Engineering
- Civil Engineer and Infrastructure
- Indigenous Peoples Affairs
- Civil Society

The role of the Technical Committee is to support the preparation of the Tender Documents, review outputs from hired consultants, provide advice to the Project Manager on project executed related affairs, and support the evaluation of the tender process of Activities 1.1, 3.1, 4.1, and 5.1.

#### E) GRANT AWARD ENTITIES

Some of the project activities will be implemented by entities that are awarded, by PACT, a grant award. These entities will report on project activities to the Project Manager, according to the reporting requirements included in their grant award contract. Special attention by the Project Manager will be required to monitor the activities of these entities, especially accounting for expenditures. Grant award entities will be required to be available to report to the auditor and the evaluation firm.

The reports issued by the Grant Award entities will be verified by MRT Field Officers in each community.

## STAKEHOLDERS

The project will have several stakeholders, that periodically will have to be approached and informed. The Project Steering Committee and Communication (See Disclosures, Communication, and Marketing) should provide a sound basis of engagement, however other actions



should also be carried out. The project contemplates an E&S Specialist that will have a key role in ensuring that a broad group of identified and new stakeholders have adequate knowledge of the project and understand the role of the GRM.

Name	Institution	Role / Position
Mark Miller & Miriam Choc	Belize Power Limited	Solar Water Pumps and Solar Engineer
Luc Zandvliet	Director Triple R Alliance	CEO, FPIC process and protocol
Leonel Requena	UNDP	Project Manager Female Solar Engineer Project
Gregory Cho'c	Commissioner, Indigenous People Affairs	FPIC process and protocol
Christina Garcia	Ya'axche NGO	Watershed management and Alternative Livelihood experience in Toledo District
Elizabeth Muschamp	Humana Belize NGO	Alternative livelihoods, solar projects, and experience in Toledo District.
Virginia Cal	Tulum Uj Womens Group	Women's group and indigenous women's needs, experience working with MRT and in southern Belize.
Florencio Martinez	DAVCO Corozal District	Alternative livelihoods, experience in Copper Bank, experience with development and implementation of projects.
Michelle Lindo-Longsworth	BEST NGO	Organization that promotes credits and the participation of women in the social and economic development of Belize.
Anastasia Shal	Xaibe Women's Group	Women's group from northern Belize who worked together with the MRT on needs of indigenous women
Geraldo Flowers	Resilient Rural Belize	Project manager in charge of a GCF program, which aims to minimize the impacts of climatic and economic events on smallholder farmers
Cynthia Williams	Women and Family Support Department	Gender guidelines, gender in projects, women's role in the communities
William Elder	USDA United States Department of Agriculture	Technical input for watershed management, septic and grey water solutions,
Andy Alberto Arguelles	Chairman of Copper Bank	History, structure and make up of Copper Bank – socio-economic background.
Aldean Williams	Social and Gender Specialist	Social and Gender Specialist of the Philip Goldson Highway and the Remate Bypass Upgrading Project & Coastal Highway Project
Robert Pendell	Rotary Belize	Composting latrine implementation and promotion of latrine use over open defecation with indigenous people in the Toledo District
Delroy Velorio	NAVCO	SEAM Project, training of Water Boards, challenges of sanitation and water in growing communities
Rick Mallory	Rotary Belize	Experience in implementing projects in the Toledo District communities
Heron Moreno	Corozal Sustainable Future Initiative (CSFI) NGO	Experience in implementing projects in the Toledo District communities
Hugo Rancharan	Belize Water Services Ltd.	BWS experience in wastewater management

Table 33: Identified External Stakeholders.

## GRANT PROPOSALS

Activities in Component 2 will be undertaken by entities that have experience and track record of working in (or nearby) project communities and that are able to demonstrate administrative capacity to manage grant funding.

During the stakeholder consultation, 14 entities in Belize were interviewed for a) knowledge of project management, b) understanding the characteristics of the project communities, c) track record of managing grants from national or international donors, d) specialization in key project areas such as ecosystem restoration, livelihoods, gender inclusion, and environmental sustainability.

Entities seeking to administer project grants will respond to an Expression of Interest (Scope of Work and Eligibility in Section L) by providing their perspectives on how to undertake the assignment, and meeting the criterion established in PACT’s Conservation Investment Programme Policy, Procedures and Manual. Prior to submitting a full proposal, entities will be required to undergo an Organizational Assessment (OA), per PACT’s guidelines to ensure they qualify to administer the grant. The short list of entities that meet the OA, will be asked to respond to the Call for Proposal by submitting a technical document detailing their perspectives and budget to undertake the assignment.

The MRT, through the Project Manager, and PACT will convene the Technical Committee meeting and evaluate, through a valuation method based on the Call for Proposal, the proposals submitted. The top ranked entity will execute an Investment Contract Letter for the Grant, including disbursement methods and schedule. In the case that the top ranked entity does not agree with contractual obligations, the Project Manager will advise the Technical Committee, and the 2<sup>nd</sup> top ranked entity will commence contractual negotiations. PACT should provide an indicative budget for the assignment in the Expression of Interest.

The work of these entities should be mostly autonomous, with basic monitoring by the Project Manager and MRT Field Officers. MRT Field Officers should, in their ordinary course of business, engage with the entity when visiting each of the project communities. Further, grant award entities will be required to submit reports to the Project Manager, according to the Monitoring and Reporting Framework for the programme (See Annex III). Entities will be required to submit expenditure reports to the Project Manager and respond to any queries that may arise from annual audits, as well as Mid and Final Evaluation.

Three sub-activities have dedicated Call for Proposals, 3.1.1, 4.1.1, and 5.1.1, and shown in Table 2. Sub-activities 3.12,3.1.3, 4.1.2,4.1.3, are part of 3.1.1, 4.1.1, and 5.1.1, respectively, and are to be included in the Call for Proposal document, please refer to Section L. CALL FOR PROPOSALS.

## CALL FOR PROPOSALS

Guidance for drafting Terms of Reference for Activities in Component 2 “Ensuring the sustainability of water resources through improvement of livelihoods opportunities”.

Component 2 has 3 objectives:

- **Objective 3.** Ensure long term change in attitudes towards water conservation and climate change impacts therein, through restoration of community-near ecosystem services.
- **Objective 4.** Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.
- **Objective 5.** Increased capacities in solar technologies through training of indigenous women in the three Toledo District Communities.

These activities will undertake by entities (Co-managers, per PACT) specialized in administering grants through a Call for Proposal process. According to PACT’s Conservation Investment Program Policy and Procedures Manual, grants (investments) over B\$ 20,000 are required to demonstrate Organizational Capacity (OA), that ensures PACT and the MRT that the entity has effective control mechanisms to administer the grant and undertake project activities. Upon confirmation of the OA, entities will be invited to participate in the Call for Proposals. The Call for Proposal Process is outlined in Figure 6.



Figure 19: Call for Proposal Process

1. **Preparation of Selection documents:** Includes a) Project Description, b) Scope of Work, c) eligibility requirements, d) evaluation criteria. The evaluation criteria should have the dual purpose, to provide the interested entities with guidance on what is being requested, and to facilitate the evaluation of proposals (see Step 5).

2. **Expression of Interest (EOI):** Document that indicates the service request, documentation that interested parties are required to submit, budget, and eligibility criteria. In this stage, entities will not be required to send proposal documents, rather, they should provide OA requirements; publishing the budget should also allow adequate parties to submit requirements. Sufficient time should be given for parties to collate all required information, at least five weeks. All firms that meet the criterion established in the EOI should be invited to submit proposals. Suggested minimum eligibility criteria:
  - a. National registration.
  - b. Background (short summary of the entity's description).
  - c. OA assessment information.
  - d. Key personnel of the entity.
  - e. Tools and assets: including staff, software, and other assets that help the entity achieve its goals.
  
3. **Invitation to Submit Proposals:** Document that evaluates the entity's capacity, track record, and experience to undertake the project's activity. These proposals should have a minimum level of structure to facilitate the evaluation process but should not be prescriptive in terms of format. The suggested structure is the following:
  - a. Description of the Entity and history.
  - b. Experience in managing grants for similar projects.
  - c. Track record of working with the project communities
  - d. Work Approach and Methodology, including deployable assets.
  - e. List of indicators (Logic frame) that will provide results to meet the given project Objective.
  - f. Work Plan.
  - g. Detailed Budget.
  
4. **Technical Committee Review:** The Project Manager will distribute evaluation worksheets to all Committee members, which will include an excel worksheet with valuation of each criterion that must be met (See Step 1), the Invitation to Submit Documents, and the submitted proposals. Each member of the technical Committee will independently review the documents and assign a score in the excel worksheet; typically, a week is provided.
  
5. **Evaluation of Proposals.** The Project Manager will consolidate all the evaluations and will present a tally of the scores. A meeting, physical or over Teams, will be summoned for the Technical Committee to discuss their perspectives. This process should be documented. It's suggested that of a 6-member Technical Committee, a minimum quorum of 4 for the Technical Review is needed. The Project Manager will draft a resolution, indicating the results of the evaluation and the discussion, and this will be provided to PACT to inform the top ranked entity; entities that were not top ranked should be notified promptly indicating their score, and the next steps in the process in the case negotiations with the top ranked firm fail.
  
6. **Contract Negotiations and Award.** The top ranked entity will be provided with a contract by PACT; assuming that all the conditions therein are accepted, the entity will be notified of the award and inception meetings can be established. PACT will publish, on its web page, the notification of the award, no later than 30 days after the award has been granted.

### **Project Objectives and Activities (Sub-Activities) under Call for Proposal**

**Objective 3.** Ensure long term change in attitudes towards water preservation and climate change impacts therein, through restoration of community-near ecosystem services. Objective 3 has 1 Activity (3.1) "Restoration and sustainability actions of community-near ecosystems services that impact the water supply and livelihoods".

- **Activity (3.1.1)** Grant for entities with experience working with Toledo District communities in ecosystem restoration, reforestation, and watershed risks.

Activity 3.1 aims to provide the three communities in the **Toledo District** through forest regeneration and restoration amongst others, that contribute to improving the health of the watershed. Restoration actions include reforestation of non-productive land areas around the community with local flora, and income-revenue cultivars such as coffee and cocoa. Much of the work in Activity 3.1 is directly related to improvement of livelihoods (Activity 4.1), given that all communities rely heavily in agriculture and pasture. Entities seeking a grant under Activity 3.1 will be required to also meet the criteria for Activity 4.1. PACT and the MRT PMU, with support from the Technical Committee will prepare the Call for Proposal document, including the evaluation methodology.

Entities submitting proposals will be evaluated on the following criteria:

- a) Provide a work plan that includes a preliminary land survey of restoration options in each community, with specific species, and clear indications on how the work will ensure restoration or improvement of water retention of the aquifers and the watershed. The work plan should also detail the sequence of actions, resources required to undertake actions, personnel in the field, and community liaison.

- b) Entities will be required to provide a monitor plan, with geospatial and remote sensing services to determine, over time, the improvement in soils, forest cover, and updated agricultural activities.
- c) Detailed budget of all activities, including any cost of workshops to be carried out during the assignment.
- d) Plan for community consultation, sensitization and awareness raising on climate change, ecosystem plan and natural resources management, mangroves, etc.
- e) Provide evidence of experience working in the Toledo District, with attention to work with indigenous communities.
- f) Knowledge of community assets is key, including ancestral traditions and customs.

Eligibility of Grant Award Entities:

- CSOs, NGOs, and/or CBOs legally based in Belize, with track record on the above mentioned activities.
- Solid fiduciary capacities.
- Experience working with GEF or other climate funds preferable.

**Objective 3.** Ensure long term change in attitudes towards water preservation and climate change impacts therein, through restoration of community-near ecosystem services. Objective 3 has 1 Activity (3.1), “Restoration and sustainability actions of community-near ecosystems services that impact the water supply and livelihoods”.

- **Activity (3.1.2)** Activity (3.1.2) Grant for entities with experience working with Corozal District communities in costal management, biodiversity, and other ecosystem services in the Corozal Bay area.

Activity 3.1 aims to provide Copper Bank with livelihood options through conservation of the nearby Corozal bay watershed system. Similar actions have already been successfully implemented by the Corozal Bay Wildlife Sanctuary. Mangrove (and seagrass) replenishment has demonstrated to improve the estuary’s health in recent years thus more intervention in this regard will improve the quality and quantity of the fisheries that maintain the livelihoods of many of Copper Bank’s livelihoods. Further, these actions should be complementary and coherent with the work of the Mesoamerican Reef Fund (MAR), who has set restoration and conservation guidance<sup>28</sup> for the Corozal Bay. Much of the work in Activity 3.1 is directly related to improvement of livelihoods (Activity 4.1), given that Copper Bank relies on the estuary as a major livelihood source. Entities seeking a grant under Activity 3.1 will be required to also meet the criteria for Activity 4.1. PACT and the MRT PMU, with support from the Technical Committee, will prepare the Call for Proposal document, including the evaluation methodology.

Entities submitting proposals will be evaluated on the following criteria:

- g) Provide detailed plan of conservation actions in the Corozal Bay estuary and watershed, including remote sensing data on how the grant’s actions will contribute to restoring and/or conserving the ecosystem that the Copper Bank relies for its livelihoods and water supply. The work plan should include a sequence of actions and activities, along with the required personnel to carry out all the set activities.
- h) Provide a detailed monitoring and reporting plan, coherent with guidelines established by the Mesoamerican Reef Fund, and the project’s objectives.
- i) Plan for community consultation, sensitization and awareness raising on climate change, ecosystem plan and natural resources management, mangroves, etc.
- j) Provide evidence of track record of project, through achievement indicators, of the results obtained in prior assignments.
- k) Provide evidence or perspectives on integrating gender inclusiveness into the livelihood’s programs. (Some entities may not have gender expertise but may be able to onboard a Gender specialist).

Eligibility of Grant Award Entities:

- CSOs, NGOs, and/or CBOs legally based in Belize, with track record on the above mentioned activities.
- Solid fiduciary capacities.
- Experience working with GEF or other climate funds preferable.

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<sup>28</sup> Manual para la Restauración Ecológica de Manglares del Sistema Arrecifal Mesoamericano y el Gran Caribe (2021).

**Objective 4.** Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.

Objective 4 has one Activity (4.1) "Activity (4.1) Enhancing the participation of men and women in alternative livelihoods through ecosystem restoration and improvement practices". Activity 4.1 will be executed through 4 sub activities.

- **Activity (4.1.1)** Development of a gender-responsive needs assessment considering the interests and needs of women, men, and youth in the communities, to be carried out by the entity responsible of this activity before implementation.
- **Activity (4.1.2)** Workshops on gender equality and women's rights for the communities are carried out by entity awarded with grant for 4.1.
- **Activity (4.1.3)** Workshops and other skill building exercises related to livelihood options that allow community member to gain new skills, enhance current skills, or improve existing livelihoods.
- **Activity (4.1.4)** Community seminar or workshops that socialize the risks faced by community members in climate change and opportunities to mitigate through participation in project activities.

Activity 4.1 aims to build on the restoration and conservation efforts in the communities under Activity 3.1. The activity will be executed by the entity awarded the grant for either Activity 3.1.1 (Toledo District) and Activity 3.1.2 (Corozal District); coherent with the project's goal statement "if communities and rural authorities are empowered to appreciate their water resources which are affected by climate change, THEN investments in capacity building and water sustainability and its relationship to their livelihoods will allow communities to be more resilient, BECAUSE enhanced ownership in their communities is vested in strong inclusive participation and governance". Both grant entities will be required to demonstrate capacity to build capacities that increase the income of local communities related to water supply, water conservation, and ecosystem conservation and restoration. The focus will be different for Toledo District than for Corozal, the Toledo District exhibits higher levels of poverty and lower levels of education, and most livelihoods are dependent on land use, with very limited opportunities to create new sources of income (such as commerce and services). In the case of Copper Bank, there are a broader set of opportunities to enhance current livelihoods related to the Corozal Bay estuary; these have been already implemented in nearby communities in Sarteneja and Chunox. Entities seeking a grant under Activity 4.1 will be required to meet the criteria for Activity 4.1. PACT and the MRT PMU, with support from the Technical Committee, will prepare the Call for Proposal document, including the evaluation methodology.

Entities submitting proposals will be evaluated on the following criteria (in addition to 3.1 criteria):

- a) Provide detailed work plan based on an ex-ante livelihood assessment of each community, including guidance on how to undertake the gender requirement of this assignment.
- b) Provide personnel details with experience in working with indigenous (Toledo District mandatory) and vulnerable populations, especially women and young adults.
- c) Provide a list, with detail on each workshop to be held to foster skills and capacity improvements, including tools to be deployed, and expected outcomes.
- d) For Toledo District: Provide evidence and context of experience working in livelihood improvements in the Toledo District.
- e) For Corozal District: provide evidence and context of experience working in livelihood improvements for coastal communities.
- f) Provide a monitoring and reporting plan.
- g) Provide evidence of track record of project, through achievement indicators, of the results obtained in prior assignments.

Eligibility of Grant Award Entities:

- Same conditions for Activity 4.1.

**Objective 5.** Increased capacities in solar technologies through training of indigenous women in the three Toledo District Communities.

Objective 5 has one Activity (5.1) “Enhancing the participation of men and women through workshops and capacity building activities in maintenance and installation of solar systems”, and one sub-activity:

- Activity (5.1.1) Call for Proposals for entities with experience in working with women on maintenance and installation of distributed energy for the Toledo District

Activity 5.1 seeks to provide a stop gap for the work of Activity 2.1.2 “Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems” and enhance Activity 3.1. During consultation, it was indicated that not all livelihood options related to ecosystems restoration may be accepted by members of the community. In this sense, other livelihood options will be required.

Otoxa, Dolores and Boom Creek, do not have electricity service, and several NGOs have worked on Toledo District communities in teaching primarily women and some men to service solar electric systems; using the Barefoot Collage method adapted to Belize. Thus, the project will seek to enhance livelihood options through capacity building and maintenance of solar energy solutions in their villages.

The sub-activities are to be carried out by a single contract with a grant entity which will have to meet the following minimum requirements:

- a) Provide evidence of experience working with women and/or women’s group in distributed energy.
- b) Provide evidence and context of experience working in the Toledo District and with indigenous communities.
- c) Provide evidence of track record of project, through achievement indicators, of the results obtained in prior assignments.

The entity should be able to demonstrate the teaching methods, contacts for purchasing equipment, and business management curriculum.

**PROJECT MANAGEMENT UNIT (PMU)**

The project will be managed through a PMU established within the MRT. The PMU will be guided with this document and may draft other documents such as a project operation manual when fully established. The success of the project depends on the staffing of the PMU, given the level of responsibility assigned. The PMU will be composed of a three-person team, a) a Full-Time seasoned Project Manager, b) A Full-Time Technical Officer c) a Part Time E&S Specialist, and d) a Part Time Gender Specialist. The PMU will work as a team, supporting procurement, oversight and monitoring, and reporting. Figure 7 provides an illustration of the structure of the PMU.

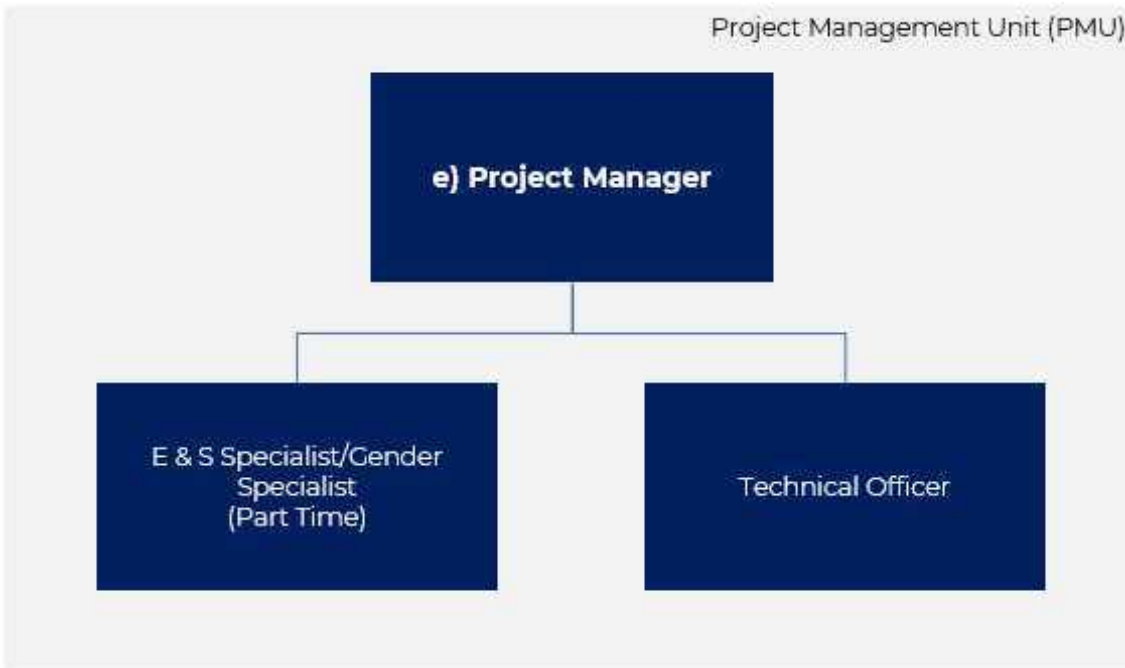


Figure 20: SEAM PMU

**Project Manager:** Lead administrator of the PMU, and main contact for the project. The Project Manager will be available from the beginning of project implementation until closure.

**E&S Specialist:** Supports to assess and monitor the project's environmental and social risks during project implementation.

**Gender Specialist:** Lead to mainstream gender into all the project's results framework, encompassing a gender perspective in its outcomes, objectives, components, and activities.

**Technical Officer:** Coordinates the activities associated with the projects and provide support to the project manager. The TO perform various administrative and technical tasks to ensure the smooth running of processes and systems. Your goal is to ensure the successful and efficient completion of projects.

## 1. PROJECT MANAGER

The Project Manager is a critical actor for the successful implementation of the project, as this role will be tasked with organizing, administering, procuring, monitoring, and reporting all project activities. Guidance of procuring the Project Manager:

### Minimum Qualifications

- At least 7 years of experience working managing programs with donor funds.
- Experience developing and tracking budgets and working in the MS 365 system.
- Experience monitoring liaison with a broad group of stakeholders.
- Experience drafting reports and tracking log frame indicators.
- Degree from the following fields: Accounting and Finance, Economics, Engineering.

### Desirable Qualifications

- Over 10 years of work experience managing programs for the European Union, GEF, USAID, World Bank, CDB.
- Experience IPSAS accounting or accounting for development projects.
- Experience monitoring liaison with a broad group of stakeholders.
- Experience drafting and presenting reports and tracking log frame indicators.
- PMP Certification.

### Scope of Work

The Project Manager will be tasked with administering and reporting all Project Activities, including:

- a) Management and supervision of the Project Management Unit within the MRT.
- b) Convening different project stakeholders, including the Project Steering Committee, government actors, the MRT, PACT amongst others.
- c) Prepare reports on budget expenditures and commitments to ensure project funds are accounted properly and according to best practices.
- d) Monitor and liaison with those monitor specific project activities, through daily exchange to ensure project activities are carried out adequately and timely.
- e) Draft procurement documents and negotiate contracts with consultants, suppliers, and firms.
- f) Liaison with PACT regarding potential grievances submitted to the PACT's GRM.
- g) Review and accept work undertaken by consultants, PMU specialists, and other stakeholders of the project to ensure compliance with project activities.
- h) Provide monitoring reports to PACT in accordance with the expectations of PACT and the AF.
- i) Engage with the project Auditor and Evaluator to allow, in the most independent manner, their work to be carried out effectively.

## 2. E&S SPECIALIST

The Project E&S Specialist will assess and monitor the project's environmental and social risks during project implementation. While the project is not expected to have consequential E&S risks or adverse impacts, the nature of the communities, and the fact that workers and consultants are foreign to those communities requires additional safeguards to ensure all parties are informed and not adversely impacted by project activities. The E&S Specialist will ensure the project is in full compliance with PACT's E&S Policy and Procedures as well as maintains compliance with AF obligations. This will be on a part time basis. Guidance on procuring the E&S Specialist.



### Minimum Qualifications

- At least five years of work experience within private, public sector, NGOs, or international donors reviewing EIAs, ESAPs, and similar.
- Degree in the following: environmental sciences, environmental Health and Safety, social sciences, or other related discipline.
- Experience working within rural communities in Belize.
- Knowledge of IFC Performance Standards.
- Previous work experience with Indigenous Communities of Belize.

### Desired Qualifications

- At least 10 years of work experience within private, public sector, NGOs, or international donors reviewing Environmental Polices, EIAs, ESAPs, and similar.
- Post-Graduate (master's degree) environmental sciences, Environmental Health and Safety, Social Sciences or other related discipline.
- Experience working as an Environmental & Social Specialist or E&S risk management in project's funded by international donors such as CDB, IADB, WB. Experience working with policies and legislation related to social issues within Belize, including FPIC and other indigenous rights agreements.
- Experience in stakeholder/citizen engagement, community outreach, consultations and GRM, outreach and awareness/capacity building programs.

### Scope of Work

- a) On the basis of the Section XX of the Proposal Document, update the Project's Environmental and Social Assessment to current conditions, included updated surveys, stakeholder consultations, and other actions.
- b) Draft an Environmental and Social Action Plan with effective measures for each of the project Activities in each of the Communities.
- c) Communicate and advise stakeholders on the project's Grievance Redress Mechanism.
- d) Update E&S instruments as needed.
- e) Work with the PMU and PACT procurement staff to ensure that environmental and social aspects are incorporated as appropriate in contracts for goods and services and that suppliers and contractors are fully aware of their responsibilities in this regard.
- f) Ensure that the necessary environmental authorizations and permits are obtained where needed.
- g) Support with monitoring to ensure that the consultants and contractors comply with the PACT's Environmental and Social Policy and Guidelines.
- h) Provide contributions to the regular, semi-annual and annual progress report on the project's implementation as required by the PACT and the MRT through the Project Manager.

### 3. GENDER SPECIALIST

The project has been designed to mainstream gender into all its results framework, encompassing a gender perspective in its outcomes, objectives, components, and activities. The strategy employed by the project rests on three fundamental pillars. Firstly, a dedicated specialist is integral to the PMU, entrusted with the responsibility of steadfastly upholding the gender perspective throughout the project's lifecycle. This expert not only contributes significantly to the project's monitoring and evaluation but also serves as a custodian of best practices, ensuring that gender considerations remain embedded in every facet of the initiative. Guidance on procuring a Gender Specialist:

#### Qualifications

- Master's degree (or equivalent) in social sciences, economics, gender issues, or other development-related fields.
- At least 5 years of professional experience in policy analysis and advocacy,
- Program/project management, and knowledge management, addressing the promotion of gender equality and women's empowerment.
- Demonstrated substantive skills in design and implementation of gender equality and women's empowerment activities.
- Experience in gender statistics and gender database development would be an advantage.
- Experience in the use of office software packages.
- Language proficiency in Mayan languages strongly preferred. f

#### Scope of work

- a) Support gender mainstreaming in overall project implementation,
- b) Capacity building and training of MRT / PMU Monitoring and reporting.
- c) Facilitate the incorporation of gender in project's Terms of Reference and other procurement documents.
- d) Monitor and track indicators related to the project's Gender Action Plan.
- e) Support reporting to PACT and the AF by the PMU.
- f) Provide inputs for PACT, the MRT, and the Project Manager in relation to

#### **4. TECHNICAL OFFICER**

The Technical Officer will provide technical and administrative support to the Project Manager and contribute to the effective and efficient delivery of technical activities (inputs, consultancies, processes, outputs, and outcomes) under the project.

##### **Qualifications**

- Minimum of a Bachelor's degree in Environmental management/Natural Resource Management/Project Management or equivalent field.
- A minimum of 5 years of demonstrated experience in coordination management of environmental issues, policies, biodiversity, ecosystems protected areas, forest management and water resources management.
- At least 3 years demonstrated experience in Project execution and management.

##### **Scope of Work**

- Conduct regular site visits to monitor progress of project implementation and prepare reports.
- Co-ordinate the implementation of project activities as needed.
- Review and comment on technical project deliverables prepared by consultants and advise the Project Manager regarding the quality of the work undertaken and alignment with required standards.
- Assist the Project Manager in the development of the annual work plans for the project.
- Assist the Project Manager in organizing and facilitating revision meetings with partners as needed to ensure the timely revision and acceptance of technical deliverables.
- Other related duties as required by the project and instructed by the Project Manager.

## **PROJECT STEERING COMMITTEE (PSC)**

The Project Steering Committee (PSC) will be chaired by the CEO or a designated representative in the MRT, and:

- a) CEO or a designated representative in the Ministry of Health & Wellness,
- b) CEO or a designated representative in the Ministry of Human Development Families & Indigenous People' Affairs,
- c) Executive Director or a designated representative of PACT,
- d) CEO a designated representative in the Department of Economic Development (AF Focal Point).

The Project Manager is the recording secretary and ex-officio observer. The Project Steering Committee's responsibilities are to initiate, promote and provide overall direction and decision-making authority to the three components of SEAM:

- Provide guidance to the progress of project activities.
- Review and endorse the project's budget execution, including expenditures and commitments.
- Review and comment on the project quarterly progress reports.
- Discuss and facilitate critical decisions for the implementation of components.
- Review and where necessary act on annual audit reports and recommendations.
- Review and act on any action PACT is required to carry out on behalf of the AF.

The PSC does not have the authority to direct the project to undertake activities or finance expenditures that are not consistent with and supportive of the objectives of the project.

The PSC will meet quarterly for the first 2 years of the project, on a twice-yearly basis, thereafter and additionally if deemed necessary and called for by the chairs. One reason for meeting on a quarterly basis is the importance of ensuring effective coordination between the Project Manager, PACT, and the MRT. If it is found that this level of oversight is not required, the PSC could decide to move to twice per year meetings. PACT and the PMU may modify the scope of work of this committee to ensure project effectiveness.

## **PROJECT TECHNICAL COMMITTEE (PTC)**

The project will be served by a Technical Committee that will have specific roles, related to procurement and deliverables. In contrast to the Project Steering Committee that deals with project management issues, the Technical Committee is dedicated to ensuring that deliverables and works under the awarded contracts are delivered according to the technical specification agreed upon, and to best practices. The Committee will meet on a as needed basis, and membership is pro-bono.

Scope of work of the Technical Committee:

- a) Review and provide advice to the PMU on Procurement and Call for Proposal Documents.
- b) Participate in the evaluation of Procurement and Call for Proposal processes.
- c) Ensure that contractual conditions included by the PMU in relevant contracts can safeguard the interest of PACT, the MRT, and the achievement of project objectives.
- d) Review and provide advice to the PMU on deliverables of consultants and contractors.
- e) Support and provide advice to the PMU in engaging FPIC Communities, ensuring that protocols are applied according to national statute by the PMU.

Membership

- A qualified professional with experience or background in electrical engineering, preferably in solar infrastructure.
- A qualified professional with experience or background in civil engineering and/or Infrastructure, preferably in water systems in Belize.
- A seasoned expert or representative with experience working in Indigenous Peoples Affairs in Belize
- A qualified professional with experience or background in working with grant-based NGOs in Belize.

PACT and the PMU may modify the scope of work of this committee to ensure project effectiveness.

**IMPLEMENTATION SCHEDULE**

Sub-Activity	Number	Y0				Y1				Y2				Y3				Y4				Y5	
		Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2
Activity (0.1) AF Review & Board Approval	0.1																						
Activity (0.2) Legal Arrangements	0.2																						
Activity (0.3) Disbursement to PACT	0.3																						
Activity (0.4) Procurement & Contract - PMU Staff (Manager, E&S Specialist, Gender Specialist and Technical Officer)	0.5																						
Activity (0.5) Procurement & Contract - Auditor	0.5																						
Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities	1.1																						
Activity (2.1) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems	2.1																						
Activity (3.1) Restoration and sustainability actions of community-near ecosystems services.	3.1																						
Activity (4.1) Enhancing the participation of men and women through workshops and capacity building activities in income generation activities.	4.1																						
Activity (5.1) Enhancing the participation of men and women through workshops and capacity building activities in maintenance and installation of solar systems.	5.1																						
Activity (6.1) Develop and implement a national public awareness campaign throughout village leadership for the communities on the effects of climate change on water sustainability.	6.1																						
Activity (7.1) Training of Village Leadership of the four communities and their Water Boards on inclusive governance.	7.1																						
Activity (7.2) Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.	7.2																						
Activity (8.1) Strengthen the MRT's knowledge base through trainings of its regional and field officers of best practices in water board management and hybrid / solar water systems.	8.1																						
Activity (0.6) Project Closure																							

Table 34: Implementation schedule.

## Annex III: Logic Framework, Monitoring and Reporting, Detailed Budget, Financial Model

### Rationale for Components and Activities

#### Component 1: Design and build cost-effective solar hybrid sustainable water systems and waste management systems in the four selected communities that will allow households to have access to potable and reliable water service as well as waste management.

**Rationale:** The four communities have limited or no access to reliable potable water, which increases health and livelihood vulnerabilities. To provide the communities with reliable water service, several actions must take place, such as designing a system that is adequate to the needs and considerations of each community, ensuring that Water Boards are established, and necessary training is provided for its operation as to ensure the sustainability of the investment. Component 1 has two objectives and will be executed by the MRT's PMU through procured consultants and engineers.

- Objective 1: Reduce exposure to climate variability in four rural communities of Belize through an inclusive drought-resistant potable and reliable water supply.

This objective aims to design and build a water system that is resilient to climate variability in four communities.

- Objective 2: Strengthen the capacities of community members and leaders to operate and maintain their village water system.

This objective aims to establish and train Water Boards to ensure built systems are operating properly and sustainably.

As indicated in Annex VI of the Proposal Document, there are four project communities located in two distinct districts, the Toledo District, and the Corozal District. The two districts are remarkably different, in terms of infrastructure, geology, culture, and livelihoods. Copper Bank, in the Corozal District, has grid energy from the national distribution company Belize Electricity Limited (BEL); while the three communities in the Toledo District, Otoxha, Dolores, and Boom Creek, are not connected to Belize's energy grid. Further, in terms of geology, the two districts have distinct features; the Toledo District communities are located in the foothills of the granite Maya Mountains, which subdue a layer of limestone and dolomite formation, leading to the creation of karst or limestone mounts and cave formation. The geology impacts the soil quality (refer to Annex I of Proposal Document), while both district's soils are clay based on the limestone, the soils in the Corozal District are more productive for sugar cane and citrus production, while the soils in the Toledo District, are more geared towards livestock, beans, and maize farming. The practice of milpa farming is a concern throughout the Toledo District, as it involves clearing native forest for agriculture, at times through fires, and planting until the soils no longer yield due to nutrient deficiency. Modern farming techniques have been able to substantially improve on this, especially in Mennonite Communities of Belize, but are seldom used in more rural Maya communities. Moreover, logging and milpa farming impact the capacity of the limestone aquifers to produce and store water, which exacerbates the issue. The project seeks to address depleting practices by designing activities to protect the ecosystem and build water systems tailored to each community. Note that Activities 1.1 and 1.2 are to be undertaken separately precisely for this (and other) reasons such as depth of persistent phreatic zones, agricultural zones, and community inputs.

## Component 2: Ensuring the sustainability of water resources through improvement of livelihoods opportunities.

**Rationale:** Two identified factors influence the sustainability of water supply in the four communities, a) deterioration of the ecosystem that produces or stores water, b) limited incomes to afford sustainability of the water service to each household. The project seeks to address these factors through strengthening the capacities of each community to increase ability to earn income from other sources and improve the nearby ecosystems to ensure sufficient water production. Component 2 has 3 objectives and will be executed by the MRT through grants provided to eligible entities.

- Objective 3. Ensure long term change in attitudes towards water conservation and climate change impacts therein, through restoration of community-near ecosystem services.

Deforestation, added to the nature of soils and limestone formations, in Belize, have increased the proper functioning of watersheds. It's expected that climate change, with rainfall variability will exacerbate this situation. It's critical that project communities, especially those in the Toledo District, are aware and take action to ensure that the nearby ecosystem services are sustainable.

- Objective 4. Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.
- Objective 5. Increased capacities in solar technologies through training of indigenous women in the three Toledo District Communities.

Objectives 4 and 5, while distinct, have similar purpose: enhance the income generation alternatives of community members, especially by leveraging their current condition. Several communities in the Toledo District have been involved in successful programs, such as bee keeping, plant nurseries, etc.

During consultations, it was evident that the Toledo District communities require particular attention, especially noting the quality of the nearby forests and aquifers. Guidance from the MRT indicates that there is sufficient water accessible (or being produced) in current and future production wells. Concerns arise on the capacity of the communities to a) meet the water payment obligation, b) restore the nearby ecosystem to ensure future water supply as well as concern for the MRT's efforts to effectively carry out actions related to restoration of the ecosystems. In terms of income, the Toledo District communities, especially those near Guatemala, are limited in their capacity to pay a USD\$5.50/month Water Board fee; a fee to make the water system sustainable would be close to USD\$12, (See Baseline in this document); other income sources will be required to ensure system sustainability. Several NGOs have worked with alternative livelihood programs, with a degree of success, in implementing projects that involve cardamon cultivation, coffee, cocoa, tree nurseries, bee keeping, and other livelihoods to complement forest restoration activities and increase community income. In the case of Copper Bank in the Corozal District, the situation is different, as there is limited evidence of ecosystem degradation (mostly flatlands), and current livelihoods such as fishing will continue to be the backbone of income generation; in this case working with community members in alternative livelihoods related to improved water service and other options were included. In the case of the Toledo District, another objective has been added, given the fact that these communities are off grid, training mainly women, in providing maintenance and operation of solar systems, could also provide an additional source of income.

### **Component 3: Increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthening the knowledge management capacities of the public authorities and the communities.**

**Rationale:** For communities to have sustainable water supply, Water Boards must be effective, and this effectiveness is bound by strong governance and public confidence. Ensuring strong governance goes beyond training Water Boards in maintaining and operating the water service, it involves understanding the challenges faced by other communities, a responsible MRT, and sensitivity to community issues. This component aims to address these concerns through understanding how changes in climate can affect water supply (and actions that can be taken to address) and strengthening the capacities of the MRT to respond to changes in climate, water sustainability, and inclusivity. Component 3 will be implemented by consultants and the MRT, through the MRT PMU.

- Objective 6. Strengthen the awareness of rural communities (villages) in Belize on the impacts on water sustainability on the effects of climate.

Objective 6 seeks aims to provide with awareness of the impacts of climate on livelihoods and water supply in rural communities in Belize; this objective is broader, given that it applies to communities under the responsibility of the MRT across Belize. Community members can share their experiences and challenges, with the support of experts and MRT field officers.

- Objective 7. Improve the resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability.

Similar to Objective 6, this objective seeks to have targeted approach, for the four communities, through workshops with experts and other communities, on the impacts of climate change. It's expected that community leaders can share their thoughts, challenges, and considerations with other leaders, MRT officers, and with the support of expert facilitators.

- Objective 8. Strengthen the MRT's capacity to respond to water issues in the communities.

The MRT's main responsibility is to tend to village needs, which include water and other considerations. Ensuring that the MRT can provide adequate services, especially in relation to improved understanding about how climate can impact communities, is fundamental for sustainability and ensuring actions can be implemented to limit the impact of climatic events.

During consultations, it was identified that several skill and knowledge gaps existed in core functions of the MRT especially related to risks of water supply due to changes in climate, and basic governance functions. The MRT understands the importance of its role as community liaison, finding solutions to very present problems; however, budget and capacity restrictions, limit the ability to fully respond. While the project does not seek to resolve the MRT's budget deficit, it aims to provide its staff with capacities in addressing and understanding climate risks (especially after extreme weather events), water sustainability and contamination, water systems especially off grid systems. The skill gap in the MRT and in village leaders is evident and must be addressed if the project is to share its achievements with other communities, and provide sustainable solutions to other communities in the future.

**BASELINE FOR PROJECT COMMUNITIES**

**1. DOLORES**

Population	596
Male Population	49%
Female Population	51%
Households	106
Average Household Population	5.6
Households with water service %	
Average Household Income	
Current livelihoods	
Water access	Yes, creek based dike, non-treated
Water contamination	Yes, organic and non-organic
Grid Energy	No
Solar Panels installed	

**2. OTOXHA**

Population	596
Male Population	49%
Female Population	51%
Households	106
Average Household Population	5.6
Households with water service %	
Average Household Income	
Current livelihoods	
Water access	Yes, creek based dike, non-treated
Water contamination	Yes, organic and non-organic
Grid Energy	No
Solar Panels installed	

**3. BOOM CREEK**

Population	596
Male Population	49%
Female Population	51%
Households	106
Average Household Population	5.6
Households with water service %	
Average Household Income	
Current livelihoods	
Water access	Yes, creek based dike, non-treated
Water contamination	Yes, organic and non-organic
Grid Energy	No
Solar Panels installed	

**4. COPPER BANK**

Population	596
Male Population	49%
Female Population	51%
Households	106
Average Household Population	5.6
Households with water service %	
Average Household Income	
Current livelihoods	
Water access	Yes, creek based dike, non-treated
Water contamination	Yes, organic and non-organic
Grid Energy	No
Solar Panels installed	



## 1. ASSUMPTIONS

Component 1: Design and build cost-effective solar hybrid sustainable water systems and waste management systems in the four selected communities that will allow households to have access to potable and reliable water service as well as waste management.

- Objective 1: Reduce exposure to climate variability in 4 rural communities of Belize through an inclusive draught-resistant potable and consistent water supply.

Activity	Indicator	Assumptions
Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities	# of design studies per community.	<ul style="list-style-type: none"> <li>The contract award firm to undertake studies will deliver design plans, with production well sounding in each of the 4 communities.</li> <li>Design firm will summon each community to provide inputs on design, such as tank location, land currently used for subsistence.</li> </ul>
	# community members trained and participating in construction of the system, 30% of which are at least women.	<ul style="list-style-type: none"> <li>At least of 15 members of the community trained in construction of the water system and employed in construction, of which at least 30% will be women.</li> <li>Female population: Dolores, 178, Otoxha, 157, Boom Creek, 60, Copper Bank.350.</li> <li>Indicator seeks to promote skills enhancement and employment in the construction.</li> </ul>
	1 Water system commissioned and operational per community.	<ul style="list-style-type: none"> <li>One water system fully functioning by Y4Q1.</li> <li>Water testing of each system by Y4Q4</li> <li>Payments to water board to begin one commissioning. Which community will begin first?</li> </ul>
	# of households with installed water and meters	<ul style="list-style-type: none"> <li>All households in all communities connected to the system with water meter. <ul style="list-style-type: none"> <li>Number of households: Dolores, 106, Otoxha, 54, Boom Creek, 25, Copper Bank, 150.</li> </ul> </li> </ul>
<ul style="list-style-type: none"> <li>Objective 2: Strengthen the capacities of community members and leaders to operate and maintain their village water system.</li> </ul>		
Activity (2.1) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems	Water Boards fully established, and all members nominated in each community	<ul style="list-style-type: none"> <li>Water Board legally established prior to commissioning.</li> <li>Appointments by MRT.</li> </ul>
	% of women appointed to Water Board in each Community	<ul style="list-style-type: none"> <li>Water Board legally established prior to commissioning.</li> <li>Appointments by MRT.</li> </ul>
	Days of training on water system in for each Water Board	<ul style="list-style-type: none"> <li>Training by firm of activity 1.1 or 1.2 to water board members, on how to operate each system.</li> <li>Manuals to be provided in local language and for those community members who may be illiterate.</li> </ul>

Component 2: Ensuring the sustainability of water resources through improvement of livelihoods opportunities.

- Objective 3. Ensure long term change in attitudes towards water preservation and climate change impacts therein, through restoration of community-near ecosystem services.

Activity	Indicator	Assumptions
Activity (3.1) Restoration and sustainability actions of community-near ecosystems services.	1 Gender-Needs assessment on each Toledo District Community	<ul style="list-style-type: none"> <li>Grant award entity will undertake a gender needs assessment to tailor activities for gender inclusivity.</li> </ul>
	# of beneficiaries of improved livelihoods	<ul style="list-style-type: none"> <li>Number of beneficiaries in each community is estimated through participation in activities of men 15% and women 25%. More women are expected to participate as men are traditionally tasked as breadwinners.</li> <li>Dolores, population, 596, of which 305 are women, 84 potential beneficiaries. Otoxha, 357, of which 157 are women, potential beneficiaries, 70. Boom Creek, 112, of which 60 are women, potential beneficiaries, 23.</li> </ul>
	# of activities realized in improvement of ecosystem services	<ul style="list-style-type: none"> <li>Grant entity will provide in grant proposal document a number of planned activities that will be carried out during the 2.5-year implementation period. No less than 30 training activities per community.</li> <li>Activities should also be measured in land value that is restored to sustainability.</li> <li>Activities may include allocating land for cocoa, coffee, and cardamon, and other activities, such as bee keeping, tree nurseries, etc.</li> </ul>
	# of workshops realized related on gender equality and women's rights	<ul style="list-style-type: none"> <li>At least half of the 30 training activities per community, are carried with gender lens and equality.</li> </ul>

- Objective 4. Strengthen the capacities of community members to earn or diversify incomes through enhanced livelihoods options.

Activity (4.1) Enhancing the participation of men and women through workshops and capacity building activities in income generation activities	1 Gender-Needs assessment in Copper Bank	<ul style="list-style-type: none"> <li>Grant award entity will undertake a gender needs assessment to tailor activities for gender inclusivity.</li> </ul>
	# of beneficiaries of workshops and trainings	<ul style="list-style-type: none"> <li>Total population of Copper Bank: 600, female population, 350, men 250. Workshop participation over the 2.5 years of the program, 25% and 15%, respectively. Total expected beneficiaries: 125</li> </ul>
	# of activities realized in improvement income generation.	<ul style="list-style-type: none"> <li>Two-and-a-half-year program, including business plans, marketing, cooking, basket weaving. Tourism, chickens. Activities may be linked to conservation. At least 1 workshop per month = 30.</li> <li>Activities should also be measured in community ecosystem sustainability.</li> </ul>
	# of workshops realized related on gender equality and women's rights	<ul style="list-style-type: none"> <li>At least half of the 30 workshops/activities will be tailored to women's livelihood improvements.</li> </ul>

- Objective 5. Increased capacities in solar technologies through training of indigenous women in the 3 Toledo District Communities.

Activity (5.1) Activity (5.1) "Enhancing the participation of women through workshops and capacity building activities in maintenance and installation of solar systems"	# of training seminars undertaken in operation and maintenance of distributed solar system,	<ul style="list-style-type: none"> <li>Using GEF, Pleny/Barefoot College curriculum, in Santa Rita Belize, a 2-year programme covering 10 training workshops.</li> </ul>
	# of persons trained in each community operation and maintenance of distributed solar system.	<ul style="list-style-type: none"> <li>Number of beneficiaries in each community is estimated through participation in activities of men 5% and women 10%. More women are expected to participate as men are traditionally tasked as breadwinners; it's expected that less persons will be available to participate as percentage of overall population.</li> <li>Dolores, population, 596, of which 305 are women, 45 potential beneficiaries. Otoxha, 357, of which 157 are women, potential beneficiaries, 26. Boom Creek, 112, of which 60 are women, potential beneficiaries, 9.</li> </ul>
	% of women of the community trained in each community operation and maintenance of distributed solar system	<ul style="list-style-type: none"> <li>Number of beneficiaries in each community is estimated through participation in activities of men 5% and women 10%. More women are expected to participate as men are traditionally tasked as breadwinners; it's expected that less persons will be available to participate as percentage of overall population.</li> <li>Women's population in communities Dolores, 305, beneficiaries: 30. Otoxha, 157, beneficiaries, 16. Boom Creek, 60, 6.</li> </ul>

Component 3: Increase the capacities and governance of rural communities on water resources sustainability in relation to climate vulnerability and strengthening the knowledge management capacities of the public authorities and the communities.		
<ul style="list-style-type: none"> <li>Objective 6. Strengthen the awareness of rural communities in Belize about the impacts of climate change on water sustainability.</li> </ul>		
Activity	Indicator	Assumptions
4. Activity (6.1) Develop and implement a national public awareness campaign throughout village leadership for the communities on the effects of climate change on water sustainability.	2 awareness communication campaigns, through locally used media, on climate change and water sustainability	<ul style="list-style-type: none"> <li>2 national awareness campaigns, carried out by a specialized firm.</li> <li>Firm will also be tasked with verification surveys.</li> <li>Firms can sub-contract climate specialists.</li> <li>Post campaign surveys will be developed by specialized firm, distributed through the project' social media and website.</li> <li>Surveys will provide gender disaggregation, village council participation.</li> </ul>
	Post-campaign survey with village leadership disaggregated, who responded awareness on water issues related to climate change.	
	% of respondents to MRT survey are women	
<ul style="list-style-type: none"> <li>Objective 7. Improve the resiliency of four rural communities of Belize through enhanced governance of their Water Boards to ensure sustainability.</li> </ul>		
Activity (7.1) Training of Village Leadership of the 4 communities and their Water Boards on inclusive governance.	1 workshop per community on inclusive water board management	<ul style="list-style-type: none"> <li>Workshop to occur in Y4Q1, after each system and water board is operational. These are expert facilitated workshops, organized by the MRT in each village.</li> <li>Workshop to occur in Y4Q2, after each system and water board is operational. These are expert facilitated workshops, organized by the MRT in each village.</li> <li>MRT attendance sheet can provide % of participating women, minimum 30%, as this is the expected participation of women in each water board.</li> </ul>
	1 workshop per community on WASH with gender perspective	
	% of women in each workshop	
<ul style="list-style-type: none"> <li>Objective 8. Strengthen the MRT's capacity to respond to water issues in the communities.</li> </ul>		
Activity (8.1) Strengthen the MRT's knowledge base through trainings of its regional and field officers of best practices in water board management and hybrid / solar water systems.	4-week course for MRT officers on climate change, inclusive governance, gender community issues and solar hybrid system	<ul style="list-style-type: none"> <li>Course designed by specialized firm, interactive web-based, over zoom or teams, with a physical workshop in a centralized location.</li> <li>Headcount MRT field officers: 15</li> <li>Course designed by specialized firm, interactive web-based, over zoom or teams, with a physical workshop in the MRT offices or in another location in Belmopan.</li> <li>Headcount of MRT: 109</li> </ul>
	2 – week course to the MRT on climate change, water board management, gender inclusivity.	

## 1. REPORTING SCHEDULE

### Reporting to the Adaptation Fund

Report	Description and Content	Frequency
Semi-annual Progress Report	<ul style="list-style-type: none"> <li>Report on achievement of project objectives.</li> <li>Budget execution.</li> <li>Narrative on project progress and activities undertaken.</li> </ul>	Semi-Annual (August)
Annual and Audit Report	<ul style="list-style-type: none"> <li>Achievement of project objectives – Logic Framework.</li> <li>Audited budget and reconciliation.</li> <li>Narrative on annual progress on activities.</li> <li>Procurement reporting.</li> <li>Lessons learned and corrective actions.</li> <li>Project risks</li> </ul>	Annually (February-March)
Mid Term Evaluation	<ul style="list-style-type: none"> <li>Effectiveness and efficiency in achievement of project objectives</li> <li>Lessons learned and corrective actions.</li> <li>Action plan for implementation improvement.</li> </ul>	After 2 years
Final Evaluation	<ul style="list-style-type: none"> <li>Effectiveness and efficiency in achievement of project objectives</li> <li>Lessons learned and corrective actions.</li> </ul>	After finalization

## Reporting to PACT and Steering Committee

Report	Description and Content	Frequency
Monthly Report	<ul style="list-style-type: none"> <li>▪ Narrative on the activities of the PMU and its staff</li> <li>▪ Progress on implementation of project activities</li> <li>▪ Procurement</li> <li>▪ Budget expenditures and commitments</li> </ul>	Monthly
Quarterly Progress Report	<ul style="list-style-type: none"> <li>▪ Report on achievement of project objectives.</li> <li>▪ Budget execution for the period and cumulative, including next period commitments.</li> <li>▪ Procurement processes concluded, ongoing and next period processes.</li> </ul>	Quarterly
Semi Annual Audit Report	<ul style="list-style-type: none"> <li>▪ Achievement of project objectives – Logic Framework.</li> <li>▪ Audited budget and reconciliation.</li> <li>▪ Narrative on annual progress on activities.</li> <li>▪ Procurement reporting.</li> <li>▪ Lessons learned and corrective actions.</li> <li>▪ Project risks</li> </ul>	Semi-Annual (August)
Audited Budget	<ul style="list-style-type: none"> <li>▪ Statement of Expenditures</li> <li>▪ Committed funds and budget execution.</li> <li>▪ Reconciliation and cash balances</li> <li>▪ Recommendations</li> </ul>	Annually (February-March)

## AUDITS AND EVALUATION

In addition to periodic reporting by the PMU, an additional layer of control has been added to ensure proper, effective, and efficient use of program funds. Four audits are planned during the project implementation, and 2 evaluations, a midterm, and a final.

### P. AUDIT OF PROJECT'S FINANCIAL RESOURCES

An audit firm will be retained from project inception to carry out an audit on the financial resources of the program. The audit firm will be independent.

#### Minimum content of Independent Audits Reports:

- Independent Auditor Report: including commentary on procurement, reports provided to PSC, PACT, and AF.
- Statement of cash receipts and payments
- Statement of uses of funds by project activities
- Notes to project financial statements.
- Statements to be prepared according to IPSAS, per IPSASB.
- Audit to be conducted using International Standards on Auditing (ISA).
- Auditors should provide compliance with IESBA Board ethical standards.

### Q. EVALUATION

Two Project evaluations are planned, a Mid-Term Review after the first 24 months into the Project implementation and a Final Evaluation, 3 months before the scheduled End Date of the four-year Project. This is to allow for adequate time to finalize and formalize all final reports. The Final Evaluation will be conducted under the leadership of the Independent Evaluator procured by the PMU with the three-general criteria; degree to which the Project was logical and adequate, its performance and its success, Table XXX illustrates this:

Evaluation	Criteria
<b>Relevance:</b> Degree to which the objective of the project is relevant to resilient agriculture with climate change and climate variability in the project communities.	<ul style="list-style-type: none"> <li>▪ The design of the project and its adequacy. Was there a logical approach to project planning and implementation.</li> </ul>
<b>Performance:</b> The progress made by the Project relative to the objective.	<ul style="list-style-type: none"> <li>▪ Efficiency- Was the project planned and undertaken in a cost-effective manner and were the best options selected for the expected objectives?</li> <li>▪ Effectiveness- Were the assumptions and risks identified on target and will the expected activities produce the objectives/Outputs?</li> <li>▪ Timeliness - Were the outputs timely and the expected quality/quantity relative to the expected outcomes?</li> </ul>
<b>Success:</b> The extent to which the project has brought about change	<ul style="list-style-type: none"> <li>▪ Impact – How have the project outcomes impacted the objectives of each component and overall, towards resilience to climate change?</li> <li>▪ Sustainability- Are there indicators of project sustainability and can they be described?</li> </ul>

FINANCIAL MODEL

1. DOLORES

Community	Dolores
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Number of Households	106
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Avg Household Income	USD 100.00
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Monthly use	Notes	BZD	USD		Distribution	Monthly Revenue	Collection Rate
<1000 Gallons	Flat	11	5.5	90%	95.4	USD 524.70	90%
> 1000 Gallons < 3000	Per M3 avg	40	20	5%	5.3	USD 106.00	85%
> 3000 Gallones	Per M3 avg	70	35	5%	5.3	USD 185.50	85%
						<b>USD 816.20</b>	<b>87%</b>

Water Board Operation Costs

	Annual	BZD	USD	%
Monthly Maintenance	BZD 3,400.00	BZD 283.33	141.67	22.2%
Supplies, monthly	BZD 500.00	BZD 41.67	20.83	3.3%
Annual Event	BZD 400.00	BZD 33.33	16.67	2.6%
Investment Savings	BZD 6,800.00	BZD 566.67	283.33	44.4%
Staff	BZD 4,200.00	BZD 350.00	175.00	27.5%
<b>Sub-Total</b>	<b>BZD 15,300.00</b>	<b>BZD 1,275.00</b>	<b>USD 637.50</b>	

	BZD	USD
System Design	BZD 70,200.00	35,100
Construction & Equipment	BZD 1,125,500.00	562,750
Labor & Contingencies	BZD 273,528.50	136,764
<b>Total System Investment</b>	<b>BZD 1,469,228.50</b>	<b>734,614</b>

	BZD	USD
Investment	1,469,229	734,614
Discount Rate	5.52%	
NPV	(\$1,278,781.94)	(\$639,390.97)
IRR	-1%	

## 2. OTOXHA

<b>Community</b>	Otoxha
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<b>Number of Households</b>	58
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<b>Avg Household Income</b>	USD 100.00
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Monthly use	Notes	BZD	USD		Distribution	Monthly Revenue		Collection Rate
<1000 Gallons	Flat	BZD 11.00	5.5	90%	52.2	USD 287.10		90%
> 1000 Gallons < 3000	Per M3 avg	BZD 40.00	20	5%	2.9	USD 58.00		85%
> 3000 Gallones	Per M3 avg	BZD 70.00	35	5%	2.9	USD 101.50		85%
						USD 446.60		87%

Water Board Operation Costs				
	Annual	BZD	USD	%
Monthly Maintenance	BZD 1,860.38	BZD 155.03	77.52	21.8%
Supplies, monthly	BZD 273.58	BZD 22.80	11.40	3.2%
Annual Event	BZD 400.00	BZD 33.33	16.67	4.7%
Investment Savings	BZD 3,720.75	BZD 310.06	155.03	43.5%
Staff	BZD 2,298.11	BZD 191.51	95.75	26.9%
<b>Sub-Total</b>	<b>BZD 8,552.83</b>	<b>BZD 713</b>	<b>USD 356.37</b>	

	BZD	USD
System Design	BZD 70,200	USD 35,100
Construction & Equipment	BZD 975,500	USD 487,750
Labor & Contingencies	BZD 113,925	USD 56,963
<b>Total System Investment</b>	<b>BZD 1,159,625</b>	<b>USD 579,813</b>

	BZD	USD
Investment	1,159,625	579,813
Discount Rate	5.52%	
NPV	(\$1,009,657.29)	(\$504,828.65)
IRR	-1%	

### 3. BOOM CREEK

<b>Community</b>	Boom Creek
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<b>Number of Households</b>	22
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<b>Avg Household Income</b>	USD 100.00
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Monthly use	Notes	BZD	USD		Distribution	Monthly Revenue	Collection Rate
<1000 Gallons	Flat	11	5.5	90%	19.8	USD 108.90	90%
> 1000 Gallons < 3000	Per M3 avg	40	20	5%	1.1	USD 22.00	85%
> 3000 Gallones	Per M3 avg	70	35	5%	1.1	USD 38.50	85%
						USD 169.40	87%

#### Water Board Operation Costs

	Annual	BZD	USD	%
Monthly Maintenance	BZD 705.66	BZD 58.81	29.40	22.2%
Supplies, monthly	BZD 103.77	BZD 8.65	4.32	3.3%
Annual Event	BZD 83.02	BZD 6.92	3.46	2.6%
Investment Savings	BZD 1,411.32	BZD 117.61	58.81	44.4%
Staff	BZD 871.70	BZD 72.64	36.32	27.5%
<b>Sub-Total</b>	<b>BZD 3,175.47</b>	<b>BZD 264.62</b>	<b>BZD 132.31</b>	

	BZD	USD
System Design (sunk)	BZD 70,200	35,100
Construction & Equipment	BZD 844,500	422,250
Labor & Contingencies	BZD 135,625	67,813
<b>Total System Investment</b>	<b>BZD 1,050,325</b>	<b>USD 525,163</b>

	BZD	USD
Investment	1,050,325	525,163
Discount Rate	5.52%	
NPV	(\$749,240.14)	(\$374,620.07)
IRR	-1.83%	



#### 4. COPPER BANK

Community	Copper Bank
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Number of Households	150
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Avg Household Income	USD 250.00
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Monthly use	Notes	BZD	USD		Distribution	Monthly Revenue	Collection Rate
<1000 Gallons	Flat	11	5.5	90%	135	USD 742.50	90%
> 1000 Gallons < 3000	Per M3 avg	40	20	5%	7.5	USD 150.00	85%
> 3000 Gallones	Per M3 avg	70	35	5%	7.5	USD 262.50	85%
						<b>USD 1,155.00</b>	<b>87%</b>

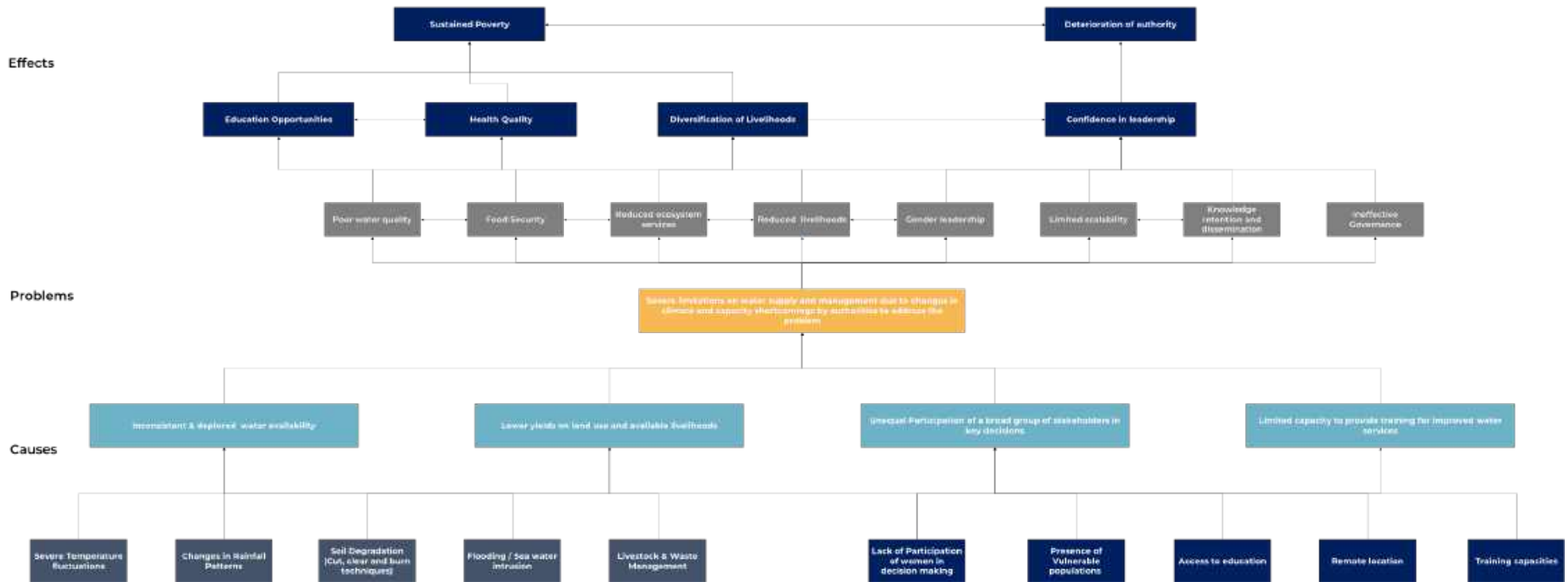
	Water Board Operation Costs			
	Annual	BZD	USD	%
Monthly Maintenance	BZD 4,811.32	BZD 400.94	200.47	22.2%
Supplies, monthly	BZD 707.55	BZD 58.96	29.48	3.3%
Annual Event	BZD 566.04	BZD 47.17	23.58	2.6%
Investment Savings	BZD 9,622.64	BZD 801.89	400.94	44.4%
Staff	BZD 5,943.40	BZD 495.28	247.64	27.5%
<b>Sub-Total</b>	<b>BZD 21,650.94</b>	<b>BZD 1,804</b>	<b>USD 902.12</b>	

	BZD	USD
System Design (sunk)	BZD 70,200	35,100
Construction & Equipment	BZD 2,153,600	1,076,800
Labor & Contingencies	BZD 303,800	151,900
<b>Total System Investment</b>	<b>BZD 2,527,600</b>	<b>1,263,800</b>

		BZD	USD
Investment	7.80%	2,527,600	1,263,800
Discount Rate	5.52%		
NPV		(\$2,260,852.30)	(\$1,130,426.15)
IRR	-3%		

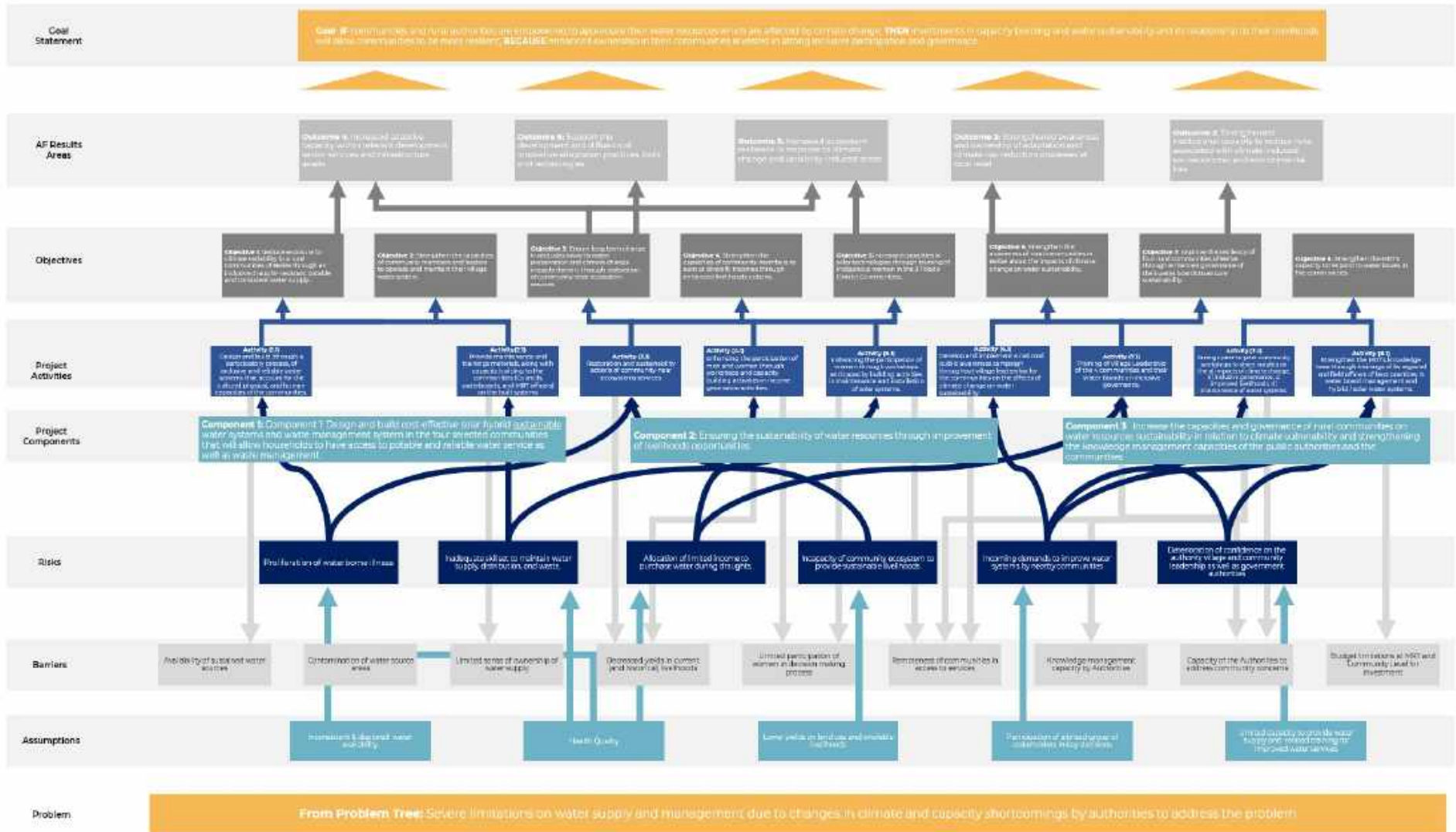
**PROBLEM TREE ANALYSIS**

**Problem Tree Analysis – To be Validated Field Visits**





**THEORY OF CHANGE**



## **Annex IV : Social Impact Assessment**

### **1. LITERATURE REVIEW**

· Review of relevant documents was undertaken to obtain information on each component of the project, including key social drivers, livelihoods, climate, and political context. Additionally, this review included literature on the history, demographics, economy, and social profiles for the Corozal and Toledo District and for each of the four Project Communities. Other relevant documents reviewed pertain to legal and policy documents related to national adaptation and climate strategies; Village Council and Water Board formation; FPIC and indigenous people's protocol; Adaptation Fund and Pact environmental and social safeguards and others that have a direct bearing on the project were also reviewed. See References for a list of documents reviewed.

### **2. STAKEHOLDER MAPPING**

· Project stakeholders include any individuals, organizations, parties, or entities that may have an interest in the outcome of a project; this interest can be positive or negative. In

### 3. FIELD OBSERVATIONS

Direct field observations were undertaken to gather a deeper understanding of the community context and how project activities may potentially impact different groups within the villages. Some of the key areas observed included village distance from other villages; layout of the villages; community infrastructure such as, schools, health facilities, community centers; electricity or distance from the electrical grid; access to cell phone connection; distance from working handpumps or water sources; land use; access to health care; sanitation; commercial activities such as selling goods, services, food, or materials and gender dynamics.

### 4. CONSULTATIONS AND INTERVIEWS

The consultations were made through interviews with experts who have in-depth subject knowledge, lead project managers from other relevant Belize projects, NGOs, government entities and others who have indicated experience working directly with the communities. The intention was to combine technical expertise with direct practice, seeking a comprehensive perspective. These interviews were conducted through video calls to facilitate remote interaction, in addition to face-to-face meetings during the site visit in Belize.

Meetings with stakeholders were held through several mediums. Virtual video meetings were held on various themes in areas such as distributed solar, wastewater management, Belize water distribution projects, NGOs with community specific experience and whom have expertise in ecosystem management and alternative livelihoods, groups involved with gender, government ministries as well as other key stakeholders. In formulating the project, more than 50 people were consulted via virtual meetings and in-person meetings with project community leaders, the communities, representatives from the TAA, an Area Representative, four Field Officers; and the president of NAVCO. See Appendix 9 for a list of signatures from consultations held in Copper Bank and see Appendix 8 for the signed FPICs which provides names of those consulted.

Weekly project follow-up meetings were held with the MRT and PACT to ensure fluidity in the project formulation and access to stakeholders and documentation. In addition, an MRT focal point was assigned with the MRT to coordinate access of information gathering about the four villages. A group chat was established, numerous calls held, emails exchanged on a regular basis as well as interviews focused on specific themes of the project such as water board formation, water testing, village dynamics and the introduction of WASH capacity building within the communities.

#### 1. *Indigenous communities*

Prior to the meetings held in person with each village, there were extensive consultations carried out to gain insights about Copper Bank in the North and the three indigenous communities in the South. These were primarily held through video calls with the MRT Field Officers, who maintain close interaction with these communities. Their detailed knowledge of village needs and concerns was fundamental to the design and implementation of the project. In addition, consultations were conducted via video call with various NGOs that have implemented projects in these communities, providing valuable feedback on the interaction with the members and improving engagement and participation for on-site meetings as well as for the overall success of the initiatives.

Consultations were also conducted with indigenous organizations such as the Maya Leaders Association and the Toledo Alcaldes Association, who provided a comprehensive perspective on the governance, social structure, and decision-making processes within these communities.

### 5. CONSULTATION THEMES

#### 2. *Water management*

The consultations were conducted primarily with members of the MRT, experts who have a deep understanding of the issues in these communities. Additionally, specialists in the field, such as a water manager from Belize Water Services, were also consulted via video call to obtain specialized information and enhance the project's approach to water resource management.

#### 3. *Waste management*

#### 4.

In addition to the valuable input from ongoing conversations with the MRT Field Officers, other experts were consulted such as civil environmental engineer, Jose Garcia and Belize Water Services (BWS). Various virtual meetings were held with the Rotary Club regarding their efforts to address sanitation and hygiene through the introduction of composting latrine in the Toledo district. The meetings offered lessons learned and provided guidance for successful engagement in the communities, water conservation and WASH capacity building.

#### 5. *Solar energy*

To gain a deeper understanding of the implementation of solar energy in water systems, in-depth consultations were conducted. This included video call sessions with companies specializing in solar energy, such as Belize Power Limited, which provides solar services to Toledo communities. In addition, online contact was made with non-governmental organizations (NGOs) and individuals who have direct experience with completed solar energy projects in similar communities.

## **6. Gender**

Numerous discussions were held virtually to gain better understanding of the gender dynamics in these communities and how best to encourage women's participation. A more profound discussion on Gender can be found in Annex V: Gender Assessment and Action Plan.

## **7. Other Consultation Themes**

An integrated perspective was sought, combining the practical experience of specialized companies, the technical knowledge of NGOs and the direct experience of individuals involved in similar projects.

Consultations played a key role in the development of the project. The consultation process provided valuable suggestions on how to interact with the communities, approach the project and get the members actively involved in it. Also, it provided a perspective that allowed increased understanding of the project's context. The experts and individuals with experience working with the communities provided insights that helped ensure the feasibility and effectiveness of the project.

These consultation sessions also provided an opportunity to address concerns identified by the research, such as water salinity, maintenance of solar systems, water fees, and lessons learned from previous projects in these communities. As the consultations advanced, it also provided key feedback on the design of the SEAM Project, improved application of lessons learned and best practices for the different component activities and insights on the implementation plans to ensure its success.

## **6. FIELD VISITS**

In the Corozal District, a meeting was held at the community library with Copper Bank's village leaders. This was attended by seven people, including the Village Council Chairman, four Village Council members, a Rural Community Development Officer (RCDO) from the Ministry of Rural Transformation, Community Development, Labour and Local Government (MRT) and the town librarian. Of those who attended, one was female. A Belizean Civil engineer who had conducted some studies on the water quality and potential options for the site of a well also participated by phone. The Minister of National Defense and Border Security, though invited at the guidance of the MRT, was unable to attend due to a conflict.

In the Toledo District, the first meeting was held in Punta Gorda Town, with the community leaders from the three Toledo District villages involved in the project. The MRT recommended meeting at a central location and provided transportation. These villages are self-identified indigenous communities - predominantly Maya. This meeting was attended by twenty leaders and other stakeholders, of which three were female. The meeting included the Chairmen of each Village Council, additional Council members, the Alcaldes from each community, MRT RCDO and Rural Water and Electricity Coordinator (RVEC), the vice president of the TAA, and someone from the Area Representative Office.

The leaders' meetings presented and engaged discussion on the following:

- Presentation describing all the components of the project.
- An outline of the project benefits and potential adverse effects.
- Critical elements of the project – community participatory approach for design and implementation; inclusion and involvement of women; and in-kind community contribution.
- Explanation of the Adaptation Fund's project priorities.
- Outline of the process to apply for funding and the relevant timelines.
- Discussion and leadership input and feedback about the project components.
- Leader's questions, clarifications, concerns, and expressed community priorities.
- Brainstorming on potential alternative livelihood ideas.

The meeting was structured in a way to promote participation and inclusion, with tables set up so that participants were facing one another. All attendees were encouraged to voice themselves and once the conversation started flowing, there were many thoughts shared and well formulated questions about the structure of the project and its relevance.

For example, clarification was requested on what the project would require from the community; what costs would be covered and if the community's contribution had to be financial or in-kind; if the construction work for the water and sanitation components could potentially be a short-term means for income generation; preference for the water tank to be cement and not plastic; why sanitation was part of this project when it was not part of other water projects in nearby communities. Across the four villages, each meeting had numerous attendees earnestly express the absolute need and prioritization for getting a potable water system for their villages.

## **8. NAVCO**

There was a meeting held with the President of the National Association of Village Councils (NAVCO), a Council that represent the interests of the District Association of Village Councils (DAVCO) and is seen as a lobbying body on behalf of rural communities. It was explained that NAVCO is in charge of protecting, monitoring, and repair of systems for electrical, solar, sewage and waste/trash.

## **7. STAKEHOLDER FEEDBACK AND IMPACT ON PROJECT DESIGN**

The project values the input from the community and other stakeholders and has been considered in every stage of formulation of the project. This input came from various sources during the project formulation, such as consultations, Q&A sessions, focus groups, surveys, and other feedback methods. During implementation, inputs from stakeholders have also been considered, see Annex II: Implementation and Operations Arrangements.

A summary of the impact this feedback has had on project design can be found in Appendix 4

## **FPIC, CONSULTATION AND PARTICIPATION PROCESS**

### **1. CULTURALLY APPROPRIATE CONSULTATION**

· The Project aims to recognize and respect the dignity, human rights, economies, and cultures of indigenous peoples, ensuring the preservation of their cultural practices and proceed through guidance of International and National policies and laws, as well as those of AF and PACT.

· The COP to the UNFCCC, including the Cancun Agreement, emphasizes the importance of involving indigenous people in climate change actions and policies. The Paris Agreement also acknowledges that Parties should respect, promote and consider their obligations on, among other things, the right of indigenous peoples when they act on climate change. It also admits that more action is needed. The COP adoption of the Paris Agreement calls for enhancing the practices and efforts of local communities and indigenous peoples in dealing with and adapting to climate change and making the local communities and indigenous people's platform work to support this. Further, the Conference of the Parties, requests of entities to consider "to enhance [its] consideration of local, indigenous and traditional knowledge and practices and their integration into adaptation planning and practices, as well as procedures for monitoring, evaluation and reporting."

· In the project's indigenous communities, the Free, Prior and Informed Consultations (FPIC) has been and will continue to be implemented based on Belize's adoption of its indigenous people's protocol (Maya of Southern Belize Free Prior and Informed Consent Protocol); the 2022 document indicates water supply projects within self-identified indigenous communities require such procedures.

· The consultation protocol here follows the AF's Environmental and Social Policy Principle 7 "Indigenous People" and respects the diversity of Belizean society. It aims to include and consider both indigenous and non-indigenous communities. The protocol ensures that the project will listen to and address the views and feedback of indigenous peoples and other affected communities, especially rural ones, in a culturally appropriate way during the project implementation. It also ensures that they will have access to suitable project benefits. The protocol did not apply FPIC to the non-indigenous community, but followed and will follow all other steps. The Project agrees with the UNDRIP and other relevant international instruments on indigenous peoples' rights and responsibilities. The Special Rapporteur of the UNDRIP has not received any complaints or reports about the Project concerning the rights of indigenous peoples.

### **2. CONSULTATION PRINCIPLES**

· The Project is designed to ensure that it implements a community participative process throughout the project cycle to maintain relevance and responsiveness to the individual villages. The Project approached all the villages utilizing the same protocol with the exception that the three indigenous villages also underwent the formal documentation of consent through the Free Prior and Informed Consent (FPIC) process that is required when working with indigenous communities.

· Free, Prior and Informed Consent (FPIC) was affirmed as part of the 2007 United Nations Declaration on the Rights of Indigenous Peoples and obliges States and other third parties to consult in good faith and without coercion with Indigenous people to obtain their consent before adopting any measures or starting any development projects that may affect their communities.

· The engagement of communities is based on the principles of free, prior, and informed consultation and is conducted in a culturally appropriate manner. FPIC is defined as follows:

- Free – the engagement should be free of coercion, corruption, interference, and external pressures. Community members should have the opportunity to participate regardless of gender, age, or socio-economic status.



- Prior – the engagement should be during the design phase and prior to the execution of any project or sub-project activities that may affect them. Times of engagement should be established in advance.
- Informed – information sharing during consultation should be timely, sufficient, and accessible and should cover the potential impacts of the project whether positive or adverse.
- Consultation - the consultation process is to be carried through in good faith, is meaningful and that it meets the conditions set out by the consultation principles and adheres to established protocol.
- Culturally appropriate – the process must ensure that information is provided in the appropriate language, traditional and customary leadership and decision-making processes are respected and seek to maximize community input into the process regardless of age or gender.

### **3. CONSULTATION PROTOCOL**

#### **9. *Role of Local Leadership and indigenous associations***

Water supply activities are within the list of activities that requires the FPIC process to be completed in accordance with the Maya of Southern Belize FPIC. This document states that a written notification must be sent to the Alcaldes and the Village Council since the project is to be executed in the Maya Villages. A preliminary meeting was requested in accordance with the regulation where the consultation plan was developed. The consultation took place in accordance with the consultation plan.

The village leadership systems were identified for this assessment and protocol was followed during project formulation and will be followed when undertaking community consultations and engagement during the implementation phase of the project.

Each of the communities in the Toledo District have an Alcalde, who was informed, and engaged with, in conjunction with the Village Councils as required when working with self-identified indigenous communities. The Maya Leaders Association (MLA), Toledo Alcalde Association (TAA) and the Commissioner of Indigenous People’s Affairs, a dependency of the Ministry of Human Development, Facilities, was consulted about the project out of respect and in accordance with Belizean indigenous people’s protocol. See APPENDIX 3: **INDIGENOUS ORGANIZATIONS** for a non-exhaustive list of indigenous organizations found in Belize.

The leaders of the villages were, and will be, approached first and all arrangements for meetings are to be made by them or with their consent. The Project is aware that some communities may also have informal leaders, such as religious leaders or elders, that will be engaged to assist with the consultation process.

#### **10. *Role of Rural Community Development Officers***

The MRT has a Rural Development Unit that has Rural Community Development Officers (RCDO) assigned to work with all rural communities and their Village Councils. In terms of the Project, the RCDOs play an invaluable role in liaising with communities, Village Councils, alcaldes and other stakeholders in the project.

The RCDOs that have been involved with the Project have developed a long-term relationship with the communities for more than a decade. The familiarity with the needs and priorities of the villages, as well as the challenges and opportunities that they face place them in a key role to facilitate the Project process and engage with the assigned leaders as well as the informal leaders. They played a key role with planning and facilitating activities and promoting participation with the communities.

### **4. CONSULTATION MEETINGS**

After the indicated preliminary steps, all community leaders, both chairmen of the village councils as well as alcaldes of their respective the Toledo villages, were contacted in writing in a letter that outlined all components of the project and requested an opportunity to meet. In the case of Copper Bank, the MRT recommended including a sending a written request for a meeting to the Village Council Chairmen as well as to Minister of National Defense and Border Security.

The leaders of the communities were contacted with more than three weeks’ notice before the proposed date to discuss the project.

The consultation meetings with the leaders of Copper Bank were held in their village, while the those with the leaders of the three Toledo villages were held in Punta Gorda as a group, in addition to members of the Village Council and Alcaldes, a TAA representative and an Area Representative of the Electoral Division attended. The meetings held with the community as a whole were held in individual villages.

### **5. CONSULTATION METHODS IMPLEMENTED**

The Project consultations were participatory, inclusive, and complied with international good practices, as well as the AF and PACT policies and standards. The methods used to convey information and engage community members in consultation were, and will be, done using appropriate methods. This included holding meetings in their preferred language.

· In Copper Bank, presentations and meetings were done in Spanish and English to make it accessible to all the attendees; Spanish is widely spoken in this part of Belize both for its nearness to the Mexican Border and due to large diaspora of Belizeans living and working in the nearby Mexican state of Quintana Roo. The MRT field officer assigned to this village has a long-established relationship with the village and is bilingual. All organizations working with this community will be bilingual or use a translator.

· Boom Creek meetings were addressed bilingually, to ensure all attendees are being interacted with in their native tongue, while in Otoxha and Dolores, Q'eqchi' was, and will be, the language utilized as it is their indigenous language.

· The two MRT field officers who have been participating during the Project formulation, are bilingual. One, is bilingual in Spanish and English, and has assigned Boom Creek. The other, with more than a decade of experience working in the Toledo District and who is intended as to be a project district focal point in the Toledo District, amongst other languages is fluent in English and Q'eqchi'. Additionally, in most cases, there are community members who can translate from their indigenous languages to Spanish and/or English.

· Meetings were held in a participatory fashion and should use formats that are geared toward ease of understanding, using visuals, direct language and be presented in a culturally respectful and gender inclusive manner. The information will be provided in an objective format. As is common in a sustainable development project that aim to carry out capacity building introducing new or unfamiliar topics, it is common to get a delayed response from participant at the beginning and follow-up meetings should be anticipated and prepared for.

## **PROJECT COMMUNITY SOCIAL ASSESSMENT**

· Responding to the increasingly severe water shortages and quality issues and the prioritization of water within its national strategy, the Project identified four remote rural communities that have a history of severe water shortages and have never had a potable water distribution system. These communities rely on collecting water from hand pumps; spring fed community spigots; rainwater harvesting; or contaminated hand dug wells. The Project will take place in the Northernmost Corozal District and in the Southernmost Toledo District.

### **1. COROZAL DISTRICT**

· The Corozal District, comprised of 31 cities, towns and villages, has an area of 718 square miles and sits along Chetumal Bay on the Caribbean coastline. Corozal Town is the administrative capital and largest city.

· The Corozal region is primarily known for agriculture, sugar cane production, and its status as a Free Trade Zone with Mexico. Many people are drawn to Corozal for its low cost of living and proximity to Mexico. This has influenced Spanish as the predominant language in the district as well as in the food and culture. English is also common as it is Belize's primary language and is taught in school.

· Though there are many local ethnic populations, Mestizo, Creole, Maya, Garifuna, East Indian, Chinese, and North American ex-pats, the Corozal District is inhabited predominantly by Mestizos, who are descendants of indigenous Maya and European Spaniards. They first came into northern Belize from southern Yucatan, Mexico, as refugees escaping the Caste War of Yucatán in 1848. Even though Belizean Mestizos of the north share Maya ancestry from Mexico they do not as an ethnic group self-identify as indigenous peoples. Most consider themselves Mestizos and do not claim indigenous status.

· There is vast Maya archaeological richness in this district that is still in the process of being revealed through new excavations and accidental finds from construction – most recently through a major road infrastructure project in the district. Corozal Town, the capital and main city of the district, is said to be built on an ancient Maya city.

### **2. COPPER BANK**

#### **71. Location**

· The Maya were the first inhabitants of what is now Copper Bank – evidenced by its location next to the Ruins of Cerro Maya which covers 53 hilly acres beside the Chetumal Bay. This is the only Maya archaeological site along the coast. The Cerros served as an important jade and obsidian trading center from 400 BC to 100 AD. When the British arrived in 1977, they named the settlement after some old copper coins that had been found there. Originally named Cababénque in 1895, this small fishing village was renamed Copper Bank in 1915.

· Located in the Corozal District of Northern Belize. It is situated on the west bank of Laguna Seca, a shallow lagoon that empties into Chetumal Bay just north-east of the village. The closest neighboring village is Chunox, located approximately 1.25 miles away on the east bank of the Laguna Seca.

## 12. Access

The village can be reached by road, road and ferry or by sea. The route from Corozal Town to Copper Bank requires a crossing of the New River via the hand cranked Pueblo Nuevo ferry. Three vehicles can be transported at one time which can result in long wait times. Access via the populated community of Sarteneja is by a ferry across the mouth of Laguna Seca.

## 13. Background

The town, with a population of 600 people, with approximately a 45.5% male to 54.5% female ratio. There are 150 households, 98% of which are Mestizo and the remaining 2% are Caucasian. The predominant language spoken is Spanish though a large percent of the village members also speaks English. The head of the households is predominantly male, and the main religion is Catholic. The village is considered lower-middle class in accordance with the SIB Poverty Index. 85% of village transportation is private motor vehicles, while around 15% travel by bus.

Date	Activity
1800	First families (Diaz, Cobb, Ake, Awayo)
1895	Cababenque – 1 <sup>st</sup> given to the community.
1915	Copper Bank – Renamed.
1955	Hurricane Janet
1960	Park was built
1970	Catholic church was built
1985	Roads and streets constructed
1988	Telephone
1990	Electricity
2007	VHF radio (Belize Red Cross) *not currently available.
2007	Hurricane Dean
	ProVention – Belize Red Cross “Protected Schools Project”
	ProVention Micro-project, transforming school latrines to flush toilet and 4 school lockers
2009	Vulnerability Capacity Assessment training with Copper Bank, Chunox, and Sarteneja

Table 35: Historical profile of main events at Copper Bank (Source: Red Cross Vulnerability and Capacity Assessment 2009).

## 14. Infrastructure

The streets of Copper Bank were constructed in 1985 and telephone lines were put in three years later. Since the 1990s, the village has been connected to the national grid. There is a concrete primary school building, two restaurants, four small food stores, a bar, four churches and a community library. There is no water infrastructure to date, beyond the school donated system and handpumps.

In 2019, the government of Belize signed a contract with a Taiwanese firm and the Organization for European Economic Cooperation (OECC) to design and upgrade 27 miles of road, including the construction of two bridges. One of these bridges will replace the quaint hand cranked old sugar barge which runs as a ferry at Pueblo Nuevo which carries three cars across at a snail’s pace ‘powered’ by two amiable men turning the crank as the ferry is pulled across on a cable. There is another bridge reported to replace the ferry for Laguna Seca.

This construction will inevitably have a noteworthy economic impact on the village as it will provide a much faster, reliable, direct and all-weather link between Corozal Town and Copper Bank (as well as various other communities). The road is being both widened as well as raised considerably to avoid the chronic flooding that occurs in the area. It is being paved to climate resilient standards with a doubled chip and seal and with proper drainage considerations to ensure its resilience against climate change impacts. This will especially ease traveling to and from high school for the village’s youth who were impacted by road conditions. By year end, this bridge and improved road system will dramatically impact the access to and from Copper Bank.

The construction, however, has received some criticism as it has destroyed numerous Maya artifacts found in the many house-mounds throughout this culturally rich area. Most of the homes in the village of Copper Bank are concrete houses (60%), mix structure 39% and wooden houses 1%.

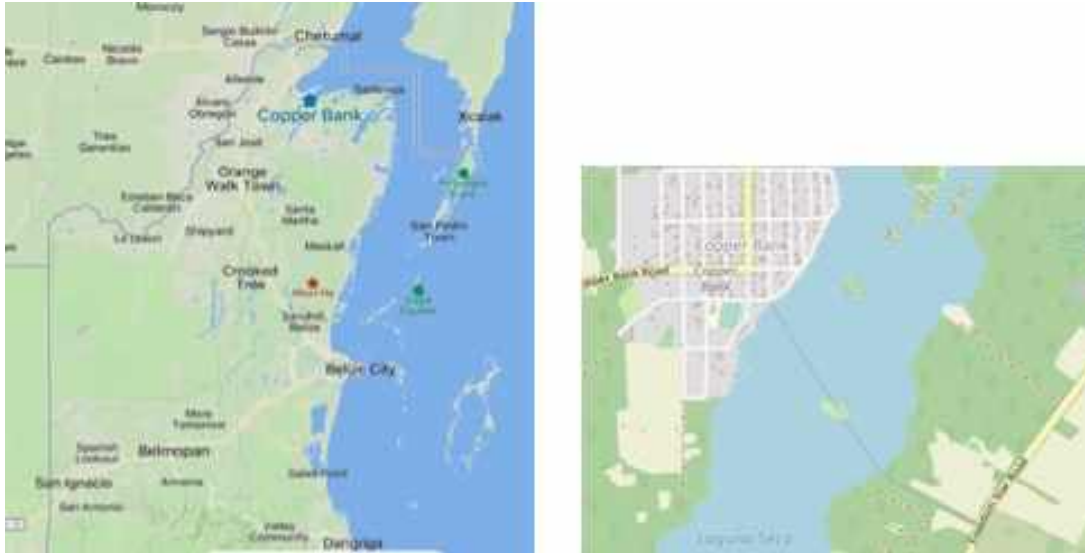


Figure 21: Copper Bank Map (Source: Mapcarta.com)

### 15. Land Tenure

In the 2020 community profile, when village leaders were asked whether the residents held titles to their land, leased or squatted, it was reported that 20% had freehold (purchased), 70% had leases, 10% were squatting on the land they occupy which at some point in time a member of their family may have had a leasehold which expired. The same question to the 2023 village leaders stated that 70% held land titles.

### 16. Transportation

About 85% of the village uses private motor vehicles as their primary means of transportation, there are 74 in total. About 15% travel by bus. There are 3 fishing boats, 12 boats, and 5 speed boats.

### 17. Livelihood

Copper Bank was historically highly dependent on sugar cane farming and participated heavily in the sugar industry. Though the sugar industry is the predominant industry in northern Belize, the village's chairman reports that approximately 90% of the community earns its income from fishing, with the highest price paid for from conch and lobster. Approximately 2% of the village's income from construction, about 2% from cane farming and approximately 5% of women in the town earn money by doing domestic work.

The average monthly income per family in the fishing trade is around \$1,500 - \$2000 a month during the period February 15th to July 1st. Those in cane farming average monthly income is \$350-\$500 per month. Those in the construction trade earn approximately \$1,000 to \$2,000 a month.

Fishing income varies greatly and is impacted by the high price paid during conch and lobster season. Belize's susceptibility to hurricanes impacts not only the ability to go out to sea and their equipment, but also produces damaging currents that can extend down 200 feet or more below the surface. This can impact the underwater formations that act as habitats for the fish. Increased amounts of low dissolved oxygen can also result in large amounts of dead fish.

During the project presentation to the community and during the focus group, 11 of the 13 women present decided to form a women's group to begin to brainstorm alternative livelihood options and join together in support of bringing portable water to the community. Two village women were elected chairlady and vice chairlady, and contact information was exchanged. Two of these members were US women that offered to teach preserve making and baking and were promoting fundraising for the Copper Bank library.

### 18. Energy

Since the 1990s, the village has had electricity via the national grid, provided and maintained by Belize Electricity Limited (BEL), and the majority of the village is connected. There are no known solar panels being used at this time.

## 19. Education

In 1960, Copper Bank started its first primary school at the RC Church, providing classrooms during the weekdays and sermons on the weekend. In 1990, the school moved from the church to a three classroom newly constructed cement school building. In 1993, two additional classrooms were added on. The school has toilets with a septic system, but it is in poor condition.

This primary school, kindergarten through 6th grade, has five teacher and 92 students (48 males and 44 females). The school has introduced fundraising measures by charging students BZ\$1 per week for 'casual/no uniform' Fridays. One mother explained that the money was being raised to fix the ceiling of the classrooms which was contaminated by rodent waste that was believed to be making the children sick.

Classes	Males	Females	Total enrolment
Pre-School	5	8	13
Primary School	48	44	92
Secondary	20	34	54

Table 36: Education rates in Copper Bank (Source: Copper Bank Village Community Profile 2020).

The Audubon Society donated a rainwater filtration system to the school, which filters and chlorinates water for drinking purposes only. A teacher is in charge of maintenance of the school's water supply. The piped well water is used for handwashing and cleaning only. The school charges BZ\$10.00 per student per school year for this water, which is paid by 90% of the parents.

For village children to receive further education, they need to travel to either Chunox or Corozal Town to continue their education. Though Chunox is relatively close, located across the lagoon, it can take as little as 15 minutes by ferry but can take much longer if traveling by road – especially during poor weather, making the trips close to an hour. Corozal Town is 15 miles away by rough road that is prone to flooding and requires a ferry ride. Once the new bridge is completed this should greatly reduce the time required.

## 20. Water

The main source of drinking water comes from rainwater collection – which varies greatly depending on the time of year. Households harvest rainwater for drinking and utilize water from their hand dug wells for other purposes. Considering that the elevation above sea level is quite low, influenced by the distance from the lagoon, water can be found anywhere from four to 15 feet deep below ground.

Approximately 60% of the households use electric pumps to bring rainwater into their homes for household use. Water pumps are used by approximately 90% of households to pipe the well water into their homes for toilets, laundry and for other household use. Of the 85 wells in the community only about 20% remain with water during the dry season from January to May. This leaves 80% of the households to have to collect water from the community hand pumps. Copper Bank has three community wells with hand pumps, although only one pump is functional at this time.

The village is experiencing extreme instability accessing water. Most private wells have gone dry because of the reduced water table and the prolonged dry season. Villagers have resorted to digging more wells within their properties in search of water and if none can be found, those with pickup trucks fill large plastic containers from the one functioning handpump and transport water for household use while other residents fetch water by bucket, carrying them by hand.

There are several exposed wells that are at ground level that pose a risk to young children and animals. These wells are exposed to contamination from human waste and surface runoff. The villagers that attended the meeting where the Project was presented were fully committed to having a water distribution system in their village. Water shortages and poor quality have been an on-going issue and those that attended were adamant about its importance. The feedback received during this meeting was that there was a general skepticism that the project would receive the needed funding and not get de-railed by political agendas like it has in the past. It was recounted that promises for a water system had been made repeatedly in the past with no forward movement.

Of the 14 bacteriological water tests run in Copper Bank between 2019 to 2023 half came back with fecal coliform or e-coli bacterial contamination. These results stemmed from private wells, rainwater tanks and the one hand pump that is functioning. Twice the Bamboo purified water supplier in Copper Bank had elevated bacteria levels. Table 11 details the severity of the situation. Table 12 provides details on water quality at three distinct locations.

Normally when there are test results indicating bacterial contamination it is shared with the Water Board who has the role of addressing the issue. Considering Copper Bank does not have a Water Board, which is reserved for villages with water distribution systems, the test results were not shared with the village leadership and could have gone unknown.



National Drinking Water Quality Laboratory  
Ministry of Health and Wellness  
Bacteriological Sampling Form



Sample Information									Laboratory Results			
Samplers Name: Mr. U. Sosa & Mr. N. Acosta									Microorganisms Tested			
District: Corozal Sanitary District #:									Total Coliform x/100 ml	Fecal Coliform x/100 ml	E. Coli x/100 ml	P. Aeruginosa x/100 ml
Date Collected: November 28, 2023				Date Received: November 29, 2023								
Condition Sample Received:				Cold	Warm							
Date Incubated: November 29, 2023				Time Incubated: 8:45 am								
Date Read: November 30, 2023				Time Incubation Stopped: 9:05 am								
Bottle #	Area	Time	Sample Type	Sample Description	Source	System	Water Type	Free Chlorine				
	City Town Village	am pm	Routine Repeat Special		Ground (G) Surface (S) Tank (T)	BWS, RWS BW, HP, RWT PWS, ICE, PW	Treated (T) Untreated (T)	mg/ L				
1	Copper Bank	12:13	Special	Playing Field Area- Lagoon	Surface (S)	Other	Untreated (T)		TNTC	230	36	
2	Copper Bank	12:07	Special	RC School - Rain Water Vat	Tank (T)	RWT	Untreated (T)		TNTC	6	16	
3	Copper Bank	11:50	Special	Bamboo Purified- Well	Ground (G)	PW	Untreated (T)		16	0	0	
4	Copper Bank	11:45	Special	Mr. Alcoser residence- Well	Ground (G)	PW	Untreated (T)		84	0	0	
5	Copper Bank	11:57	Special	Bamboo Purified- Refill station	Ground (G)	BW	Treated (T)		0	0	0	
6	Copper Bank	11:15	Special	RC School- H. Pump	Ground (G)	HP	Untreated (T)		>160	0	0	
7	Copper Bank	11:24	Special	RC School Hand Wash- Well	Ground (G)	PW	Untreated (T)		TNTC	14	26	

Sampler's Name:

Signature of Analyst: A. Sosa

Laboratory Remarks: The WHO Guidelines for Total Coliform , Fecal Coliform, E. Coli & P. Aeruginosa in drinking water is 0/100 ml.

RC schoolHand wash, Rain Water Vat & Lagoon have presence of Fecal Coliform and E.coli presence. These sources require treatment if the intent is for domestic purposes.

Table 37: Copper Bank Bacterial Water Test (Source: National Drinking Water Quality Laboratory, Ministry of Health and Wellness; Environmental Health Unit).





**NATIONAL DRINKING WATER QUALITY MONITORING PROGRAM**  
**Ministry of Health and Wellness**  
**Chemical Sample Collection Form**



Name of Sampler: Mr. Uriel Sosa/ Mr. Nemencio Acosta

District: Corozal City: \_\_\_\_\_ Town: \_\_\_\_\_ Village: Copper Bank

Date Sample Collected: November 28, 2023 Time Sample Collected: 11:50 a.m. p.m.

Description of sampling point: RC School Well (faucet) - Beside Class room

Type of water source:  Groundwater  Surface water  Rainwater

Type of System:  BWS  RWS  BW  Well  RWT  HP  P. System

Type of Water:  Treated water  Untreated water

Type of sample:  Routine  Special  Repeat

Bottle # BC 10

[Below This Line for Laboratory Use ONLY]

Date Sample Received: November 29, 2023 Date Sample Analyzed: December 04, 2023

Samples sent with ice packs or ice?  Yes  No If No, was sample received cold?  Yes  No

Parameter	Results	WHO Limits	Possible Effects
Alkalinity (mg/L)			
Sulfate (mg/L)		500 mg/L	Taste, corrosion
pH	7.28	6.5 – 8.5	Taste, appearance, corrosion
Turbidity (NTU)	1.05	5 NTU	Appearance
Chlorides (mg/L)		250 mg/L	Salty taste, corrosion
Hardness (mg/L)			
Conductivity (umhos/cm)			
Nitrate Nitrogen (mg/L)		10 mg/L	Methemoglobinemia
Nitrates (mg/L)	23.5	50 mg/L	Methemoglobinemia
Phosphates (mg/L)	0.25		
Salinity (psu/ppt)	0.451	0.7 mg/L	Salty taste, corrosion
Iron (mg/L)		0.3 mg/L	Bitter taste, red color
Total Dissolved Solids (mg/L)	443.6	1000 mg/L	Taste

Laboratory Remark: Satisfactory. Parameters tested are compliant with WHO guidelines for drinking water

U. Sosa

Analyst

A. Sosa

Supervisor

Table:38: Copper Bank Chemical Water Test – RC School Well (Source: National Drinking Water Quality Laboratory, Ministry of Health and Wellness; Environmental Health Unit).



**NATIONAL DRINKING WATER QUALITY MONITORING PROGRAM**  
**Ministry of Health and Wellness**  
**Chemical Sample Collection Form**



Name of Sampler: Mr. Uriel Sosa/ Mr. Nemancho Acosta

District: Corozal City: \_\_\_\_\_ Town: \_\_\_\_\_ Village: Copper Bank

Date Sample Collected: November 26, 2023 Time Sample Collected: \_\_\_\_ a.m. 12:07 p.m.

Description of sampling point: RC School- Tank

Type of water source:  Groundwater  Surface water  Rainwater

Type of System:  BWS  RWS  BW  Well  RWT  HP  P. System

Type of Water:  Treated water  Untreated water

Type of sample:  Routine  Special  Repeat

Bottle # BC 11

[Below This Line for Laboratory Use ONLY]

Date Sample Received: November 29, 2023 Date Sample Analyzed: December 04, 2023

Samples sent with ice packs or ice?  Yes  No If No, was sample received cold?  Yes  No

Parameter	Results	WHO Limits	Possible Effects
Alkalinity (mg/L)			
Sulfate (mg/L)		500 mg/L	Taste, corrosion
pH	8.50	6.5 – 8.5	Taste, appearance, corrosion
Turbidity (NTU)	0.184	5 NTU	Appearance
Chlorides (mg/L)		250 mg/L	Salty taste, corrosion
Hardness (mg/L)			
Conductivity (umhos/cm)			
Nitrate Nitrogen (mg/L)		10 mg/L	Methemoglobinemia
Nitrate (mg/L)	10.6	50 mg/L	Methemoglobinemia
Phosphate (mg/L)	0.14		
Salinity (psuppt)	0.104	0.7 mg/L	Salty taste, corrosion
Iron (mg/L)		0.3 mg/L	Bitter taste, red color
Total Dissolved Solids (mg/L)	53.59	1000 mg/L	Taste

Laboratory Remark: Parameters tested here are compliant with WHO guidelines for drinking water

U. Sosa

Analyst

A. Sosa

Supervisor

Table:39: Copper Bank Chemical Water Test – RC School Tank (Source: National Drinking Water Quality Laboratory, Ministry of Health and Wellness; Environmental Health Unit).





**NATIONAL DRINKING WATER QUALITY MONITORING PROGRAM**  
**Ministry of Health and Wellness**  
**Chemical Sample Collection Form**



Name of Sampler: Mr. Uriel Sosa/ Mr. Nemencio Acosta

District: Corozal City: \_\_\_\_\_ Town: \_\_\_\_\_ Village: Copper Bank

Date Sample Collected: November 28, 2023 Time Sample Collected: 11:57 a.m. \_\_\_\_ p.m.

Description of sampling point: Bamboo Purified Water- Refill Station

Type of water source:  Groundwater  Surface water  Rainwater

Type of System:  BWS  RWS  BW  Well  RWT  HP  P. System

Type of Water:  Treated water  Untreated water

Type of sample:  Routine  Special  Repeat

Bottle # BC 13

[Below This Line for Laboratory Use ONLY]

Date Sample Received: November 29, 2023 Date Sample Analyzed: December 04, 2023

Samples sent with Ice packs or Ice?  Yes  No If No, was sample received cold?  Yes  No

Parameter	Results	WHO Limits	Possible Effects
Alkalinity (mg/L)			
Sulfate (mg/L)		500 mg/L	Taste, corrosion
pH	6.36	6.5 – 8.5	Taste, appearance, corrosion
Turbidity (NTU)	0.143	5 NTU	Appearance
Chlorides (mg/L)		250 mg/L	Salty taste, corrosion
Hardness (mg/L)			
Conductivity (umhos/cm)			
Nitrate Nitrogen (mg/L)		10 mg/L	Methemoglobinemia
Nitrates (mg/L)	10.2	50 mg/L	Methemoglobinemia
Phosphates (mg/L)	0.04		
Salinity (psuppt)	0.079	0.7 mg/L	Salty taste, corrosion
Iron (mg/L)		0.3 mg/L	Bitter taste, red color
Total Dissolved Solids (mg/L)	26.61	1000 mg/L	Taste

Laboratory Remark: Satisfactory. Compliant with WHO guidelines for drinking water

U. Sosa

Analyst

A. Sosa

Supervisor

Table:40: Copper Bank Chemical Water Test – Bamboo Purified Water, Refill Station (Source: National Drinking Water Quality Laboratory, Ministry of Health and Wellness; Environmental Health Unit).



**NATIONAL DRINKING WATER QUALITY MONITORING PROGRAM**  
**Ministry of Health and Wellness**  
**Chemical Sample Collection Form**



Name of Sampler: Mr. Uriel Sosa/ Mr. Nemenclo Acosta

District: Corozal City: \_\_\_\_\_ Town: \_\_\_\_\_ Village: Copper Bank

Date Sample Collected: November 28, 2023 Time Sample Collected: 11:15 a.m. \_\_\_\_ p.m.

Description of sampling point: RC School- Hand Pump

Type of water source:  Groundwater  Surface water  Rainwater

Type of System:  BWS  RWS  BW  Well  RWT  HP  P. System

Type of Water:  Treated water  Untreated water

Type of sample:  Routine  Special  Repeat

Bottle # BC 14

[Below This Line for Laboratory Use ONLY]

Date Sample Received: November 29, 2023 Date Sample Analyzed: December 04, 2023

Sample sent with ice packs or ice?  Yes  No If No, was sample received cold?  Yes  No

Parameter	Results	WHO Limits	Possible Effects
Alkalinity (mg/L)			
Sulfate (mg/L)		500 mg/L	Taste, corrosion
pH	7.26	6.5 – 8.5	Taste, appearance, corrosion
Turbidity (NTU)	2.04	5 NTU	Appearance
Chlorides (mg/L)		250 mg/L	Salty taste, corrosion
Hardness (mg/L)			
Conductivity (umhos/cm)			
Nitrate Nitrogen (mg/L)		10 mg/L	Methemoglobinemia
Nitrates (mg/L)	89.3	50 mg/L	Methemoglobinemia
Phosphates (mg/L)	0.36		
Salinity (psu/ppt)	0.595	0.7 mg/L	Salty taste, corrosion
Iron (mg/L)		0.3 mg/L	Bitter taste, red color
Total Dissolved Solids (mg/L)	544.5	1000 mg/L	Taste

Laboratory Remark: Nitrates is higher than WHO guidelines for drinking water.

U. Sosa

Analyst

A. Sosa

Supervisor

Table:41: Copper Bank Chemical Water Test – RC School Hand Pump (Source: National Drinking Water Quality Laboratory, Ministry of Health and Wellness; Environmental Health Unit).





**NATIONAL DRINKING WATER QUALITY MONITORING PROGRAM**  
**Ministry of Health and Wellness**  
**Chemical Sample Collection Form**



Name of Sampler: Mr. Uriel Sosa/ Mr. Nemencio Acosta

District: Corozal City: \_\_\_\_\_ Town: \_\_\_\_\_ Village: Copper Bank

Date Sample Collected: November 28, 2023 Time Sample Collected: 11:45 a.m. \_\_\_\_\_ p.m.

Description of sampling point: Alcoser Well

Type of water source:  Groundwater  Surface water  Rainwater

Type of System:  BWS  RWS  BW  Well  RWT  HP  P. System

Type of Water:  Treated water  Untreated water

Type of sample:  Routine  Special  Repeat

Bottle # BC 16

[Below This Line for Laboratory Use ONLY]

Date Sample Received: November 29, 2023 Date Sample Analyzed: December 04, 2023

Samples sent with ice packs or ice?  Yes  No If No, was sample received cold?  Yes  No

Parameter	Results	WHO Limits	Possible Effects
Alkalinity (mg/L)			
Sulfate (mg/L)		500 mg/L	Taste, corrosion
pH	8.23	6.5 – 8.5	Taste, appearance, corrosion
Turbidity (NTU)	0.266	5 NTU	Appearance
Chlorides (mg/L)		250 mg/L	Salty taste, corrosion
Hardness (mg/L)			
Conductivity (umhos/cm)			
Nitrate Nitrogen (mg/L)		10 mg/L	Methemoglobinemia
Nitrates (mg/L)	79.8	50 mg/L	Methemoglobinemia
Phosphates (mg/L)	0.18		
Salinity (psu/ppf)	0.714	0.7 mg/L	Salty taste, corrosion
Iron (mg/L)		0.3 mg/L	Bitter taste, red color
Total Dissolved Solids (mg/L)	656.9	1000 mg/L	Taste

Laboratory Remark: Salinity is marginal and Nitrates is higher than WHO guidelines for drinking water.

U. Sosa

A. Sosa

Analyst

Supervisor

Table:42: Copper Bank Chemical Water Test - Alcoser Well (Source: National Drinking Water Quality Laboratory, Ministry of Health and Wellness; Environmental Health Unit).



**NATIONAL DRINKING WATER QUALITY MONITORING PROGRAM**  
**Ministry of Health and Wellness**  
**Chemical Sample Collection Form**



Name of Sampler: Mr. Uriel Sosa/ Mr. Nemencio Acosta

District: Corozal City: \_\_\_\_\_ Town: \_\_\_\_\_ Village: Copper Bank

Date Sample Collected: November 28, 2023 Time Sample Collected: \_\_\_ a.m. 12:13 p.m.

Description of sampling point: Playing Field Area - Lagoon

Type of water source:  Groundwater  Surface water  Rainwater

Type of System:  BWS  RWS  BW  Well  RWT  HP  P. System

Type of Water:  Treated water  Untreated water

Type of sample:  Routine  Special  Repeat

Bottle # BC 17

[Below This Line for Laboratory Use ONLY]

Date Sample Received: November 29, 2023 Date Sample Analyzed: December 04, 2023

Samples sent with ice packs or ice?  Yes  No If No, was sample received cold?  Yes  No

Parameter	Results	WHO Limits	Possible Effects
Alkalinity (mg/L)			
Sulfate (mg/L)		500 mg/L	Taste, corrosion
pH	8.18	6.5 – 8.5	Taste, appearance, corrosion
Turbidity (NTU)	1.33	5 NTU	Appearance
Chlorides (mg/L)		250 mg/L	Salty taste, corrosion
Hardness (mg/L)			
Conductivity (umhos/cm)			
Nitrate Nitrogen (mg/L)		10 mg/L	Methemoglobinemia
Nitrate (mg/L)	4.8	50 mg/L	Methemoglobinemia
Phosphates (mg/L)	0.20		
Salinity (psu/ppt)	6.469	0.7 mg/L	Salty taste, corrosion
Iron (mg/L)		0.3 mg/L	Bitter taste, red color
Total Dissolved Solids (mg/L)	7,161	1000 mg/L	Taste

Laboratory Remark: Water is Salty as expected.

U. Sosa

Analyst

A. Sosa

Supervisor

Table:43: Copper Bank Chemical Water Test - Playing Field Area, Lagoon (Source: National Drinking Water Quality Laboratory, Ministry of Health and Wellness; Environmental Health Unit).





**NATIONAL DRINKING WATER QUALITY MONITORING PROGRAM**  
**Ministry of Health and Wellness**  
**Chemical Sample Collection Form**



Name of Sampler: Mr. Uriel Sosa/ Mr. Nemencio Acosta

District: Corozal City: \_\_\_\_\_ Town: \_\_\_\_\_ Village: Copper Bank

Date Sample Collected: November 28, 2023 Time Sample Collected: 11:50 a.m. \_\_\_\_ p.m.

Description of sampling point: Bamboo Purified- Well

Type of water source:  Groundwater  Surface water  Rainwater

Type of System:  BWS  RWS  BW  Well  RWT  HP  P-System

Type of Water:  Treated water  Untreated water

Type of sample:  Routine  Special  Repeat

Bottle # BC 18

[Below This Line for Laboratory Use ONLY]

Date Sample Received: November 29, 2023 Date Sample Analyzed: December 04, 2023

Sample sent with ice packs or ice?  Yes  No If No, was sample received cold?  Yes  No

Parameter	Results	WHO Limits	Possible Effects
Alkalinity (mg/L)			
Sulfate (mg/L)		500 mg/L	Taste, corrosion
pH	6.93	6.5 – 8.5	Taste, appearance, corrosion
Turbidity (NTU)	0.583	5 NTU	Appearance
Chlorides (mg/L)		250 mg/L	Salty taste, corrosion
Hardness (mg/L)			
Conductivity (umhos/cm)			
Nitrate Nitrogen (mg/L)		10 mg/L	Methemoglobinemia
Nitrates (mg/L)	36.8	50 mg/L	Methemoglobinemia
Phosphates (mg/L)	0.21		
Salinity (pau/ppt)	0.522	0.7 mg/L	Salty taste, corrosion
Iron (mg/L)		0.3 mg/L	Bitter taste, red color
Total Dissolved Solids (mg/L)	474.1	1000 mg/L	Taste

Laboratory Remark: Satisfactory. Parameters tested are compliant with WHO guidelines for drinking water.

U. Sosa

A. Sosa

Analyst

Supervisor

Table:44: Copper Bank Chemical Water Test - Bamboo Purified Well (Source: National Drinking Water Quality Laboratory, Ministry of Health and Wellness; Environmental Health Unit).

· These water test results were submitted to various Ministries in the government with the request for assistance for this village – one of the only in Northern Belize without a water system. On 10/23/2023, updated chemical and bacterial water testing for the following locations within Copper Bank were requested from the MRT to establish a baseline:

- RC School well
- RC school Hand pump
- Bamboo Purified well
- Bamboo Purified refill
- Annie Alcoser's well
- Infant 1RC school rainwater tank
- Lagoon – near playing field

#### **21. Wastewater Disposal**

· Grey water is typically disposed of through pipes that empty into the backyards and flow into the ground or into old wells that are no longer in use.

#### **22. Waste Disposal**

· Trash is primarily burned or put in a village dumpsite which is encouraged by village leadership.

#### **23. Sanitation**

· Copper Bank has had a dramatic increase in the number of households that have indoor plumbing in the village, making up about 90% of the population. These indoor toilets are connected to septic tanks that are commonly cement blocks with open bottoms which leach wastewater into the ground and ultimately enter the shallow water shed. The high rate of bacterial contamination can be explained by these septic systems and the remaining pit latrines that are being utilized. Some latrines and family garbage dumps are located too close to the wells (within 30 meters) causing further water contamination.

#### **24. Communication**

· About 98% of the villagers rely on information from the radio and internet (Facebook). Around 98% have access to TV from Mexican channels which is more accessible due to location. 99% of the community members have access to cell phone services and there is adequate connectivity.

#### **25. Health**

· There is no health clinic in Copper Bank. In the case of health concerns, the nearest health facility is the Polyclinic in Corozal or Chunox – approximately 20 minutes by ferry to Chunox and 50 minutes to Corozal Town by car. There is no ambulance or emergency transport available. The last outbreak of gastroenteritis was in February 2017.

Vulnerabilities:	Capacities/Resources:
Hurricanes/Tropical Storms	The school is used as shelter during storms.
Flood Lagoon River Roads	
Drought	None. Water purchased when financially able.
Fire	There is no fire brigade established in the town and only handpumps and the lagoon available for water.  In the past 30 years, there have been no fires.  It is reported that cane cutting during the dry season when the cane fields are more susceptible to catching fire. The practice is to take drums of water with their vehicles to the field and with the help of other villagers they spray the water and try their best to control the fire.
Wells – without walls	Most wells have walls of about 3-4 feet high around them. However, when a well runs dry households commonly dig another – abandoning the other.
Water Contamination	Clorox is commonly used to disinfect well water which is only used for cleaning and bathing.
Garbage	Village dump
Thatch houses	Few
Animals – loose in the village	7 dogs, 20 cats and sheep.
Latrines – in low lying areas to overflowing	Approximately 16
Culverts – collapsed and clogged	Recently replaced

Table 45: Mapping of Copper Bank identifying vulnerabilities and capacities: (Source: Interviews with Chairmen of Copper Bank Village Council and Librarian, 2023).

## 26. Early Warning and Communication

Copper Bank has been connected to the electrical grid since the 1990s which has allowed the village to be in better connection to the outside despite the remoteness of its location. It has benefited from basic services such as radios, telephone, and television. Today the vast majority of the residents own or have access to home phones or cell phones, and many have internet services in their homes or through the library.

- There is an Early Warning System in place that is coordinated with NEMO Central District Coordinator office. There is no VHF radio in case of emergencies but there is a hurricane shelter established.

- Belize is thought to have excellent capabilities to warn and respond potential disasters, having the only Doppler radar system on the Central America Atlantic seaboard (operational 2011). In fact, the U.S. National Hurricane Center depends on Belize Doppler Radar to feed into its hurricane analysis and progressions reports. Hurricane shelters exist along the coastline, but food and water supplies during disasters are either non-existent or inadequate.

## **27. Village Reported Priorities**

- During the community meeting and focus groups potable water was stated as the village's top priority.

## **3. TOLEDO DISTRICT**

- The Toledo District, with an area of 1,795 square miles, is comprised of 63 cities, towns and villages. This district is located on the coastal plain, backed by mountains, and situated between the mouths of the Grande and Moho rivers. Punta Gorda Town, affectionately called PG, is the capital and its main town, as well as the largest town in southern Belize. Located 180 ft. above sea level, PG contains 25% of the district's population with the remainder residing in its surrounding rural villages.

- Toledo is culturally diverse, with descendants of the Maya, Garifuna, and other heritages sharing the land and has many archaeological sites – though in a poor state. Sparsely populated villages are filled with Mopan and Q'eqchi subsistence farmers, where Punta Gorda has large East Indian populations. The homes in the rural areas are predominantly wood and traditional thatched roofs, with schools and community centers often made from reinforced concrete, which are commonly used as hurricane shelters.

- Toledo has 25% of all farms in Belize, and 77% of small farms (below 20 acres). Known for agriculture, producing beans, corn, rice and citrus as well as coffee, sweet potatoes, avocado and other vegetables and root crops. There has been an increasing involvement in producing export quality cocoa as well. Toledo Cocoa Growers Association has been cultivating organic cocoa, which is purchased by the UK's Green and Blacks for the production of what has now become its award-winning Maya Gold chocolate bars.

- Over 61% of Toledo's 30,000 citizens identify as Maya, with a much higher proportion in the region's rural areas. The Maya culture can be felt throughout the Toledo District, more than anywhere else in Belize. Many of Toledo's rural citizens speak either Q'eqchi or Mopan Maya at home. Less than half (48%) of Toledo's residents above age three speak English well enough to hold a conversation. The inability to speak Belize's official language is an obstacle to integrating or qualifying for certain jobs.

- The Maya lifestyle is largely through subsistence farming and small-scale agriculture predominated by corn and rice. The traditional Maya communities have longstanding cultural and historic claims to the land where they are settled but no legal titles making land tenure an on-going legal struggle. This will be discussed further in the Land Tenure section. This complex issue around land rights is contributing to their economic insecurity – especially in the face of growing interest in the region's natural resources by the national and international entities.

- There are over 715,000 acres of protected land in the Toledo District. This includes three national parks, two wildlife sanctuaries, one nature reserve, seven forest reserves, two marine reserves, two archaeological sites, and several private reserves.

- There are many NGOs present in this area of the country, including both international and local, ranging from environmental conservation to gender advocacy, to cultural organizations and beyond. The Maya have formed several NGOs that have been advocating for indigenous rights over lands and natural resources in the region.

- The region contains a multitude of plant and animal species and is home to the jaguar, puma, manatee, harpy eagle, Baird's tapir, jaguar, howler monkey and scarlet macaw and other rare and endangered species. Despite its lush and pristine topography its people face Belize's highest poverty rates and extreme vulnerability to climate issues.

## **4. BOOM CREEK**

### **28. Location**

- Boom Creek Village, originally settled in the 1940s, is located along the Mojo River in the Toledo District of Southern Belize, approximately 6 miles from Punta Gorda Town. It is lush and junglelike, with heavy rainfall that commonly floods the roads and riverbanks. Although the only access to the village was originally by a four-hour canoe/dory ride, there was a road constructed in 1992. However, considering it sits approximately 20 feet above sea level, the road becomes impassable during the rainy season where the village can only be accessed by boats along the Moho River. During the rainy season it is common for the road to remain flooded for various days and the students go to school by boat.





Table 46: Boom Creek Map (Source: Mapcarta.com).

### **29. Access**

The only access to Boom Creek was by river which entailed a four-hour canoe ride paddling up the Moho River, until 1992 when its first road was built. Although transformative to the village, this road commonly floods during the heavy rains of the Toledo District wet season making it impassable. During these times, it is not uncommon to see boats ride along the flooded roads transporting children and maintaining the pace of the village. VILLAGE PHOTOS.

### **30. Background**

The village population is 112, made up of approximately 46% males and 54% females, living in 25 households (in 2021, five others under construction). Of these households, there are 16 Mestizo and eight Maya and one Mennonite. Sixteen households speak both Spanish and English, while eight speak Maya Q'eqchi /Mopan and English and the one Mennonite household speaks only English. The majority of the community attends the village's one church - the Living Word Church.

The concrete primary school and wooden church built on stilts along the banks of the Moho River are used for meetings, Alcaldes Court Hearing and Village Council meetings. There is one primary school building, one church, one corn mill, and two petty shops. The village has no electricity. Twenty-two (22) households have access to solar power and three (3) still use candles or homemade lamps. Only SMART phone service is accessed within the village.

### **31. Infrastructure**

There is a rough dirt road that commonly floods that leads into the village lined by most of the village's houses. The distance between the first house and the last is 1.25 miles. There are two households that are built about 600 feet off this road. Of the houses in the village, five are concrete homes, 11 are wood and zinc roofs, while the others are thatched roofs. The primary school is constructed of cement. There is a wooden church built on high stilts along the Banks of the Moho River.

The closest connection to the electrical grid is in Punta Gorda Town about 6 miles away. There are 20 solar panels of various sizes. The village has two functioning hand pumps, one contaminated production well that is decommissioned, and three wells which go dry in the dry season.

Groceries, medicine, building materials are all purchased in Punta Gorda as there are no stores in the village.

### **32. Land Tenure**

· Very few villagers have titles to their land. As of 2020, 85% of the villagers were reported to be in favor of a communal land system. The village is in the process of acquiring and demarcating their land for the village through the Alcalde system. The land is mainly used for agricultural purposes, most commonly corn, and traditionally agricultural processes such as crop rotation are practiced.

### **33. Transportation**

· There are 12 private vehicles, 21 motorcycles and six boats.

### **34. Livelihood**

· Agriculture makes up a large portion of the village’s livelihood activities. Though limited income is generated, mostly due to the reliance on subsistence farming. 16% of the community depends on subsistence farming. Commonly cultivated crops are corn, rice, and beans. The villagers are also known to fish and hunt. Approximately, 20% of the village earns income from the livestock rearing, mostly cattle, pigs. There are strict bylaws that prohibit wandering livestock, which are abided by. Logging accounts for 12% of income generating activity in the village.

### **35. Energy**

· The national electrical grid stops at the outskirts of Punta Gorda Town which is approximately 6 miles away. 20 of the 25 households have solar panels, mostly to charge cell phones and light their homes with 12 volt and small AC voltage light bulbs. Five households rely on lanterns and candles.

### **36. Education**

· The concrete primary school was constructed in 1992. Before this time, students traveled by canoe up the Moho River to join students in the school at Santa Anna. There are 28 students in the primary school, with two teachers. There are five students attending Toledo Community College and five enrolled in the University of Belize. The government recently took over management of the school. The school (Living Word Government School) has a rainwater collection system for the children’s use.

### **37. Water**

· Boom Creek has two functional hand pumps but mostly relies on rainwater catchment systems. The hand pumps are mostly utilized during dry season which is a burden for families that do not have vehicle to transport containers. There are only a few hand-dug wells in Boom Creek which mostly go dry seasonally. Water is not typically treated with chlorine or regular boiling.

· In addition to the Moho River, the village also has a creek that is used by some villagers for bathing and washing clothes. Females do laundry at the creek as the river’s salt content makes it difficult for washing.

· The MRT drilled a 120-foot-deep production well in 2011 but it has not been utilized due to an excessive iron content. There are two families that have installed solar pumps into this well to withdraw water for washing and bathing in their individual households.

· The production well was tested in 2011 after being completed. Though the well tested free of bacteria, the findings indicated Iron content significantly higher than World Health Organization guidelines. WHO Iron 0.3 mg/1 while Boom Creek tested Iron 1.06 mg/1.

· The Boom Creek water has only been tested three times from four locations since 2014. Two tests were performed from different locations on the same day in 2015. The school rainwater tank tested positive for fecal coliform. The next test was not conducted until 2017 and again the school rainwater tested positive for fecal coliform. There is no evidence to indicate that this was resolved in any way, and it is likely the bacterial contamination continued without follow up for two years. Boom Creek has had no chemical water testing done other than the production well’s initial test, which shut the well down for public use.

District	Collected	Location	Establishment	Source	System	Village	Fecal Coliform	Count 2 (per 100 ml)	E coli
Toledo	26-Oct-15	H. Pump		Ground water	Hand Pump	Boom Creek	Negative	0	Negative
Toledo	26-Oct-15	RWT @ primary school	School	Rain water	Rain water tank	Boom Creek	Positive	2	Negative
Toledo	06-Feb-17	RWT @ Primary school	Academic	Rain water	Rain water tank	Boom Creek	Positive	6	Positive

Toledo	28-May-18	School-tap	Academic	Rain water	Rain water tank	Boom Creek	Negative	0	Negative
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Table 47: Boom Creek Bacterial Water Testing 2015-2023 (Source: Source: National Drinking Water Quality Laboratory Ministry of Health and Wellness; Environmental Health Unit).

As mentioned, when water test results indicate bacterial contamination, it is shared with the Water Board who has the role of addressing the issue. Considering Boom Creek does not have a Water Board, which is reserved for villages with water distribution systems, the test results were not shared with the village leadership and may have gone unknown.

On 10/23/2023, updated chemical and bacterial water testing for the following locations within the village were requested from MRT to establish a baseline.

**Boom Creek**

- School hand pump
- School rainwater tank
- River
- One well
- Production well after well restoration

Hope Springs Water, an international NGO, dedicated to providing clean water, improved sanitation, public health, and hygiene education, had been working with the MRT to drill a well for the village but COVID-19 put the plan on hold as volunteers stopped going to Belize.

**38. Wastewater Disposal**

Used water is disposed of in their backyards either by pipe if they have them or by bucket.

**39. Waste Disposal**

Each villager is responsible for disposing of their own waste which is typically done by burning, burying, or dumping in their backyard or the surrounding woods.

**40. Sanitation**

Five households have indoor toilets operated by solar powered water pumps and septic systems. These systems are built with a 'soak away' or leach field which due to the water table level is adding to the water contamination issues. The majority of the remaining have outdoor pit latrines. When these latrines, which are simply holes dug into the earth, become full, they are covered, and another latrine is dug.

A project spearheaded by Sustainable Harvest International and Rotary Club Belize installed dual raised composting latrines. In discussion with these parties during consultation, it was generally considered to be a failed sanitation project, although there are a few of these composting latrines from this project that are still operational. The idea is that while one side of the latrine is being used, the other side is decomposing; the remnants of the decomposition can be used for fertilizer for the garden. The project suffered difficulties on many levels, principally in terms of a) design, and b) corruption or malfeasance. In terms of design, community members and MRT officers indicated that the design of these latrines did not take into consideration the separation of liquid from solid waste which led to a lack of drying out and decomposition, as well as foul odors and insects. The latrines were designed to separate out liquids, and if after each use, organic matter is added (dry soil, saw dust, leaves), which enhances the anaerobic decomposition occurs. Over time, the metal lids on the separation compartments rusted and the wooden floors rotted, likely due to the high humidity and precipitation level of the Toledo District. It was also mentioned, albeit not verified, that the cement needed to have rebar to reinforce it and prevent it from cracking.

**41. Communication**

Boom Creek, albeit relatively close to Punta Gorda (11km) does not have cell service in the area, nor cable internet.

**42. Health**

The villagers travel to Punta Gorda to seek medical attention.

### **43. Early Warning and Communication**

- There is no designated hurricane shelter although the cement primary school is used for this purpose.

### **44. Village Reported Priorities**

- Water, Light, Community Center/Hurricane Shelter, Better Road, Health Post.

## **5. OTOXHA**

- The statistical information found in this section was largely collected from the Bridging the Toledo Poverty Gap through Improved Governance Project as well as the site visit and community meetings. Additional, information was provided from a Community Needs Assessment Survey conducted by the Toledo Development Corporation and Program for Toledo Children and Adolescents.

### **45. Location**

- Otoxha, a charming, small Maya village surrounded by crop fields, secondary vegetation, small creeks, and swampy areas, is located about 34 miles from Punta Gorda, less than four miles from Dolores, and six miles from the Guatemala border. Otoxha village is situated on approximately 50 acres within the 'reservation'.

- It is comprised of thatched roof homes that are built along the side of the main rural road and has a sturdy wooden health outpost and a newly constructed, mostly unused, donated bakery with six solar panels. 2% of the town has cement block homes. The town has been the recipient of various NGO projects which have had varying degrees of impact.

### **46. Access**

- There is one main road (which connects the town to Punta Gorda) that varies greatly in condition depending on the season and severity of the rains. The road is unpaved and has limited maintenance. It takes about 1 hour and 45 minutes on rough road to arrive by truck. There are buses that take this route on a regular basis, which high school students use on a regular basis to get to school. You will commonly see motorcycles along this route loaded down with products coming from Guatemala whose prices are considered much better.

### **47. Background**

- In 1924 the inhabitants of Otoxha Village, as known today, came from Chacalte' and other Maya settlements of the district of Coban Alta Vera Paz, Petén, Guatemala. They, like many others, were fleeing hardship and mistreatment from exploitative manual labor being forced on them during the construction of major infrastructure throughout Guatemalan government.

- Otoxha once had a large population, but it gradually declined as community members migrated and formed the villages of San Benito Poite, Mabil Ha, Corazon, San Lucas, and Valley of Peace.

- The population is now 357 people, with approximately 47.5% male and 49.6% female, living in 58 households. More than 85% of the village identified themselves as Q'eqchi' Maya, with the remaining Mopan Maya. Almost all villagers speak, with only 1% speaking Mopan. A 56% speak some English, with 26% being able to write English as well. There is a traditional Maya household structure with predominantly male heads of household, and the main religion is Catholic. Written Q'eqchi' Maya is only available to the community in the Bible and song books. The MRT field officer confirmed the lack of written Q'eqchi' Maya when he explained that the sign in sheet for the community meeting would not be understood in their native tongue.

- Like much of the area, there are karst formations, including several limestone caves and sink holes that have been historically important to the Maya culture for traditional religious rituals and offerings. These rituals are very important to community elders during the preparation of milpa fields, planting and harvesting. The practices, though largely abandoned by the younger generations, are intended to show respect to "mother earth" by asking permission and blessings for seasonal agricultural or cultural activities.

### **48. Infrastructure**

- There is one main gravel road and some 'bush' trails to various communities. While the closest connection to the electrical grid is Punta Gorda, there are some solar panels, and 11% of the village is reported to use gas and diesel generators. The village has three wooden bridges which are repaired by the government every three to four years or when it is pressing to do so. There are two physical areas in the primary school, one wooden and the other built of concrete. Other infrastructure includes a health post, a concrete-built community center, a concrete drying floor, 8 water hand pumps (some of which are functional) and a large church building.

#### **49. Land Tenure**

· Like most land that is resided on by the Maya population in Belize, the villagers have no titles to land in this community and are considered to be on 'reservation land'. Despite this land rights issue, 98.1% indicated that they own their own home on that land.

#### **50. Livelihood**

· The primary means of transportation to and from the community is by public passenger bus on Mondays, Wednesdays, Fridays and Saturdays which is accessible by road during dry weather. The road may become inaccessible during the rainy season, because of flooding of the Blue Creek Bridge but there is a short cut that leads to Sunday Wood Village. There are a few trucks in the village and some motorcycles.

#### **51. Livelihood**

· The village of Otoxha is experiencing some of the highest levels of poverty in Belize. With an average monthly income of less than BZ\$100 per month, they are well below the poverty line. Villagers reported that though almost 58% fell in this income bracket, 22% earned between \$101- \$250 and a small percent above that.

· The majority of the community members are subsistent farmers. Most men and women are not in the paid labor force. Their main source of income is from seasonal milpa and rice. Each family cultivates an average of four to five acres of rice; five to six acres of corn (maize), and two to three acres of pumpkins. Most of what is produced beyond their family consumption is sold at Guatemalan local markets. Other forms of income come from the sales of local pigs, handicraft products, small scale logging and copal incenses – a 'cleansing incense' growing in popularity. Most of what is produced beyond their family consumption is sold at Guatemalan local markets.

· Villagers consider the primary source of income to be from agriculture/livestock. Other sources of income included: wages from government employment 9.3%, 9.3% Social Security, 5.6% construction, 1.9% logging and 1.9% artisanry. The limited services such as corn mills, shops, chainsaw logging and extension services, as well as the distance to sell their goods, are some of the community's greatest obstacles to improving their livelihoods. The nearest market to sell rice is approximately 30 miles north.

#### **52. Energy**

· Otoxha is 34 miles from the closest connection to the national energy grid. The source of light for homes are homemade lamps fueled by kerosene. A few families, considered 'well-to-do', own a solar powered system, and uses low watts florescent bulb for electricity.

#### **53. Education**

· The first school in Otoxha was a thatched roof building named St. Mark's R. C. School. Though the school was intended to open September 1950, the teacher did not arrive until November of that year. When it opened its doors, it had over 70 students who attended class for the first time. Otoxha now has a cement primary school that has three classrooms, with 183 students attending, 49% male and 51% female. The school has a student to teacher ratio of 22 to 1, with a total of three male teachers. To continue their schooling, students travel to another town for high school. There are 28 students in high school, 54% male and 46% female. The village reported that 11.2% of high school students dropped out of school. On average students took 5-15 minutes to get to the primary school depending on where they lived in the village, while high school students who need to travel to other towns take 1 – 2 hours by bus.

School Type	Male	Female	Total
Pre-School			0
Primary School	89	94	183
High School	15	13	28

*Table 48: Otoxha School demographics (Source: Otoxha Community Profile and site visit).*

· The school has three bathrooms separated by male, female and teacher which are operated by dumping a bucket of water from the handpump into the toilet bowl to force the water down. The system is reported to be connected to a septic but appears to move through a rudimentary holding tank and soak away or drainage field. There are non-functional sinks outside the bathroom that can be used with water from the handpump.

#### 54. Water

68.5% of the villagers used hand pumps, 9.3% from spring water, 9.3% well, 3.7% surface water and 1.9% water piped into the yard. A total of 75.9% of villagers indicated that they treated their drinking water supply by boiling.

The town experiences water contamination issues from rainwater runoff carrying bacteria from the practice of open defecation and the abundance of roaming pigs that are especially inclined to make ponds, called 'pig ponds', from the hand pump runoff. The creek was the main source of water for washing.

District	Collected	Expr1006	Location	Place	Source	Water Type	Systems	Village	Area	Chlorine
Toledo	01-Feb-17	Routine	last h. pump		Ground	Untreated	Hand Pump	Otoxha	Rural	
Toledo	01-Feb-17	Routine	H. Pump by the community center		Ground	Untreated	Hand Pump	Otoxha	Rural	
Toledo	01-Feb-17	Routine	first h. pump		Ground	Untreated	Hand Pump	Otoxha	Rural	
Toledo	05-Sep-18	Routine	Ack residences-last H. Pump	Residence	Ground	Untreated	Hand Pump	Otoxha	Rural	
Toledo	05-Sep-18	Routine	H. Pump across C. H. W		Ground	Untreated	Hand Pump	Otoxha	Rural	
Toledo	05-Sep-18	Routine	H. Pump near the school	Academic	Ground	Untreated	Hand Pump	Otoxha	Rural	
Toledo	05-Sep-18	Routine	H. Pump near the entrance		Ground	Untreated	Hand Pump	Otoxha	Rural	

Table 49: Otoxha Bacterial Water Testing 2017-2018(Source: Source: National Drinking Water Quality Laboratory Ministry of Health and Wellness; Environmental Health Unit)

District	Collected	Location	Place	Systems	Village	Area	Chlorine	Fecal Coliform	Count 2	E. coli	Count 3	Source	Sample Type
Toledo	15-Jul-22	Proposed Well		Well	Otoxha	Rural		Negative	0	Positive	10	Ground	Routine
Toledo	23-Jan-23	Last H. Pump		H. Pump	Otoxha	Rural		Negative	0			Ground	Routine
Toledo	23-Jan-23	H. Pump by Church		H. Pump	Otoxha	Rural		Negative	0			Ground	Routine
Toledo	23-Jan-23	H. Pump by the shop		H. Pump	Otoxha	Rural		Negative	0			Ground	Routine
Toledo	18-Apr-23	HP in the Village		H. Pump	Otoxha	Rural		Negative	0			Ground	Routine
Toledo	18-Apr-23	Community Center	Government Building	Well	Otoxha	Rural		Negative	0			Ground	Routine

Toledo	18-Apr-23	HP Entrance of Village		H. Pump	Otoxha	Rural		Negative	0			Ground	Routine
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Table 50: Otoxha Chemical Water Testing (Source: Source: National Drinking Water Quality Laboratory Ministry of Health and Wellness; Environmental Health Unit)

On 10/23/2023, updated chemical and bacterial water testing for the following locations within the village were requested from MRT to establish a baseline.

#### Otoxha

- First-hand pump
- Ack Residence – last handpump
- Proposed well site/ working hand pump that has production well capacity
- Hand pump with pig pond (2nd on the left)

#### Wastewater Disposal

- Wastewater is commonly disposed of by dumping it into the yard.

#### 55. Waste Disposal

- More than half of the village burns their trash, while a third reports dump it, and a very small percent indicate they use an authorized dump site.

#### 56. Sanitation

- There is no septic system infrastructure in Otoxha. There are few households that use pit latrines, where the majority practice open defecation (commonly referred to as ‘using the bush’). 1.9% reported having a flush system – though there is no information about such a system.

- There is a large number of pigs that wander the village searching foraging and leaving a trail behind which leads to contamination issues.

#### 57. Communication

- The main source of information was received by radio (mostly charged by small, 6x8 inch solar panels, that were distributed from an NGO project).

- Though the majority of the villagers did not have telephone access in their homes, 79.6% reported that they had access to a cellular phone if needed, though commonly had to climb to higher elevations to receive signal through a Guatemalan phone company.

#### 58. Health

- Though there is a well-constructed health post, it is only served by a mobile health clinics every six weeks. At this time, the community primarily is seen by a Community Nursing Aids (CNA) whose self-identified primary occupation is bush doctor. 55.6% of the village women reported access to antenatal care, while 7.4% reported that they had no access. Two deaths of children under the age of 1 year or under the age of 5 years were reported within the last year. 70.4% of women gave birth at the home and 18.5% elsewhere. The most common illnesses for children under age 5 years are: eye infection, parasites, respiratory problems, and skin problems. Other common illnesses included: common cold and cold and fever.

#### 59. Early Warning and Communication

- No information available.

### 6. DOLORES

#### 60. Location

- Dolores, located on a piece of the privately owned 18,513 acres Cramer Estate, is located 40 miles from Punta Gorda in the Toledo District. It is about 6 miles from Otoxha and 6 miles away from the border with Guatemala. This community lies along the Maya Mountains and is surrounded by picturesque farmland and secondary growth.

- The community farming boundary is marked by landmarks such as hills, creeks, caves, and hard wood trees. Dolores has a verbal farming boundary agreement with Otoxha and Hicatee. Dolores is within the Adjacency Zone but from they do not experience

any conflict with neighboring Maya of Guatemala, since they are friends and have a frequent trading system among themselves. The community believes that it is only the leadership of the two countries that have international problems, not the citizens.

### **61. Access**

· The main way to get to Dolores is by a very unpaved gravel road that comes from Punta Gorda constructed in 2003. The road ends at the village though there are small bush roads that go from there.

### **62. Background**

· Dolores Village was established in 1929 by a group of Q'eqchi Mayas originating from Guatemala. In response to a long dry season, the settlers of a nearby community moved in search of fertile land and water. Dolores, with its beautiful mountain water springs, was selected. The villagers are considered squatters on privately held land.

· In 1930, 25 additional families moved to the settlement, planted milpa and built thatched houses at which time the Catholic Church statue Santa Dolores inspired the villages name – Dolores.

· In the late 1960's, the Alcalde received a letter from the owner of the land stating the village was on private land. The property has been sold many times since, without large consequence on the security of its residence. It was explained during the site visit that the current owner, having failed to pay taxes, has made an agreement with the government that the residents can remain on the land indefinitely. There is no documentation of this but there does not appear to be any conflict or attempt for eviction.

· The population is approximately 596 people, with approximately 49% male and 51% female, living in 106 households. All of the village is Q'eqchi' Maya, whose mother tongue is Q'eqchi', with few villagers speaking some conversational English. There is a high illiteracy rate in the village.

### **63. Infrastructure**

· The village has a few roads – the main road from Punta Gorda which is now accessible year-round; the Hicattee road; and a road that goes to Machakilha.

· The structures include the cement school building; four cement water reservoirs; three concrete churches and two made of lumber with zinc roofs. The majority of the houses are thatched roofs with rough wooden plank walls. There are two petty shops that sell mainly washing detergents and a few basic household items. The closest shopping centers are in Los Angeles, Guatemala, which is much closer and offers more reasonable prices.

### **64. Land Tenure**

· No community members in Dolores hold land titles, but the village is in the process of trying to acquire and demarcate 2,000 acres of communal land. The villagers are aware that they are squatting on a private land and are in the lengthy process of trying to have their village communal land recognized. The dwellings are largely in the center of the approximately ten acres that makes up Dolores, with a few families along the foothills of the mountains.

### **65. Transportation**

· The only means of transportation is by a passenger bus owned by a Santa Theresa Villager that travels only on Wednesday's and Friday's. Villagers hitch hike with visiting motorists coming in and out of the village; horses are used to transport black beans and corn to local markets in Guatemala. Transportation is a major problem especially selling rice paddy, since transportation cost is too high, the trail to Guatemala is usually muddy during the rainy season and there is no individually owned private transportation.

### **66. Livelihood**

· The majority of community members are subsistence farmers who grow primarily corn, beans, rice, root vegetables, cardamom, and cocoa. They also rear domestic animals such as pigs, chicken, turkey, ducks, and cattle. The excess of their crops and animals that are not needed for their own consumption, are most commonly sold at the nearest markets in Guatemala.

· Very seldom would community members shop in Punta Gorda Town, which is the only commercial area in the district, but is more expensive. The only rice paddy market is available in Big Falls Marketing and Development Corporation which pays in Belize currency. In Guatemala, farmers are paid in Quetzal currency. Due to its proximity to Guatemala, the community use two types of currency in the village, the Belizean Dollar, and the Guatemalan Quetzal, making it difficult for the two petty shops in the village, to realize a profit. The Belizean products sold, are being purchased in Quetzal and it is not profitable after the exchange rate is considered.



Other forms of income are as follows:

- Corn and black beans are sold to local markets in Los Angeles and Cadenas, both communities in Guatemala. It is easier for this community to trade with Guatemala because of its proximity. However, they complained that the prices are low at times, but it is the only available market.
- Renting of horses to other villagers to transport their produce to markets in Guatemala.
- Copals are being sold to peddlers and at times to villagers. Men and women participate in extracting the sap out of the copal tree as an alternative source of income. A pound of copals sold locally for BZ\$5.00
- Seldom sell cooked annatto and other ground foods
- Two petty shops that mainly sells Guatemalan merchandize.

· The land is mainly used for agricultural purposes, and traditionally is used on a rotational basis. Approximately, an average of 8 – 10 acres of land is being used individually for each seasonal crop. Secondary vegetation is mainly used for clearing new areas for housing, cattle rearing and corn and beans. High (virgin) forest is mainly used for rice and corn.

· Maya rituals serve a useful purpose to gain good yield in agricultural and non-agricultural products. Rituals are common among farmers, musicians, craftsmen, and traditional healers. When conducting rituals, offering food is in respect to the belief that every part of the cosmos and environment is sacred. Therefore, consultation with the gods asking for permission, protection, blessing, and forgiveness is necessary before utilizing God's resources; these are very important through Maya rituals.

### **67. Energy**

· The closest connection within Belize to the national electrical grip is Punta Gorda. All of the houses have fire hearth to do their cooking which are fueled by wood.

### **68. Education**

· The concrete primary school was donated to the town, has flush toilets from gravity fed rainwater catchment tanks on the roof of the building. It has 156 students and seven teachers and is bright and cheery inside.

· The only sanitation in the village is the flush toilet at the school that has a damaged septic system behind the school.

### **69. Water**

· The town has benefitted from their natural springs and the water reservoir that were built but experience inconsistency and contamination issues regularly. In 2014, the MRT's Department of Rural Development drilled a well and installed a hand pump located in the center of the village. This hand pump serves most of the villagers during the dry season. The other water source of the village, and where most of the water needs are being met, is in mountain springs that collect water in cement reservoirs and piped down to the community. These cement reservoirs which hold approximately 1,000 gallons each, were constructed in 2018 by US Missionaries. From these water tanks, 2" pvc pipes are connected, running downhill and branching off to homes in the village. One of the water lines is used by the school, for drinking and washing, while the rainwater catchment is used for flushing toilets

· During the dry season, two out of three water sources maintain some water in the tank, while the other that connects to the school runs very low. These natural springs are limestone cave formations that filter water during the rainy season and store it during the dry season. However, towards the later part of the dry season, these natural aquifers more often go dry, and little water enters the concrete reservoirs; water rationing is very common. Not all households in Dolores are connected to this system - especially those living further away.

· Water is available for approximately 12 hours per day during the rainy season and four to five during the dry season. During dry season, the springs water level draws down to six inches deep. It is estimated that each inhabitant consumes nearly 5 gallons of water per day.

· In May 2013, the MRT RCDO wrote a formal request to the National Rural Development Coordinator asking the MRT to begin drilling three wells for handpumps to address the pressing water shortages in the town.

· There is potentially a high contamination risk of the water due to the breaks in the main lines of the water system, the use of fertilizers and chemicals in the surrounding areas, roaming pigs and turkeys and the practice of open defecation. The water is not chlorinated nor routinely boiled. The MRT, through the Ministry of Health, has performed several water tests, which confirm the recent presence of fecal coliforms; a summary of these tests is detailed in Tables 17 and 18.

District	Collected	Location	Establishment	Source	Water Type	Systems	Village	Area	Chlorine	Fecal Coliform	Count 2 (100 ml)	E coli	Count 3 (100 ml)
Toledo	01-Feb-17	church- tap		Ground	Untreated	RWS	Dolores	Rural		Positive	28		
Toledo	01-Feb-17	tap in mid village		Ground	Untreated	RWS	Dolores	Rural		Negative	0		
Toledo	01-Feb-17	school yard-tap	Academic	Ground	Untreated	RWS	Dolores	Rural		Positive	> 80		
Toledo	05-Sep-18	Vicente Pan-tap	Residence	Ground	Untreated	RWS	Dolores	Rural		Positive	1		
Toledo	05-Sep-18	C. Center-tap	Gov't Bldgs.	Ground	Untreated	RWS	Dolores	Rural		Negative	0		
Toledo	05-Sep-18	Primary school- tap	Academic	Ground	Untreated	RWS	Dolores	Rural		Negative	0		

Table 51: Dolores Water testing 2017-2018 (Source: National Drinking Water Quality Laboratory Ministry of Health and Wellness; Environmental Health Unit).

District	Units	Collected	Location	Establishment	Systems	Village	Area	Chlorine	Total Coliform	Fecal Coliform	Count 2	E coli	Count 3	Source	Sample Type
Toledo	PG	23-Jan-23	RC School-Tap	Academic	RWS	Dolores	Rural			Positive	16			Ground	Routine
Toledo	PG	23-Jan-23	RC School-Tap (NOT Spring)	Academic	RWS	Dolores	Rural			Positive	13			Ground	Routine
Toledo	PG	23-Jan-23	Church-Tap	Private	RWS	Dolores	Rural			Positive	18			Ground	Routine

Table 52: Dolores Water testing 2019-2023 (Source: National Drinking Water Quality Laboratory Ministry of Health and Wellness; Environmental Health Unit).

District	Collected	Village	Location	Source	System	Water Type	Alkalinity	Sulfate	pH	Turbidity	Salinity	Chlorides	Hardness	Conductivity	Nitrate Nitrogen	iron	TDS
Toledo	02-Dec-15	Dolores	church-tap	Ground	RWS	Untreated	214	n/d	7.61	4.40		<1	215	417	0.8	0.03	208
Toledo	06-Apr-16	Dolores	school-tap	Ground	RWS	Treated	239	n/d	7.87	3.84		<1	232	442	1.0	0.01	222
Toledo	01-Feb-17	Dolores	Church tap	Ground	RWS	Untreated	225	1	7.34	1.41		<1	235	444	1.8	n/d	222
Toledo	06-Sep-18	Dolores	Primary school-tap	Ground	RWS	Untreated	186	3	6.89	15.0		1	175	363	1.1	0.22	182
Toledo	23-Jan-23	Dolores	Standpipe RC School	Ground	RWS	Untreated	190	ND	7.57	1.75	0.224	3	211	360.3	0.07	0.07	177.0
Toledo	23-Jan-23	Dolores	Standpipe RC School	Ground	RWS	Untreated	199	ND	7.61	2.74	0.239	2	213	392.2	1.2	0.05	192.7
Toledo	17-Apr-23	Dolores	Nazarene Church-tap	Ground	RWS	Untreated	226	n/d	7.62	0.495	0.249	1.8	222	411.4	1.2	0.01	202.0

Table 53: Dolores Chemical Water Testing (Source: National Drinking Water Quality Laboratory Ministry of Health and Wellness; Environmental Health



## IMAGES

The following are photos showing different locations of the villages.



*Figure 22: Example of Solar Panels used for Water Pump (Source: Site visit 2023).*



*Figure 23: Consultation Meeting with Copper Bank.*



*Figure 24: Consultation Meeting with Boom Creek.*





*Figure 25: Consultation Meeting with Otoxa.*



*Figure 26: Consultation Meeting with Dolores Village.*



*Figure 27: Boom Creek Road during flooding (Source: Boom Creek Village Needs and Assets Assessment, 2021).*



*Figure 28: Living Word Government School (Source: Boom Creek Village Needs and Assets Assessment, 2021).*



Figure 29: (Source: Boom Creek Village Needs and Assets Assessment, 2021).



Figure 30: Boom Creek Sustainable Harvest International Latrine (Source: Site visit, 2023).



Figure 31: Water Hand Pump at the entrance of Otoxha Village (Source: Site visit, 2023).





Figure 32: Foraging Pigs contaminating the Hand Pumps in Otoxha (Source: Site visit, 2023)



Figure 33: Dolores Entrance (Source. Site visit, 2023)



Figure 34: Spring Water Reservoirs with PVC Pipes to the Village (Source: Site visit 2023).



## APPENDIX 1: DOCUMENTS REVIEWED

- 3rd National Comm UNFCCC (2016)
- 4th National Comm UNFCCC (2022)
- Adaptation Fund Gender Policy
- Adaptation Fund Gender Policy and Gender Action Plan (2021)
- Adaptation Fund Review Criteria (PPT)
- AFB.B.40.14 - Report of the Fortieth Meeting of The Adaptation Fund Board (2023)
- AFB.PPRC.31.47 addendum - Project Formulation Grant for Belize (2023)
- Belize Country Strategy (2016-2019)
- Belize Growth and Sustainable Development Strategy 2016-2020 (2016).
- Belize International Fund for Agricultural Development (IFAD) | Decision B.22/07
- Belize Marine Conservation and Climate Adaptation Project (2014)
- Belize: Multi-dimensional poverty index 2023
- Belize Technology Needs Assessment Mitigation (2017)
- Boom Creek Bacteriological Results (2011)
- Boom Creek Chemical Results (2011)
- Boom Creek Village Needs Assessment (2021)
- Climate Finance Strategy of Belize 2021– 2026
- Climate Smart Agriculture in Belize (2015)
- Communities under the FPIC Protocol Memo (2022)
- Concept Note SEAM Project (2021)
- Consultancy Completion Report: Groundwater Flow Regime Assessment Report from Northern Belize (2023).
- Copper Bank Data for PACT Adaptation Fund Information Request (2022)
- Copper Bank Village Community Profile (2020)
- Country Strategy (2021) IFAD
- Creek Villages -Belize`s most rural and remote communities
- Dolores Community Profile (2004)
- Dolores Village Needs and Assets Assessment (2021)
- Elements of Sustainable Solar Water Pumping System Design (2015).
- Enhancing jaguar corridors and strongholds through improved management and threat reduction (2021)
- Enhancing the Resilience of Belize`s Coastal Communities to Climate Change Impacts (2020)
- Environmental and Social Management Framework for the REDD+ Readiness Project in Belize (2021)
- ESP. Guidance for IE on Compliance with AF Gender Policy (2022)
- Financial Regulation for Village Council Water Boards (2004)
- FPIC Regulation Toledo District (2004)
- Global Photovoltaic Power Potential (2020)
- Global Photovoltaic Power Potential Factsheet Belize (2020)
- Growth and Sustainable Development Strategy Belize 2016- 2019 (2016)
- Guidance for IE on Compliance with AF Gender Policy (2022)
- Guidance Note Use of Solar Pumping (2018)
- Horizon 2030 National Development Framework for Belize 2010-2030 (2010).
- Indigenous & Tribal peoples` Rights in Practice: A Guide to ILO Convention 169, International Labor Organization (2009)
- Indigenous Female Solar Engineers Scaling up Solar Energy to Machakilha and Graham Creek Villages - Belize`s most rural and remote communities.
- Indigenous Peoples Planning Framework – guidelines for developing (2022)
- Inferior Courts Act
- Instructions for Preparing a Request for Project Funding AF
- Knowledge, Attitudes, and Practices Study on Climate Change Belize (2016)
- Legal Opinion on FPIC
- Maya Customary Land Policy (2023)
- Maya Of Southern Belize Free Prior and Informed Consent Protocol
- Maya People of The Toledo District in Southern Belize Consultation Framework
- Methodologies for Reporting AF Core Indicator
- MHD Annual Technical Report 2021
- Modelled Impacts of LULC and Climate Change Predictions on the Hydrologic Regime in Belize
- MRT Checklist - Copper Bank – 2022
- Multiple Indicator Cluster Survey (2015-2016)
- National Biodiversity Strategy and Action Plan (2016-2020)
- National Climate Resilience Investment Plan (2013)
- National Environmental Policy & Strategy (2014-2024)

- National Integrated Water Resources Management Policy (2008)
- Operational & Maintenance Manual for Rural Water Supplier (2013)
- Otoxha Community Demographics (ND)
- Otoxha Community Profile (ND)
- P101: Resilient Rural Belize (Be-Resilient)
- Proposal For Large Innovation Project for Belize AF (2023)
- Proposal-for-Enhanced-Direct-Access-Grant-for-Belize
- Proposal-Guatemala Honduras and Belize (2022)
- Solar Powered Water Supply System -Toolkit-for-Communities
- Solar pumping for rural water supply- life-cycle costs from eight countries
- Solar Water Pumping Miniguide (2018)
- Roles and Responsibilities of Water Boards
- Stakeholder for multi-annual indicative programme (MOP for Priority Area 1)
- Summary: Guidance for IE Compliance with AF Gender Policy
- Technology Action Plan for Climate Change Adaptation and Mitigation (2018)
- The Future we dream, Maya Leaders Alliance and Toledo Alcaldes Association
- The Revised National Gender Policy (Updated 2013)
- Toward equality of opportunity for equality of results. A situation analysis of gender politics in Belize
- Village Council Act
- Water and Sanitation in Belize (2013)
- Water Board Statue (ND)
- Water Quality Monitoring Report for Wells in Copper Bank Village (2022)
- Water Shortages Report in Dolores Village (2013)
- Water Test Results (2023)

## APPENDIX 2: LIST OF STAKEHOLDERS CONSULTED

Date	Name	Institution	Topic	Principles of choice	Communication
3/9/2023	Mark Miller	Belize Power Limited	Solar Water Pumps and Solar Engineer Solar Water Pumps and Solar Engineer	Experience implementing solar energy systems in the communities.	Microsoft Teams
	Miriam Choc				
3/9/2023	Luc Zandvliet	Director Triple R Alliance	FPIC process and protocol	Good practices and conditions for success engaging IP in project process and design	Microsoft Teams
4/9/2023	Leonel Requena	UNDP	Female Solar Engineer Project	Experience in programs of installation of solar systems to indigenous communities	Microsoft Teams
9/6/2023	Gregory Cho'c	Commissioner, Indigenous People Affairs	Consent in Belize and FPIC in the project	Role of the Field Officers, water situation in the communities	Microsoft Teams
	Christian Loza	Rural Community Development Officer - MRT			
	Ismer Ortega	Northern Regional Rural Coordinator - MRT			
	Adrian Cus	Rural Community Development Officer - MRT			
	Valentino Shal	Chief Executive Officer - MRT			
	Joyce Tun	Grants Officer - Protected Areas Conservation Trust (PACT)			
	Ary Sosa	Ministry of Health & Wellness			
	Tennielle Hendy	Principal Hydrologist - National Hydrological Service			
12/9/2023	Christina Garcia	Ya'axche	Alternative Livelihoods	Experience working with Belizean communities to address climate change impacts in order to promote sustainable development.	Microsoft Teams
	Denise Garcia				
	Elizabeth Dorgay				
13/9/2023	Carlos Tun	BSIF (Social Investment Fund Projects)	FPIC, development of projects in the communities	Experience carrying out water projects, including with Maya communities in the Toledo District	Microsoft Teams
	Norys Rosales				
	Angeles itzab				
	Randulfo Mendoza				
	Ivor Mendoza				
18/9/2023	Jesus Hernandez	MRT	Water sources, wastewater, water contamination, gender issues	Field Officers long-term understanding of communities and language ability for fluid conversation. Clarity on main issues facing and past project initiatives in water that did not materialize	Microsoft Teams
	Leonardo Cal				
	Nemencio C. Acosta				
	Neville T Wade				
	Pedro				
	Adrian Cus				
20/9/2023	Nemencio Acosta	MRT	Support during visits to the 4 communities - Water boards	SEAM Project focal point for the MRT. Lead FO to accompany and support during visits to the communities.	Microsoft Teams
22/9/2023	Elizabeth Muschamp	Humana Belize	Engagement with Women and with the communities in general	Experience working with Toledo District communities	Microsoft Teams
29/10/2023	Virginia Cal	Tulum Uj Womens Group	Operation of the women's groups and needs of indigenous women	Women's group from southern Belize who worked together with the MRT	WhatsApp voice notes
2/10/2023	Florencio Martinez	DAVCO Corozal District	Livelihoods, situation in Copper Bank	Experience in development and implementation of projects in the communities	Microsoft Teams
2/10/2023	Delroy Velorio	NAVCO	Projects carried out, women's participation	Experience in development and implementation of projects in the communities	Microsoft Teams
3/10/2023	Michelle Lindo-Longworth	BEST	Gender, livelihoods, experience	Organization that promotes credits and the participation of women in the social and economic development of Belize	Microsoft Teams

			working with the communities		
3/10/2023	Anastasia Shal	Xaibe Women's Group	Operation of the women's groups and needs of indigenous women	Women's group from northern Belize who worked together with the MRT	WhatsApp Call
11/10/2023	Gerardo Flowers	Resilient Rural Belize	Experience with communities, water fee	In charge of a GCF programme, which aims to minimize the impacts of climatic and economic events on smallholder farmers	Microsoft Teams
12/10/2023	Elsa Cardinez	MRT	Presentation of the IR	National Coordinator, Rural Development Department	Microsoft Teams
13/10/2023	Cynthia Williams	Women and Family Support Department	Gender guidelines, gender in projects, women's role in the communities	Experience in gender	Microsoft Teams
	Lorraine Johnson				
	Makesha Suazo				
15/10/2023	William Elder	USDA United States Department of Agriculture	Watershed management, septic, and grey water options for rural communities	Knowledge of technical aspects of watershed and wastewater management	Phone call
16/10/2023	Andy Alberto Arguelles	Chairman of Copper Bank	History, structure and makeup of the village	Copper Bank Authority	Phone calls
17/10/2023	Andy Alberto Arguelles	Chairman of Copper Bank	Villages	Copper Bank Authority and technical expertise on water access projects	Phone call
	Jose Garcia	Belizean Civil Environmental Engineer	contamination issues and septic needs		
17/10/2023	Aldean Williams	Social and Gender Specialist	Gender dynamics, gender roles, Projects with women	Social and Gender Specialist of the Philip Goldson Highway and the Remate Bypass Upgrading Project & Coastal Highway Project	Microsoft Teams
19/10/2023	Robert Pendell	Rotary Belize	Latrine design revised design to address problems and best practices for implementation success with indigenous communities	Experience with latrine implementation and promotion of latrine use over open defecation with indigenous people in the Toledo District	Phone call
20/10/2023	Delroy Velorio	NAVCO	SEAM and water and wastewater management	SEAM Project, training of water boards, challenges of sanitation and water in growing communities	In person
21/10/2023	Rick Mallory	Rotary Belize	Project design change, corruption, role of sanitation worker in solving problems at Boom Creek.	Experience in implementing projects in the communities	In person
1/11/2023	Heron Moreno	Corozal Sustainable Future Initiative (CSFI)	CSFI's way of working, possible solutions to water problems,	Experience in protection and conservation of ecosystems	Microsoft Teams
1/11/2023	Nemencio Acosta	MRT and Belize Water Services	Waste water management	BWS Persons within the MRT who have the most experience in wastewater management	Microsoft Teams
	Hugo Rancharan				
	Adrian Cus				
	Elsa Cardinez Jesus Hernandez				
2/11/2023	Jose Garcia	Belizean Civil Environmental Engineer	Technical aspects of wells, salinity, and waste management systems.	Experienced engineer with extensive experience in water access projects in Belize and waste management.	Microsoft Teams
9/11/2023	Evelio Castaneda	MRT			Microsoft Teams
	Neville T Wade				

	Nemencio C Acosta		Revision of the survey and recommendations	Field officers who will conduct the surveys	
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A site visit took place between October 16<sup>th</sup> to 23<sup>rd</sup>, 2023 with the goal to present the project with updated components and consult with the villages' leaders and community members.

DATE	VILLAGE	GOAL
10/17/23	Copper Bank	Leader's meeting; followed by community meeting.
10/18/23	Boom Creek Otoxha Dolores	Joint meeting of the leaders in Punta Gorda
10/18/23	Boom Creek	Site visit
10/19/23	Otoxha	Site Visit
10/19/23	Dolores	Site Visit
10/21/23	Dolores	Community Meeting
10/21/23	Boom Creek	Community Meeting Signing of the FPIC
10/22/23	Dolores	Community Meeting Signing of the FPIC
10/22/23	Otoxha	Community Meeting Signing of the FPIC

## APPENDIX 3: INDIGENOUS ORGANIZATIONS

NATIONAL ORGANIZATIONS		
Belize National Indigenous Council		BENIC was established in 1989. Its constituent members are the Maya Leaders Association/Toledo Alcaldes Association, the National Garifuna Council, and the Northern Maya Association of Belize. Born of the recognition of the need for an organization to fight for the rights of indigenous peoples in Belize, to promote harmonious and cooperative relations, to promote the principles of justice, equality and non-discrimination, and to ensure the dignity, survival and well-being of the indigenous peoples of Belize. Pillars of work are land security, indigenous rights, women's participation, recovery and preservation of indigenous culture, education and the environment. <a href="https://www.facebook.com/BENIC-449994402439140/">https://www.facebook.com/BENIC-449994402439140/</a> <a href="mailto:belize.indigenous.council@gmail.com">belize.indigenous.council@gmail.com</a>
Garifuna Council	National	Its mission is to preserve, strengthen and develop our culture, and to promote economic development among the Garifuna people. <a href="http://www.ngcbelize.org/index.php">http://www.ngcbelize.org/index.php</a> <a href="https://www.facebook.com/ngcbelize/">https://www.facebook.com/ngcbelize/</a> Tel: (501) 669-0639 Email: <a href="mailto:ngcbelize@gmail.com">ngcbelize@gmail.com</a>
Toledo Alcaldes Assoc.		Coalition of 78 alcaldes, including a first and second alcalde of 39 Maya villages in the Toledo District Voice of America Road, Punta Gorda Town, Toledo, Belize, C.A. Email: <a href="mailto:taa1992@gmail.com">taa1992@gmail.com</a> Telephone: (501) 662-1663
Maya Alliance	Leaders	The Maya Leaders Alliance was created in 1999 and is constituted by the leaders of several organizations of the Q'eqchi' and Mopan Maya people of Toledo district. The Toledo Alcaldes' Association, Toledo Maya Women's Council, the Q'eqchi' Council of Belize and the Toledo Maya Cultural Council make part of the organization. They have enjoyed considerable success, including legal victories against a permit to allow oil exploration within a national park considered part of Maya customary territories. The case led to the establishment of a framework to inform the consultation process between economic actors, the government and local communities, thereby giving the Maya a voice they previously had lacked. MLA was awarded the Equator Prize in 2015  Email: <a href="mailto:mayaleadersbelize@gmail.com">mayaleadersbelize@gmail.com</a>
Q'eqchi Council of Belize		<i>No online data available</i>
Toledo Women's Council	Maya	<a href="https://www.facebook.com/Toledo-MAYA-Womens-Council-594753317253806">https://www.facebook.com/Toledo-MAYA-Womens-Council-594753317253806</a>
Maya Leaders of Southern Belize		Founded in 1999 to monitor land development in the Toledo District of Belize. They have formed alliances such as the Maya Leaders Alliance of Toledo, bringing together the five organizations of the south <a href="https://www.facebook.com/mayaleadersofsouthernbelize/">https://www.facebook.com/mayaleadersofsouthernbelize/</a>
Maya Institute of Belize		It is a founding organization of the Enlace Continental de Mujeres Indígenas de las Américas (ECMIA)

## APPENDIX 4: STAKEHOLDER FEEDBACK IMPACT ON PROJECT

Topic	Feedback	Recommendations/ Impact on project formulation
Overall programme	Consultations revealed IP's main interest is Component 1 – the water distribution system.	Every community stated an urgency for a system to provide reliable, potable water and asked that the project be expedited.
Country Context	There was a perception that political interference or political agenda may influence receiving the necessary project funds, especially if there is a change of political party.	It was highlighted during consultations that the project will have to adhere to strict requirements as established by the Adaptation Fund which would decrease the probability of political interference that could redirect funds intended for SEAM.
Environmental and social safeguards	<p>During the consultation with the MLA and TAA there was concern over awareness and following FPIC protocol and ensuring consideration of the communities' priorities.</p> <p>Additionally, it was pointed out that a grievance redress mechanism needs to be established in a way that is suitable to the remoteness and structures of the indigenous communities.</p> <p>Further, projects should always consider and respect the rights of the indigenous people.</p> <p>Consulted representatives also highlighted the need to ensure that IPs are consulted throughout a project's implementation, not only at the beginning.</p>	<p>Project team conducted an extensive review of FPIC (national and international) as well as received guidance from the MRT on IP protocol. FPIC procedure and IP protocol was successfully followed and the FPIC was presented and signed (Appendix 8) by each indigenous community.</p> <p>The project design includes a system of on-going consultation as part of the project.</p> <p>A GRM was developed that considered the remote location and literacy rates in the project area.</p> <p>Roles and responsibilities for monitoring is structures in a transparent and inclusive manner.</p>
Role of technical assistance	<p>During consultations, it was argued that TA should support beneficiaries in accessing credit (e.g. sufficient micro-finance to start producing or acquiring the materials to execute the livelihood being introduced).</p> <p>It was highlighted that the alternative livelihood activities are inherently weak without support with marketing or an absence of markets for products produced.</p> <p>Additionally, there was concern there would be insufficient follow-through on the training after the initial capacity building was complete.</p>	<p>It was explained that this project was not a micro-finance project but that the TA for alternative livelihoods would be tailored to expressed needs and interests of the community. Alternative livelihood training will include how to market what is produced (e.g. honey, artisanry)</p> <p>The livelihood option must be commercially viable within the existing market infrastructure and sustainable post-training.</p> <p>Emphasis will be placed in ensuring that women from indigenous communities are actively targeted by the TA provider to ensure that they are not excluded from benefitting from the activity.</p>
Grievance redress mechanism	The Maya communities have existing GRM arrangements in place, where differences and conflicts can be heard and adjourned by the Alcalde acting as magistrate (cases up to BZ\$ 50) for those cases where the Alcalde is unable to adjourn or for which there is an appeal, the case can be presented to the Toledo Alcalde Association. A case can go up to the country's Supreme Court (advised by Toledo Alcalde's Association).	<p>Where relevant due to location, the projects will adapt the GRM to integrate the existing customary mechanisms of the Maya villages.</p> <p>The villages will also be invited to participate in further adapting the project specific GRMs in their own communities to increase accessibility.</p>
Sanitation	The feedback from the FOs, and the communities' response in Otoxha and Dolores, conveyed a reluctance to discuss latrines or sanitation. Though the leaders expressed willingness to have latrines as part of the project the process of changing this cultural norm would require additional consideration.	<p>Though sanitation was considered as part of the project components, the feedback resulted in removing sanitation infrastructure and focus instead on WASH training as a step for preserving the watershed and decreasing contamination. Additionally, other mitigants were developed to avoid contamination.</p> <p>Gender sensitive and culturally appropriate WASH training will be provided.</p> <p>Training teachers to provide WASH in schools with the goal that children disseminate the information to their parents.</p> <p>Plan on multi-step process that draws on good practices from WASH programs conducted worldwide.</p>
Wells	<p>Two of the communities' leaders expressed specific locations for the well to be drilled.</p> <p>Community members voiced that they wanted to maintain their private wells in case the water system failed or to use as an additional water source for gardens and livestock.</p>	<p>The Hydrological Unit that identifies the most advantageous spot to find water will work with the community leaders to determine if the village selected spots are viable and discuss with them the options and anticipated results.</p> <p>After discussion with the communities, the MRT will agree that wells would not be required to be shut down if the</p>

		<p>communities are willing to agree the wells would not be used for human consumption (as the impact on tracking water related health issues is complex if multiple sources of water are being consumed). The water could be used for animal and irrigation purposes or for flushing toilets and cleaning.</p>
<p>Temporary Employment</p>	<p>The leaders and community members said they want the opportunity to be hired as temporary laborers for the water distribution system and other construction needs of the project.</p> <p>The community expressed willingness to provide in-kind contribution by digging the trenches to their individual households.</p>	<p>The project design now includes that construction contractor' will either have as part of the ToR the requirement, or be strongly recommended, to hire as many local villagers as possible, regardless of gender, to be involved with all construction that can be outsourced to them.</p> <p>The construction contractors will also provide inclusive construction training as needed.</p> <p>The community offered to provide the work at a discounted rate to reflect in-kind contribution if that would increase the chances for employment opportunities.</p> <p>All rules and regulations for workers would be followed.</p>



# APPENDIX 5: FPIC

## Boom Creek FPIC

**COMMUNITY RESOLUTION ON**  
**Consent for ALAN PROJECT**

**Village BOOM CREEK**

Further to the completion of the 10<sup>th</sup> and 11<sup>th</sup> of October 2014 through the leaders of Boom Creek village and representatives from the Ministry of Public Health, Community Development, Labour and Social Services and Government and community Security Water Resource through the Design and Evaluation Advisory Management (DEAM).

The purpose of the consent letters was to affirm, validate and seek the consent of the waters and the villages regarding the proposed ALAN project for Boom Creek Village in the Toledo District. The core objective of the proposed project is to ensure the availability of safe communities by using water resources and waste management. This will be achieved through three main project components:

1. Design and construct cost-effective solar hybrid sustainable water system and waste management systems that will allow households to have access to potable water services as well as waste management.
2. Ensuring the sustainability of the water resource through watershed management and improvement of livelihood opportunities.
3. Increase the capacity and governance of community on water resource sustainability in relation to climate vulnerability and provision from consultation and strengthen the knowledge management capacities of the village leaders and community.

**Resolution:** Where a village member is unable to come to their letter(s) physical signature, they may with the assistance of another village member have their name and surname printed in handwriting in the approved column which has the following details:

Name of Village (Full Name)	Signature (Handwritten)	No. of the consent (Signature/Handwritten)	Date
1. Jaime Morales	[Signature]		21.10.14
2. Maribel Lopez	[Signature]		21.10.14
3. Silvia Sanchez	[Signature]		21.10.14
4. Diana Sanchez	[Signature]		21.10.14
5. Sandy Sanchez	[Signature]		21.10.14
6. Henri Sanchez	[Signature]		21.10.14
7. Emy Gonzalez	[Signature]		21.10.14
8. Maria Gonzalez	[Signature]		21.10.14
9. Nilda Sanchez	[Signature]		21.10.14

10. Rutha Sanchez	Rutha Sanchez		21.10.14
11. Lenny Mendez	L.M.		21.10.14
12. Vanessa Sanchez	[Signature]		21.10.14
13. Maria Sanchez	[Signature]		21.10.14
14. Michael Edwards	[Signature]		21.10.14
15. Michael Edwards Jr.	Michael Edwards Jr.		21.10.14
16. Emya Gomez	[Signature]		21.10.14
17. Emy Sanchez	[Signature]		21.10.14
18. Norma Ruiz	[Signature]		21.10.14
19. Andrea Ruiz	[Signature]		21.10.14
20. Ana Sanchez	[Signature]		21.10.14
21. Lisa Chub	[Signature]		21.10.14
22. Andreea Coc	A. Coc		21.10.14
23. Susana Sanchez	S. S.		21.10.14
24. Milton Coc	M. C.		21.10.14
25. Lucia Sanchez	[Signature]		21.10.14
26. Lucera Sanchez	[Signature]		21.10.14
27. Condelaria Rax	C. R.		21.10.14
28. Gertrude Rax	G. R.		21.10.14
29. Rosa Coc	[Signature]		21.10.14
30. Osmar/Isa Rax	[Signature]		21.10.14
31. Fergio Coc	C. C.		21.10.14
32. Olga Rax	O. R.		21.10.14
33. Luis Jim	Luis Jim		21.10.14
34. Ana Jim	Ana Jim		21.10.14
35. Hymenia Jim	Hymenia Jim		21.10.14
36. Angel Morales	Angel Morales		21.10.14

37. Gloria Coc	Gloria Coc		21.10.14
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**Dolores FPIC**

**COMMUNITY  
RESOLUTION ON  
Consent for SEAM PROJECT**

Village: DOLORES

Further to the consultations of the 7<sup>th</sup>, 18<sup>th</sup> and 27<sup>th</sup> of October, 2023 between the leaders of Dolores Village and representatives from the Ministry of Rural Transformation, Community Development, Labour and Local Government and proposed project consultants - Securing Water Resources through Solar Energy and Innovative Adaptive Management (SEAM).

The purpose of the consultations was to inform, educate and seek the consent of the leaders and the villagers regarding the proposed SEAM project for Dolores Village in the Toledo District. The core objectives of the proposed project is to promote the advancement of rural communities by securing water resources and waste management. This will be achieved through three intended project components:

1. Design and construct cost-effective solar hybrid sustainable water system and waste management systems that will allow households to have access to potable water services as well as waste management.
2. Ensuring the sustainability of the water resource through watershed management and improvement of livelihoods opportunities.
3. Increase the capacities and governance of community on water resource sustainability in relation to climate vulnerability and protection from contamination and strengthen the knowledge management capacities of the village leaders and community.

**Instructions:** Where a village member is unable to write in block letters her/his name and signature, she/he may seek the assistance of another villager to write her/his name and thereafter affix her/his thumbprint in the appropriate column which her/his reflects her/his decision.

	Name of Villager (Print Name)	Yes, I consent (Signature/Thumbprint)	No, I do not consent (Signature/Thumbprint)	Date
27	Hector Pacham	X		
46	Ignacio choc	X		
30	Widal Luis Coc	Chun		
53	Juan Chua	X		
20	Rolando Pan	X		
51	Flomado Pan	X		
35	Emilio coc	X		
32	Ricardo Chun	X		
30	Pedro Cucul	P. Cucul		

35	Marta Chun	X		
55	Santiago Pan	S.P		
70	Pablo Chub	X		
58	Marcos Pacham	X		
40	Juan Caal	JC		
29	Jose Caal	J.C		
31	Miguel Cucul	M.C		
51	Pedro Coc Pukul	X		
48	Pedro Caal	X		
40	Andreas Pukul	A.P		
48	Miguel Pan	M.P		
21	Leonardo Tumul	L.T		
25	Maynor choc	M.choc		
19	Edu Pan	E.Pan		
18	Florencia Pacham	F.Pacham		
20	Miguel Pan	M.Pan		
50	Joaquin's choc	J. Choc		
57	Marcelino Cucul	M.Cucul		
29	Emilio Pukul	X		
47	Sebastian Pukul	S.P		
30	Justo Caal	J. Caal		
46	Vicente Pan	V.Pan		
58	Pablo Itch	X		
50	Pedro Pan	P. Pan		
33	Mario Pan	M.Pan		
60	Guillermo Pan	G.Pan		
23	Juan Coc	J.C		

28	Francisco Coch	F.Coch		
25	Enjio Pukul	E.Pukul		
31	Jacinto Bo	J.Bo		
40	Calistero Salame	C.Salame		
46	Pedro Kukul	P.Kukul		
22	Samuel Cucul	S.Cucul		
29	Ephraim Cucul	E.Cucul		
36	Juan Choc	J.Choc		
39	Estobas Coc	E.Coc		
32	Emilio Chao	E.C		
35	Pablo Xi Chun	X		
38	Julian Pukul	J.Pukul		
37	Javier Coc	J.Coc		
26	José Panam	J.Panam		
30	Antonio Coc	A.Coc		
51	Ricardo Coc	R.Coc		
24	Richard Pan	R.P		
33	Salmo Coc	S.C		
37	José Salame	J.Salame		
42	Ruberto Cocham	R.J.		
33	Miguel Salame	M.Salame		
36	Guillermo Choc	G.Choc		
24	Mario Chun	M.Chun		
39	Antonio Rax	A. Rax		
36	Audrey Chub	A		
31	Heleno Sub	H.S		
42	Pedro Salame	P.S		

37	Pablo Salame	P.S		
40	Rubén Coc	R.Coc		
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Otoxa FPIC

**COMMUNITY RESOLUTION ON Consent for SEAM PROJECT**

Village: OTOXA

Further to the statements of the 1<sup>st</sup>, 10<sup>th</sup> and 22<sup>nd</sup> of October, 2022 between the leaders of Otoxa Village and representatives from the Ministry of Rural Transformation, Community Development, Labour and Local Government and proposal project consultants - Securing Water Resources through Solar Energy and Innovative Adaptive Management (SEAM).

The purpose of the consultation was to inform, educate and seek the consent of the leaders and the villagers regarding the proposed SEAM project for Otoxa Village in the Toledo District. The main objective of the proposed project is to promote the advancement of rural communities by securing water resources and waste management. This will be achieved through three interlinked project components:

1. Design and construct cost-effective solar hybrid sustainable water system and waste management systems that will allow households to have access to potable water services as well as waste management.
2. Ensuring the sustainability of the water resource through watershed management and improvement of livelihoods opportunities.
3. Increase the capacity and governance of community on water resource sustainability in relation to climate vulnerability and protection from contamination and strengthen the knowledge management capacities of the village leaders and community.

**Instructions:** When a village member is unable to write in block letters her/his personal signature, she/he may ask the assistance of another villager to write her/his name and thereafter affix her/his thumbprint in the appropriate column which best reflects her/his decision.

	Name of Villager (Print Name)	Yes, I consent (Signature/Thumbprint)	No, I do not consent (Signature/Thumbprint)	Date
58	Manuel Ace	<i>[Signature]</i>		
57	Emmanuel Acosta	<i>[Signature]</i>		
49	Marcelo Ace	<i>[Signature]</i>		
77	Ambrósio A.	<i>[Signature]</i>		
23	Venancia Bato	<i>[Signature]</i>		
44	Orville Pacham	<i>[Signature]</i>		
21	Alfred Teal	<i>[Signature]</i>		
37	Emmanuel Pacham	<i>[Signature]</i>		
27	Isidoro Peck	<i>[Signature]</i>		

21	Alberto Pap	<i>[Signature]</i>		
37	Diego Chen	<i>[Signature]</i>		
11-38	Olavio Teal	<i>[Signature]</i>		
11-27	Andrés Bato	<i>[Signature]</i>		
M=21	Fernando Teal	<i>[Signature]</i>		
M=18	Enrico Bato	<i>[Signature]</i>		
M=28	Alfonso Ace	<i>[Signature]</i>		
M=31	Sonia Bato	<i>[Signature]</i>		
07	Pablo Teal	<i>[Signature]</i>		
31	Barrion Bato	<i>[Signature]</i>		
21	Rolando Peck	<i>[Signature]</i>		
21	Arturo Perez	<i>[Signature]</i>		
28	Victorino Peck	<i>[Signature]</i>		
23	Diego Ace	<i>[Signature]</i>		
27	María Pap	<i>[Signature]</i>		
27	Marcos Ace	<i>[Signature]</i>		
30	Edmundo Teal	<i>[Signature]</i>		
33	Edmundo Ace	<i>[Signature]</i>		
19	Jose Ace	<i>[Signature]</i>		
Apr 15	John Bato	<i>[Signature]</i>		
21	Arnaldo Peck	<i>[Signature]</i>		
29	Bernardo Salas	<i>[Signature]</i>		
24	Abandino Ace	<i>[Signature]</i>		
Apr 7	Henny Larios	<i>[Signature]</i>		
21	Jerónimo Ace	<i>[Signature]</i>		
Apr 23	Diana Chen	<i>[Signature]</i>		
Apr 25	Paulino Teal	<i>[Signature]</i>		

Apr 25, F	17	Urbano Teal	<i>[Signature]</i>		
Apr 25, M	18	Ruthia Teal	<i>[Signature]</i>		
Apr 26, M	19	Vicente Ace	<i>[Signature]</i>		
M=41	20	Diego Ace	<i>[Signature]</i>		
M=37	21	Alfonso Ace	<i>[Signature]</i>		
M=62	22	Thomas Ace	<i>[Signature]</i>		
M=45	23	Jaime Ace	<i>[Signature]</i>		
M=57	24	Pedro Ace	<i>[Signature]</i>		
M=24	25	María Ace	<i>[Signature]</i>		
M=18	26	Francisco Ace	<i>[Signature]</i>		
M=18	27	Carlos Ace	<i>[Signature]</i>		
M=18	28	Alfonso Ace	<i>[Signature]</i>		
64	29	Jorge Chen	<i>[Signature]</i>		
55	30	Edmundo Ace	<i>[Signature]</i>		
45	31	Pablo Ace	<i>[Signature]</i>		
21	32	Walter Ace	<i>[Signature]</i>		
53	33	Alberto Perez	<i>[Signature]</i>		
59	34	Manuel Chen	<i>[Signature]</i>		
54	35	Pedro Bato	<i>[Signature]</i>		
53	36	Scholar Ace	<i>[Signature]</i>		
50	37	Stefano Teal	<i>[Signature]</i>		
50	38	Diego Ace	<i>[Signature]</i>		
50	39	Miguel Ace	<i>[Signature]</i>		
39	40	Barbara Ace	<i>[Signature]</i>		

	Name (Print)	Age	Yes, I consent	No, I do not consent	Date
41	Alfonso Teal	25	<i>[Signature]</i>		10/22
62	Alicia Ace	40	<i>[Signature]</i>		10/22
63	Floriana Ace	37	<i>[Signature]</i>		10/22
64	Luis Ace	45	<i>[Signature]</i>		10/22
65	Santa Ace	55	<i>[Signature]</i>		10/22
66	María Ace	56	<i>[Signature]</i>		10/22
69	Alfonso Teal	27	<i>[Signature]</i>		10/22
68	Marcelo Ace	66	<i>[Signature]</i>		10/22
69	Alfonso Ace	24	<i>[Signature]</i>		10/22
70	Sofía Ace	37	<i>[Signature]</i>		10/22
71	Ubaldo Ace	33	<i>[Signature]</i>		10/22
72	Esperanza Ace	44	<i>[Signature]</i>		10/22



**APPENDIX 6: COPPER BANK LEADER AND COMMUNITY MEMBERS CONSULTED**

COPPER BANK 10/15/2023									
NUMBER/NAME	Gender	Exact Age	Occupation	Firma Signature	NUMBER/NAME	Gender	Exact Age	Occupation	Firma Signature
Leader: Maria Tildatt *	F	80	Librarian	Maria Tildatt	Rodrigo Izab	M	35	Traymaker	Rodrigo Izab
Meeting: Wenceslao Pardo	M	36	Businessman	Wenceslao Pardo	Alfredo Alf	M	68	Farmers	Alfredo Alf
Meeting: Jon Chick	M	54	Consultant	Jon Chick	Melany Cobb *	F	28	Domestic	Melany Cobb
Meeting: Amy Bohler	F	54	Consultant	Amy Bohler	Scilia Tildatt *	F	28	Domestic	Scilia Tildatt
Meeting: Rafael Tula	M	42	Consultant	Rafael Tula	Elberto Magal	F	63	Farmers	Elberto Magal
Meeting: Ana Arvelles	M	37	Chairman	Ana Arvelles	Ernesto Zulu	F	50	Farmers	Ernesto Zulu
Meeting: Miguel Ceballos	M	50	Operator	Miguel Ceballos	Samuel M.	M	35	ST	Samuel M.
Meeting: John R. Cobb	M	58	J	JRC	Savari Cobb	F	30	Housekeeper	Savari Cobb
Meeting: Inna Tula	M	26	Treasurer	Inna Tula	Vianey Cobb	F	24	Housekeeper	Vianey Cobb
Meeting: Mr. Jose Garcia	M		Civil engineer	BY PHONE	Shirley Gray	M		Office Manager	Shirley Gray
Community meeting: Dorene Kelly *	F	63	Retired (Accountant)	Dorene Kelly	Shi Shi	M		Farmers	Shi Shi
Community meeting: Cathy Williams	F	69	Retired (A/R)	Cathy Williams	Wynita Tula	M	51	Workshop	Wynita Tula
Community meeting: Victoria Tula *	F	37	Housewife	Victoria Tula	Carlos Zulu	M	64	Farmers	Carlos Zulu
Community meeting: Orlando Quintero	M	41	Farmers	Orlando Quintero	Wynita Tula	M	58	Farmers	Wynita Tula
Community meeting: Angel Vasquez	F	69	Retired	Angel Vasquez	Rafael Magallon	M	55	Retired	Rafael Magallon
Community meeting: Anabella D. Almoraz	F	38	Domestic	Anabella D. Almoraz	Sergio Zulu	M	34	Retired	Sergio Zulu
Community meeting: Maritza Tula	F	65	Domestic	Maritza Tula					
Community meeting: Jahon Quintero	M	22	Software Developer	Jahon Quintero					
Community meeting: Catalina Armin Jimenez	M	26	Business	Catalina Armin Jimenez					
Community meeting: Esteban Garcia Regalado	M	85	Domestic	Esteban Garcia Regalado					
Community meeting: Manuel Arvelles *	F	37	Domestic	Manuel Arvelles					
Community meeting: Salvador Henriquez	M	28	Domestic	Salvador Henriquez					
* [Signature]	F	38	Domestic	[Signature]					
Community meeting: Jesus Davila Tula	M	48	Mason	Jesus Davila Tula					
Community meeting: Alfredo H. Cobb	M	38	Retired	Alfredo H. Cobb					
Community meeting: Daisy Cobb *	F	81	Domestic	Daisy Cobb					
Community meeting: Arlene Tula	F	44	Domestic	Arlene Tula					
Community meeting: Silvia AR	F	20	Domestic	Silvia AR					
Community meeting: [Signature]	F	50	F	[Signature]					
Community meeting: Samuel Tildatt	M	49	Mason	Samuel Tildatt					

## Annex V: Gender Assessment and Action Plan

### GENDER ASSESSMENT

In the initial part of this segment, the foundational legal framework supporting gender equality will be explored, followed by an examination of the institutional mechanisms, with particular attention to national women's machineries dedicated to advancing gender equality. The socio-economic landscape of Belize will be analyzed through a gender lens, accompanied by a thorough examination of educational and health contexts in the country. Additionally, cultural dimensions intertwined with gender dynamics will be elucidated, followed by an exploration of gender-based violence.

Transitioning to the subsequent section, the gender division of labor will be scrutinized, encompassing both productive and reproductive spheres, such as domestic chores and caregiving activities. This analysis will unfold at both the national and community levels. Following this, the third section will unravel power dynamics in gender relations. Moving forward, the fourth section will shed light on the differentiated access to resources, including land, natural resources, and financial assets. Conclusively, this segment will culminate with a dedicated section addressing the impacts of climate change and their disparate effects on women and men, considering information at both the national and community levels.

This whole presentation will leverage national statistics to provide a comprehensive understanding of the realities faced by communities within the project's sphere of influence.

### GENDER-SPECIFIC SOCIO-ECONOMIC, CULTURAL AND LEGAL CONTEXT

This section delves into the socio-economic, cultural, and legal landscape, providing a concise overview of the distinctive contexts shaping gender dynamics within the project's scope.

#### Education

The intersection of education and Maya culture reveals enduring challenges. Historical legacies, coupled with religious educational institutions, contribute to disapproval of Maya cultural practices. The educational curriculum often fails to align with daily needs, particularly affecting the Maya people, perpetuating cultural insensitivity and educational disadvantages. especially among Maya women who face distinct challenges, such as language barriers and cultural marginalization. Roman Catholic primary schools, which account for 35.9% of total schools at the national level and for 59% at the Toledo district (Ministry of Education, Culture, Science & Technology, 2023), reinforce traditional gender roles and subtly controlling girls' bodies through unsuitable uniforms designed to de-emphasize sexuality (Sarstoon Temash Institute for Indigenous Management, 2023). Addressing these issues demands a holistic approach that considers cultural diversity and strives for inclusive and equitable educational opportunities for all.

When examining data collected for the four communities where SEAM project is going to be implemented, one discovers that girls consistently surpass boys in school enrollment across all levels in these communities, deviating from the district's female-to-male ratios. The data reveals that primary school enrollment is notably higher for girls in Boom Creek and Dolores than the Toledo district's average of 0.96 in rural areas, with Otoxha falling within the district's range. Copper Bank exhibits a primary enrollment ratio surpassing Corozal's average of 0.89 in rural areas. Examining trends in secondary school enrollment, females consistently outnumber males in all communities, contradicting prevailing trends in the Toledo district and even surpassing national trends in rural areas.

<b>Boom Creek</b>	Males	Females	Total	F/M Ratio
Pre-School	0	0	0	0
Primary	10	20	30	2,00
Secondary	1	7	8	7,00
<b>Copper Bank</b>	Males	Females	Total	F/M Ratio
Pre-School	5	8	13	1,60
Primary	48	44	92	0,92
Secondary	20	34	54	1,70
<b>Dolores</b>	Males	Females	Total	F/M Ratio
Pre-School	0	0	0	0
Primary	92	100	192	1,09
Secondary	10	10	20	1,00
<b>Otoxha</b>	Males	Females	Total	F/M Ratio
Primary	36	33	69	0,92
Secondary	9	13	22	1,44
Tertiary	1	0	1	0

Table 54: Education statistics for SEAM project communities (Source: Compiled data provided by MRT).

In conclusion, the educational landscape in these communities showcases a commendable gender parity, with girls consistently outperforming boys in both primary and secondary school enrollment. This positive trend reflects a noteworthy commitment to providing equal educational opportunities for both genders in these regions.

### Cultural Aspects

In all 2023 quarters, the age group exhibiting a higher prevalence of abuse comprised women aged 25-29 years (Belize Crime Observatory 2023a; Belize Crime Observatory 2023). This not only underscores the persistence of GBV in Belize but also raises the possibility that younger women may be less hesitant to report incidents, suggesting a potential shift in women's behavior trends. To address underreporting, the Gender Advocates Program, initiated in 2021, collaborates with UNICEF and aims to strengthen services and support networks in rural areas. Seventeen advocates have been trained to extend their assistance across 18 rural communities (NWC 2023, 35).

Furthermore, female murder rates fluctuated from 2009 to 2020, reaching their lowest point in 2020 after a surge in 2018. Belize has endeavored to combat all forms of violence, yet challenges persist, including law enforcement gaps, limited access to justice, and short-term protection measures. Additionally, language barriers hinder non-English speaking victims' access to services (Ministry of Economic Development 2017), which also may be a cause for underreporting in Maya communities.

The Statistical Institute of Belize and UNICEF assessed the attitudes of women and men aged 15-49 years towards wife/partner beating by asking the respondents whether husbands/partners are justified to hit or beat their wives/partners in a variety of situations.

	Women	Men
<b>National</b>	<b>6.7%</b>	<b>7.3%</b>
Corozal	10.6%	8.8%
Toledo	19.8%	20.4%
Urban	5.6%	6.0%
Rural	7.7%	8.2%
Primary education	8.4%	6.7%
Higher education	3.1%	3.9%
Poorest quintile	14.9%	13.2%
Richest quintile	3.9%	4.9%
Maya	17.5%	18.8%
Mestizo	5.0%	5.6%

Table 55: Percent of population age 15-49 years who believe a husband is justified in beating his wife (Source: Statistical Institute of Belize and UNICEF, 2017).

The aim of these inquiries was to comprehend the societal rationale behind the use of violence (particularly in environments where women hold a subordinate status) as a punitive measure when a woman deviates from prescribed gender norms. It is essential to note that these patterns could potentially elucidate the elevated levels of gender-based violence observed in Belize, highlighting, in particular, the deeply entrenched patriarchal nature of Maya culture.

### R. GENDER DIVISION OF LABOR

	Male	Female
Labor Force Participation Rate	71.6	45.8
Unemployment	1.9	4.2
Underemployment	5.9	7.6

Table 56: Belize's employment indicators, April 2023 (Source: Compiled from data by Statistical Institute of Belize, 2023).

Unemployment rates further illuminate the gender divide, with women experiencing a rate of 4.2%, more than twice that of men (1.9%). This trend persists across various education levels, with higher rates for females with primary (3.4%) or secondary (3.7%) education. Regional variations also surface, revealing that while Toledo records the highest overall unemployment rate (5%), Cayo claims the highest female unemployment rate (6.4%) (Statistical Institute of Belize 2023b). Notably, women's unemployment rates consistently double those of men, regardless of their ethnic background (Statistical Institute of Belize, 2021).

	Male	Female
Creole	8.5%	15.9%
Garífuna	5.2%	14.7%
Maya	11.3%	20.5%
Mestizo/Hispanic	5.5%	10.2%
Other	3.2%	12.1%
DK/NS	0.0%	0.0%
<b>Total</b>	<b>6.7%</b>	<b>13.0%</b>

Table 57: Belize's unemployment rate by sex & ethnicity, September 2021 (Source: Statistical Institute of Belize, 2021).

Delving into specific sectors, over half of the 190,037 employed individuals in April 2023 engage in 'Wholesale and Retail Trade, Repairs' (16.1%), 'Community, Social & Personal Services; Extra Territorial Organizations & Bodies' (14.1%), 'Tourism' (13.3%), and 'Agriculture and Related Activities' (11.5%). Males dominate most occupational categories, with exceptions noted in 'Services and Sales Workers,' 'Clerical Support,' 'Professionals,' and 'Managers' (Statistical Institute of Belize, 2023), where a semblance of gender parity emerges, challenging traditional occupational gender norms.

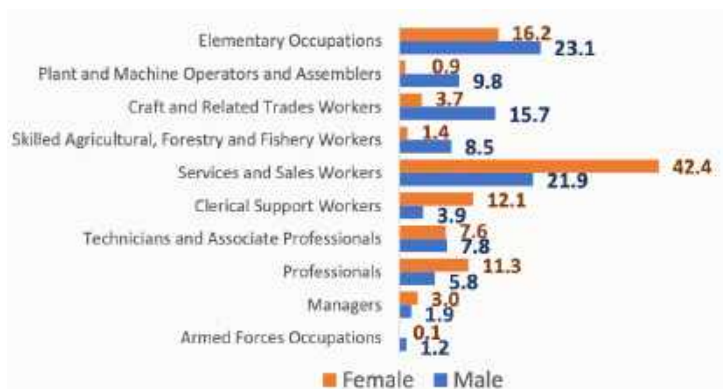


Figure 35: Share of employed person by main occupation, April 2023 (Source: Statistical Institute of Belize, 2023).

Informal employment, representing 37.2% of the employed population, has seen an increase from 35.3% in October 2022. The average working week spans 41.5 hours, and the average monthly income holds steady at \$1,358. 'Managers' and 'Professionals' stand as the highest income earners in the workforce (Statistical Institute of Belize, 2023).

## S. Gender-based power structure

At the community level, Village Councils (VC) are the main local government for villages. VCs are formed by seven members: Chairperson is elected by electors, while elected VC members choose among themselves a deputy chairperson, a secretary, and a treasurer.

In Maya communities there is also another indigenous traditional system that overlaps with VCs, the Alcalde system. Thus, Maya communities, such as Dolores, Otoxha, and Boom Creek have First Alcaldes and Second Alcaldes, who are elected by community members.

Historically, local power structures, like government ones, have been male dominated, even though women have accessed decision-making positions at some point in all communities, being Boom Creek the most equal one and Otoxha the least, where no woman has been elected in the past three elections.

	2016 Election		2019 Election		2021 Election	
	Female	Male	Female	Male	Female	Male
VC Boom Creek	5	2*	3	4*	4	3*
VC Copper Bank	0	7*	0	7*	1	6*
VC Dolores	0	7*	3	4*	0	7*
VC Otoxha	--	--	0	5*	0	3*

\*Indicates Chairperson's gender

Table 58: Village Council historic elections in SEAM project communities (Source: MRT).

Water boards consist of seven people: the Chairmen of the Village Council, a Village Council member nominated by the Village Council, five other members appointed by the MRT after consultation with the Village Council and Electoral Division Area Representative. There are four main roles: Chairperson, Deputy Chairperson, Secretary and Treasurer, and they hold office for three years.

Women’s participation all along the project cycle, from design through project closure, but, more importantly, within the Water Boards, is essential to ensure water systems sustainability. Inclusive public participation is pivotal for successful water management, as highlighted by prior studies (Svahn, 2011) (Preparing for the next generation of watershed management programmes and projects. Water resources for the future, 2003) (Preparing for the next generation of watershed management programmes and projects., 2005); since it fosters a sense of ownership and responsibility (Swallow, 2005) and enables a deeper understanding of water user requirements and local challenges (Svahn, 2011) (Bonnal, 2005). In this regard, women's participation is a key factor in water project effectiveness and sustainability (Svahn, 2011) (Gender and Water Alliance, 2006), because equal participation of women and men in decision-making enhances project outcomes and promotes efficient water use and maintenance, optimal funding arrangements, as well as reduces breakdowns (Svahn, 2011).

## T. ACCESS TO RESOURCES

Concerning financial resources, data from the Development Finance Corporation (DFC)<sup>29</sup>. In the year 2022, DFC granted approval for a total of 763 loans, amounting to \$32.38 million. Among the beneficiaries, 463 were male, and 300 were female, benefiting from various loan products (DFC, 2023). Furthermore, the breakdown of DFC's portfolio value by gender, as illustrated in the table below, indicates that women constitute 42% of all borrowers. There is also a discernible gender bias concerning the type of loans contracted, with more women than men seeking financial assistance for education and micro-finance (see MSE EBL, Empowered Business Loans for entrepreneurs), while housing loans exhibit a somewhat balanced distribution.

	Approved Amount	Portfolio Value	Males	Females	Company	F/M Ratio
Productive	104.105.673	77.493.068	1218	257	79	0,21
Staff	428.286	304.251	16	33		2,06
Student	27.761.079	16.373.600	776	1210		1,56
MSE	6.990.186	4.143.362	447	205	1	0,46
MSE EBL	662.781	559.677	13	41		3,15
Housing	51.836.573	39.292.358	462	374		0,81
<b>Total</b>			<b>2932</b>	<b>2120</b>		0,72

Table 59: DFC’s portfolio in 2022 (Source: Table compiled from DFC data).

Numerous studies have identified limited access to real estate as a primary obstacle for women and youth seeking financing. The Empowered Business loans have played a pivotal role in addressing this issue, contributing to a more equitable distribution of loans between men and women. In 2021, 55% of the recipients of DFC's loans were male, while 45% were female—a notable increase from the 30% recorded for women in 2019 (DFC, 2022).

DFC data from 2021 mirrors the patterns observed in 2022: women consistently opt for higher loan amounts for education, irrespective of the urban or rural setting, while gender parity is noted in mortgage loans. Notably, men in the agriculture sector secured loans of larger amounts (DFC, 2022). Specifically, the cattle sub-sector underscores a distinct male predominance, with merely two women among the 28 borrowers who have availed themselves of cattle loans. Furthermore, the loan amounts for women are markedly lower, averaging \$35,625 compared to the male average of \$166,310 (DFC, 2023).

<sup>29</sup> The Development Finance Corporation is Belize’s only Development Bank. Their purpose is to support the strengthening and expansion of Belize’s economy by providing developmental financing on an economically sustainable and environmentally acceptable basis to individuals, businesses, and organizations. For more information, visit: <https://www.dfcbelize.org/who-we-are/>



Urban	Women	Amount	Avg Amt	Men	Amount	Avg Amt	F/M Ratio
Agriculture	3	15.400	5.133	3	29.700	9.900	0,5
Education	118	1.978.046	16.763	67	1.050.084	15.673	1,1
Residential	53	5.050.411	95.291	55	5.381.450	97.845	1,0
Tourism	2	42.500	21.250	6	178.969	29.828	0,7
Other Sectors	35	710.399	20.297	49	2.078.998	42.429	0,5
Total	211			180			

Rural	Women	Amount	Avg Amt	Men	Amount	Avg Amt	F/M Ratio
Agriculture	54	307.859	5.701	180	4.281.144	23.784	0,2
Education	77	949.570	12.332	55	548.273	9.969	1,2
Residential	37	2.912.033	78.704	46	2.801.624	60.905	1,3
Tourism	2	666.790	333.395	6	1.540.000	256.667	1,3
Other Sectors	19	219.400	11.547	29	2.659.996	91.724	0,1
Total	189			316			

Table 60: DFC's portfolio in 2021 ( Source: Table compiled from DFC data).

Interestingly, according to Global Findex 2014<sup>30</sup>, both men and women face distinct challenges. While more women (52%) than men (44%) hold accounts at financial institutions, men tend to own more credit cards (12% vs. 10%). Additionally, women are slightly more likely to have inactive accounts (1 percentage point higher). The data also reveals that women are more inclined to save (63% vs. 56%) and borrow (56% compared to the 54% male average), particularly for mortgages (15% vs. 10% for men). However, a significant gender gap exists in terms of income sources, with substantially more men receiving wages (32% vs. 23% of women) and payments for the sale of agricultural products, livestock, or crops (17% of men compared to 13% of women). On the other hand, women receive more domestic remittances (22% compared to 14% for men). As seen in the next table, which focuses on youth, not even a third of young women between 15 and 24 years has a job, while more than half of young men of the same age do. Similar gender gaps appear when one observes those rates by district, ethnicity or level of education.

	Have a job		Have income	
	Women	Men	Women	Men
<b>National</b>	<b>28.0%</b>	<b>54.8%</b>	<b>35.3%</b>	<b>58.8%</b>
Corozal	22.8%	61.8%	46.9%	72.7%
Toledo	15.9%	47.2%	17.9%	45.4%
Urban	33.0%	50.3%	36.0%	53.6%
Rural	24.1%	57.7%	34.6%	62.2%
Primary education	25.8%	75.6%	36.9%	74.5%
Higher education	38.0%	49.7%	47.3%	58.7%
Poorest quintile	21.5%	65.7%	26.1%	65.4%
Richest quintile	27.4%	40.1%	39.6%	45.8%
Maya	20.0%	47.9%	26.7%	50.9%
Mestizo	29.4%	57.8%	38.9%	62.5%

Table 61: Source: Percentage of population age 15-24 years who have a job or income (Statistical Institute of Belize and UNICEF 2017).

This discrepancy highlights women's vulnerabilities in terms of earnings and underscores the higher dependence on men's income, reflecting traditional societal norms where heteropatriarchal family structures prevail.

<sup>30</sup> More information at: <https://www.worldbank.org/en/publication/globalindex#sec3>

## **GENDER MAINSTREAMING STRATEGY IN SEAM PROJECT**

### **Gender-responsive consultations**

The SEAM project design involved a thorough, meaningful and gender-responsive consultation process, aligning with the Maya of Southern Belize Free Prior and Informed Consent Protocol (MSB-FPIC). Adhering to this protocol is essential to guarantee that indigenous women are well-informed about the policies and initiatives that affect them and can also influence the project design.

Efforts have been diligently undertaken to foster the active involvement of women in the consultation process, underscoring a steadfast commitment to inclusivity. The initiative commenced early in the design phase, emphasizing the importance of timely disclosure of pertinent project information to communities. The collaboration with the MRT's field officers' network played a pivotal role in successfully executing these consultations. Following the MSB-FPIC, formal invitations were extended to Village Councils and Alcaldes, with ongoing communication by the MRT's network ensuring coordination with leadership villages for culturally appropriate and gender-responsive meeting organization.

Measures were carefully crafted to tailor the format of meetings to meet the needs of both women and men in the communities, creating a safe space that respected traditional indigenous norms. Specific actions included counting on Spanish and Maya translators to facilitate effective communication for women and men. Dedicated separate meetings were arranged to administer questionnaires, offering a comfortable environment for women to share their perspectives. Scheduled on, these sessions aimed to accommodate diverse schedules and allowed mothers to participate, children in tow, while snacks were thoughtfully provided. Choosing communal spaces as locations adhered to Maya customs.

Moreover, following MSB-FPIC guidelines and recognizing the pivotal role of women's participation within the Maya framework, Alcaldes were given priority in the consultation process and explicitly informed of the indispensable role women play in contributing to the discussions. These collective initiatives were designed to ensure that women's voices were not only heard but also given due consideration in decision-making processes. The success of these efforts is evident in the substantial global participation of women, reaching 29% in the consultation process, engaging a total of 191 individuals.

To comprehensively understand the needs and priorities of community members, a strategically worded questionnaire was devised, emphasizing the use of the singular "I" to capture individual viewpoints. Focused on key themes such as water access, water quality, and climate change knowledge, the questionnaire's primary objective was to gauge community interest in project activities, ensuring their alignment with local necessities.

Regarding the current water situation, 97% of respondents from all four towns collectively emphasized the imperative for both improved water quantity and safety, even in Copper Bank, highlighting the unequivocal demand for a rural water system, while 85% of them expressed dissatisfaction with available water quantity. The exception was Copper Bank, where some households have piped water sourced from private wells, with 77% of its respondents being content about their water conditions. A significant 92% deemed the water unsafe for consumption, with nuanced views in Boom Creek, where 62% of men considered it partially safe. Furthermore, a noteworthy 96% recognized the positive impact of a new wastewater solution on community well-being, affirming the crucial role of addressing water-related challenges comprehensively.

The interconnection between waste disposal practices and water quality was clearly understood, with 99% recognizing the need for improved waste disposal methods. This acknowledgment underscores the vital link between waste management and water quality, reinforcing the necessity for holistic interventions. A striking 98% reported instances of family illnesses attributed to water-related issues, emphasizing both the community's awareness of the interconnectedness between water quality and health and the prevalence of waterborne diseases in the communities. Practical challenges were evident, as 97% reported difficulties in accessing water, while 89% stated feeling safe during water collection.

An essential aspect for the sustainability of the project is the willingness of all communities to bear the financial responsibility. Impressively, 99% of respondents expressed agreement, either wholly or partially, with the prospect of paying for services. Moreover, a remarkable commitment to community-wide equitable water usage is demonstrated, with a unanimous willingness to limit water consumption to ensure that the entire village has sufficient supply. In Boom Creek and Copper Bank, where private wells are available, 65% of respondents would contemplate disconnecting from these wells if the project offers a dependable water service, illustrating a shared dedication to the success of the project. Interestingly, all men in Copper Bank expressed opposition to disconnection, while conversely, all women were in favor. The discrepancy in responses between men and women in Copper Bank may suggest a gendered perspective on the perceived benefits and drawbacks of disconnecting from private wells, difference that may stem from distinct roles, priorities, or perceptions within the community.

In response to the prospect of introducing a program on alternative livelihoods, a resounding 95% of respondents expressed agreement or partial agreement. Notably, unanimous support was observed among women across all communities, while a minority of men in Otoxha and Copper Bank disagreed. In Dolores, 71% of men opted for partial agreement, suggesting a nuanced response to the idea of diversifying employment opportunities.

When queried about their openness to acquiring new skills for the potential of additional income, a substantial 93% of respondents voiced agreement or partial agreement. However, 12 men in Otoxha and two men in Copper Bank expressed disagreement, potentially indicating a certain complacency in their existing skill sets or financial stability. Conversely, all women in the surveyed communities exhibited unanimous support for embracing new skills.

The proposition that women in the community could enhance their families' well-being by acquiring new skills and earning additional income garnered agreement from 91% of respondents. While women universally endorsed this idea, men in Dolores exhibited a degree of hesitation, with all expressing partial agreement. In Otoxha, a quarter of men disagreed, as did two men in Copper Bank. This strong response among women, linked to their willingness to learn new skills, underscores the potential not only for the livelihoods program but for transforming traditional gender norms, as it suggests approval of the notion of women seeking employment outside the home. Overall, these findings highlight the complex interplay between traditional expectations and evolving aspirations within the communities.

Furthermore, an encouraging outcome emerged with the establishment of a women's group in Copper Bank subsequent to the consultation process. Spearheaded by a local female leader, this initiative showcased a proactive engagement of women in the community. The group swiftly became a hub for the exchange of knowledge on various practices and skills. There was a palpable eagerness among the participants to acquire new abilities, exemplified by their interest in learning activities like bakery or basket weaving with recycled materials. This positive development indicates not only a growing sense of empowerment and solidarity among women but also a tangible commitment to skill development and knowledge-sharing within the community.

The consultation process extended its reach to a diverse array of stakeholders (see Annex B for the complete list), aiming to glean comprehensive insights into gender dynamics within the communities. Beyond insightful interviews with MRT field officers, who possess in-depth knowledge of the districts and communities and their culture dynamics, the consulting team engaged in dialogues with key figures such as Officers from the Women's Department in MHD, gender focal points, the Commissioner of Indigenous Peoples, and project implementation units actively involved in initiatives with rural women. Understanding the nuances of Maya culture and its power structure was facilitated through crucial interactions with the National Association of Village Councils, the District Association of Village Councils, and, notably, the Maya Leaders Association and the Toledo Alcaldes Association.

To delve into the intricacies of engaging women effectively, the consultation process involved collaborations with civil society organizations with a track record of working with women in Toledo and Corozal, such as BEST, Humana, and Ya'axché, and with other donors, such as UNDP, who provided important insights on the design and implementation of other projects working with female solar engineer<sup>31</sup>. These partnerships provided invaluable insights into the consultation process and offered important knowledge for future project implementation. Additionally, engagement with NGOs proved instrumental in identifying potential partners for the implementation of several activities.

Recognizing the significance of grassroots perspectives, the consultation process sought input from local women's groups, including the Xaibe Women's Group in Corozal District and the Tumul Uj Pueblo Mopan Women's Group in Toledo District. These interactions aimed to better comprehend the needs of indigenous women, assess the perceived utility of women's groups, and gauge the reception of such initiatives in traditionally patriarchal societies like the Maya. Encouragingly, the feedback was positive, reflecting the enthusiasm and satisfaction of women involved in these groups. The valuable insights gathered from these diverse stakeholders played a pivotal role in understanding cultural backgrounds, particularly within Maya communities, anticipating potential obstacles to women's participation, and effectively organizing consultation meetings. The lessons learned were seamlessly integrated into the project design through the gender action plan.

## **U. INCORPORATION OF PUBLIC CONSULTATIONS INTO PROJECT DESIGN**

The project design for the implementation of solar-powered water systems and livelihood programs has been strongly influenced by the valuable insights gathered during public consultations in Toledo and Corozal. Leaders from the communities in Toledo district expressed a genuine interest in actively contributing to the project by providing a workforce as an in-kind contribution. Their commitment extends to suggesting suitable sites for installing water systems and well digging, showcasing a collaborative approach that incorporates local knowledge and community needs into the project's design.

Recognizing the crucial role of education in promoting proper sanitation and hygiene, the consultations revealed a consensus on the importance of children's involvement. As a result, the project is considering kids' training programs aimed at raising awareness among the entire population. Moreover, the proposal to train teachers in WASH (Water, Sanitation, and Hygiene) demonstrations underscores a strategic approach to convey key information, encouraging healthy behaviors and emphasizing the importance of maintaining clean bathroom facilities.

Additionally, both men and women expressed keen interest in acquiring new skills and developing various products. Women articulated a desire for training in food processing, including bakery skills, and embroidery. Additionally, there is a high demand for

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<sup>31</sup> The "Indigenous Female Solar Engineers Scaling up Solar Energy to Machakilha and Graham Creek Villages- Belize's Most Rural and Remote Communities" project was financed by the GEF Small Grants Programme, implemented by UNDP.

micro-financing and assistance in marketing products. The project design reflects these community aspirations by incorporating plans for relevant training programs and support for income-generating activities such as the production of cohune nut oil, cardamom cultivation, and beekeeping.

In essence, the project's design phase demonstrates a responsive and community-driven approach, integrating the views, needs, and skills of Corozal and Toledo residents to ensure the initiatives align with their aspirations and contribute to sustainable development.

## V. GAP Matrix

In terms of ensuring inclusiveness and fostering women's participation, given the traditional roles and norms in Maya communities, here is the Gender Action Plan (GAP) matrix:

<u>Outcome Statement</u>	<u>Women and men from project's communities are empowered to appreciate their water resources, which are affected by climate change, through investments in capacity building and water sustainability and its relationship to their livelihoods, allowing communities to be more resilient, to be vested through strong inclusive participation and governance permitting an improved response to changes in climate</u>					
<u>Output Statement</u>	<u>Cost-effective solar hybrid sustainable water systems designed and built in a participatory process with men and women</u>					
<u>Activities</u>	<u>Indicators</u>	<u>Target</u>	<u>Timeline</u>	<u>Responsibilities</u>	<u>Costs</u>	
<u>Gender Specialist to be part of PMU</u>	<u>Specialist hired</u>	<u>1</u>	<u>By 1<sup>st</sup> year</u>	<u>MRT</u>	<u>US\$ 80,000 (US\$ 20,000 per year)</u>	
<u>Activity (1.1) Design and build, through a participatory process, of inclusive and reliable water systems that account for the cultural, physical, and human capacities of the communities</u>	<u>% of community members who participated in design workshops are women</u>	<u>50%</u>	<u>By 1<sup>st</sup> year</u>	<u>PMU (MRT) / Contractor</u>	<u>See project budget activity</u>	
<u>Activity (1.2.2) Contracted firm in 1.1.1, will, ex-ante construction, undertake a series of workshops with specific training to women in construction skills.</u>	<u>Number of workshops done</u>	<u>12</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / Contractor</u>	<u>US\$ 7,500 per community</u>	
<u>Activity (1.3) Provide maintenance and trainings materials, along with capacity building to the communities (Councils, waterboards, and MRT officers) on the built systems</u>	<u>% of community members trained in water systems maintenance are women</u>	<u>50%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / Contractor</u>	<u>See project budget activity</u>	
<u>Activity (1.3.1) Water boards are constituted for water systems management</u>	<u>% of board members who are women</u>	<u>50%</u>	<u>By 4<sup>th</sup> year</u>	<u>MRT / Village Leadership</u>	<u>No cost</u>	
<u>Output Statement</u>	<u>The sustainability of water resources is ensured through the improvement of livelihoods opportunities for women and men.</u>					
<u>Activity (3.1) Restoration and sustainability actions of community-near ecosystems services</u>	<u>% participants in restoration and sustainability actions are women</u>	<u>30%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>	
	<u>% participants in restoration and sustainability actions are young people (aged 20-24)</u>	<u>10%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>	
<u>Activity (3.1.1) Development of a gender-responsive needs assessment considering the interests and needs of women, men, and youth in the communities, to be carried out by the entity responsible of this activity before implementation<sup>32</sup></u>	<u>Gender-responsive needs assessment done</u>	<u>1</u>	<u>By 2<sup>nd</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>No extra cost</u>	

<sup>32</sup> These Activities 3.1 and 4.1, and their sub-activities are to be implemented under the same grant (one grant for Toledo District, one for Corozal District).

<u>Activity (3.1.2) Workshops on gender equality and women's rights for the communities are carried out by entity awarded with grant for 3.1<sup>33</sup></u>	<u>% of community members who participated in the workshops on gender equality and women's rights are men</u>	<u>30%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
<u>Activity (4.1) Enhancing the participation of men and women through workshops and capacity building activities in maintenance of water systems</u>	<u>% of community members who participated in the livelihoods program are women</u>	<u>30%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
	<u>% of community members who participated in the livelihoods program are young people (aged 20-24)</u>	<u>10%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
<u>Activity (4.1.1) Development of a gender-responsive needs assessment considering the interests and needs of women, men, and youth in the communities, to be carried out by the entity responsible of this activity before implementation.</u>	<u>Gender-responsive needs assessment done</u>	<u>1</u>	<u>By 2<sup>nd</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>No extra cost</u>
<u>Activity (4.1.2) Workshops on gender equality and women's rights for the communities are carried out by entity awarded with grant for 4.1</u>	<u>% of community members who participated in the workshops on gender equality and women's rights are men</u>	<u>30%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
<u>Activity (5.1.) Enhancing the participation of women through workshops and capacity building activities in maintenance of water systems</u>	<u>% of community members trained in the implementation of solar energy technologies are women</u>	<u>100%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
<b>Output Statement</b>	<u>The capacities and governance of women and men from rural communities on water resources sustainability, in relation to climate vulnerability, is increased; and the knowledge management capacities of the public authorities and the communities is strengthened.</u>				
<u>Activity (6.1.) Develop and implement a national public awareness campaign through village leadership for the communities on the impacts on water sustainability on the effects of climate change.</u>	<u>% of respondents to MRT survey are women</u>	<u>50%</u>	<u>By 3<sup>rd</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
<u>Activity (6.1.1) Tender a contract for a stakeholder engagement firm to develop and implement of a gender-sensitive campaign &amp; survey, both in terms of format (languages, media) and content (climate change differentiated impacts of men and women), on the impacts of climate change on water sustainability.</u>	<u>Gender-sensitive campaign &amp; survey done</u>	<u>1</u>	<u>By 3<sup>rd</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>No extra cost</u>

<sup>33</sup> These Activities 3.1 and 4.1, and their sub-activities are to be implemented under the same grant (one grant for Toledo District, one for Corozal District).

<u>Activity (7.1) Training of Village leadership of the four communities and their Water Boards on inclusive governance.</u>	<u>% of Water Board members who received training are women</u>	<u>50%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
<u>Activity (7.1.1) Tender a contract for firm to for the development of training materials on inclusive governance cover gender equality principles, recognize women's rights and the importance of women's participation in leadership positions</u>	<u>Training materials include gender equality principles and women's rights</u>	<u>4</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
<u>Activity (7.1.2.) Tender a contract for firm for the development of training of Village leadership, Water Boards, and School teachers of the four communities on WASH with a gender perspective, along with the provision of visual reference materials to be posted in school grounds.</u>	<u>% of Village leadership and Water Board members</u>	<u>100%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>US\$ 7,500 per community</u>
	<u>% of teachers trained in WASH</u>	<u>100%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>US\$ 7,500 per community</u>
<u>Activity (7.2) Arrange peer-to-peer community workshops to share insights on the a) impacts of climate change, b) inclusive governance, c) improved livelihoods, d) maintenance of water systems.</u>	<u>% of community members who participate in peer-to-peer workshops are women</u>	<u>30%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU (MRT) / CFP Entity</u>	<u>See project budget activity</u>
<u>Activity (8.1.1) Tender for a consultancy firm to conduct capacity-development tailored seminar, including workshops, of the MRT's regional and field officers to mainstream gender within their day-to-day activities, Water Board management and interaction, and water systems (including solar / hybrid systems).</u>	<u>% of MRT officers who receive training on gender mainstreaming</u>	<u>100%</u>	<u>By 2<sup>nd</sup> year</u>	<u>PMU Gender Specialist</u>	<u>No extra cost</u>
<u>Activity (8.1.2) Tender for a consultancy firm to conduct capacity-development of the broad MRT on gender equality and women's rights, climate risks and adaptation measures, and governance.</u>	<u>% of MRT staff who receive training on gender mainstreaming</u>	<u>100%</u>	<u>By 4<sup>th</sup> year</u>	<u>PMU Gender Specialist</u>	<u>No extra cost</u>

## ANNEX B: STAKEHOLDERS CONSULTATION PROCESS

W. Date (d/m/y)	X. Name	Y. Institution	Z. Topic	AA. Channel
BB. 3/9/2023	CC. Mark Miller	DD. Belize Power Limited	EE. Solar Water Pumps and Solar Engineer FF. Solar Water Pumps and Solar Engineer	GG. Microsoft Teams
	HH. Miriam Choc			
II. 3/9/2023	JJ. Luc Zandvliet	KK. Director Triple R Alliance	LL. FPIC process and protocol	MM. Microsoft Teams
NN. 4/9/2023	OO. Leonel Requena	PP. UNDP	QQ. Female solar engineer	RR. Microsoft Teams
SS. 9/6/2023	TT. Gregory Cho'c	UU. Commissioner, Indigenous People Affairs	VV. Prior consent guidelines in Belize and FPIC in the project WW.	XX. Microsoft Teams
	YY. Christian Loza	ZZ. Rural Community Development Officer - MRT		
	AAA. Ismer Ortega	BBB. Northern Regional Rural Coordinator - MRT		
	CCC. Adrian Cus	DDD. Rural Community Development Officer - MRT		
	EEE. Valentino Shal	FFF. Chief Executive Officer - MRT		
	GGG. Joyce Tun	HHH. Grants Officer - Protected Areas Conservation Trust (PACT)		
	III. Ary Sosa	JJJ. Ministry of Health & Wellness		
KKK. Tennielle Hendy	LLL. Principal Hydrologist - National Hydrological Service			
MMM. 12/9/2023	NNN. Christina Garcia	OOO. Ya'axche	PPP. Alternative Livelihoods QQQ. RRR.	SSS. Microsoft Teams
	TTT. Denise Garcia			
	UUU. Elizabeth Dorgay			
	VVV. Norys Rosales			
	WWW. Angeles itzab			
	XXX. Randolpho Mendoza			
YYY. Ivor Mendoza				
ZZZ. 18/9/2023	AAAA. Jesus Hernandez	BBBB. MRT	CCCC. Water sources, wastewater, water contamination, gender issues	DDDD. Microsoft Teams
	EEEE. Leonardo Cal			
	FFFF. Nemencio C. Acosta			
	GGGG. Neville T Wade			
HHHH. Pedro				
IIII. Adrian Cus				
JJJJ. 20/9/2023	KKKK. Nemencio Acosta	LLLL. MRT	MMMM. Support during visits to the 4 communities - Water boards	NNNN. Microsoft Teams
OOOO. 22/9/2023	PPPP. Elizabeth Muschamp	QQQQ. Humana Belize	RRRR. Engagement with Women and with the communities in general	SSSS. Microsoft Teams
TTTT. 29/10/2023	UUUU. Virginia Cal	VVVV. Tulum Uj Womens Group	WWWW. Operation of the women's groups and needs of indigenous women	XXXX. Whats App voice notes
YYYY. 2/10/2023	ZZZZ. Florencio Martinez	AAAAA. DAVCO Corozal District	BBBBB. Livelihoods, situation in Copper Bank	CCCCC. Microsoft Teams
DDDDD. 2/10/2023	EEEEE. Delroy Velorio	FFFFF. NAVCO	GGGGG. Projects carried out, women's participation	HHHHH. Microsoft Teams
IIIII. 3/10/2023	JJJJJ. Michelle Lindo-Longworth	KKKKK. BEST	LLLLL. Gender, livelihoods, experience working with the communities	MMMMM. Microsoft Teams
NNNNN. 3/10/2023	OOOOO. Anastasia Shal	PPPPP. Xaibe Women's Group	QQQQQ. Operation of the women's groups and needs of indigenous women	RRRRR. Whats App Call



SSSS. 11/10/2023	TTTT. Gerardo Flowers	UUUUU. Resilient Rural Belize	VVVVV. Experience with communities, water fee	WWWWW. Microsoft Teams
XXXXX. 12/10/2023	YYYYY. Elsa Cardinez	ZZZZZ. MRT	AAAAAA. Presentation of the IR	BBBBBB. Microsoft Teams
CCCCC. 13/10/2023	DDDDDD. Cynthia Williams	EEEEEE. Women and Family Support Department	FFFFFF. Gender guidelines, gender in projects, women's role in the communities	GGGGGG. Microsoft Teams
	HHHHHH. Lorraine Johnson			
	IIIIII. Makesha Suazo			
JJJJJ. 17/10/2023	KKKKK. Aldean Williams	LLLLL. Social and Gender Specialist	MMMMM. Gender dynamics, gender roles, Projects with women	NNNNN. Microsoft Teams

## ANNEX C: OCCUPATION & SKILLS GENDERED PROFILES OF SEAM COMMUNITIES

Occupation	BOOM CREEK		DOLORES		OTOXHA		COPPER BANK	
	Men	Women	Men	Women	Men	Women	Men	Women
Domestic	5%	95%		All		All	20	315
Agric / Farmer	All		All		All		80	
Seamstress						5		2
Fishermen	3	1					200	
Butcher								
Hunter	3		A		A		3	
Construction (traditional homes)	All		All		All		5	
Tour guides							2	1
Handicraft production						30		
Shop keepers		2	6	6	4	4	1	7
Soldiers			3		2			
Police officers	1						1	
Primary school teachers	1	1	2		3		1	4
Drivers (licensed)	45%	35%	7		2		150	35
Plumber	2						3	
Mechanics	3						2	
Electrician							4	

Table 62: Occupations by gender in each community (Source: Compiled from community profiles provided by MRT).

Skills	BOOM CREEK		DOLORES		OTOXHA		COPPER BANK	
	Men	Women	Men	Women	Men	Women	Men	Women
Embroidery		5				25		6
Pottery	1					8		
Basket weaving				15	3	10		
Hammock weaving					3			
Cuxtal weaving						30		
Playing guitar	2	1	4		8		3	
Violin	1				3			
Harp			2		3			
Playing marimba			5		5			
Traditional healers		3	2		5		1	2
Dory making	2				5		3	
Cooking	Some	A		A		A	25	300
Palm weaving for roofs							3	
Cast Net weaving							2	

Table 63: Skills by gender in each community (Source: Compiled from community profiles provided by MRT).

## Annex VI: Feedback from indigenous and non-indigenous stakeholders and recommendations on program design.

165. During the development of SEAM, extensive consultations were held with a wide variety of stakeholders (see Annex II Implementation and

**Operations Arrangements** for a list<sup>34</sup>). In regard to indigenous people, consultations were held with the Maya Leaders Alliance (MLA), Toledo Leaders Alliance (TAA), the Commissioner of Indigenous Peoples Affairs, the Alcaldes of each village and with indigenous villages as a whole through a community meeting utilizing translators. The table below summarizes the main feedback and recommendations received during the consultations.

Issue	Feedback	Recommendations / Impact on project formulation
<b>Overall programme</b>	Consultations revealed that the main interest from IPs was Component 1 – the water distribution system.	Every community stated an urgency to implement the new system and asked that the project was expedited.
<b>Overall</b>	There is a perception of political interference or agenda to receiving the necessary funds, especially if there is a change of political party.	It was highlighted during consultations that the project will have to adhere to strict requirements as established by the Adaptation Fund which would ensure that there is no political interference in the redirection of funds obtained for SEAM.
<b>Environmental and social safeguards</b>	During the consultation with the MLA and TAA there was concern over awareness and following FPIC protocol and ensuring consideration of the communities’ priorities. Additionally, it was pointed out that a grievance redress mechanism needs to be established in a way that is suitable to the remoteness and structures of the indigenous communities. Further, projects should always consider and respect the rights of the indigenous people. Consulted representatives also highlighted the need to ensure that the Indigenous People (IP) are consulted throughout the implementation of a project and not only at the beginning.	Established system of on-going consultation as part of the project as well as outline the roles and responsibilities for monitoring, and the mechanisms to address grievances from communities in a transparent manner.
<b>Role of technical assistance</b>	During consultations it was argued that TA should support beneficiaries in the process of accessing credit (e.g. sufficient micro-finance to start producing or acquiring the materials to execute the livelihood being introduced). It was highlighted that the alternative livelihood activities are inherently weak without support with marketing or an absence of markets for products produced. Additionally, there was concern there would be insufficient follow-through on the training after the initial capacity building was complete.	It was explained that this project was not a micro-finance project but that the TA for alternative livelihoods would be tailored to expressed needs and interests of the community. Identification of specific places to market the goods produced would be added as can marketing approach for the product. It will be a requirement that the livelihood option be commercially viable within the existing market infrastructure. TA should have a financial literacy component. Emphasis will be placed in ensuring that women from indigenous communities are actively targeted by the TA provider to ensure that they are not excluded from benefitting from the activity.
<b>Grievance redress mechanism</b>	The Maya communities have existing GRM arrangements in place, where differences and conflicts can be heard and adjourned by the Alcalde acting as magistrate (cases up to US\$25/BZ\$50) for those cases where the Alcalde is unable to adjourn or for which there is an appeal, the case can be presented to the Toledo Alcalde Association. A case can go up to the country’s Supreme Court (advised by Toledo Alcalde’s Association).	Where relevant due to location, the projects will adapt the GRM to integrate the existing customary mechanisms of the Maya villages. The villages will also be invited to participate in developing the project specific GRMs in their communities.
<b>Wells</b>	Two of the communities’ leaders expressed specific locations for the well to be drilled.  Community members voiced that they wanted to maintain their wells in case the water system failed or to use as an additional water source.	Under component 1, activity 1.1, the design firm will work with the communities and the MRT, utilizing the geo-resistivity results provided by the Hydrological Unit, to identify the most advantageous spot to find water. The MRT came to agree wells would not be required to be shut down if there was agreement that they would not be used for human consumption (as the impact on tracking water related health issues became complex if multiple sources of water are being consumed). The water could be used for animal and irrigation purposes or for flushing toilets and cleaning.
<b>Temporary Employment</b>	The leaders and community members want the opportunity to be hired as temporary laborers for the construction of the water distribution system and other construction needs of the project. They agree to provide in-kind contribution by digging the trenches to their individual households.	The contractors will either have as a requirement in the ToR, or a strong recommendation, to hire as many local villagers as possible, regardless of gender, and to be involved with all construction that can be outsourced to them. The community discussed doing so at a discounted rate to reflect in-kind contribution if that would increase the chances for opportunities. All rules and regulations for workers would be followed.

Table 64: Community feedback on project design and recommendations

<sup>34</sup> PACT can make available a Final Consultation Report that provides detailed account of consultations. Also, the Annex IV “Social Impact Assessment” provides an in-depth assessment of Maya communities.

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