



ADAPTATION FUND

AFB/PPRC.34/29
16 September 2024

Adaptation Fund Board
Project and Programme Review Committee
Thirty-fourth Meeting
Bonn, Germany 8-9 October 2024

Agenda Item 11 a)

**PROPOSAL FOR THE COORDINATION SERVICES FOR THE
ADAPTATION FUND CLIMATE INNOVATION ACCELERATOR
PARTNERSHIP**

Background

1. At its thirtieth meeting, having considered document AFB/B.30/5/Rev.1, the Adaptation Fund Board (the Board) decided:

(a) To adopt the medium-term strategy as amended by the Board, as contained in the Annex 1 of the document AFB/B.30/5/Rev.1 (the MTS); and

(b) To request the secretariat:

(i) To broadly disseminate the MTS and work with key stakeholders to build understanding and support;

(ii) To prepare, under the supervision of the MTS task force, a draft implementation plan for operationalizing the MTS, containing a draft budget and addressing key assumptions and risks, including but not limited to funding and political risks, for consideration by the Board at its thirty-first meeting; and

(iii) To draft, as part of the implementation plan, the updates/modifications to the operational policies and guidelines of the Adaptation Fund needed to facilitate implementation of the MTS, for consideration by the Board at its thirty-first meeting.

(Decision B.30/42)

2. Pursuant to decision B.30/42, subparagraph (b) (ii), the secretariat prepared a draft implementation plan for the MTS, including an assessment of assumptions and risks. The secretariat shared a version of the draft with the MTS task force for comments.

3. The draft implementation plan also contains suggestions for specific funding windows that might be opened under the MTS in complement of the Fund's existing funding windows for single-country and regional adaptation projects and readiness support projects. Following the approval of the implementation plan, the secretariat would present specific proposed details for each new funding window at subsequent meetings of the Board for its consideration, in accordance with the timeline contained in the implementation plan.

4. At its thirty-first meeting, the Board discussed the draft implementation plan for the MTS, and members of the Board proposed amendments to the document. The secretariat then presented a revised draft, in document AFB/B.31/5/Rev.1. Having considered that document, the Board decided:

(a) To approve the implementation plan for the medium-term strategy for the Fund for 2018–2022 contained in the Annex I to document AFB/B.31/5/Rev.1 (the plan);

(b) To request the secretariat:

[...]

- (iii) To prepare, for each proposed new type of grant and funding window, a specific document containing objectives, review criteria, expected grant sizes, implementation modalities, review process and other relevant features and submit it to the Board for its consideration in accordance with the tentative timeline contained in Annex I to document AFB/B.31/5/Rev.1, with input from the Board's committees;*
- (iv) Following consideration of the new types of support mentioned in subparagraph (b)(iii), to propose, as necessary, amendments to the Fund's operational policies and guidelines Fund to better facilitate the implementation of such new types of support; and*

[...]

(Decision B.31/32)

5. At the second session of its thirty-fifth meeting, the Board considered document AFB/PPRC.26.b/16, Program on Innovation: Large Grants for Innovation, and the Board decided:

- (a) To approve the process for providing funding for innovation through large grants to Implementing Entities (IEs) as described in document AFB/PPRC.26.b/16; including the proposed objectives, review criteria, expected grant sizes, implementation modalities, review process and other relevant features as described in the document;*
- (b) That the large grants for innovation would fall outside the country cap approved by the Board in decision B.13/23 or, in the case of regional or multi-regional proposals, the regional provision, whereas they would count against the Multilateral Implementing Entity cap as per decision B.12/9;*
- (c) To request the secretariat to prepare the first Request for Proposals to IEs for a total amount of US \$30 million to be launched by the first quarter of calendar year of 2021; and*
- (d) To request the secretariat to consider the need to develop specific objectives and indicators for the innovation aspects of the projects, beyond what is included in the regular project performance reporting process and make relevant recommendations to the Board at its thirty-seventh meeting.*

(Decision B.35.b/8)

6. At its thirty-sixth meeting, the Board considered the document AFB/PPRC.27/28, Programme on Innovation: Operationalization of Large Grants for Innovation, and the Board decided:

- (a) To approve the Innovation Large Grant Project Proposal template, the Review Criteria template and the Instructions for Preparing a Proposal for Innovation Large Grants, as described in annexes II, III and IV to document AFB/PPRC.27/28;*
- (b) To launch the request for proposals so that submissions of Innovation Large Grants proposals are invited to be considered as early as the thirty-seventh meeting of the Board.*

(Decision B.36/24)

7. Subsequently, the first call for project and programme proposals under the indicative set-aside amount of US\$ 30 million was issued to eligible Parties to submit large innovation project and programme proposals to the Fund through accredited NIEs, RIEs and MIEs.

8. At its thirty-ninth meeting in October 2022, the Board discussed and adopted the “Medium-term strategy of the Fund for the period 2023–2027” (Decision B.39/61). At the subsequent meeting, the Board adopted, via Decision B.40/72, the *Implementation Plan for Medium-Term Strategy of the Fund for the Period 2023-2027* (document AFB/B.40/.5/Rev.1). Together, these documents include plans for the continuation and expansion of the innovation pillar that was launched and developed during the MTS 2018 to 2022 period.

9. The following fully-developed proposal document titled “Coordination Services for the Special Financing Window in Support of Innovation for Adaptation (AFCIA): Phase II” was submitted for United Nations Environment Programme (UNEP), which is a Multilateral Implementing Entity of the Adaptation Fund.

10. This is the fourth submission of the fully developed project proposal, using the one-step submission process.

11. The current submission was received by the secretariat in time to be considered in the forty third Board meeting. The secretariat carried out review of the project proposal, assigned it the Project ID number AF00000387, and completed a review sheet.

12. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with UNEP and offered it the opportunity of providing responses before the review sheet was sent to the PPRC.

13. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25/15, the proposal is submitted with changes between the initial submission and the revised version highlighted or with track changes.



ADAPTATION FUND

ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Adaptation Fund Climate Innovation Accelerator

Country/Region: Multi Regional
Project Title: Coordination Services for the Special Financing Window in Support of Innovation for Adaptation (AFCIA): Phase II
Thematic Focal Area: Cross Sectoral
Implementing Entity: United Nations Environment Programme
Executing Entities: Climate Technology Centre and Network
AF Project ID: AF00000387
IE Project ID: **Requested Financing from Adaptation Fund (US Dollars): 2,707,222**
Reviewer and contact person: Alyssa Gomes, Saliha Dobardzic **Co-reviewer(s):** Marcus Johannesson, Naoki Uozawa
IE Contact Person:

<p>Technical Summary</p>	<p>The project “Coordination Services for the Special Financing Window in Support of Innovation for Adaptation (AFCIA): Phase II” aims to enhance the scale up of the AFCIA II programme through coordination of its implementing entities and its monitoring and learning activities. This will be done through the two components below:</p> <p><u>Component 1:</u> Coordination, management and monitoring services to support programme implementation and performance. (USD 232,834).</p> <p><u>Component 2:</u> Systems of innovations created to support the development and improvement and scale up of innovative adaptation practices, tools and technologies (USD 1,300,500)</p> <p><u>Component 3:</u> Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally (USD 681,666).</p> <p><u>Requested financing overview:</u></p> <p>Project/Programme Execution Cost: USD 246,111 Total Project/Programme Cost: USD 2,461,111</p>
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	<p>Implementing Fee: USD 246,111 Financing Requested: USD 2,707,222</p> <p>The first technical review found that the proposal is aligned with the expected results of the innovation pillar and includes robust system for keeping track of learning during implementation, a results management system and communication strategy. The review raised several issues such as sustainability of the mechanism, compliance with environmental and social and gender policy and alignment with the results framework as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p> <p>The second technical review found that the proposal has yet to clarify issues concerning the regional innovation hubs, activities that require input and coordination from the AFCIA partners, outcomes of consultation with the IEs, etc., as is discussed in the CRs and CARs raised in the review.</p> <p>The third technical review found that majority of the CRs and CARs have been addressed. However, a couple of clarifications remain related to inclusion of all current partners in coordination activities, and how the programme will ensure collaboration from future anticipated AFCIA partners.</p> <p>The fourth technical review finds that the pending CR has been sufficiently addressed.</p>
Date:	3 July 2024

Review Criteria	Questions	Comments 1 st Review	Comments 2 nd Review	Comments 3 rd Review	Comments 4 th Review
Country Eligibility	1. Does the proposal include a mechanism that will ensure that the participating countries are party to the Paris Agreement and/or the Kyoto Protocol?	N/A This proposal is for the coordination of AFCIA programmes which must ensure the participating countries are party to PA/KP.	-	-	-
	2. Does the proposal describe how the IE will involve the	N/A	-	-	-

	participation of developing countries particularly vulnerable to the adverse effects of climate change? Does it specify countries, a region, or two or more regions?				
Project Eligibility	1. Does the proposal describe how the IE plans to secure governments' endorsements of the initiative?	N/A		-	-
	2. Does the length of the proposal amount to no more than one hundred (100) pages for the fully developed project document, and one hundred (100) pages for its annexes?	Yes.	<u>Please number pages consistently throughout the proposal main text.</u>	Pages numbers revised and consistent throughout the document	-
	3. Does the proposal describe how it will source innovation small grant proposals, and screen them for the potential to support concrete adaptation actions	Not Cleared. Component one of the projects includes a system to provide coordination and oversight services, creation and management of an	CR1: Not cleared. The information provided is generally clear. However, please provide some additional clarification related to the following:	CR1: Cleared, as per the clarification provided on pages 16-18 and 27. CR2: Not cleared, while the explanation provided on pages 5, 18, 41-43 clarifies the	CR2: Cleared, as per the clarification in response sheet and pages 41-43, Table 3, Figure 2 on implementation arrangements and Annex II. The reference to the 5

	<p>to assist the participating countries in addressing the adverse effects of climate change and build in climate resilience?</p>	<p>online platform and internal repository to share information on micro-grant projects, and a joint monitoring and evaluation framework. Component two focuses on the development of a Communication and Knowledge Management services for increased programme visibility and information sharing among a wide range of stakeholders. These elements are in line with the role of the coordination mechanism.</p> <p>Under Activity 2.1.3, the project will “Launch awareness campaigns to provide visibility on the AFCIA II programme to reach non-conventional stakeholders.” The activities under components 1 and 2 appear to be focused on implementation, communication and</p>	<ol style="list-style-type: none"> 1. Please explain what the programme means by “hub”. (paras 29-38). The two regional innovation hub events that are planned appear to be in the format of a networking and knowledge sharing event. It is unclear how the two events would enable the hubs “to become embedded and legitimized in the adaptation ecosystem” (para 31). 2. In para 56, the proposal mentions that “the annual innovation hubs would bring together players important to the knowledge 	<p>questions raised, please note that all AFCIA partners currently still implementing the AFCIA programme should be included and listed in the proposal. The experience, learning and knowledge generated by the of the existing partners would be beneficial for all existing and anticipated AFCIA partners.</p> <p>Please ensure that all partners are included in all relevant coordination activities (e.g., Project Steering Committee, Stakeholder engagement strategy etc.) involving existing and anticipated AFCIA partners. Furthermore, considering that the programme is expected to be further expanded, please confirm, with clarifications, how the budget for programme</p>	<p>existing IEs has been amended throughout the proposal in order to reflect all existing and anticipated AFCIA implementing entities. The budget notes related to the PSC meetings and Regional Innovation Hubs have been amended</p>
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		<p>sharing lessons. While this is all needed, the proposal has not explained how the mechanism will meaningfully enhance the sourcing and screening of innovation grant proposals.</p> <p>CR1: Please clarify whether one of the coordination mechanism's functions will be to enhance the overall process of sourcing and screening of innovation grant proposals.</p> <p>Output 2.4 mentioned that the "Official closing event of the programme is organized at COP." This may indeed be needed; however, it has not been decided at this time that the Board would wish to close the AFCIA programme at that point.</p>	<p>helix" of government, academia, industry, and civil society thereby strengthening regional systems of adaptation innovation." Are the annual innovation hubs expected to be in addition to the regional hub events? Please explain further on their role/function and how these are expected to be organized (e.g., in person/ virtual/ in conjunction with/ on the margins of other conferences and events), or will these be standalone?</p> <p>3. Related to output 2.2, under which</p>	<p>for the proposal will allow for continuous engagement of additional anticipated AFCIA partners.</p>	

		<p>CR2: Please explain the considerations or elements to foster an ecosystem or community of practice that could be sustained in the longer term, also considering that the AFCIA partnership is provisioned to be</p>	<p>one regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors - please clarify the criteria for selection of the pilot region and process for selection.</p> <p>4. Relevant activities that support the screening process and that aim at ensuring non-duplication are generally clear. However, concerning activities that require input and or support from AFCIA partners such as 1.1.1, 1.1.3, 1.1.4, 1.2.2,</p>		

		<p>expanded, as per the Medium-term Strategy of the Adaptation Fund (2023-2027). Please clarify what would be the alternative plan in case the closing event would not be implemented.</p> <p>CR3: Please clarify the representation on the Steering Committee and if/how all AFCIA partners be engaged in the responsibilities.</p>	<p>1.3.2, including outputs 2.2. and 2.3, please explain if and how the proposal has been designed in a consultative process with other IEs, as well as how UNEP-CTCN plans to engage with the AFCIA partners.</p> <p>CR2: Not cleared.</p> <p>It is noted that proposal has removed the closing event.</p> <p>Please address a few additional clarification requests raised below:</p> <ol style="list-style-type: none"> 1. In what format is a Community of Practice or ecosystem of adaptation innovation expected to be sustained and 		

			<p>developed over time? Are there already existing platforms/initiatives that could be partnered with to unlock synergies and more efficiently support the global community/regional communities of adaptation innovation?</p> <p>2. A joint dialogue with the AFCIA IEs is likely needed here to consider and come closer to a feasible way forward. At minimum depict the plan and process of exploring this under the coordination service.</p> <p>CR3: Cleared, as per information provided in Table 3.</p>		
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	<p>4. Does the programme contribute meaningfully to the Expected Results under the Innovation Pillar:</p> <ul style="list-style-type: none"> i. new innovations and risk-taking encouraged and accelerated ii. Successful innovations replicated and scaled up iii. Access and capacities enhanced for designing and implementing innovation. iv. Evidence base generated and shared 	<p>Cleared.</p> <p>The Coordination Services proposal is aligned with the Innovation and Learning and Sharing strategic pillars of the AF Medium Term Strategy (MTS).</p> <p>The proposal aims to support the Expected Results under the Innovation Pillar, and focuses on (ER 1) Developing key messages tailored to different target audiences, share analysis, knowledge and inputs on the climate change adaptation technologies implemented under AFCIA II, and to disseminate key messages; (ER 2) Sharing good practices factsheets on key sectorial/thematic technologies</p>	-		

		<p>successfully promoted through AFCIA; (ER 3) Using digital tools and social media spaces to reach and engage a wide audience and an expanding range of non-conventional stakeholders; and (ER 4) Establishing a results-based management and evaluation of impacts through key metrics.</p>			
	<p>5. Does the proposal describe how it will screen innovation small grant proposals for their potential to provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy of the Fund?</p>	<p>Not Cleared.</p> <p>The proposal includes a monitoring framework and management system under component two. While each AFCIA programme will be responsible for ensuring a robust process for screening small grant proposals for the potential to provide such benefits, it is not clear that the coordination function will include the collaboration and sharing of experiences on the leveraging such benefits and including</p>	<p>CR4 : Not cleared.</p> <p>It is well noted that an ESS screening and ESMP have been developed at programme level which will be updated annually based on results of ESS screening and management plans. Towards advancing gender equality and empowerment, a gender assessment has been provided along with gender mainstreaming plan of activities as well as a gender action plan containing criteria to</p>	<p>CR4: Cleared, as per the clarification provided on pages 45-47</p>	

		<p>gender considerations, in line with the ESP of the Fund.</p> <p>CR4: Please clarify the role of the coordination in these respects.</p>	<p>ensure a gender responsive screening process among other. Relevant information is included in Part II Section D, para 59. Annex IV includes a gender assessment and a GAP.</p> <p>Please refer to clarifications raised under CR1 that relate to the additional information provided and the clarifications requested below:</p> <ol style="list-style-type: none">1. Concerning activities 1.2.2 and 1.3.1, the theory of change has identified the underlying assumption that “Implementing Entities are willing to cooperate, to get involved in relevant activities and to regularly use the different platforms/tools		
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			<p>established” and had identified the potential risks (e.g. “risk that IEs may not make the time for coordination, IEs are located in different parts of the world which make coordination challenging”). Please explain how the proposal plans to minimize/manage these risks.</p> <p>2. Similarly for outputs 2.2 and 2.3 concerning the regional innovation hub events - assumptions, barriers and risks are identified in the ToC. Please clarify how the project plans to minimize/</p>		
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			manage the identified risks.		
	6. Does the project engage, empower and/or benefit the most vulnerable communities and social groups?	N/A. The purpose of the proposal is to coordinate other programs that would be independently assessed for this criterion.	-	-	
	7. Does the project advance gender equality and the empowerment of women and girls?	<p>Not cleared.</p> <p>It is unclear how gender considerations are reflected in the coordination activities, for instance whether this will be reflected in the “geographic and thematic learning exchange events,” for example.</p> <p>CAR1: Please indicate clearly which of the coordination activities would ensure that the issue of gender equality and empowerment are systematically reflected in the partnership’s efforts and eventual impact. Guidance Document:</p>	<p>CAR1: Cleared.</p> <p>A Gender Assessment and Gender Action Plan have been added in annex IV.</p> <p>In parallel, the programme result framework (Part III-Section E) has been amended accordingly.</p>	-	

		Gender Guidance Document for Implementing Entities on Compliance with the Adaptation Fund Gender Policy (Updated in 2022)			
	8. Is the project/programme cost-effective? In the case of regional project/programmes, does the regional approach support cost effectiveness? Does the project engage, empower and/or benefit the most vulnerable communities and social groups?	Not cleared. The requested budget appears to be justified. However please clarify if the cost implications of the Steering Committee and the representation of the committee. CR5: Please clarify if there are costs associated with the Steering Committee, how often is the committee expected to meet and include a justification for the costs, if they are included.	CR5: Cleared , as per the information provided under para 24.	-	
	9. Does the proposal describe how it will screen innovation small grant proposals for	Not Cleared. The role of the coordination in screening for	CR6: Not cleared. Please refer to clarifications requested	CR6: Cleared (Pending additional question raised related to CR2.)	

	<p>consistency with national or sub-national sustainable development strategies, adaptation planning processes, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action, national adaptation plans (NAPs), nationally determined contributions (NDCs), adaptation communications, and other voluntary adaptation reports, where they exist, as well as with the UNFCCC technology framework, and other relevant instruments?</p>	<p>consistency is not explained.</p> <p>CR6: Please provide information, if relevant, on how the coordination activities will lead to more effective screening for consistency.</p>	<p>under CR1, CR2 and CR4.</p>		
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	10. Does the proposal describe how it will screen innovation small grant proposals for meeting the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?	<p>Not Cleared.</p> <p>Not clear what the role of coordination will be in screening the proposals for compliance with national technical standards.</p> <p>CR7: Please clarify if there will be a role for coordination in this respect.</p>	<p>CR7: Cleared.</p> <p>An ESMP is included in Annex V. Ensuring compliance with technical standards will be the responsibility of respective IEs implementing their programmes.</p>	-	
	11. Is there duplication of project / programme with other funding sources?	<p>Not at this time.</p>	<p>Not cleared.</p> <p>Please clarify how duplication risks, can be addressed through the coordination mechanism.</p>	<p>Cleared, as per the information on page 16.</p>	
	12. Does the programme have a learning and knowledge management system to capture and disseminate evidence, particularly of effective, efficient adaptation practices, products or technologies	<p>Cleared.</p> <p>The role of coordination for knowledge management has been explained along with concrete outputs in component 2. The proposal has included a results framework with relevant KM and learning targets.</p>	-	-	

	generated, as a basis for potential scaling up?				
	13. Has the proposal described what consultative process will take place, and how will it involve all key stakeholders, and vulnerable groups, including gender considerations?	<p>Not cleared.</p> <p>The proposal includes a brief explanation that a consultative process will be followed with AFCIA partners and a range of stakeholder. However, the process itself has not been adequately explained and the how the outcomes of the consultative process will be leverage is not explained.</p> <p>CAR2: Please describe the consultative process and how the outcomes will be leveraged to for example, enhance the quality and direction of stakeholder involvement, inform events aimed at enhancing climate adaptation innovation practices, include</p>	<p>CAR2: Not cleared.</p> <p>A stakeholder engagement strategy has been developed and included in Annex VI of the proposal. However, the consultative process with other AFCIA partners is not described. Given that the AFCIA partners are the major stakeholders in the coordination project, it is not clear how the design of the programme will contribute to strengthen and deepen the AFCIA Partnership.</p>	<p>CAR2: Cleared. The stakeholder engagement strategy has been updated, however please refer to the clarification raised in CR2.</p>	

		gender considerations etc.			
	14. Is the requested financing justified on the basis of full cost of adaptation reasoning?	Not clear. The cost-effectiveness is not clear, see the previous comment.	-	-	
	15. Is the project / program aligned with AF's results framework?	<p>Not Cleared.</p> <p>Alignment with outcome eight is included.</p> <p>CAR3: Please consider alignment with all relevant outcomes of the AF strategic results framework that might apply. For example, activities focused on KM and Learning could be aligned with Outcome 3 and activities focused on enhancing institutional capacity may be aligned with outcome 2 and their respective outcome and output indicators. (Results Framework Alignment Table (Amended in</p>	<p>CAR 3: Not cleared.</p> <p>Alignment of the proposal with the 8 outcomes of the AF result framework has been added under Part III - Section F, is largely clear. However, in reference to the proposal's ambition to serve AF Outcome 7, consider adding outputs and indicators that could illustrate how the AFCIA Partnership is addressing national enabling environments to be more conducive to the buildup and strengthening of adaptation innovation systems.</p> <p>It could, for instance, be undertaken by</p>	<p>CAR 3: Cleared, as per the information provided on pages 18-19, 21-22, 38 and 68-70.</p>	

		March 2019)	consolidating various projects' insights (barriers, drivers etc.) as well as results when it comes to systemic change while adding output indicators such as: "No. of policies introduced or adjusted?", and "No. of target development strategies with incorporated climate change priorities enforced" (AF Outcome 7), No. of policy briefs etc.		
	16. Has the sustainability of the programme outcomes been taken into account when designing the programme, including in the screening of the innovation small grants projects? Does the programme include pathways to scale up successful small grant's projects?	<p>Not cleared.</p> <p>It is unclear how the coordination functions will support the sustainability of the programme outcomes.</p> <p>CR8: The program (s) are placed in country contexts (systems) that are more often than not underdeveloped and inadequate, lacking capacity to sufficiently encourage/scale innovations for adaptation on their</p>	<p>CR8: Not cleared.</p> <p>Some additional information is required.</p> <p>Please explain the role of the coordination mechanism and the plan for enhancing outreach.</p> <p>In relation to systemic impact and post programme legacy, para 63 mentions the programme's "focus on elevating systemic changes", and in para 69 "initiate a discussion</p>	<p>CR8: Cleared, as per the information on pages 19- 21, 25-28 and 51-60.</p>	

		<p>own. Could the coordination take on the role to discuss and analyze the systemic impact that the AFCIA programs could have within the country contexts and systems within which it operates?</p>	<p>on how regulations could be harmonized, and National Systems of Innovation converge”.</p> <p>In reference to above, could the coordination services capture the opportunity to promote the strengthening and buildup of national innovation systems including the enabling environment that these depend on?</p> <p>The multistakeholder events (the proposed “hubs”, and the “regional events” etc.) have the potential to more explicitly serve as forums to aggregate and conclude what are common barriers and drivers to innovation in national systems and what are the recommendations to address and promote these (policy, regulations, partnerships, institutional capacities,</p>		
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			<p>access to finance, market services etc.)</p> <p>Consider adding more concrete intended outputs (e.g., to the list of learning products under Component 3) in relation to the planned multistakeholder events that could relate to transformative change (e.g., policy briefs and national/regional recommendations etc.).</p>		
	<p>17. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p>Not Cleared.</p> <p>As a coordination project, this is presumably a category C project and safeguard measures are proposed. However, the proposal should clarify this and state briefly why the activities proposed do not pose risk.</p> <p>CR9: Please clarify the proposal standing vis-à-vis the ESP (this could be done using the ESP screen in the template)</p>	<p>CR9: Cleared.</p> <p>The resubmission clarifies that the project is in category C and justifies this in Annex V.</p> <p>Annex V includes ESS checklist and ESMP.</p>	-	

		Regarding the GP, please see related comments CR 2 and CAR 2.			
Resource Availability	1. Is the requested project funding within the parameters for large grants set by the Board?	N/A – the Board has not set funding parameters for the coordination project.	-	-	
	2. Is the Implementing Entity Management Fee at or below 10 per cent of the project/programme for implementing entity (IE) fees and at or below 10 per cent of the project/programme cost for the execution costs?	Yes.	-	-	
Eligibility of IE	1. Is the programme submitted through an eligible Multilateral or Regional Implementing Entity that has been accredited by the Board? Is the programme submitted by an	Yes. UNEP is an accredited IE of the Adaptation Fund.	-	-	

	entity that has been invited by the Board to do so?				
Implementation Arrangements	<p>1. Does the proposal include adequate arrangement for programme management at the multi-region/regional and national level, including coordination arrangements within countries and among them? Has the potential to partner with national institutions, and when possible, national implementing entities (NIEs), been considered, and included in the management arrangements?</p>	<p>Not Cleared.</p> <p>CR10: Please clarify any plans concerning the proposed coordination arrangements within countries and among them – what would be the role, if any, of the coordination to support this?</p> <p>CR11: Please clarify any plans regarding the potential to partner with/extend support to NIEs, and possibly other national institutions.</p>	<p>CR10: Not cleared.</p> <p>Some additional clarification is requested. Output 2.2 and 2.3 related to the regional hub events mention pre-selection of grantee (10 for the first event and a larger number for the second event). The detailed budget under activity 2.3.1 mentions “1 regional hub organized during year 3 in one region with up to 230 persons travelling under AF budget, including the shortlisted technology proponents, potential investors and technology and adaptation stakeholders in the countries and region in which this is being piloted. Second event is assumed to be larger as the profile of AFCIA grows. This budget considers a cost of</p>	<p>CR10: Cleared, as per the clarification on pages 18-19 and the explanation in the response sheet.</p>	

			<p>2,000 for each flight ticket and USD 200/day/person of DSA and considers that the regional hubs will be organized over 3 days. Private sector participants will fund themselves.”</p> <p>Please specify who would be eligible for travel support. (For example, the language used currently seems to indicate that potential investors would be eligible for support under the AF budget. This does not seem to be the intended target group for travel support, but please clarify further.)</p> <p>Please clarify the criteria for selection of technology providers/grantee? How will the selection process consider grantees from the other AFCIA partners?</p> <p>What are the other options for funding</p>		
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			<p>travel costs of a large number of participants, such as cost sharing, sponsorship support, etc.?</p> <p>This also refers to CR8 in the search for systemic change and sustainability.</p> <p>CR11: Cleared, as per the clarification provided in para 65 and 85. AF NIEs will be invited to join the community of practice defined under Component 2, output 2.1.</p>		
	2. Are there measures for financial and project/programme risk management?	<p>Cleared.</p> <p>Measures for financial and project/programme management risks including mitigation measures are included on page 29.</p>	-		
	3. Are there measures in place for the management of environmental and social risks, in line with the	N/A	-	-	

	Environmental and Social Policy of the Fund?				
	4. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	<p>Not cleared.</p> <p>The proposal only includes information related to conducting a midterm evaluation and terminal evaluation, including a budget for MTE and TE. However, a budgeted M&E plan and reporting arrangements are not explained.</p> <p>CAR4: Please break down the proposed evaluations in terms of type of M&E activity, and their respective cost. Results framework should consider gender disaggregation indicators and targets.</p>	<p>CAR4: Cleared, as per the information provided in Part III - Section D.</p> <p>The results framework has been amended to consider gender disaggregation indicators and targets presented in Part III - Section E. This has been done on the basis of the gender assessment and gender action plan developed in Annex IV.</p>	-	
	5. Is a budget on the Implementing Entity Management Fee use included?	<p>Yes.</p> <p>The table (p.44) shows a breakdown of the IE fee.</p>	-	-	

	6. Is an explanation and breakdown of the execution cost included?	<p>Not clear.</p> <p>The budget does not include a breakdown of the EE costs.</p> <p>CAR5: Please include the breakdown of the Execution Costs.</p>	<p>CAR5: Cleared. Information is included in Part III. Section G.</p>	-	
	7. Does the M&E Framework include a breakdown of how implementing entity IE fees will be utilized in the supervision of the M&E function?	<p>Not Cleared.</p> <p>CAR6: Please provide a breakdown of how IE fee is utilized in the supervision of the M&E function.</p>	<p>CAR 6: Cleared. Included in Part III. Sections D and G.</p>	-	
	8. Is the timeframe for the proposed activities adequate?	<p>Yes.</p> <p>The duration is 4 years.</p>	-	-	
	9. Is a summary breakdown of the budget for the proposed activities included?	<p>Yes.</p>			
	10. Does the project/programme's results framework align with the AF's results	<p>Not cleared.</p> <p>A results framework is included. However, the proposal has not</p>	<p>CAR 7: Cleared. An alignment table has been added under Part III. section F.</p>	-	

	<p>framework? Does it include at least one core outcome indicator from the Fund's results framework?</p>	<p>clarified how it will capture aggregated learning of systematic aspects beyond outcome 8 (innovation) of the strategic results framework (SRF).</p> <p>CAR7: Please include an alignment table that presents how the proposed objectives and outcomes could be aligned with the AF's outcome and output indicators. Please consider alignment with all relevant strategic outcomes.</p> <p>CAR8: Please include the monitoring responsibility and number all outputs in the Results Framework.</p>	<p>CAR 8: Cleared. Monitoring responsibilities have been included under Part III- Section D through the Monitoring and Evaluation Plan.</p>		
	<p>11. Is a disbursement schedule with time-bound milestones included?</p>	<p>Not Cleared.</p> <p>The disbursement schedule is not presented in the required format.</p>	<p>CAR 9: Cleared. Disbursement Schedule has been amended in Part III Section H.</p>	<p>-</p>	

		<p>CAR9: Please revise the Disbursement Schedule using the AF template format. Disbursement Schedule Template (18 kB, XLS)</p>			
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**PROGRAMME ON INNOVATION:
AFCIA PROGRAMMES**

REQUEST FOR PROJECT FUNDING FROM THE ADAPTATION FUND

The annexed form should be completed and transmitted to the Adaptation Fund Board Secretariat by email.

Please type in the responses using the template provided. The instructions attached to the form provide guidance to filling out the template.

Please note that a project must be fully prepared when the request is submitted.

Complete documentation should be sent to:

The Adaptation Fund Board Secretariat
1818 H Street NW
MSN N7-700
Washington, D.C., 20433
U.S.A
Fax: +1 (202) 522-3240/5
Email: afbsec@adaptation-fund.org



MULTI/ REGIONAL INNOVATION PROJECT/PROGRAMME PROPOSAL

PART I: PROJECT/PROGRAMME INFORMATION¹

Title of Project/Programme:	Coordination Services for the Special Financing
Window in Support of Innovation for Adaptation (AFClA): Phase II	
Geographic Scope (Multi/Regional):	Global
Thematic Focal Area ² :	Cross Sectoral
Type of Implementing Entity:	Multilateral Implementing Entity (MIE)
Implementing Entity:	UNEP
Executing Entities:	CTCN
Amount of Financing Requested:	2,707,222 (in U.S Dollars Equivalent)

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Project / Programme Background and Context:

Provide brief information on the problem the proposed project/programme is aiming to solve, including both the regional and the multi-regional perspective. Outline the economic social, development and environmental context in which the project would operate in the target regions.

Describe the problem the proposed project/programme is aiming to solve. Write this as a concise problem statement: The current situation, the desired future, and the gap between the two. Provide brief further information on the current situation including the regional perspective. Outline the economic social, development and environmental context in which the project would operate in the target regions. Describe the climate change vulnerabilities impacting the region/regions as well clearly explain the problem area that would be the focus of the innovation.

1. The first edition of the AFCIA programme was announced by the Adaptation Fund at the United Nations Climate Change Conference (COP25) in Madrid in December 2019 and launched in November 2020. AFCIA I consisted of a US\$ 10 million small grant aggregator programme implemented by the United Nations Development Programme (UNDP) and United Nations Environment Programme (UNEP) working in conjunction with the Climate Technology Centre and Network (CTCN). The Adaptation Fund Climate Innovation Accelerator, or AFCIA, aims to foster innovation in climate change adaptation in developing countries. The programme targeted a broad range of potential finance recipients, including governments, non-governmental organizations, community groups, entrepreneurs, young innovators and other group to encourage and accelerate new innovations, develop innovative adaptation practices, tools and technologies, as well as generate evidence of effective, efficient adaptation practices, products and technologies to assess scaling up. It awarded competitive grants of up to US\$ 250,000 each. AFCIA I programme is still under implementation and will be finalized in October 2025.
2. In its fortieth meeting held in Bonn, Germany, March 21-24, 2023, the Adaptation Fund Board took a decision concerning the expansion of the Adaptation Fund Climate Innovation Accelerator (AFCIA) partnership, following the request for Expressions of Interest (Eoi) that was circulated to accredited regional and multilateral implementing entities in January 2022.
3. Adaptation Fund Board invited the United Nations Environment Programme (UNEP), United Nations Industrial Development Organization (UNIDO), United Nations World Food Programme (WFP) to submit a proposal for the implementation of AFCIA phase II for a value of USD 10 million each, and Pacific Communities (SPC), and Secretariat of the Pacific Regional Environment Programme (SPREP) to submit a proposal for a value of USD 5 million each. Thus, at the time of submission of this proposal, the total value of the AFCIA Phase II program should be of USD 40 million but could be increased through additional anticipated AFCIA partners.
4. Considering the consequent growth of the AFCIA II programme, the Adaptation Fund invited United Nations Environment Programme (UNEP) to submit a proposal for the coordination services of the Global AFCIA programme implemented by the existing and anticipated Implementing Entities.

UNEP CTCN competitive advantage

5. The Climate Technology Centre and Network (CTCN) is the implementation arm of the Technology Mechanism of the United Nations Framework Convention on Climate Change (UNFCCC). The CTCN promotes technology transfer at the request of Non annex I countries as they seek to meet their Nationally Determined Contribution (NDC) targets and Paris Agreement commitments). The Climate Technology Centre and Network as the implementation body of the Technology Mechanism accelerates the development and transfer of technologies to all Non Annex I countries through their national focal point, called a national designated entity (NDE) and is a country driven process. The Climate Technology Centre and Network is accountable to and guided by the Conference of the Parties. From 2014 to August 2022, the CTCN received 398 requests for technology transfer from 108 countries. The ability to respond to the diverse range of requests and provide customized services is made possible through a continuously growing number of technology service providers in the CTCN members (over 800 globally), who are contracted to provide technical assistance and capacity-building to developing countries on environmentally sound technologies.
6. In 2010, the COP decided that the CTCN would be hosted by UNEP through a memorandum of understanding with the UNFCCC. UNEP, as host of the CTCN, supports CTCN in delivering his new Programme of Work with the objective (i) to stimulate technology cooperation and enhance the development and transfer of technologies and (ii) to assist developing country Parties at their request, consistent with their respective capabilities.

7. UNEP CTCN are currently implementing AFCIA I programme. UNEP CTCN has learnt from the experience of these past 2 years ½ of implementation of the programme. It has a deep understanding of the objectives of the AFCIA programme, the barriers faced while implementing the first phase and has already experienced the positive impacts of selected mitigation measures. Also AFCIA I has recently finalized its MTR. AFCIA coordination services was revised in order to integrate the lessons learnt and recommendations highlighted during the MTR. The recommendations relevant to AFCIA Coordination Services are listed under Part II.A Table 3.
8. UNEP CTCN is a reliable partner for programme management. UNEP has assisted over 75 programmes on climate change adaptation in over 50 countries. Combined, these programmes are aiming to benefit around 2.7 million people, restore 131,000 hectares of land, improve climate adaptation knowledge of 102,000 people and 131 institutions and build over 1,100 water harvesting structures and 82 weather stations³. UNEP will be able to use its current experience in implementing another micro grant programme called Global EbA Fund (GEBAF). Implemented together with IUCN and funded by the German International Climate Initiative (IKI), the GEBAF is a funding mechanism for catalytic, innovative, and inclusive projects that aim to create an enabling environment for the implementation of Ecosystem-based Adaptation (EbA) to enhance the resilience of vulnerable communities and ecosystems to the impacts of climate change. UNEP is a leader in promoting partnerships on adaptation to Climate Change such as United Nations multi-partner trust fund SOFF4 launched during COP 26 to provide long-term, systematic, technical and financial support to the countries with the largest gaps in their early warning systems, with a focus on LDCs and SIDS. UNEP is also managing the Global Adaptation Network (GAN) which is a very active knowledge-sharing platform for climate adaptation funded in 2010. GAN is composed of many constituents' regional networks and partners, each of which provide knowledge services in their respective regions including: The Asia Pacific Adaptation Network (APAN), Regional Gateway for Technology, Transfer & Climate Action in Latin America and the Caribbean (REGATTA), Ecosystem-based Adaptation for Food Security in Africa Assembly (EBAFOSA), EcoAdapt, The West-Asia Regional Network on Climate Change (WARN-CC). The thriving network it can rely on as well as the considerable experience it has acquired in managing small grants for adaptation innovation makes UNEP uniquely equipped to coordinate the new AFCIA programme, ensure its quality and visibility and widely share its learning. AFCIA Community of Practice created under Output 2.1 will be connected to other networks such as the GAN as well as existing adaptation-related CoP established and managed by the IEs (both existing and anticipated) to unlock synergies and more efficiently support the global community/regional communities of adaptation innovation.

Project / Programme Objectives:

Problem Statement:

9. Following the consequent growth of the AFCIA II programme to a total value of USD 40 million implemented by the existing and anticipated implementing entities, the risk is high that limited coherence, coordination, and collaboration with implementation mainly done silos leads to duplication of efforts and therefore reduced visibility, performance, and impact of the programme. It is in the context and to avoid those risks that the coordination services proposal has been developed. A Problem Tree has been created and is available under Annex III.

Objective of AFCIA II's Coordination Services:

10. The objective of AFCIA II -Part II - coordination services aim at enhancing the performance and impact of the AFCIA II programme through a coordinated approach to its programming, monitoring and learning activities.

Structure of the coordination services and its respective specific objectives

11. The coordination services are divided into three main components, and three main phases, each with its respective specific objectives detailed below:

12. Component 1. Coordination, management and monitoring services to support programme implementation and performance.

Outcome 1: Impact and performance of individual AFCIA programmes increased thanks to coordination, management and monitoring services provided to (existing and anticipated)Implementing Entities (IEs)

Output 1.1 Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.

Output 1.2 Online programme management platform and relevant tools are developed and regularly updated by all (existing and anticipated) IEs.

Output 1.3 A joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme.

13. Component 2. Systems of innovations created to support the development, improvement and scale up of innovative adaptation practices, tools and technologies.

Outcome 2: Adaptation technology development and scale up are strengthened through the establishment of a Community of Practices (CoP) and development of a regional innovation hubs.

Output 2.1 Sharing of experience for improved practices is ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology.

Output 2.2. One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors.

Output 2.3: A follow-up regional innovation hub is organized to build on and strengthen the profile of AFCIA among potential investors.

14. Component 3. Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally

Outcome 3: Dissemination and adoption of successful adaptation innovations and technologies supported. globally through communication and knowledge management services.

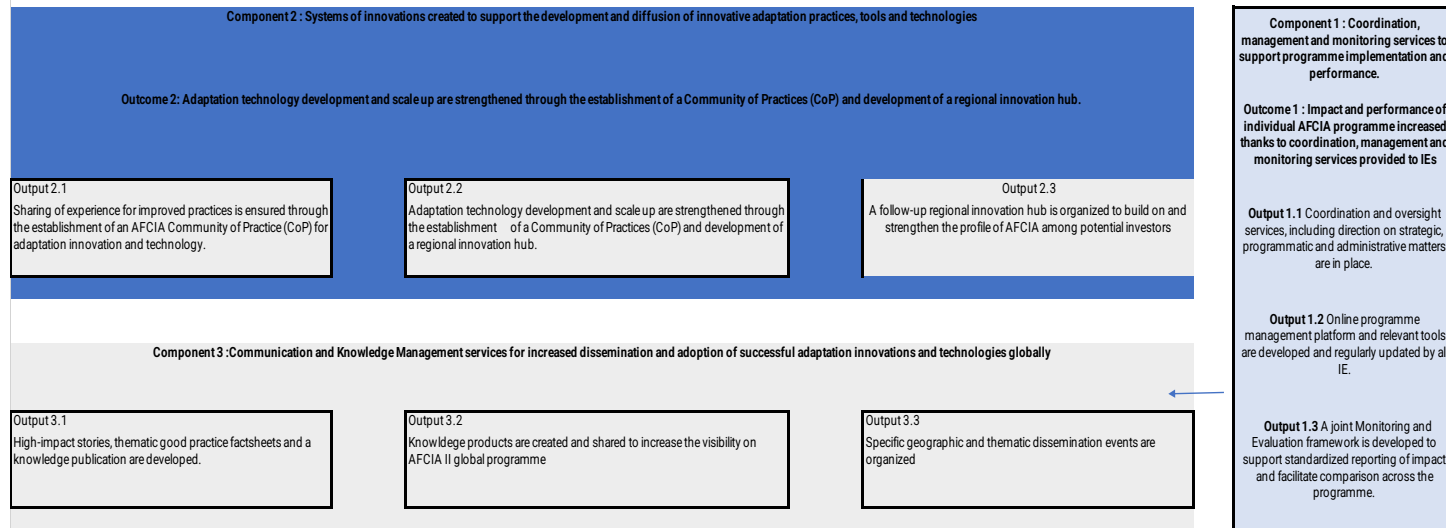
Output 3.1 High-impact stories, thematic good practice factsheets and a knowledge publication are developed.

Output 3.2. Knowledge products are shared to increase the visibility on AFCIA II global programme.

Output 3.3 Specific geographic and thematic dissemination events are organized.

A Theory of Change is available under Annex I.

Figure 1 - Structure of UNEP CTCN AFCIA Coordination Services



Project / Programme Components and Financing:

Table 1- Project / Programme Components and Financing

Project/Programme Components	Expected Outcomes	Expected Outputs	Countries	Amount (US\$)
1. Component 1 : Coordination, management and monitoring services to support programme implementation and performance	Impact and performance of individual AFCIA programmes increased thanks to coordination, management and monitoring services provided to (existing and anticipated) Implementing Entities (IEs)	<p>1.1 Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.</p> <p>1.2 Online programme management platform and relevant tools are developed and regularly updated by all IE.</p> <p>1.3 A joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme.</p>	Global	232,834

<p>2. Systems of innovations created to support the development and improvement and scale up of innovative adaptation practices, tools and technologies</p>	<p>Adaptation technology development and scale up are strengthened through the establishment of a Community of Practices (CoP) and development of a regional innovation hub.</p>	<p>2.1. Sharing of experience for improved practices is ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology.</p> <p>2.2 One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors.</p> <p>2.3 A follow-up regional innovation hub is organized to build on and strengthen the profile of AFCIA among potential investors</p>	<p>Regional and Global</p>	<p>1,300,500</p>
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3. Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally	Dissemination and adoption of successful adaptation innovations and technologies supported globally through communication and knowledge management services	Output 3.1 High-impact stories, thematic good practice factsheets and a knowledge publication are developed. Output 3.2. Knowledge products are shared to increase the visibility on AFCIA II global programme. Output 3.3 Specific geographic and thematic dissemination events are organized	Global	681,666
4. Programme activity costs				2,215,000
5. Programme Execution cost (max 10% of total prog cost)				246,111
6. Total Programme Cost (Sum of 3 and 4)				2,461,111
7. Project/Programme Cycle Management Fee charged by the Implementing Entity (max 10% of total prog cost)				246,111
Amount of Financing Requested				2,707,222

Projected Calendar:

Indicate the dates of the following milestones for the proposed project/programme. The duration of the programme should be up to five years.

Table 2 - Projected Calendar

Milestones	Expected Dates
Start of Project/Programme Implementation	January 2026
Project/Programme Closing	January 2029
Final Evaluation	December 2029

PART II: PROJECT / PROGRAMME JUSTIFICATION

A. Describe the project / programme components, particularly focusing on the concrete adaptation activities, how these activities would contribute to climate resilience. Describe also how they would build added value through the regional or multi-regional approach, compared to implementing similar activities in each country individually. Furthermore, show how the combination of individual projects would contribute to the overall increase in resilience.

15. AFCIA coordination services has been updated in order to integrate the results and lessons learnt defined by the MTR that was done at AFCIA I level. These recommendations are summarized in the table below:

Table 3 - Recommendations of AFCIA I MTR integrated into the AFCIA Coordination Services proposal.

	MTR conclusions	MTR recommendations	UNEP/CTCN responses
1	<p>Project ideas came from various sources, but nevertheless remained largely government driven, also due to the established structures and processes of the fund. There were very few, competing ideas, not even “push by science and technology”.</p> <p>There are limited formal Adaptation Fund and hence CTCN requirements for a Theory of Change/programme logic at the overall fund level or subsequently for individual projects and these logics remain focused on the identification and implementation of individual, technology projects.</p>	<p>There is a need to think through how AFCIA will affect change and promote innovation, so that it can be managed accordingly. AFCIA II, also at the individual project level would substantially benefit from a more detailed definition how it seeks to promote innovation, with related objectives, intermediate outcomes, related change mechanisms and causal relationships, as well as notably, underlying assumptions, for example, through a theory of change process. While a theory of change has been developed for AFCIA II, the supported projects are repeated at different levels of the logic, including at the goal level (see duplication of the goal statement and outputs 1.3 and 3.1. in particular), focusing attention on the fund management process rather than innovation itself or adaptation and resilience impacts.</p>	<p>In order to ensure that the ideas being sourced are the best that they can be for the targeted countries, CTCN/UNEP will test, in the coordination services proposal, an approach to support the ideation process through regional innovation hubs that will be facilitated by AFCIA IEs and aim at discussing the innovations that have been funded, those that have been shortlisted and those that could be prepared to invite feedback sessions to strengthen the current and future concepts. (please, refer to Output 2.2 and 2.3)</p> <p>A Problem Tree and Theory of Change have been added to the AFCIA Coordination Services proposal (please refer to Annex I and Annex III).</p>
2	<p>There have also been demonstrated success in leveraging additional support, namely additional government, or grant funding, for some of the introduced technologies. Nevertheless, going to market remains a challenge for adaptation innovations</p>	<p>Employ systems thinking also to the challenge of attracting private finance to adaptation innovation, encouraging the development of accessible and affordable business models</p>	<p>The coordination services proposal has been revised to integrate the creation of regional innovation hubs involving R&D institutions as well as other key stakeholders for incubation of innovative ideas, improvement of proposals and sustainability of selected technologies in the different targeted countries. Those hubs will be organized at regional level with the aim of attracting</p>

			private sector finance to successful technologies (please refer to Outputs 2.2 & 2.3)
3	Inherently, the AFCIA interventions provided technical assistance and were “pilot” in nature, requiring further systemic change, copying and replication and notably concessional financing to further and sustain their impact.	Encourage projects to design and pursue exit strategies, including the identification of additional financing options.	AFCIA Coordination Services aims at ensuring that the impact produced by AFCIA II sub programme managed by the IEs will be shared and used by all relevant stakeholders and that additional financing options are identified to support scaling up of the successful adaptation technology/innovation. Please, refer to Component 3 that will formulate knowledge products to increase the visibility of the programme as well as Outputs 2.2 & 2.3 that describe the organization of regional innovation hubs, Output 2.1 that explains the creation of a Community of Practice and Activity 1.1.4 that will support IEs and the implementation of AFCIA micro-grants through specific webinars and newsletters.
4	Despite the SRIFs requirement, a gender focus was presumed/taken for granted, rather than actively pursued in individual projects.	In addition to increasing gender assessment, gender-specific programming, improved disaggregation of indicators and assigning greater resources (both staff and budget) to gender mainstreaming, ensure the key stakeholder also understand the value of these efforts.	<p>The coordination services will work on gender through the Component 1 with the formulation of quarterly newsletters and webinars that would address IEs needs and include sessions on innovation – technology and gender (activity 1.1.4). Component 1 will also monitor the impact of the programme on gender through the definition of gender-responsive indicators (Output 1.3).</p> <p>The Community of Practice established under Component 2, Output 2.1 will promote gender mainstreaming practices to the IEs, Project Proponents, Implementing Partners, NDEs, DAs with the objective to provide good practices that could be used for the implementation of the individual programmes managed by each IEs, and on AFCIA micro-grants.</p> <p>The regional innovation hubs (output 2.2 & 2.3) will empower women through the participation of female speakers (50% of speakers are expected to be women during these hubs).</p> <p>Finally, 25% of the knowledge products formulated under Component 1 will be gender responsive.</p> <p>For more details about the indicators, please refer to Results Framework - Section III – Part E.</p> <p>A gender assessment and Gender Action</p>

			Plan have also been developed and is available under Annex IV. A GAP is available under Annex IV as well.
5	<p>Opportunities still remain to increase coordination among the various international actors promoting climate change innovation, including the promotion of more systemic efforts, instead of isolated, single, technology-driven initiatives.</p> <p>Coordination among various climate change interventions at the country level is an issue, as NDE is not always the ministry/department/individual responsible for implementation or for overall coordination</p>	<p>There are opportunities, also through the AFCIA II Coordination function, for greater coordination between the Adaptation Fund Secretariat, UNEP, CTCN, UNDP and other similar efforts promoting adaptation innovation globally, notably around learning and knowledge management (also around shared systems such as solar powered irrigation systems).</p> <p>AFCIA may also benefit from a more explicit definition of innovation.</p> <p>In the framework of global efforts to streamline national coordination structures with the GCF, promote awareness and engagement between the NDA and NDE on similar initiatives promoting innovation.</p>	<p>AFCIA coordination services proposal will enhance coordination, management and monitoring to support programme implementation and performance (Component 1)</p> <p>Coordination services project will also support the development and validation of a common definition of adaptation innovation by the AFCIA II project steering committee (PSC). Activity 1.1.1 & 1.1.2.</p> <p>AFCIA coordination services proposal will establish a joint Monitoring and Evaluation framework to support standardized reporting of impact and facilitate comparison across the AFCIA II programme managed by different implementing entities.(Output 1.3)</p> <p>AFCIA Coordination Services will promote awareness and engagement between the NDA and NDE on similar initiatives supporting innovation through the establishment of a Community of Practice (Output 2.1) and the organization of regional innovation hubs (Outputs 2.2 and 2.3) to which NDEs and DAs will be invited.</p> <p>Finally, Coordination Services will promote communication and knowledge management to support visibility and information sharing on the programme (Component 3)</p>

16. Based on these recommendations, the programme was revised and divided into 3 Components. Details at output and activity levels is provided below.

17. Component 1. Coordination, management and monitoring services to support programme implementation and performance.

Outcome 1: Impact and performance of individual AFCIA programme increased thanks to coordination, management and monitoring services provided to (existing and anticipated) IEs.

Component 1 of AFCIA II coordination services will respond to 3 main goals:

- Support leadership and oversight through establishment of a high-level PSC
- Support coordination and collaboration of (existing and anticipated) IEs through establishment of shared platform and coordination mechanism
- Support measure of impact, comparison across programmes and programmes reporting through the development of a joint M&E mechanism

18. UNEP CTCN will place emphasis on programme managers, and communication officers of each (existing and anticipated) IEs to improve task assignment and implementation for more cohesive, inclusive and complementary implementation. For this purpose, a high-level Programme Steering Committee will be established and meet bi-annually, online or in person, in margins of the international conferences such as the climate weeks or the regional innovation hubs.

19. UNEP CTCN will tend to focus on alignment, relevance and measurable results. In the context of AFCIA Coordination Services, programme management and support will be ensured through digitalization tools that will be used to improve the efficiency of the operational systems and internal management of the programme. Digitalization tools will include – but will not be limited to - interactive platforms, business intelligence tools and dashboards needed for Adaptation Fund Senior management to take informed decisions and the performance indicators needed to evaluate the effectiveness of project implementation.
20. Discussion on the platforms, tools and type of dashboards expected by the Adaptation Fund Senior management will be held within 2 months after signature of the agreement with the Adaptation Fund for approval.
21. In order to support the coordination and collaboration of the IEs for the implementation of this Coordination Services programme, UNEP will create a coordination mechanism including a chat to which the comms officers and programme managers of each IEs will be added (the chat will be created on teams or any other convenient platform), along with an online folder managed by the CTCN into which main coordination documents will be stored. The coordination mechanism will also include quarterly calls in presence of the programme manager and comms officer of each AFCIA programme managed by their respective IEs as well as bi-annual webinars.
22. UNEP CTCN will use this Component 1 to connect AFCIA programmes with the National Designated Entities (NDEs) of developing countries which are also acting as UNFCCC's focal points with the objective of strengthening synergies and alignment with UNFCCC technology framework including countries' technology needs assessments and technology action plans. UNEP CTCN will also encourage the engagement of the Adaptation Fund's focal points in the Learning and Sharing built around AFCIA II as well as the collaboration between Adaptation Fund's focal points and NDEs to increase the impact of the actions at local and regional levels. A continuous joint dialogue with AFCIA IEs will be ensured through the coordination mechanism (quarterly calls) and the biannual PSC allowing IEs' regular exchanges which will enable IEs to reach consensus on strategic decisions including the longer-term sustainability of AFCIA II programme.
23. Outputs and activities
The three outputs are as follows:
- Output 1.1** Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.
- Output 1.2** Online programme management platform and relevant tools are developed and regularly updated by all IE.
- Output 1.3** A joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme.
24. Proposed activities are as follows:
- Output 1.1** Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.
- Activity 1.1.1: Establish a high-level Programme Steering Committee including one representative of the Adaptation Fund Secretariat, one representative of UNEP and CTCN and one representative of each (existing and anticipated) IEs (ideally a programme manager) to provide strategic direction and oversight. During the first PSC meeting, IEs will discuss and approve roles and responsibilities in the implementation of the CS proposal, commitment will be recorded via the PSC minutes shared and approved by all members. In addition PSC ToR including the composition of the PSC Secretariat and Chair will be developed and approved by all members.
- Activity 1.1.2: Plan PSC meetings twice a year to steer AFCIA implementation. One PSC meeting per year will be held in-person in margins of the annual international conferences. The second one will be held virtually.
- Activity 1.1.3 Establish a Coordination Mechanism and plan quarterly coordination calls between IEs in the presence of the programme managers, and communication officers of each IEs to improve task assignment and implementation for more cohesive, inclusive and complementary implementation. Coordination meetings will be held virtually. The Coordination mechanism will also include a set of tools such as chat to connect the IEs, an online folder managed by the CTCN into which main coordination documents will be stored.
- Activity 1.1.4 Hold webinars to support AFCIA IEs, according to their need. Specific webinars will be organized, to support proposals identification and selection processes maximizing adaptation impacts and socio-economic and environmental co-benefits, reaching the most vulnerable groups including women, meeting the relevant

national priorities and technical standards as well as improving ESS screening and management, promoting stakeholder engagement and building sustainability and scale up.

25. **Output 1.2** Online programme management platform and relevant tools are developed and regularly updated by all IEs.

Activity 1.2.1: Create digital and editable management tools on a secured online platform accessible to all (existing and anticipated) IEs and Adaptation Fund. These tools could include for instance:

- A contact sheet with names and contact details of the Comms Officers and Programme Managers of each IEs and a person of contact during their absence.
- COMMS calendar that will be editable by all Comms officers to report on the comms and KMS activities undertaken by IEs.
- Templates of main documents that could be requested by IEs for the implementation of this programme (such as information sheet to be filled in to request UNEP CTCN to formulate success stories/ case studies/ best practices, videos, photo reportage, template of the PPR, list of indicators to be followed, GAP to be monitored, etc.)
- An editable annual report at programme level to be populated by each IEs to support UNEP CTCN annual reporting at programme level.
- Any other tools that will help coordination and collaboration between IEs and improve programme coherence.

Activity 1.2.2: Develop a common internal repository to share information on micro-grant projects about their selection mechanism, implementation status/timelines, main risks/challenges and upload key deliverables. This will be created by UNEP CTCN and populated by the IEs. This repository will support the screening and sourcing processes by :

- avoiding duplication of the projects selected and implemented by the existing and anticipated IEs and promoting geographical balance, thus ensuring coherence of the USD 40 million AFCIA programme. Each IEs will be responsible to avoid duplication within its own AFCIA sub-programme.
- collecting relevant information on alignment of the proposals with each of the 15 principles defined by the Adaptation Fund and with the alignment of proposals with national policy framework and defined priorities. A ESMF screening checklist aligned with AF policy has been created and can be seen under Part II - Section N.
- Ensure the AFCIA sub-programmes managed by each IEs are implemented in a gender-responsive manner through the GAP (please refer to Annex IV) and the monitoring of the indicators defined in the GAP.

26. **Output 1.3** A joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme. The CTCN's M&E system could be used as it was already the case during the implementation of AFCIA I programme.

Activity 1.3.1: An editable Adaptation M&E tracking tool is created within the online programme management platform and information against core indicators regularly uploaded by IEs. The inclusion of specific common indicators to measure socio-economic and environmental benefits of the projects will be explored. Gender indicators will also be monitored based on the GAP (please refer to Annex IV).

Activity 1.3.2: Dashboards are created based on information populated by IEs via the online platform and are regularly updated to provide an overview of programme results and spot projects at risk of not delivering for identification of timely mitigating measures. These dashboards will be populated for a better visualization of data and information collected through the internal repository (including on the screening and sourcing processes).

Activity 1.3.3: Annual progress reports are shared with the Adaptation Fund and include M&E information collected and adaptation impact assessment, as well as impact on gender and youth. Good practices and lessons learnt will be identified through the reporting exercise and TE and will be shared through the mechanisms detailed under Component 2.

27. **Component 2. Systems of innovations created to support the development and improvement of innovative adaptation practices, tools and technologies.** ¹⁶

Outcome 2: Adaptation technologies development and scale up are strengthened through the establishment of a CoP and development of a regional innovation hub. In that case, the regional innovation hubs are defined as

a cross-country network of players of the knowledge helix aimed at coordinating efforts, ensuring learning and collaboration and attracting investments to support upscaling of successfully tested adaptation innovations and technologies in the region, in short, an innovation marketplace. The Community of Practice (Output 2.1) and the regional innovation hubs (Output 2.2 & 2.3) will be used to promote the strengthening and buildup of national innovation systems. Those outputs will provide opportunities to identify common barriers and drivers to innovation in national systems and recommendations to address and promote these (policy, regulations, partnerships, institutional capacities, access to finance, market services etc.). The CoP will be supported by a digital platform created under CTCN webpage that will provide access to the upcoming events, the recordings of webinars, the newsletters and any relevant CoP related content created by the AFCIA programmes.

28. As per recommendation of the MTR done on UNEP CTCN AFCIA I programme on improving the ideation process, the coordination services proposal has been expanded to organize regional innovation in-person hubs in a pilot region. The goal is to strengthen the *ideation process through knowledge flow and iteration of ideas*. *The regional innovation hubs would bring together players important to the knowledge helix* of government, academia, industry, and civil society thereby strengthening regional systems of adaptation innovation.*
29. One small (with the participation of up to 75 grantees of the AFCIA programme and partners of the AFCIA Coordination Services proposal) regional innovation hub is planned to be organized in one region during year 2 to strengthen the ideation process through knowledge flow and iteration of ideas between the knowledge helix of government, academia, industry, and civil society.
30. One follow-up larger regional innovation hub will be organized in one region with up to 215 granted concepts selected amongst the portfolio of the existing and anticipated IEs to demonstrate the impact of the AFCIA programme and leverage the successful adaptation innovations/technologies with the support of the financial mechanisms as well as the private sector.
31. These hubs are expected to act as an accelerator of climate adaptation technologies and incubator of climate adaptation solutions through government leadership, bringing together diverse set of public and private sector stakeholders to strengthen innovation, business skills and financing. The expectation is two-fold: to establish a baseline through which regional and national systems of adaptation innovation will be created; and to inspire youth, women as well as non-conventional stakeholders' engagement and climate action from the ground-up through the creation of a global community of practice on adaptation technology development. If useful to investment facilitation and innovation acceleration, hope is that it will be continued and expanded in future phases of the AFCIA programme with the goal of strengthening regional systems of adaptation innovation over time.
32. As well, a Community of Practice will be created under this Component to support the IEs, the Project Proponents, the Implementing Partners, the NDEs, and DAs to maximize the benefits and impacts of the individual micro-grant project supported by the programme. As part of this CoP, specific webinars will be organized to better address youth and vulnerable consideration including children, women and girls, the elderly, indigenous people, tribal groups, displaced people, refugees, people living with disabilities, and people living with HIV/AIDS.
33. As the AFCIA programme expands in future years, it can be expected that more and more countries will have at least one adaptation innovation concept shortlisted and become part of innovation systems (CoP or regional innovation hubs). If successfully piloted during phase II, regional innovation hubs could be expanded to other regions under a next phase of AFCIA.
34. With that objective in mind, UNEP CTCN proposes to:
 - Create a Community of Practice composed by all AFCIA implementing entities, IEs, project proponents.
 - Organize regional innovation hubs – one small in year 2 and one large in year 3 of the Coordination Services implementation in one region.
 - Empower and inspire Climate Adaptation Leaders from the private and public sector as well as communities including youth and women to lead further innovation through the organization of regional innovation hubs. These hubs will be an opportunity for partners and professionals of the region to exchange ideas, activities, experiments and reflections and convert them into learning and knowledge.
 - Invest in integrated capability development, create partnerships with Governments, the private sector, financial institutions, civil society and other stakeholders.

35. The three outputs are as follows:

Output 2.1. Sharing of experience for improved practices is ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology.

Output 2.2. One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors.

Output 2.3: A follow-up regional innovation hub is organized to build on and strengthen the profile of AFCIA among potential investors.

36. Proposed activities are as follows:

Output 2.1. Sharing of experience for improved practices is ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology.

Activity 2.1.1: Build a global online directory, accessible to all Implementing Entities and divided by region to register the contact details of all members.

Activity 2.1.2: create an online platform managed by CTCN. Effort will be made to maintain a setting for respectful dialogue, knowledge-sharing and exchange of views between the members of the CoP.

Activity 2.1.3: Quarterly newsletter and webinars, among others will be created to encourage the CoP members to participate to the discussions. Example of webinars could include the linkages between Climate Change – innovation- technologies and gender, as well as on specific sectors (Eater-Energy Food for example) or on specific technologies that could be implemented by different IEs (such as Solar Irrigation Powered Systems for example) and webinars on how AFCIA could support transformational changes and enabling environments for adaptation innovation.

The CoP will support the sustainability of the regional innovation hubs (Output 2.2.and 2.3 described below) by maintaining regular online communication between the participants.

UNEP CTCN will explore partnership between the CoP and the existing platforms including the GAN¹ as well as adaptation-related CoP established and managed by the IEs to unlock synergies and more efficiently support the global community/regional communities of adaptation innovation. Discussions to promote the longer-term sustainability of this CoP and suggestion to partner with the GAN and other existing adaptation-related CoP managed by the IEs will be continued during the AFCIA CS implementation through the coordination mechanism (quarterly calls) and the biannual PSC allowing IEs' regular exchange and strategic decision.

37. **Output 2.2** One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors.

The proposal is that all grantees selected under the AFCIA programme in the specific region will be supported to travel to the hub events. In cases where number of participants (75) exceed planned budget, selection criteria will be defined in cooperation of all IEs under activity 2.2.2 "Define the selection criteria and establish the rules as well as the procedures and responsibilities of each IEs for the management of regional innovation hubs." List of participants will be validated during a high-level PSC meeting. Adaptation Fund's budget includes the participation to up to 75 persons. Will be travelling under Adaptation Fund's budget the AF, IEs, NDE/DA of the countries where the selected micro-grant projects are implemented. Private sector participants will fund themselves as will all other regional innovation players.

Activity 2.2.1: Contract a regional partner to support UNEP CTCN in the organization of the 2 regional innovation hubs. Select a country to host the small regional innovation hub planned to be held on year 2. Define a date and a venue.

Activity 2.2.2 Define the selection criteria and establish the rules as well as the procedures and responsibilities of each IEs for the management of regional innovation hub. These criteria could include:

- the number of proposals received and pre-identified in each region.
- the number of grantees selected.
- the number of planned beneficiaries.
- the potential for successful replication and upscaling of the identified adaptation innovations.

The validation of the criteria and selection of the pilot region will be discussed and agreed upon during the first PSC meeting. The idea is to pilot the approach in the region that has the greatest number of IEs, short-listed concepts and grants under implementation so in short the region with the biggest cohort of technology concepts

Activity 2.2.3: Identify and pre-select the grantees and invite them to present their project during the regional innovation hub. Governmental organizations, financial mechanisms including local and regional financial institutions, as well as the private sector and NGOs and women/youth associations, universities of the host country will be invited to participate to this hub.

38. **Output 2.3:** A follow-up larger regional innovation hub is organized to build on and strengthen the profile of AFCIA among potential investors.

The proposal is that all grantees selected under the AFCIA programme in the specific region will be supported to travel to the hub events. In cases where number of participants (215) exceed planned budget, selection criteria will be defined in cooperation of all IEs under activity 2.2.2 "Define the selection criteria and establish the rules as well as the procedures and responsibilities of each IEs for the management of regional innovation hub." List of participants will be validated during a high-level PSC meeting. Adaptation Fund's budget includes the participation to up to 215 persons. Will be travelling under Adaptation Fund's budget the AF, IEs, NDE/DA of the countries where the selected micro-grant projects are implemented. Private sector participants will fund themselves as will all other regional innovation players.

Activity 2.31: Select a country to host the large regional innovation hub planned to be held on year 3. Define a date and a venue.

Activity 2.3.2: Identify and pre-select the grantees and invite them to present their project during the regional innovation hub.

Activity 2.3.3: Promote the regional innovation hubs to financial mechanisms and private sector of the region.

39. **Component 3. Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally**

Outcome 3: Dissemination and adoption of successful adaptation innovations and technologies supported globally through communication and knowledge management services.

40. Aligned with Adaptation Fund statement that "*knowledge management systems can strengthen institutional memory, improve people's understanding of what works well in climate change adaptation, and lead to more effective projects and programmes*" component 3 of AFCIA coordination services focus on Capacity Building and Knowledge sharing of key results coming out of the whole AFCIA programme and target cross-cutting themes / issues / lessons learned and good practices. It will complement individual AFCIA programmes communication efforts.

41. With that objective in mind, UNEP CTCN proposes to:

- Actively support the meaningful participation of representatives from relevant major groups and stakeholders by the exchange of information, solicitation of input, capacity-building and regular communication.
- support stronger engagement with the private sector as a key partner in driving systemic shifts to sustainable pathways, through open networks, policy discussions and multi-stakeholder forums as well as on specific applications of digital technologies.
- intend to demonstrate impact and scale, bringing together the United Nations system, regional organizations, international financial institutions and other key players.
- strengthen South-South and triangular cooperation³ while fostering complementarities with North- South

² <https://www.adaptation-fund.org/knowledge-management-an-ingredient-for-better-climate-adaptation/>

³ The UNEP Strategy for South-South and Triangular Cooperation (https://wedocs.unep.org/bitstream/handle/20.500.11822/31654/SSTC_Strategy.pdf?sequence=1&isAllowed=y), adopted in March 2020, will serve as the guiding framework for all UNEP South-South and triangular cooperation activities during the decade 2020-2030. UNEP will strengthen regional engagement and interagency linkages to monitor and follow up on strategic and political issues related to South South and triangular cooperation, while promoting North-South cooperation and supporting the Buenos Aires Plan of Action for Promoting and Implementing Technical Cooperation among Developing

- cooperation.
- contribute to multi-stakeholder dialogue through regional climate weeks and regional events.
- facilitate inter-institutional collection, sharing and validation of climate-related knowledge and capacity at the national and subnational levels.
- contribute to enhanced capacity and promote cooperation among sectoral ministries while also securing greater involvement of subnational authorities in knowledge and data-sharing and related decision making to facilitate synergies and harmonize efforts.
- promote knowledge and information sharing, tools and good practices.

42. As per UNEP’s digitalization strategy, digital technologies will be used to develop and connect knowledge inside the organization and throughout its networks by organizing information and sharing lessons learned and best practices. UNEP CTCN will take a highly innovative approach to its communications, using its growing foothold in digital spheres and social media spaces to reach and engage with an expanding range of audiences and stakeholders. This will happen using the templates created by UNEP as part of its Digitalization strategy.

43. UNEP CTCN will also refer to existing tools to extract content, relevant facts and figures, maps, statements that could enrich the knowledge management and sharing and well as the communication efforts. Existing tools may include vulnerability mapping such as the [STRATA Analytics Platform on Climate Security](#), or the [vulnerability and risk mapping tool](#), or even Early Warning Systems developed by UNEP. The platform [Livelihoods & Ecosystems Planning Tool](#) (ALIVE) could also be referred to in the KMS and Comms component to analyze and explain linkages among ecosystems, livelihoods and climate change.

44. UNEP CTCN will promote behavioral change through a consistent and solid Knowledge Management and Sharing components described in Component 3. UNEP CTCN will focus on delivering high-impact stories to enhance the understanding of climate adaptation technologies and its benefits and to mobilize societal behavioral change. By using innovative practices of storytelling, including immersive digital technologies, UNEP CTCN will highlight and share case studies on nexus issues and the linkages between the environmental, social and economic dimensions of climate innovative technologies. These lessons will be adapted to local contexts and scales.

45. UNEP CTCN will focus on empowering individuals and groups for environmental action, placing emphasis on voices from sectors and actors typically outside the environment space, by engaging with gender constituency, youth constituency through CTCN AB. UNEP CTCN will amplify the voices of youth, consumers, environmental entrepreneurs, activists, innovation leaders and faith-based actors and organizations, among others through Adaptation Fund, UNEP, CTCN and IEs networks and partners. UNEP CTCN will also support youth-led action by engaging with CTCN’s AB Youth Constituency Group for example, and through outreach campaigns and by engaging with formal and non-formal education activities with TED talks, learning exchange events that shift knowledge, attitudes, behaviors and norms to address the importance of Climate Adaptation Technologies.

46. UNEP CTCN will participate actively in regional platforms and processes such as the regional collaborative platforms, opportunity- and issue-based coalitions, regional knowledge platforms and other joint United Nations platforms to disseminate information about the impact of the AFCIA II programme.

47. A detailed communication, capacity-building and knowledge transfer strategy will be shared within 2 months after signature of the agreement with the Adaptation Fund for approval.

48. Outputs and activities

The three outputs are as follows:

Output 3.1. High-impact stories, thematic good practice factsheets and a knowledge publication are developed.

Output 3.2 Knowledge products are shared to increase the visibility on AFCIA II global programme.

Output 3.3 Specific geographic and thematic dissemination events are organized.

49. Proposed activities are as follows:

Output 3.1 High-impact stories, thematic good practice factsheets and a knowledge publication are developed.

Activity 3.1.1: Identify high impact stories amongst the individual AFCIA programmes.

Activity 3.1.2: Organize on-sites professional photo/video reportages or on-site recording for the selected micro-grants.

Activity 3.1.3 Develop good practices factsheets on key sectorial/thematic technologies successfully promoted through AFCIA.

Activity 3.1.4 a global knowledge report providing an overview of the AFCIA experience and including all lessons learned and good practices collected during the implementation is developed and published in close partnership with all IEs. This global knowledge product will provide a review across the programme and consolidate insights (barriers, drivers etc.) as well as results fostering systemic change for adaptation technology and innovation acceleration.

Activity 3.1.5: Promote/share knowledge products through all relevant channels. UNEP CTCN will use the materials available under UNEP such as the [Climate Action Dashboard](#), as well as [Trello support](#) and translations in all UN languages.

50. Output 3.2: Knowledge products are shared to increase the visibility on AFCIA II global programme.

Activity 3.2.1: Develop the global programme communication, capacity-building and knowledge management strategy.

Activity 3.2.2: Develop a global AFCIA website with description, information, and link to all existing AFCIA windows and application pages. The webpage will include an interactive map of all selected AFCIA projects with short description and link to all public documents as well as a digital matrix with a list of sectors and technologies tested under the different AFCIA II programmes.

Activity 3.2.3: Launch awareness campaigns, such as #didyouknow campaigns, posts and tweets on social media to provide visibility on the AFCIA II programme to reach potential applicants including non-conventional stakeholders.

Activity 3.2.4: Develop key messages tailored to different target audiences to share analysis, knowledge and inputs on the climate change adaptation technologies implemented under AFCIA II

Activity 3.2.5 Disseminate the key messages using AFCIA and IEs websites and networks, Adaptation Fund channels, social media, newsletters.

Activity 3.2.6 Organize at least one podcast, TED-style talk or other innovative formats to provide visibility to the AFCIA programme and reach non-conventional stakeholders including the youth and private sector.

51. **Output 3.3** Specific geographic and thematic dissemination events are organized.

Activity 3.3.1: Organize AFCIA sessions during the CTCN NDE Forums.

Activity 3.3.2: Organize AFCIA sessions during the SBs.

Activity 3.3.3: Organize learning conferences on specific technologies or sectors that have been covered by different IEs.

Activity 3.3.4: Organize training webinars on the most popular technologies /sectors that have been covered by different IEs.

Activity 3.3.5 Impact event of AFCIA Coordination Services is organized at COP.

B. Describe how the project /programme would contribute meaningfully to the Expected Results under the Innovation Pillar (i.e. (i) New innovations and risk-taking¹⁰ encouraged and accelerated; (ii) Successful innovations replicated and scaled up; (iii) Access and capacities enhanced for designing and implementing innovation and (iv) Evidence base generated and shared.

52. The Coordination Services proposal has been aligned with the Innovation and Learning & Sharing strategic pillars number 2 and 3 of the AF Medium Term Strategy (MTS) II.

53. The expected results (ERs) of the Strategic Pillar 2, Innovation, are defined by the AF MTS II as follows:

ER1. New innovations and risk-taking encouraged and accelerated.

ER2. Successful innovations replicated and scaled up.

ER3. Access and capacities enhanced for designing and implementing innovation.

ER4. Evidence base generated and shared

54. The expected results (ERs) of the Strategic Pillar 3, Learning Pillar and Sharing are defined by the AF MTS II as follows:

ER1. Knowledge generation and dissemination of learning on effective and innovative local adaptation increased and expanded.

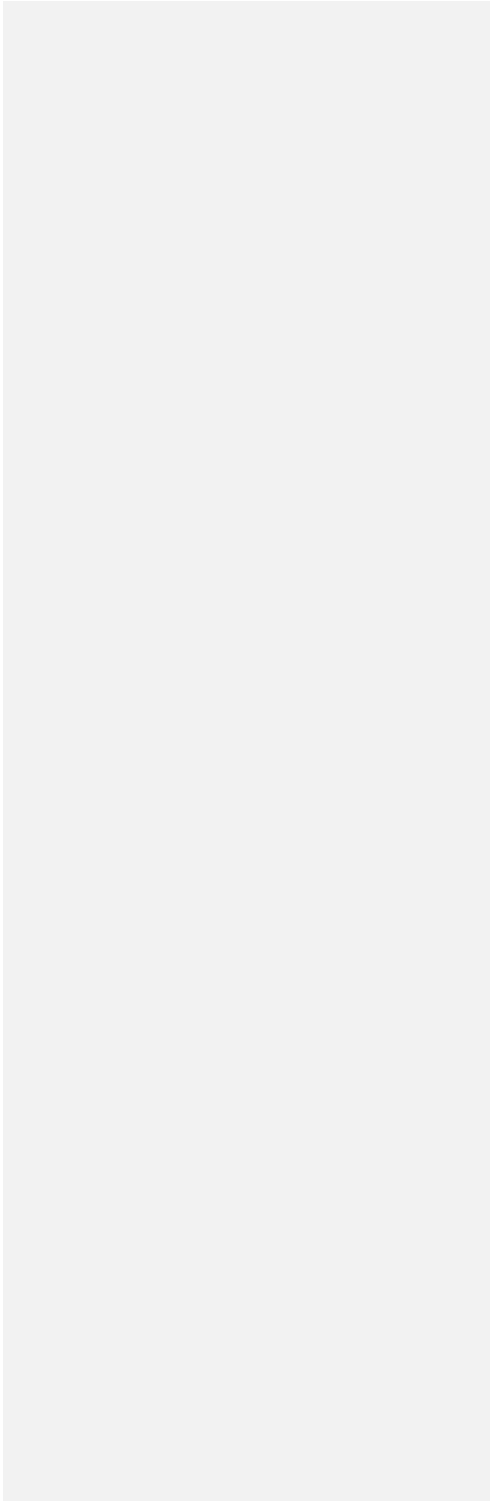
ER2 – Capacity to capture and disseminate learning strengthened.

ER3 – Knowledge partnerships expanded, and outreach increased.

Expected results (ER) of AF MTS II	Contributions of Proposed Programme
<p>Strategic Pillar 2, Innovation Modalities for funding the development and diffusion of innovative adaptation practices, tools and technologies expanded, risk-taking encouraged, and linkages to learning strengthened</p> <p>ER1. New innovations and risk-taking encouraged and accelerated.</p>	<p>This ER will be reflected under the Coordination Services through</p> <p>Component 1, activity 1.1.4 will propose quarterly webinars or newsletters to improve the programme management and impact the individual programmes managed by each IEs, as well as their respective micro-grants.</p> <p>Component 2 - Outputs 2.2 and 2.3 which consist of organizing regional innovation hubs that will be piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors. These regional innovation hubs are expected to be attended by representative of the Adaptation Fund, of the IEs, NDEs, DAs, project Proponents and Implementing Partners but also by financing institutions and mechanisms with the objective to attract additional funding resources that will enable the micro-grants to be leveraged and/or scaled up.</p> <p>Component 3 - Outputs 3.1 and 3.2 will intend to attract and encourage potential applicants to submit proposals to AFCIA as well as to improve the quality of the micro-grants under implementation through the knowledge products that will be developed under this component.</p>
<p>ER2. Successful innovations replicated and scaled up.</p>	<p>This ER will be reflected under the Coordination Services through</p> <p>Component 2 – Outputs 2.2 and 2.3 that aim at organizing regional innovation hubs to promote the micro-grants implemented by the respective IEs and try to leverage and/or scale up some of these micro-grants through additional resources and partners.</p> <p>Component 3 -Outputs 3.1 and 3.2 that aim at sharing good practices factsheets on key sectorial/thematic technologies successfully promoted through AFCIA, as well as a global knowledge report providing an overview of the AFCIA experience and including all lessons learned and good practices collected during the implementation is developed and published in close partnership with all IEs.</p> <p>Component 3 – Output 3.3 of the programme also organize events in the margins of international events (such as NDE Forum, SBs, and COPs). Those events will aim at promoting innovative adaptation practices implemented through the respective AFCIA II programmes managed by each IEs, as well as tools and technologies that are encouraged and accelerated under AFCIA II including solutions with high impact potential.</p>

<p>ER3. Access and capacities enhanced for designing and implementing innovation.</p>	<p>This ER will be covered by:</p> <p>Component 1 - that aims at creating oversight and coordination services. A high-level Programme Steering Committee will be created and meet twice a year (Activity 1.1.1 & 1.1.2), a coordination mechanism and quarterly coordination calls will be organized (Activity 1.1.3). Specific webinars will be organized to support proposals identification and selection processes and implementation support in order to maximize adaptation impacts and socio-economic and environmental co-benefits, reach the most vulnerable groups including women, meet the relevant national priorities and technical standards as well as improve ESS screening and management, promote stakeholder engagement and build sustainability and scale up, based on the needs identified by the IEs (Activity 1.1.4).</p> <p>Component 2 – Output 2.1 by which Sharing of experience for improved practices will be ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology. The CoP will also support the sustainability of the regional innovation hubs (Output 2.2.and 2.3 below) by maintaining regular online communication between the participants.</p> <p>Component 2 – output 2.2 & 2.3 through the organization of innovation hubs to strengthen the ideation process and establish a profile for AFCIA among potential investors.</p> <p>Component 3. Output 3.3 through which specific geographic and thematic dissemination events are organized: this includes specific sessions about AFCIA II organized during the CTCN NDE forums, the Subsidiary Bodies (SBs), COPs. Please refer to activities 3.3.1 & 3.3.2 & 3.3.5. Learning conferences on specific technologies or sectors that have been covered by different IEs will be organized as well as training webinars on the most popular technologies /sectors that have been covered by different IEs. Please, refer to activities 3.3.3, 3.3.4.</p>
<p>ER4. Evidence base generated and shared</p>	<p>Evidence of the impact of AFCIA II will be generated through Component 1 – output 1.3 through which a joint Monitoring and Evaluation framework will be developed to support standardized reporting of impact and facilitate comparison across the programme. This will include an editable Adaptation M&E tracking tool, dashboards, annual progress reports. At the end of the programme, a Final Evaluation of AFCIA Coordination Services will be undertaken through the EE fees.</p> <p>Component 3 also aims at at sharing evidence collected through the programme.</p>

Expected results (ER) of AF MTS II	Contributions of Proposed Programme
<p>Strategic Pillar 3: Learning Pillar and Sharing Knowledge and evidence, including local and indigenous knowledge, on effective and innovative adaptation action and finance is generated and disseminated with various stakeholders for</p>	



application	
ER1 — Knowledge generation and dissemination of learning on effective and innovative local adaptation increased and expanded.	<p>Component 1 : Coordination, management and monitoring services to support programme implementation and performance will be used to establish a results-based management, that enables performance and impact to be quantified and qualified and to communicate effectively while demonstrating a clear line of sight from local to regional and global interventions. This includes, amongst other aspects:</p> <ul style="list-style-type: none"> - 1 online Programme Management platform is onboarded to ensure programme management. - 1 Monitoring and Evaluation framework is developed. - Dashboard are created to provide an overview of programme results. <p>Component 2 – Output 2.1 by which Sharing of experience for improved practices will be ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology. The CoP will support the sustainability of the regional innovation hubs (Output 2.2.and 2.3 below) by maintaining regular online communication between the participants.</p> <p>Component 3 also aims at at sharing evidence collected through the programme.</p>
ER2 — Capacity to capture and disseminate learning strengthened.	<p>Component 3: Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally will aim at identifying lessons learnt and to share them through comms mechanisms. This includes, amongst others:</p> <ul style="list-style-type: none"> - 10 high level stories delivered. - 10 professional photo / video reportage - 5 good practices promoted. - At least 1 podcast or “TED” talk - 1 impact event organized at COP. - At least one global AFCIA webpage designed.
ER3 — Knowledge partnerships Expanded and outreach increased.	<p>Component 2, outputs 2.2 & 2.3 will organize innovation regional innovation hubs with the participation of the knowledge helix (governmental entities, universities and academia and civil society) which will facilitate the creation of knowledge partnerships.</p> <p>Component 3: “Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally” will improve institutional memory, people’s understanding of what works well in climate change adaptation, and effective projects and programmes. This includes, amongst other aspects:</p> <ul style="list-style-type: none"> - At least 1 “do you know campaign” during the launch phase of the programme.

	<ul style="list-style-type: none"> - 1 session about AFCIA II organized at COP. - 1 session about innovation – technology and gender, marginalized and vulnerable groups. - 1 session in presence of CTCN AB Gender Constituency - 1 session about AFCIA II organized at COP.
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C. Describe how the project/programme will source innovation small grant proposals, and screen them for the potential to support concrete adaptation actions to assist the participating countries in addressing the adverse effects of climate change and build in climate resilience.

The programme will administer small grants which should not exceed US\$ 250,000. The proposal should provide details on the planned outreach effort and sourcing of innovation proposals, such that it would be ensured that innovation would not be restricted, and that the initiative would allow for various types of innovation (technologies, techniques, innovative finance for adaptation, practices, mechanisms and other.) Innovation may include the involvement of new or non-conventional stakeholders in the innovation process and/or the project develops incorporate new ideas “ways of doing things”, create or enhance social relationships or form new collaborations/ partnerships to address the adaptation challenge etc. (i.e., social innovation.) The proposals should include details on the process for awarding small grants, such as the approach, criteria, and timeline. Details on the proposed monitoring and evaluation arrangements of the small grants, results management, and, very importantly, the learning and sharing aspect of the programme. Provision of technical assistance should also be detailed in the design.

55. The sourcing of innovation small grants and the screening of these micro-grants to support concrete adaptation actions to assist participating countries in addressing the adverse of climate change and build resilience will be done by each Implementing Entities, under their respective AFCIA programmes and through their windows, according to the methodology described in their respective proposals and approved by the Adaptation Fund.
56. As per recommendation of the MTR on improving the ideation process, UNEP CTCN will provide support to IEs in the **sourcing process** by increasing visibility of the AFCIA programme and encouraging stakeholders to apply to the different AFCIA windows based on their needs, interests and capacities. This will be done mainly through:

Component 2, Outputs 2.2 and 2.3: As per recommendation of the MTR on improving the ideation process, AFCIA Coordination Proposal includes the organization of two in-person regional innovation hub events in one pilot region bringing together AFCIA selected concepts with the objective of strengthening the ideation process and encourage scale up of good practices. The regional innovation hubs would bring together players important to the knowledge helix” of government, academia, industry, and civil society thereby strengthening regional systems of adaptation innovation. This has been added through the creation of a new outcome 2. Please, refer to Part II.A for additional details.

Output 3.2: “knowledge products are shared to increase the visibility on AFCIA II global programme”. Please, refer to Part II.A for additional details.

57. Relevant activities and instruments supporting the **screening process** include:

Activity 1.1.4 : that aims at organizing specific webinars to support AFCIA IEs to identify and select proposals maximizing adaptation impact and socio-economic and environmental co-benefits, reaching the most vulnerable groups including women, meeting the relevant national priorities and technical standards as well as screening ESS, ensuring stakeholder engagement and building sustainability.

Activity 1.2.2 : A common AFCIA internal repository will be created and populated by the IEs will support the screening processes by avoiding duplication of the projects selected and implemented by the existing and anticipated IEs and promoting geographical balance, thus ensuring coherence of the USD 40 million AFCIA programme.

Activity 1.3.2 Dashboards will be populated to for a better visualization of data and information collected through the internal repository (including on the screening and sourcing processes

Please, refer to Part II.A for additional details.

D. Describe how the project / programme would screen innovation small grant proposals for their potential to provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund.

58. As part of its Coordination Services proposal, UNEP/CTCN intends to enhance the overall process of screening of innovation grant proposals based on their potential to provide economic, social and environmental benefits to the most vulnerable groups including women through the following activities detailed under Part II.A:

Activity 1.1.4 : that aims at organizing specific webinars to support AFCIA IEs to identify and select proposals. Specific webinars will be dedicated to support AFCIA proposals to maximize their socio-economic and environmental co-benefits and reaching most vulnerable groups including women.

Activity 1.2.2 : A common AFCIA internal repository will be created and populated by the IEs. It will support the screening processes by collecting relevant information on alignment of the proposals with each of the 15 principles defined by the Adaptation Fund including "Gender Equity and Women's Empowerment."

Activity 1.3.1 will monitor performance of the specific selected AFCIA proposals with the use of common indicators. The inclusion of specific common indicators to measure socio-economic and environmental benefits and women empowerment of the proposals will be explored.

Activity 1.3.3 : the Annual progress report shared every year with the AF will assess adaptation impacts, as well as gender and youth impact of the programme.

Outputs 2.2 and 2.3 two in-person regional innovation hub events in one pilot region will be organized bringing together AFCIA selected concepts with the objective of strengthening the ideation process and encourage scale up of good practices. The regional innovation hubs would bring together players important to the knowledge helix" of government, academia, industry, and civil society thereby strengthening regional systems of adaptation innovation.

59. Annex IV includes a gender assessment and a GAP.

E. Describe or provide an analysis of the cost-effectiveness of the proposed project / programme and explain how the regional or multi-regional approach would support cost-effectiveness.

60. Coordinated actions on outreach, comm, knowledge sharing and capacity building rather than individual scattered action from each implementing entity will have an impact on both the cost- effectiveness and the impact of the programme. Through the coordination services, AFCIA II global programme will have direct impact on more countries, more people, it will target a large range of SDG, will increase resilience at a wider scale, and will promote these impacts in a more impactful manner.

61. The Adaptation Fund MTS for 2023-2027 aims at continuing to strengthen complementarity and coherence with other climate funds and UNFCCC bodies across strategic pillars and Fund operations¹³. ER4 of the Innovation Pillar, "Evidence base generated and shared" created a linkage with the "learning and sharing pillar". The Coordination Services of AFCIA II programme will be aligned with both the Innovation and the Learning and Sharing Pillars. Please refer to Part II. Section C for more details.

62. The CTCN, as Technology mechanism of the UNFCCC, is well positioned to connect the AFCIA programme with the UNFCCC as collaboration with the UNFCCC and Technology Executive Committee (TEC) is part of its mandate. The connection with the host countries of AFCIA's individual micro-grants will be ensured through CTCN's focal points, the Nationally Designed Entities (NDEs) and Adaptation Fund's focal points (NIE and DA). Activity 1.1.2 will design a repository that will be publicly available online through CTCN webpage and shared with the NDEs and Adaptation Fund focal points. Also, Regional Climate Weeks will be used to promote AFCIA II impact and achievements. NDEs are elected by their countries and hosted in ministries, such as the Climate Change,

Environmental Protection Agency or the Technology ministries. NDEs will connect AFCIA II programme to the national technology framework, such as the National Systems of Innovation that would be established under UNEP CTCN AFCIA II individual programme.

63. UNEP CTCN will focus on elevating systemic changes to support the individual AFCIA mechanisms. UNEP CTCN will:

- (a) Build collaboration between the Implementing Entities
- (b) Create coherent management leadership.
- (c) Invest in integrated capability development, create partnerships with Governments, the private sector, financial institutions, civil society and other stakeholders,
- (d) Generate awareness about AFCIA II.
- (e) Demonstrate the value of AFCIA II.

64. UNEP CTCN will manage this programme efficiently by ensuring effective communication channels between the AFCIA Implementing Entities. As part of the coordination services, a high-level Programme Steering Committee including at least a programme manager from each IE, with an overall support, leadership, supervision and oversight from UNEP CTCN and the Adaptation Fund will be established. This PSC will meet bi-annually to report on the progress of each IE's program, raise possible risks or difficulties faced in the implementation of the program, provide content that will be used to ensure the implementation of Component 3 of this programme and participate actively in the dissemination of the sharing and learning knowledge products. The Community of Practice created under Output 2.1 will also be used to share good practices with NDEs, DAs, IEs, Project Proponents and Implementing Partners. UNEP will provide guidance and good practices that could be implemented at micro-grants level to IEs through the internal coordination mechanisms and its respective quarterly webinars and/or newsletters (please refer to Activity 1.1.4) and support the sustainability of the regional innovation hubs (Output 2.2 and 2.3) by maintaining regular online communication between the participants.

UNEP CTCN will explore partnership between the CoP and existing platforms including the GAN⁴ as well as [adaptation-related CoP established and managed by the IEs](#) to unlock synergies and more efficiently support the global community/regional communities of adaptation innovation. Discussions to promote the longer-term sustainability of this CoP and suggestion to partner with the GAN and other [existing adaptation-related CoP managed by the IEs](#) will be continued during the AFCIA CS implementation through the coordination mechanism (quarterly calls) and the biannual PSC allowing IEs' regular exchange and strategic decision.

65. The connection with the host countries of AFCIA's individual micro-grants will be ensured through CTCN's focal points, the Nationally Designed Entities (NDEs) and Adaptation Fund's focal points (NIE and DA). NIE will be invited to be part of the Community of Practice as defined under Component 2, output 2.1. Other national institutions such as the NDEs, project proponents, Implementing Partners will also be involved in the community of practice. Component 3 on communication and knowledge sharing will also target non-conventional stakeholders including the youth, women and private sector.

66. Also, UNEP CTCN will make sure to formulate, establish, create, within the 2 months following the signature of this contract, the following tools:

- An accountability framework
- A quality assurance framework
- Clear communication lines
- Branding and visibility guidelines for comms.
- Participative comms & technical calendar
- Creation of a Teams group
- A Joint M&E framework
- 5 business intelligence tools (Activity 1.2.1)

⁴ [Global Adaptation Network \(GAN\)](#). Created in 2010 and hosted by UNEP, the GAN provides a worldwide platform to distribute and exchange climate change adaptation knowledge.

- 1 internal repository Activity 1.2.2)
- Dashboards.

67. The coordination services of the AFCIA programme will offer the opportunity to tap into all existing networks and partnership of the implementing entities. This will ensure increased consensus and better institutional coherence between the sub, individual programmes. Through its regional offices, it will seek to delivering support in response to national needs and realities, and taking account of differing regional integration processes, capacities and levels of development.
68. UNEP CTCN will facilitate inter-institutional collection, sharing and validation of climate-related knowledge and capacity at the national and subnational levels through UNEP CTCN and other's IEs regional offices, climate adaptation networks and relevant partners. UNEP CTCN will leverage they're and the other IE's extensive networks and partnerships to promote collaboration and engagement with the private sector, UNEP CTCN will target specific objectives and engage in high-impact advocacy actions to promote the policy and cultural shifts needed to orient producers and consumers towards sustainability.
69. UNEP CTCN will enhance the participation of major groups and stakeholders and their contribution to the AFCIA II programme, through the regional climate weeks and regional events (output 2.2) that will be held every year regionally with the participation of main beneficiaries of the projects implemented under AFCIA II. These dialogues will aim at allowing for engagement in a regional approach that will be a way to stimulate cooperation among projects and experts and initiate a discussion on how regulations could be harmonized, and National System of Innovations converge. It will contribute to enhanced capacity and promote cooperation among sectoral ministries with a stake in the implementation of adaptation actions while also securing greater involvement of subnational authorities in knowledge and data-sharing and related decision making to facilitate synergies and harmonize efforts. This will be done by involving CTCN's NDEs as well as Adaptation Fund's focal points along with GCF and GEF regional offices and national focal points. UNEP CTCN will further assess the potential, risks and gaps in relation to measures for tackling climate change and increase transparency through the annual reporting implemented at programme level, as well as the monitoring and evaluation component.(Activity 1.1.3).
70. The increased participation and accountability of multiple stakeholders (e.g., the private sector, local communities, Non-Government Organizations) through knowledge management, training workshops learning exchange events (Output 3.2) and on-line information exchange platforms in actions (Output 3.2), decision-making and monitoring (Component 1) will ensure the sustainability of the programme. UNEP CTCN will promote environmental social and behavioral change and support sustainable education and youth-led action with outreach campaigns and public engagement and by engaging with formal and non-formal education activities that shift knowledge, attitudes, behaviors and norms to address the importance of Climate Adaptation Technologies.
71. An open and constructive dialogue with other technical and financial assistance mechanism, and continuous working relationships with the Financial Mechanism (e.g., the Green Climate Fund (GCF) and the Global Environment Facility (GEF) will identify opportunities for complementary support and allow for deeper and more sustained operations of the AFCIA II programme.

F. Describe how the project / programme is consistent with national or sub-national sustainable development strategies, adaptation planning processes, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action, national adaptation plans (NAPs), nationally determined contributions (NDCs), adaptation communications, and other voluntary adaptation reports, where they exist, as well as with the UNFCCC technology framework, and other relevant instruments .

72. As part of its Coordination Services proposal, UNEP/CTCN intends to enhance the overall process of screening of innovation grant proposals to lead to more effective consistency with national priorities and stronger impact. This objective will be achieved through the following relevant activities:

- **Activity 1.1.4** : that aims at organizing specific webinars to support AFCIA IEs to improve their screening processes and practices. A specific webinar will be dedicated to support/ensure AFCIA proposals alignments with national policy framework and priorities.
- **Activity 1.2.2** : Under this activity a common internal repository will be created and populated by the IEs on each of their portfolio. It will support the screening process by collecting information on proposals alignment with national policy framework and defined priorities.
- **Activity 1.3.2** Dashboards will be populated to for a better visualization of data and information collected through the internal repository (including on the screening and sourcing processes)
- **Outputs 2.2 and 2.3** two in-person regional innovation hub events in one pilot region will be organized bringing together AFCIA selected concepts with the objective of strengthening the ideation process and encourage scale up of good practices. The regional innovation hubs would bring together players important to the knowledge helix” of government, academia, industry, and civil society thereby strengthening regional systems of adaptation innovation.

G. Describe how the project / programme would screen innovation small grant proposals for meeting the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund.

73. An AFCIA programme ESS and ESMP have been developed and integrated under Annex V. Each AFCIA IE will ensure that the micro-grant projects they have selected meet the relevant national technical standards through the ESS procedures which will be aligned with the Adaptation Funds related policy and checklist.

Guidance and support will be provided on the AF ESP and associated good practices linked to ESS screening and management through a specific webinar for IEs (activity 1.1.4)

The programme ESS and ESMP will be reviewed and updated annually during the PPR based on the results of ESP screening and management plans conducted at the micro-grant level and compiled by each IE.

Please refer Part II.N to access the ESP checklist, and to annex V for the ESS and ESMP.

H. Describe if there is duplication of project / programme with other funding sources if any.

74. Within the scope of the Adaptation Fund micro-grants accelerator duplication will be avoided using a transparent project dashboard, available online in which Implementing Entities will record all applications received, as well as all selected and awarded projects. This list will also be reviewed every trimester during SC’s meetings. This dashboard will be publicly available, and both the Adaptation Fund and members of the PSC of the AFCIA Implementing Entities will be given special user rights to access all associated documentation accompanying the applications. If duplication is found, the respective IEs in coordination with UNEP/CTCN will coordinate with the project proponent to help them decide which proposal, the project proponent want to keep and withdraw. The project proponent will send a formal letter to UNEP/CTCN to inform with which IEs he would prefer to continue the process of selection.

75. As per the mandate of the CTCN, and as defined by the MTS strategy of the Adaptation Fund, UNEP CTCN will engage with Nationally Determined Entities (NDEs) – also focal points to the UNFCCC – to creates linkages with UNFCCC bodies, which will also support the dissemination of the results and impact of the AFCIA II programme in an effective manner and limit the risks (such as duplication risks or lack of involvement from host countries).

I. Describe the learning and knowledge management system to capture and disseminate evidence, particularly of effective, efficient adaptation practices, products or technologies generated, as a basis for potential scaling up.

29

The learning and sharing knowledge management mechanism developed should be useful.

accessible, sustainable, and, to the extent possible, minimize inefficiencies and duplication. The AFCIA MIEs and RIEs are expected to coordinate closely to help bring about the optimal solution that would best serve the eligible recipient countries' innovation-for-adaptation knowledge needs, including knowledge already generated under AFCIA so far.

76. UNEP CTCN will take a highly innovative approach to its communications, using its growing foothold in digital spheres and social media spaces to reach and engage with an expanding range of audiences and stakeholders. UNEP CTCN will focus on empowering individuals and groups for environmental action, placing emphasis on voices from sectors and actors typically outside the environment space. UNEP CTCN will amplify the voices of youth, consumers, environmental entrepreneurs, activists, innovation leaders and faith-based actors and organizations, among others through CTCN's Advisory Board including youth and women constituency, through UNEP, CTCN and other IEs networks of partners. Communication efforts will be informed by the latest available science, including social science, and will be aimed at shaping the global narrative around innovative adaptation technologies by generating political and social commitments and bringing about the societal and policy changes required to tackle the challenges. UNEP CTCN communications will help deliver coherence and consistency across the broad spectrum of AFCIA II micro-grants.
77. UNEP CTCN will focus on delivering high-impact stories to enhance the understanding of climate adaptation technologies and its benefits and to mobilize societal behavioral change. By using innovative practices of storytelling, including immersive digital technologies, UNEP CTCN will highlight and share case studies on nexus issues and the linkages between the environmental, social and economic dimensions of sustainable development. These lessons will be adapted to local contexts and scales.
78. As a basis of this learning and sharing programme, UNEP CTCN will support stronger engagement with the private sector (SMEs, start-ups, enterprises) as a key partner in driving systemic shifts to sustainable pathways. UNEP CTCN will interact with the private sector at various levels, including through open networks, policy discussions and multi-stakeholder dialogue through regional innovation hubs and thematic events, as well as on specific applications of adaptation technologies. Through partnerships and alliances with the private sector, UNEP CTCN will target specific objectives and engage in high- impact advocacy actions to promote the policy and cultural shifts needed to orient producers and consumers towards sustainability.
79. UNEP CTCN will aim to catalyze and inspire actions, investments and partnerships that use innovative adaptation technologies to achieve greater efficiency, effectiveness and transparency in advancing internationally agreed climate actions.
80. Under AFCIA coordination services the following tools and resources lay the foundation of the programme's learning and knowledge management system to capture and disseminate evidence, particularly of effective, efficient adaptation practices, products or technologies generated, as a basis for potential scaling up. It is expected that evidence of impact will be created through Component 1, output 1.3 "a joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme"—and disseminated through Component 3: *Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally as reflected under paragraph 54 – Strategic Pilla2 , Innovation, ER4.*
 - Under Component 1, the following learning and knowledge management products will be delivered:
 - o 1 high-level Programme Steering Committee that will meet bi-annually (Activity 1.1.1 & 1.1.2)
 - o 1 Internal Coordination Mechanism (Activity 1.1.3)
 - o 6 webinars or newsletters to support AFCIA Implementing Entities (Activity 1.1.4) in the identification and selection processes maximizing adaptation impacts and socio-economic and environmental co-benefits, reaching the most vulnerable groups including women, meeting the relevant national priorities and technical standards as well as improving ESS screening and management, promoting

- o stakeholder engagement and building sustainability and scale up (Activity 1.1.4)
 - o 1 Online Management platform (Activity 1.2.1)
 - o 5 business intelligence tools Activity 1.2.1)
 - o 1 internal repository Activity 1.2.2)
 - o 1 joint M&E framework with 3 gender indicators (Activity 1.3.1)
 - o At least 5 Dashboard (Activity 1.3.2)
 - o 3 PPRs (Activity 1.3.3)
 - o 1 Final Evaluation
- Under Component 2, the following learning and knowledge management products will be delivered:
- o 1 Community of Practices supporting the grantees and partners to maximize benefits and impact of the individual micro-grant project supported by the programme (Activity 2.1.1)
 - o Quarterly newsletters and webinars, among others will be created to encourage the CoP members to participate to the discussions. Example of webinars could include the linkages between Climate Change – innovation- technologies and gender, as well as on specific sectors (Eater-Energy Food for example) or on specific technologies that could be implemented by different IEs (such as Solar Irrigation Powered Systems for example (Activity 2.1.3) or on how AFCIA could support transformational changes and enabling environments for adaptation innovation (Activity 2.1.3).
 - o 2 regional innovation hubs with at least 50% of speakers being women (Outputs 2.1 & 2.2)
- Under Component 3, the following learning and knowledge management products will be delivered:
- o 10 high-impact stories (Activity 3.1.1)
 - o 10 photo or video reportages (Activity 3.1.2)
 - o 5 good practices (Activity 3.1.3)
 - o 1 global knowledge report (Activity 3.1.4)
 - o 1 Knowledge management Strategy (Activity 3.2.1)
 - o 1 AFCIA Webpage (Activity 3.2.2)
 - o 3 awareness campaigns such as did you know campaigns, posts and tweets on social media to provide visibility on the AFCIA II programme to reach potential applicants including non-conventional stakeholders. (Activity 3.2.3)
 - o 1 podcast, TED-style talk or other innovative formats (Activity 3.2.6)
 - o 1 event/per year / region in the margins of international events (Activity 3.3.1)
 - o 1 event in the presence of CTCN AB Constituency Group (Activity 3.3.2)
 - o 3 learning conferences (Activity 3.3.3)
 - o 3 training webinars on the most popular technologies /sectors that have been covered by different IEs. (Activity 3.3.4)
 - o 1 impact event at COP ((Activity 3.3.5)

Component 2 and Output 3.1 will provide opportunities to identify common barriers and drivers to innovation in national systems and recommendations to address and promote these (policy, regulations, partnerships, institutional capacities, access to finance, market services etc.).

81. Because of the wide range of stakeholder groups involved, as well as their geographic and linguistic diversity, it is important to develop specific types of messaging and materials for each group. The CTCN intends to have translated subtitled in videos such as the ones made for the Gender Just Climate Solution Awards⁵. (Activity 3.2.4)

⁵ <https://www.youtube.com/watch?v=KqEKT-3vPLo&list=PLdL4CIDXOyPe8LJFbUleVoEka5pDb4CM->

J. Describe the consultative process that would take place, and how will it involve all key stakeholders, and vulnerable groups, including gender considerations.

82. The coordination services to coordinate AFCIA II programme will be managed in consultation with the Adaptation Fund, UNEP CTCN and IEs. Internal consultation will be ensured through the establishment of a high-level Programme Steering Committee that will meet on a bi-annual basis to provide feedback on the independent AFCIA programmes.

83. Consultative process will the wide range of stakeholders will be ensured through:

- UNEP CTCN Youth Constituency
- UNEP CTCN Women Constituency
- UNEP CTCN, Adaptation Fund, and IE's networks and partners
- UNEP and CTCN's regional offices
- Adaptation networks
- CTCN NDEs, Adaptation Fund's NIE and Designated Authorities.
-

A stakeholder engagement plan has been defined and can be seen under Annex VI.

A Gender Assessment has been created and is available under Annex IV.

A GAP is accessible under Section II.D of this proposal.

84. The consultative process of AFCIA II programme will also be ensured through the organization of:

- regional innovation hubs (Output 2.2 & 2.3)
- In person events: such as specific AFCIA II sessions organized at Regional Climate Weeks (output 3.3)
- Online events such as webinars on specific sectors and technologies (Activity 1.1.4, 2.2.1, Output 3.2)
- Learning Conferences on specific technologies or sectors (Output 3.2)
- Throughout the programme implementation, success stories, thematic good practice factsheets, knowledge publication will be promoted on several channels, including but not limited to UNEP, CTCN, Adaptation Fund, IE's webpages, networks and partners as well as through social medias, newsletters, adaptation platforms (Output 3.1)

85. AFCIA Coordination Services will ensure the connection with the host countries of AFCIA's individual micro-grants through CTCN's focal points, the Nationally Designed Entities (NDEs) and Adaptation Fund's focal points (NIE and DA). NIE will be invited to be part of the Community of Practice as defined under Component 2, output 2.1. Other national institutions such as the NDEs, project proponents, Implementing Partners will also be involved in the community of practice. Component 3 on communication and knowledge sharing will also target non-conventional stakeholders including the youth, women and private sector.

K. Describe how the project/programme draws on multiple perspectives on innovation from e.g., communities that are vulnerable to climate change, research organizations, or other partners in the innovation space, in the context in which the project/programme would take place.

86. The proposal shall ensure inclusive participation and continuous engagement of all partners. Innovation requires the pooling of many different actors' knowledge and expertise. All sorts of actors— from communities at the frontline of climate change to universities and research organizations, government bodies and private actors— can make valuable contributions to creating innovative adaptation solutions. Youth, women, disabled people and other often marginalized and/or vulnerable people can contribute to innovation, in addition to normally being prime target beneficiaries. Innovation may encourage the coming together of actors that have not commonly worked together on seeking a solution. In its work on innovation, the Fund should therefore encourage proponents to develop linkages between vulnerable communities, marginalized people, research organizations and other actors.

87. Where relevant, and through the creation of a community of practice (Component 2, Activity 2.1), of the regional innovation hubs that will encourage the participation of the knowledge helix, as well as the organization of learning exchange events (Component 3) the project/programme should facilitate collaborations and cocreation across public, private and civil society sectors and coordinate the application of scientific, technical, social and business innovations to leverage intellectual, financial and social resources from all, and share data, standards, results and learning widely. The programme will encourage multi- stakeholder participation during the regional or national events, such as Regional Climate weeks, by including e.g., youth, women, disabled people, researchers, civil society, and the private sector. A Gender Action Plan has been developed and is available under Annex IV to reflect which actions could be undertaken to draw on multiple perspectives on innovation.

88. It will support promote successful innovations, encourage new adaptation practices, tools and technologies through the formulation of success stories and professional reportages. The programme will also generate evidence on the conditions that lead to successful innovation. It will encourage, as part of an innovation approach partnerships, iteration, learning and adaptive management.

L. Provide justification for funding requested, focusing on the full cost of adaptation reasoning.

Neither the programme, nor the individual small grant projects will be required to provide co-financing, in line with the Fund's mandate to finance the full cost of adaptation. However, co-financing would be considered a positive addition to the initiative, including top-ups of the programme.

89. The objective of AFCIA II -Part II - coordination services is to enhance the scale up of the AFCIA II programme through coordination of its implementing entities and its monitoring and learning activities.

90. Without coordination between the various AFCIA initiatives, the risks identified, which this coordination project addresses, are:

- a. Scattered projects implemented in silos, missing the opportunity to create coordinated impact through multiple funding channels and multiple agencies, networks and visibility.
- b. request to the different windows and get their initiative approved by different IEs.

M. Describe how the sustainability of the programme outcomes has been considered when designing the programme, including in the screening of the innovation small grants projects. Describe the pathways to scale up successful small grant's projects.

The programme should include, in its design, pathways for scaling up, i.e., the process by which successful or promising innovations will be directed towards replication and/or scaling up, including for Adaptation Fund's financing window Large Innovation Projects/Programmes for the exceptionally promising small grants.

91. The programs will be developed in contexts that are often inadequate to scale up innovations. UNEP CTCN AFCIA II proposal reflects this challenge through the creation of strengthening of National System of Innovations. As part of UNEP CTCN Coordination Services proposal, UNEP/CTCN intends to foster systemic impact and support the sustainability of the programme through:

Outcome 2, Output 2.1: the creation of and AFCIA community of practice. Effort will be made to maintain dialogue, knowledge-sharing and exchange of views between the NDEs, Adaptation Fund DA/NIE, project proponents/implementing partners, IEs and EES Members will be encouraged to participate to the CoP through the organization of specific webinars and or newsletter around sustainability, scale up and National

Systems of Innovation or any other thematic that would be requested by the IEs. In case the programme is extended, new IEs, EEs and project proponents will be added as members of the community of practices (CoP) and will benefit from experience of AFCIA I and AFCIA II former participants. The CoP will support the sustainability of the regional innovation hubs (Output 2.2 and 2.3 described below) by maintaining regular online communication between the participants. UNEP CTCN will explore partnership between the CoP and the existing platforms including the GAN⁶ as well as [adaptation-related CoP established and managed by the IEs](#) to unlock synergies and more efficiently support the global community/regional communities of adaptation innovation. Discussions to promote the longer-term sustainability of this CoP and suggestion to partner with the GAN will be continued during the AFCIA CS implementation through the coordination mechanism (quarterly calls) and the biannual PSC allowing IEs' regular exchange and strategic decision.

Outcome 2, Output 2.2 & 2.3: Regional innovation hubs piloted in one region (outputs 2.2 and 2.3) will act as an accelerator of climate adaptation technologies and incubator of climate adaptation solutions through government leadership, bringing together diverse set of public and private sector stakeholders to strengthen innovation, business skills and financing. The expectation is that, over time, regional and national systems of adaptation innovation will be created inspiring youth engagement and climate action from the ground-up. As the AFCIA programme expands in future years, we expect that more and more countries will have at least one adaptation innovation concept shortlisted and become part of the regional innovation system and that if useful to investment facilitation and innovation acceleration, it will be continued and expanded in future phases of the AFCIA programme with the goal of strengthening regional systems of adaptation innovation over time.

Component 3 will provide Communication and knowledge management services that will support the capacity of AFCIA to leverage additional funding for successful adaptation technologies / innovations through increasing the visibility of the programme and information sharing among stakeholders.

N. Provide an overview of the environmental and social impact and risk screening process that will be put in place for the subgrant project.

92. An ESS screening and ESMP have been developed at programme level and will be updated annually based on results of ESS screening and management plans developed at micro-grant level and compiled by each IE, Monitoring of the identified risks will be ensured continuously by the implementer and reflected every year during the PPR. A pre-assessment of the risk has been developed by using Adaptation Fund's checklist that can be seen in the table below.

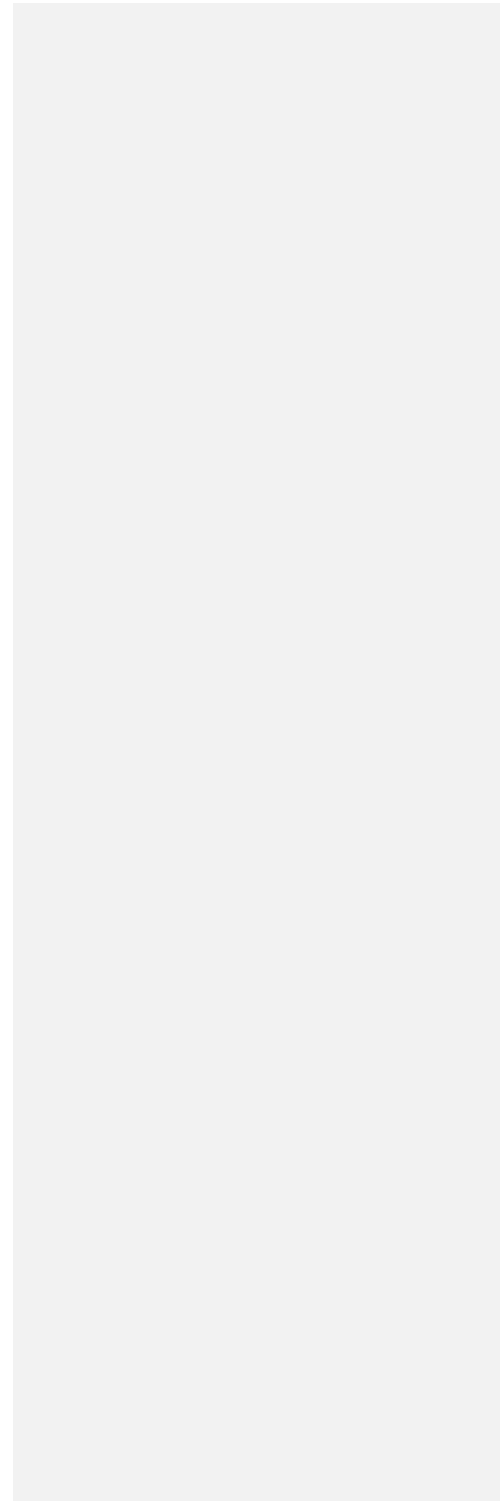
Table 4 – Checklist of Environmental and Social Principles

Checklist of environmental and social principles	No further assessment required for compliance	Potential Impact and risks – further assessment and management required for compliance.
Compliance with the Law	No risk foreseen due to the nature of the AFCIA coordination services (CS) proposal that is focus on coordination, knowledge management and learning activities implemented at global level to strengthen performance of the AFCIA individual programmes. FCIA CS will aim at strengthening	

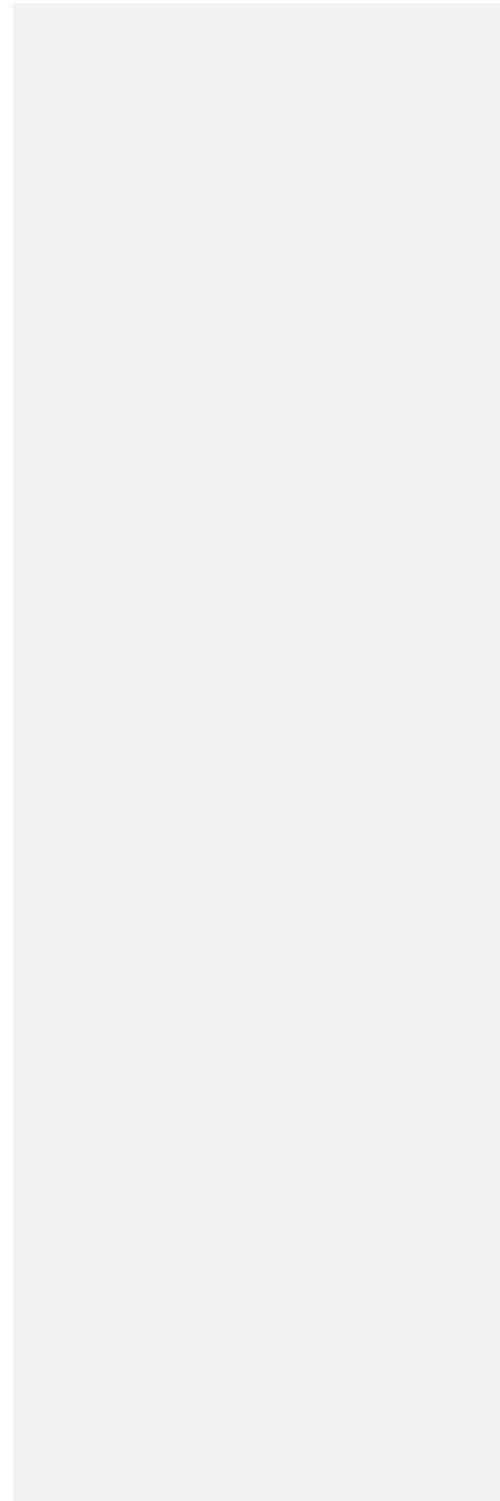
⁶ [Global Adaptation Network \(GAN\)](#). Created in 2010 and hosted by UNEP, the GAN provides a worldwide platform to distribute and exchange climate change adaptation knowledge.

	sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.	
<i>Access and Equity</i>	o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.	
<i>Marginalized and Vulnerable Groups</i>		There is a risk that AFCIA Coordination Services programme could not reach marginalized and vulnerable groups globally and that those groups will not benefit as they should from individual small grant projects supported by the programme
<i>Human Rights</i>	o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.	
<i>Gender Equity and Women's Empowerment</i>		There is a risk that AFCIA Coordination Services programme would not reach equally the women and the men globally and that women would not benefit as they should from individual small grant projects supported by the programme
<i>Core Labor Rights</i>	o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and	

	<p>learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.</p>	
<i>Indigenous Peoples</i>	<p>o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.</p>	
<i>Involuntary Resettlement</i>	<p>o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.</p>	
<i>Protection of Natural Habitats</i>	<p>o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce riskof small grant projects.</p>	



<p><i>Conservation of Biological Diversity</i></p>	<p>o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.</p>	
<p><i>Climate Change</i></p>	<p>o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.</p>	
<p><i>Pollution Prevention and Resource Efficiency</i></p>	<p>o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.</p>	
<p><i>Public Health</i></p>	<p>o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing,</p>	



	screening and monitoring processes to maximize impacts and reduce risks of small grant projects.	
<i>Physical and Cultural Heritage</i>	o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.	
<i>Lands and Soil Conservation</i>	o risk foreseen due to the nature of the AFCIA coordination services proposal that is focus on coordination, monitoring and learning activities implemented at global level to strengthen impact and performance of the AFCIA individual programmes. AFCIA CS will aim at strengthening sourcing, screening and monitoring processes to maximize impacts and reduce risks of small grant projects.	

93.A Grievance Redress Mechanism following UNEP and Adaptation Fund guidelines will be established. The mechanism will be explained in the dedicated webpage created specifically for this Programme under CTCN webpage. Also, reference to this program’s Grievance Redress Mechanism will be made in the Response Plan (term of Reference) that are publicly available in CTCN webpage. This mechanism will also be described and explained during the kick -of call and inception meeting to ensure that all key stakeholders involved in the implementation of the micro-grants are aware of this modality and understand how to access it should it be needed.

94. ESP risk identification, impact assessment, ESMP and risk categorization at programme level have been prepared and are available under Annex V.

PART III: IMPLEMENTATION ARRANGEMENTS

- A.** Describe the arrangements for project / programme management at the regional and multi-regional level, including coordination arrangements within countries and among them. Describe how the potential to partner with national institutions, and when possible, national implementing entities (NIEs), has been considered, and included in the management arrangements.

As per Document AFB/B.25/6/Rev.2, regional project and programme proposals are allowed a higher and more flexible maximum level for administration costs, to help ensure regional cooperation, and, as such, the maximum level for the implementing entity management fee. For regional projects/programmes, the administrative costs (Implementing Entity Management Fee and Project/ Programme Execution Costs) at or below 10 per cent of the project/programme for implementing entity (IE) fees and at or below 10 per cent of the project/programme cost for the execution. In case the IE is serving as EE, which is acceptable AFB/PPRC.24/4 9 only under exceptional circumstances and must be well-justified, the execution cost should be limit to 1.5% of the part of the project/programme executed by the implementing entity. If the actual execution costs of the IE exceed the 1.5% cap a justification should be provided. As with regional projects and programmes, proposals for AFCIA programmes need to provide budgets for these two categories.

The arrangements for ensuring country-drivenness, in case where Letters of Endorsement from the Designated Authorities of the Fund are not provided at submission, should be described, including a timeline and point in process where such letters will be obtained and when and how they would be submitted to the AFB secretariat.

95. UNEP is presenting its candidature to be the Multilateral Implementing Entity (MIE) for the proposed AFCIA II – Coordination Services programme. As per UNEP Mid-Term Strategy¹⁴, the expected 2030 outcome of UNEP’s Climate Change sub-programme is that “government and nongovernment development actions are compatible with the long-term mitigation and resilience goals of the Paris Agreement. The climate action sub-programme will operate through the following principles.
- a. Supporting country and stakeholder emission cuts and adaptation to climate change to facilitate stronger interactions between science, policy, finance, technology and the economy.
 - b. Supporting countries and stakeholders in achieving rapid, just and comprehensive decarbonization in line with their climate commitments and aspirations
 - c. Focusing on those who can contribute to the highest impact while supporting the most vulnerable.
 - d. Promoting policies and actions for sustainable consumption and production practices that reduce greenhouse gas emissions.
 - e. Delivering tangible results through partnerships and integrated approaches
96. UNEP is a leader in promoting partnerships on adaptation to Climate Change such as demonstrated by the creation of the United Nations multi-partner trust fund SOFF15 launched during COP 26 willing to address missing data gap by providing long-term, systematic, technical and financial support to the countries with the largest capacity gaps, with a focus on LDCs and SIDS. UNEP is also managing the Global Adaptation Network (GAN) which is a very active knowledge-sharing platform for climate adaptation funded in 2010. GAN is composed of many constituents’ regional networks and partners, each of which provide knowledge services in their respective regions including: The Asia Pacific Adaptation Network (APAN), Regional Gateway for Technology, Transfer & Climate Action in Latin

America and the Caribbean (REGATTA), Ecosystem-based Adaptation for Food Security in Africa Assembly (EBAFOSA), EcoAdapt, The West-Asia Regional Network on Climate Change (WARN-CC). AFCIA Community of Practice created under Output 2.1 could be connected to the GAN as well as to existing adaptation-related CoP established and managed by the IEs to unlock synergies and more efficiently support the global community/regional communities of adaptation innovation as well as other communities of practice that other AFCIA IEs may be running.

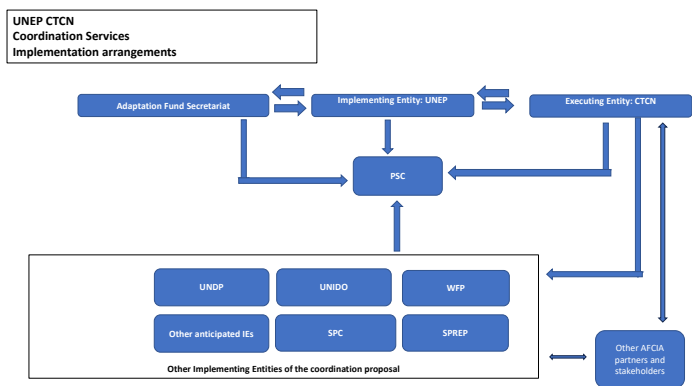
97. UNEP has implemented over 70 climate change adaptation projects at all levels (global to local) to date covering more than 60 countries with a total grant investment of over \$340 million coming from various donors including the Adaptation Fund (AF), the Global Environment Facility (GEF) and the Global Climate Fund (GCF). UNEP will be able to use its current experience in implementing another micro grant programme called Global EbA Fund (GEBAF). Implemented together with IUCN and funded by the German International Climate Initiative (IKI), the GEBAF is a funding mechanism for catalytic, innovative, and inclusive projects that aim to create an enabling environment for the implementation of Ecosystem-based Adaptation (EbA) to enhance the resilience of vulnerable communities and ecosystems to the impacts of climate change. These projects develop innovative solutions for national governments and local communities to adapt to the effects of climate change in an environmentally sound manner including enhancing climate resilience by restoring valuable ecosystems. Investments into ecosystems, flood and coastal protection, water catchment and storage, and alternative livelihoods aim at helping people buffer climate change impacts including droughts, floods, sea level rise and cyclones among others.

98. A description of the implementation arrangements is provided in the figure below and clarifies the roles and responsibilities of each entity in the implementation of UNEP CTCN AFCIA Coordination proposal.

In this graph, acronyms are used. A definition of these acronyms is available below and can also be found in annex II.

- AF: Adaptation Fund
- CTCN: Climate Technology Center and Network
- SCP: Pacific Community
- SREP: Secretariat of the Pacific Regional Environment Programme
- UNEP - United Nations Environment Programme
- UNIDO: United Nations Industrial Development Organization
- WFP: United Nations World Food Programme
- UNDP: Implementing partner of AFCIA I
- To be defined: other anticipated AFCIA Implementing Entities.

Figure 2 - Implementation arrangements



99. UNEP will oversee the project and provide the technical assistance/capacity building required to achieve its objective, and to ensure consistency with Adaptation Fund and UNEP policies and procedures. This supervision / oversight function will be the responsibility of the Climate Change Adaptation Unit's Task Manager). UNEP will commission independent final evaluation.

The following implementation services under the MIE modality will be provided by UNEP for the proposed project:

- Facilitate the interactions with the Adaptation Fund Board and related stakeholders.
- Provide oversight on AFCIA II implementation, technical advice, and adaptive management.
- Ensure receipt, management, and disbursement of Adaptation Fund funds in accordance with the financial standards of the Adaptation Fund.
- Support information/communication management and experience sharing through the development of articles, integration of case studies into bigger UNEP publications, participating to webinars and events, maintaining project databases to share programme information with a wide audience.
- Support and ensure the quality of monitoring, review and evaluation processes including the annual Project Performance Report (PPR), and Final Evaluation (TE) for programme performance.
- Ensure incorporation of lessons learned/best practice to improve implementation and future/similar programmes.

100. Following MTR recommendation (please refer to Section II.A), the coordination proposal has been expanded to include in-person regional innovation hub events (Component 2, outputs 2.2 & 2.3) in one pilot region. The regional innovation hubs would bring together players important to the "knowledge helix" of government, academia, industry, and civil society thereby strengthening regional systems of adaptation innovation and national/regional coordination and collaboration within and among countries. The Coordination Services Proposal will fund the participation of the Adaptation Fund, IEs, selected Implementing Partners and Project Proponents along with the NDE and DAs of the selected host countries.

101. Also, a Community of Practice will be created under Component 2, Output 2.1. This Community of Practice will connect NDEs (thus host countries), Adaptation Fund DA/NIE, project proponents, the implementing partners, the IEs and EEs between themselves and create a coordination mechanism between countries. The role of the Community of Practice will be to strengthen exchange of experience across AFCIA projects and enhance the quality of project implementation, demonstrate successful pilots of technologies and discuss potential mitigating measures to identified implementation challenges and risks and support the sustainability of the regional innovation hubs (Output 2.2 and 2.3) by maintaining regular online communication between the participants. UNEP CTCN will explore partnership between the CoP and the existing platforms including the GAN as well as existing adaptation-related CoP established and managed by the IEs to unlock synergies and more efficiently support the global community/regional communities of adaptation innovation. Discussions to promote the longer-term sustainability of this CoP and suggestion to partner with the GAN will be continued during the AFCIA CS implementation through the coordination mechanism (quarterly calls) and the biannual PSC allowing IEs' regular exchange and strategic decision.

Executing Entity – programme level

102. The Climate Technology Centre and Network (CTCN). will execute the programme. The functions comprise day to day implementation of project activities, the preparation and implementation of work plans and budget revisions; logistical support; disbursement of funds, financial management and associated reporting; coordination with the IEs. All operations under this grant will be conducted in accordance with UN governance structure and management procedures, as well as UN standards for accountability, transparency, and ethical integrity. Same implementation arrangements than for AFCIA I will be followed as UNEP and CTCN were able to good complementarities and strong working relationship. In addition, an internal legal agreement will be signed between UNEP and CTCN to reflect the Terms and References of UNEP CTCN AFCIA Coordination proposal.

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103. To support the organization of the regional innovation hubs (Component 2) the CTCN is expected to hire

a Regional Partner to support the logistics of the regional innovation hubs - both small (output 2.2) and large (output 2.3).

104. A high-level Programme Steering Committee (PSC) will be established. The PSC is responsible for providing overall guidance on project implementation. It will oversee the work of the execution team and make management decisions. The PSC will also play a critical role in the monitoring and evaluation of the project and make sure that the results of evaluations are effectively and efficiently used to enhance project performance, accountability and learning. Furthermore, it will be responsible for approving strategic decisions and annual work plans, setting programme direction, reviewing progress of the project, approve corrective measures to respond to upcoming challenges and identifying additional funding for the implementation of the project, as necessary. The PSC will include one representative from each IEs, Adaptation Fund and UNEP CTCN. The composition and mandate of the PSC will be formalized at the project inception phase. UNEP/CTCN will chair the PSC. The PSC will meet twice a year and additional ad hoc meetings will be held, if necessary, to discuss key project performance indicators and to provide guidance on project direction.

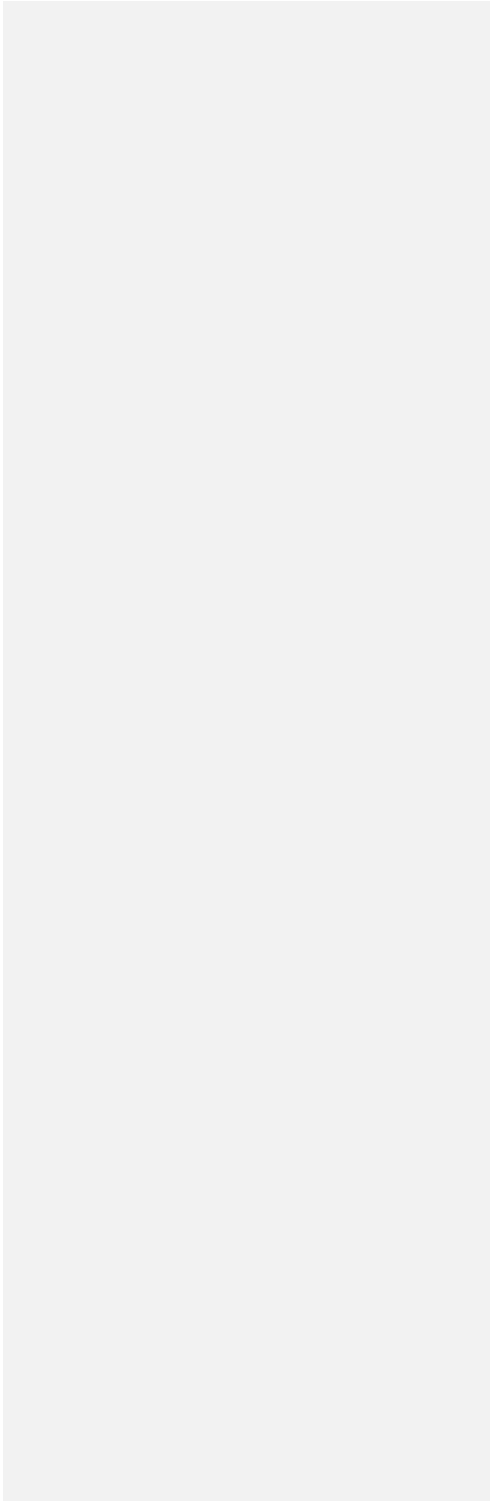
105. Direct beneficiaries of the projects will be the IEs. IEs will be part of the PSC and will benefit from the Internal Coordination Mechanism. Indirect Beneficiaries of the projects will be :

- The NDEs: The National Designated Entities of the countries hosting micro-grants implemented by the IEs as part of their respective AFCIA programmes, will be invited to be part of the Community of Practice and to participate in-person to the regional innovation hubs.
- The Designated Authorities of the countries hosting micro-grants implemented by the IEs as part of their respective AFCIA programmes, will be invited to be part of the Community of Practice and to participate in-person to the regional innovation hubs.
- The Project Proponent(s) of the countries hosting micro-grants implemented by the IEs as part of their respective AFCIA programmes, will be invited to be part of the Community of Practice and to participate in-person to the regional innovation hubs.
- The Implementing Partners. will be invited to be part of the Community of Practice and to participate in-person to the regional innovation hubs.

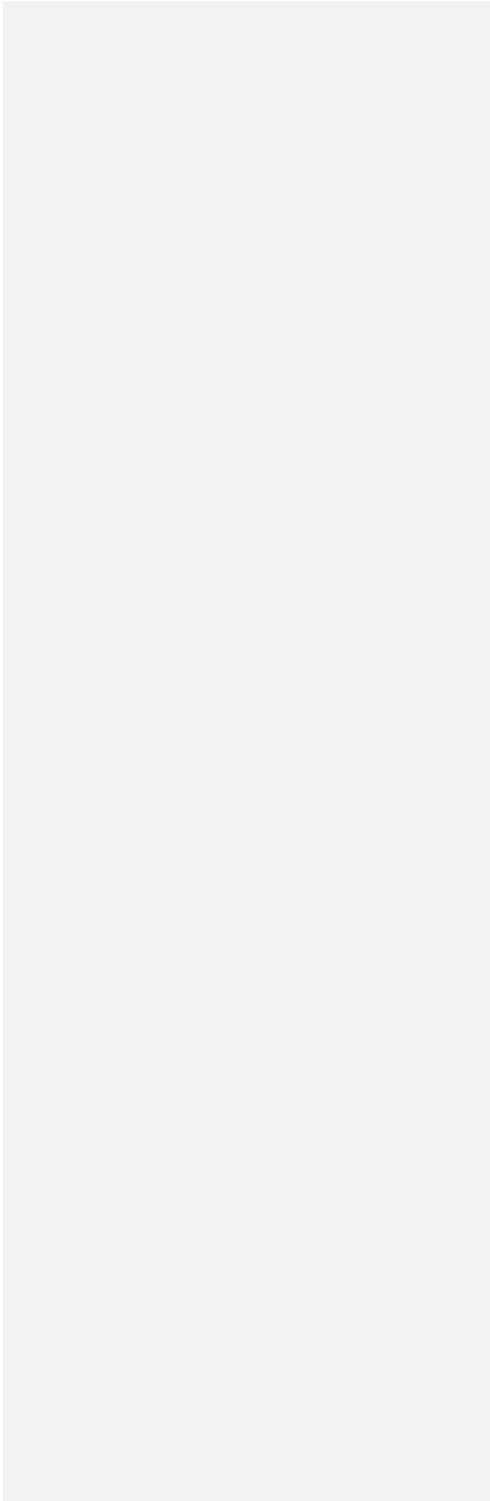
B. Describe the measures for financial and project / programme risk management.

Table 5: Programme risk management

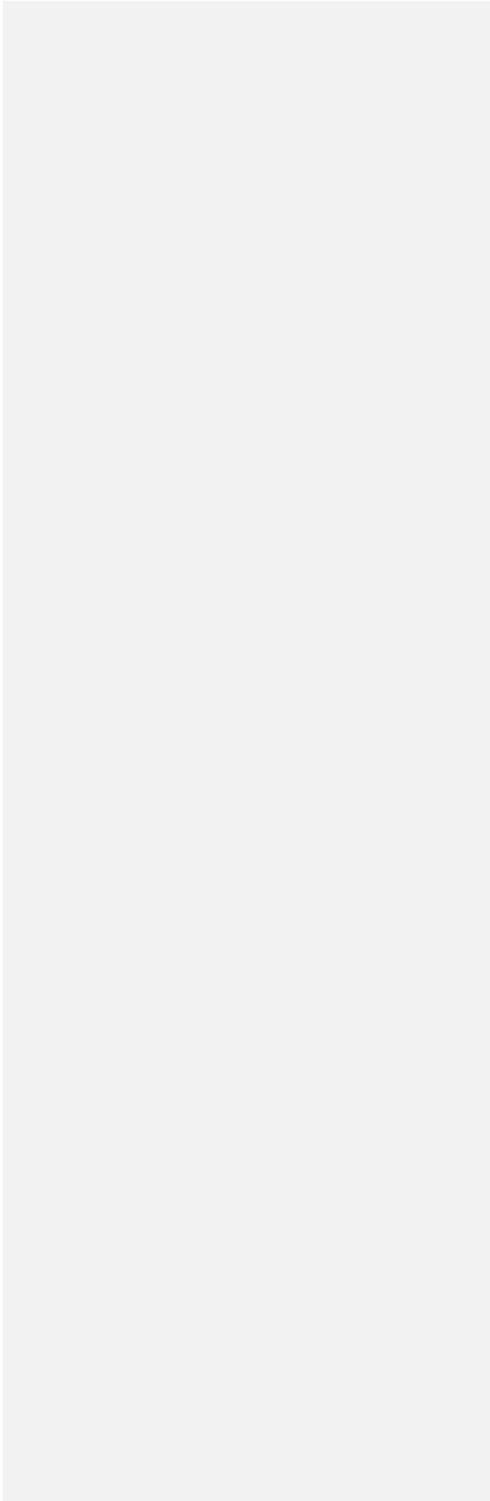
Risk Category	Specific Risks	Probability of occurrence	Impact Level	Mitigation measures
Management risk	Risk of duplication of the awarded requests	Medium	Medium	A repository of awarded projects will be created and continuously updated by the IEs.
	Lack of coordination between IEs	Low	Low	There is an ongoing and continuous relationship within UN agencies. A thorough consultative and participatory approach will be applied with the IEs through Component 1 and its Bi-annual PSC meetings and quarterly coordination calls (output 1.1) as well as with the online management platform (Output 1.2) and the joint Monitoring and Evaluation framework (Output 1.3)



			<p>Also, component 2 with the creating of the CoP (Output 2.1) and the regional innovation hubs(output 2.2 & 2.3) should be the opportunity to act in coordination and seek to create a joint and common impact.</p> <p>Component 3 with the development of Impact Stories (Output 3.1) Knowledge Products (Output 3.2) and organization of specific geographic and thematic dissemination events in the margins of international conferences (Output 3.3) will also encourage the IEs in maintaining a close and continuous communication between each other.</p> <p>A proper coordination will be sought through the CTCN.</p> <p>During the first PSC meeting, IEs will discuss and approve roles and responsibilities in the implementation of the CS proposal, commitment will be recorded via the PSC minutes shared and approved by all members.</p> <p>In addition PSC ToR including the composition of the PSC Secretariat and Chair will be developed and approved by all members</p>
Access to data: Problem in collecting information on the results of the micro-grants and in creating evidence of impact.	Low	High	<p>Bi-annual PSC meetings and agreed workplans.</p> <p>A common Results tracker for the project implementation and for comms will be developed, approved by the AF and used by each IEs.</p> <p>It is expected that evidence of impact will be created through Component 1, output 1.3, supported by an online programme management platform and relevant tools (Output 1.2)</p>



				and disseminated through Component 3.
Communication risk	Branding and image: Each IEs has its own identity while AFCIA II needs to be promoted as a whole	Medium	Medium	UNEP CTCN will propose, as part of the coordination services, a common branding that each IEs will be requested to use for AFCIA II related purposes.
Gender Risks	There is a risk that AFCIA Coordination Services programme would not reach equally the women and the men globally and that women do not benefit how they should from individual small grant projects implemented at country level.	Low	Low	<p>Component 1 will create an Internal Coordination Mechanism to support AFCIA Implementing Entities. Under this coordination mechanism, specific webinars will be organized to better address gender considerations linked to adaptation innovations(Activity 1.1.4) Gender indicators will be integrated to the M&E framework (Activity 1.1.3) and gender information on micro-grants will be collected on a regular basis through the common internal repository (Activity 1.1.2)</p> <p>Component 2 will support the establishment of an AFCIA Community of Practices supporting the grantees and partners to maximize benefits and impact of the individual micro-grant project supported by the programme. (Output 2.1)</p> <p>UNEP CTCN will focus on empowering individuals and groups for environmental action, placing emphasis on voices from sectors and actors sometimes outside the government space, by engaging with gender constituency, through CTCN AB. (Output 2.2, 2.3 Output 3.3). During the regional innovation hubs, the Panel of speakers and technology</p>



				<p>providers of the regional innovation hubs will be composed by 50% of women.</p> <p>Under component 3, at least 25% of knowledge products produced will address gender considerations.</p> <p>Also, a Communication and KM strategy that integrates gender mainstreaming activities will be developed (Activity 3.2.1)</p> <p>Finally, at least one awareness campaign targeting women, youth and the most vulnerable communities will be organized (Activity 3.2.1) and one section in the presence of CTCN AB Constituency representative will be set up (Activity 3.3.2).</p> <p>An ESMP has been developed and is accessible under Annex V.</p> <p>A gender assessment has been developed and is available under Annex IV.</p> <p>A GAP has been formulated and can be found under Part II. Section D.)</p>
Marginalized and Vulnerable Groups	There is a risk that AFCIA Coordination Services programme could not reach all marginalized and vulnerable groups globally and that those groups do not benefit as they should from individual small grant projects implemented at country level	Low	Low	<p>Component 1 will create an Internal Coordination Mechanism to support AFCIA Implementing Entities. Under this coordination mechanism, specific webinars will be organized to better address the challenges faced by the IEs that could include the link between innovation and vulnerable communities. (Activity 1.1.4)</p> <p>In addition, component 3 of the programme will organize events in the margins of international events (such as NDE Forum, SBs, and COPs) - Output 3.3.</p> <p>Those international events often ensure the presence of representatives of marginalized and vulnerable</p>

				groups. An ESMP has been developed and is accessible under Annex V.
Transformational change cannot be achieved with only 2 regional innovation hubs.	Regional Innovation hub pilot may take longer than two iterations to show success.	H	H	Should the regional innovation hubs be successful, they could be continued / replicated / scaled up with future AFCIA programmes. A technology specialist will be recruited for a full-time job over 3 years to build the innovation marketplace by identifying stakeholders and securing their involvement, preparing the format of the events and the funding model, as well as building partnerships (business, academia, government and non-government organisations) to support the AFCIA programme. The virtual community of practice (CoP) supported by a digital platform will play a major role in supporting the sustainability of the regional innovation hubs by maintaining regular online communication between the participants throughout the CS implementation. UNEP CTCN suggests linking AFCIA CoP to an existing platform such as GAN to ensure post-project sustainability. This decision will be discussed through the coordination mechanism (quarterly calls) and the biannual PSC allowing IEs' regular exchange and strategic decision.

C. Describe the measures for environmental and social risk management, in line with the Environmental and Social Policy of the Adaptation Fund.

106. A risk assessment and ESMP of AFCIA Coordination Services is available under Annex V. The programme ESS and ESMP will be reviewed and updated annually during the PPR based on the results of ESP screening and management plans conducted at the micro-grant level and compiled by each IE.

D. Describe the monitoring and evaluation arrangements and provide a budgeted M&E plan.

The proposed project will comply with formal guidelines, protocols and toolkits issued by the AF and UNEP CTCN and specifically AFB/PPRC.29/44.

107. Progresses as well as risks and assumptions will be regularly monitored by UNEP CTCN during the high-level Programme Steering Committee regular meetings (twice a year). Risk assessment and rating is an integral part of the Project Progress Review (PPR). The quality of the programme's M&E will be reviewed and rated as part of the PPR. Appropriate financial parameters will be monitored annually to ensure the cost-effective use of financial resources through the PPR. Specific indicators aligned with the Strategic Pillar 3 of the Adaptation Fund MTS will be defined in the PPR and respective Result Tracker to monitor the evolution of the Coordination Services proposal.
108. Under Component 1, an online Programme Management platform, as well as a specific M&E framework to support standardized reporting of impact and facilitate comparison across the different micro-grant projects funded by programme will be established. Dashboards will be developed to provide an overview of the programme results. Annual PPRs as well as a closing report compiling all results of this M&E framework will be submitted to the Adaptation Fund (Activity 1.3.4)
109. Both the KMS and comms strategy as well as the Impact of the individual AFCIA programmes will be monitored, reported and disseminated through the Joint M&E (Activity 1.1.3). An independent Final Evaluation of the coordination services delivered will be ensured at closure of the programme.

Evaluations

110. In line with the AF Evaluation requirements and UNEP's Evaluation Policy, and as the project will only have a duration of 3 years, no independent Mid-Term Evaluation or management-led Mid-Term Review at mid-point will be organized. In addition, all projects are subject to a performance assessment when they reach operational completion. This performance assessment will be either an independent Final Evaluation or a management-led Final Review.
111. The UNEP Evaluation Office will provide tools, templates, and guidelines to support the Review consultant. For all Final Reviews, the UNEP Evaluation Office will perform a quality assessment of the Final Review report and validate the Review's performance ratings. This quality assessment will be attached as an Annex to the Final Review report, validated performance ratings will be captured in the main report.
112. However, if an independent Final Evaluation (TE) of the project is required, the Evaluation Office will be responsible for the entire evaluation process and will liaise with the Task Manager and the project implementing partners at key points during the evaluation. The TE will provide an independent assessment of project performance (in terms of relevance, effectiveness, and efficiency), and determine the likelihood of impact and sustainability. It will have two primary purposes: (i) to provide evidence of results to meet accountability requirements, and (ii) to promote learning, feedback, and knowledge sharing through results and lessons learned among UNEP staff and implementing partners. The direct costs of the evaluation (or the management-led review) will be charged against the project evaluation budget. The TE will typically be initiated after the project's operational completion. If a follow-on phase of the project is envisaged, the timing of the evaluation will be discussed with the Evaluation Office in relation to the submission of the follow-on proposal.
113. The draft TE report will be sent by the Evaluation Office to project stakeholders for comment. Formal comments on the report will be shared by the Evaluation Office in an open and transparent manner. The project performance will be assessed against standard evaluation criteria using a six-point rating scheme. The final determination of project ratings will be made by the Evaluation Office when the report is finalized. The evaluation report will be publicly disclosed and will be followed by a recommendation compliance process. The evaluation recommendations will be entered into a Recommendations Implementation Plan template by the Evaluation Office. Formal submission of the completed Recommendations Implementation Plan by the Project Manager is required within one month of its delivery to the project team. The

Evaluation Office will monitor compliance with this plan every six months for a total period of 12 months from the finalization of the Recommendations Implementation Plan. The compliance performance against the recommendations is then reported to senior management on a six-monthly basis and to member States in the Biennial Evaluation Synthesis Report.

114. A monitoring and Evaluation plan, describing how the Final evaluation has been budgeted is as follows:

Table 6 - Monitoring and Evaluation Plan

Type of M&E activity	Responsible parties	Timeframe	Budget in US \$
PPR	CTCN UNEP	Annually	IE and EE fee
Final Evaluation	CTCN UNEP	At closure	80,000 USD EE fee
Supervision and oversight to programme implementation	UNEP	Throughout implementation	18,150 USD IE fee
Participate and facilitate the PSC meetings, and			20,000 USD IE fee
Develop annual PPR commission			20,000 USD IE fee
Validate TE, share results and integrate recommendations			20,000 USD IE fee
Review final annual financial reports and monitor expenditure			13,000 IE fee

E. Include a results framework for the project / programme proposal, including milestones, targets, and indicators.

Table 7- Results framework

Objective	Indicator	Baseline	Target	Means of Verification	Assumptions
To enhance the performance and impact of the AFCIA II programme through a coordinated approach to its programming monitoring and learning activities.	# Number of coordination meetings between IEs	No coordination between the different IEs	Quarterly coordination calls and biannual PSC meetings organized between IEs.	Coordination call and PSC minutes	Implementing Entities are cooperating with UNEP CTCN in the coordination services of the AFCIA programme.
	# Number of countries and # of direct and indirect beneficiaries (Disaggregated by gender and youth) aware of the AFCIA programme and encouraged to apply	Visibility of the programme limited.	At least 100 countries and 1,000 stakeholders among which at least 20% of women have been reached by the programme and encouraged to apply.	Webinars, event attendance lists disaggregated by gender, website/social media entry, emails, newsletter recipients.	The micro-grants and respective climate adaptation technologies are generating impact at local level.
	# Number of evidence of effective adaptation innovation collected and disseminated	Monitoring done at small grant project level and not comparable across the programme.	Knowledge and evidence on at least 30 high-quality, innovative adaptation actions are collected and disseminated.	Good practices factsheet, closing M&E report, knowledge publication, high impact stories	Global comms and KMS strategies are effective and reach a large audience of stakeholders.
Outcome	Outcome indicator	<i>Baseline</i>	Target	Means of Verification	Assumptions
Outcome 1: Impact and performance of individual AFCIA programmes increased thanks to	# Degree to which AFCIA coordination services have allowed improved management and joint reporting of individual AFCIA programmes	<i>Low</i>	High	Surveys among IEs	PSC members see an interest to be engaged in the coordination structures.

coordination, management and monitoring services provided to Implementing Entities	(scale, low, medium, high)				
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Output 1.1 Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.	# Number of PSC meetings convened	0	6 PSC meetings organized (biannual)	List of the member of this PSC and Constitution document disaggregated by gender	The IEs are cooperative, and the coordination mechanisms and protocols are successfully applied.
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<p>Output 1.2 Online programme management platform and relevant tools are developed and regularly updated by all IEs.</p>	<p># Number of digital and editable management tools developed and updated by IEs</p> <p># Number of gender-responsive indicators formulated under the coordination instruments (repository, editable annual reports, M&E tracking tool, etc.)</p>	<p>0</p>	<p>1 online Programme Management platform</p> <p>At least 5 Business intelligence tools are created.</p> <p>1 repository to share information on micro-grant projects implemented through AFCIA II</p> <p>At least 3 gender indicators formulated.</p>	<p>UNEP CTCN's Programme Management Platform</p>	<p>UNEP CTCN can create a specific window within SharePoint to ensure the management of the AFCIA programme.</p> <p>All IEs can easily access the created platform.</p> <p>Platform is updated regularly and enables an effective management of the programme.</p>
<p>Output 1.3 A joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme.</p>	<p># Number of Monitoring and Evaluation framework established.</p> <p># Number of annual progress reports at programme level</p>	<p>0</p>	<p>1 Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme.</p> <p>3 Annual PPRs TE</p>	<p>Monitoring and Evaluation framework</p>	<p>UNEP CTCN can create or use its internal Monitoring and Evaluation platform to monitor AFCIA II programme's impact.</p> <p>All IEs can easily access. The M&E system.</p> <p>M&E is updated regularly (at least once a year) and enables an effective management</p>

					of the programme. PPR is submitted every year. Final Evaluation will be undertaken at closure.
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Outcome 2 Adaptation technology development and scale up are strengthened through the establishment of a Community of Practices (CoP) and development of a regional innovation hub	# Degree to which AFCIA coordination has added value to individual AFCIA programmes in terms of innovation project quality and range of investments (Scale: Low, Medium, High)."	Low	High	Surveys among IEs	There are enough strong technologies to support the development of 9 webinars or newsletters. Members of the CoP (IEs, IPs, Project Proponents, NDEs, DAs) are actively engaged with the CoP.
Output 2.1 Sharing of experience for improved practices is ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation	# Number of Community of Practice established. # Number of webinars and /or newsletters organized under the Community of Practice	0	52 1 CoP created. Quarterly newsletters and webinars organized under the CoP. At least 1 webinar or newsletter is focusing on transformational change, enabling	List of participants to the CoP (disaggregated by gender). PPR. ESMP	UNEP CTCN develops a community of practice and IEs agree to participate in it.

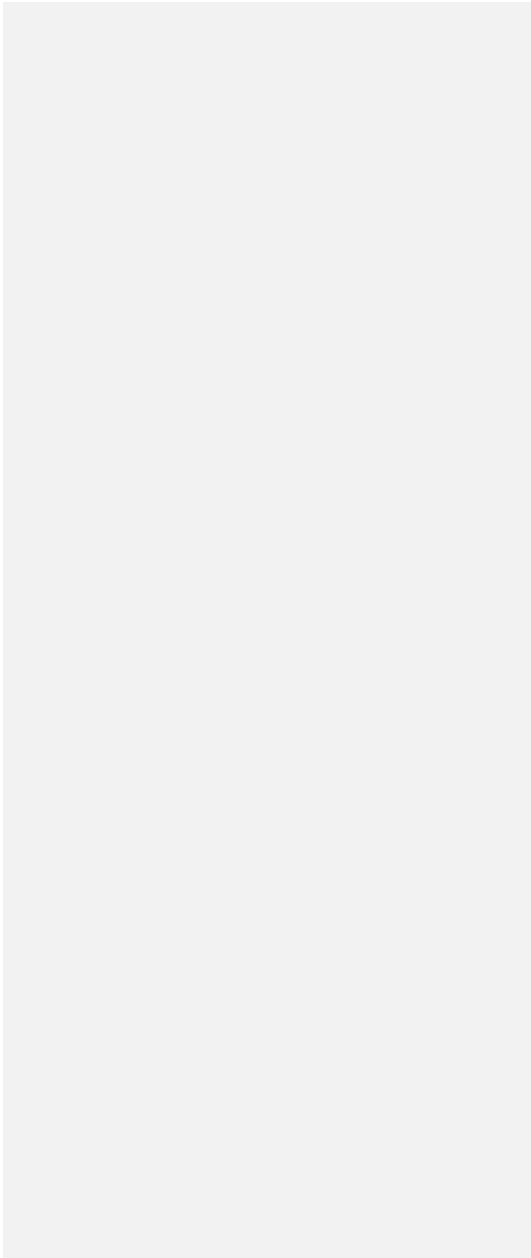
innovation and technology.	<p>#Number of CoP webinars focusing on transformational change, enabling environment and NSI organized.</p> <p>#Number of webinar focusing on marginalized groups/maximized adaptation benefits, stakeholder engagement organized.</p>		<p>environment and NSI.</p> <p>At least 2 webinars or newsletters are focusing on marginalized groups/maximized adaptation benefits, stakeholder engagement.</p>		
<p>Output 2.2</p> <p>One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors</p>	<p># Number of regional innovation hubs organized to strengthen ideation process</p> <p># Types of stakeholders participating to the regional innovation hub organized to strengthen ideation process</p> <p>#Number of female speakers represented in the regional innovation hubs.</p>	0	<p>1 regional hub organized with at least 75 participants attending.</p> <p>At least 8 different types of stakeholders are attending the regional hubs including but not limited to AF, IEs, Project Proponents, NDE, DA, private sector, financial institutions, civil society, Implementing Partners.</p> <p>At least 50% of the speakers of the regional innovation hubs are women.</p>	<p>List of participants disaggregated by types of institutions and gender.</p> <p>List of speakers disaggregated by gender.</p> <p>PPR</p>	<p>There are enough women involved in the implementation of the micro-grants to reach the indicator.</p>
<p>Output 2.3</p> <p>A follow-up regional innovation hub is organized to build on and</p>	<p># Number of regional innovation hubs organized to strengthen the profile of AFCIA among potential investors</p>	0	<p>1 follow up regional innovation hubs organized with at least 215 participants attending.</p> <p>At least 8 different types of stakeholders are attending the regional</p>	<p>List of participants disaggregated by types of institutions and gender.</p> <p>List of speakers disaggregated by gender.</p>	<p>There are enough women involved in the implementation of the micro-grants to reach the indicator</p>

strengthen the profile of AFCIA among potential investors.	# Types of stakeholders participating to the regional innovation hubs organized to strengthen ideation process #Number of female speakers represented in the regional innovation hubs		innovation hubs including but not limited to AF, IEs, Project Proponents, NDE, DA, private sector, financial institutions, civil society, Implementing Partners. At least 50% of the speakers are women.	PPR	
Outcome 3 Dissemination and adoption of successful adaptation innovations and technologies supported. globally through communication and knowledge management services	# Degree to which the awareness raising strategy of the project has incentivized stakeholders to apply to the AFCIA programme and consider uptake of the adaptation technologies being show-cased. Scale: Low, Medium, High.”	Low	High	Surveys among IEs	AFCIA Coordination Services manage to disseminate the impact of AFCIA II through its learning activities.
Output 3.1 High-impact stories, thematic good practice factsheets and a knowledge publication are developed.	#Number of High-impact knowledge products delivered. #Number of knowledge products on NSI	0	10 high level stories delivered. 10 professional photo / video reportage 5 good practices promoted. 1 global knowledge report At least 3 high level stories address gender considerations. At least 2 professional photo / video reportage address gender considerations	UNEP CTCN/ IEs/ AF Webpages PPR	AFCIA programmers managed by the IEs provide contents for high impact knowledge products

			At least 1 good practice address gender considerations. 1 global knowledge report address gender considerations 1 knowledge product around fostering systemic change for adaptation technology and innovation acceleration.		
Outputs 3.2 Knowledge products are created and shared to increase the visibility on AFCIA II global programme	# Number of websites	0	One global AFCIA webpage designed.	Operational AFCIA website	UNEP CTCN able to host an operational webpage for AFCIA II programme
	# Number of gender responsive communication and KM strategy	0	1 gender responsive communication and KM strategy	PPR	A gender responsive KM strategy can be formulated by UNEP CTCN
	# Number of awareness campaigns tailored to different target	0	At least 3 awareness raising campaigns to provide visibility to the AFCIA	Annual reports, online comms and KMS editable calendar, UNEP CTCN / Adaptation	The programme is creating impact and

conventional stakeholders	<p>audiences</p> <p># Number of social media are tailored to reach women groups</p>		<p>programme and reach non-conventional stakeholders including the youth, women and private sector including:</p> <ul style="list-style-type: none"> - 1 "do you know campaign" during the launch phase of the programme - one awareness campaign targeting women, youth and the most vulnerable communities. - 1 podcast, TED-style talk or other innovative formats. <p>At least one post in twitter, Facebook, or any other social media per quarter focusing on gender considerations or tailored to reach women groups throughout the implementation of the programme</p>	Fund/ IEs webpages, IEs – Adaptation Fund's partners webpages.	key messages can be delivered.
Output 3.3 Specific geographic and/or thematic dissemination events are organized	<p># Number of dissemination events organized, disaggregated by number of in-person webinars</p> <p>#Number of sessions organized in the</p>	0	56	1 in-person event/per year / region in the margins of international events 1 event in the presence of CTCN AB Constituency Group 1 impact event at COP 3 learning	Agenda of the events, materials prepared for the sessions, mission report with list of participants disaggregated by gender.

	presence of CTCN Gender Constituency # Number of learning conferences # Number of training webinars		conferences 3training webinars on the most popular technologies /sectors that have been covered by different IEs.		
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F. Demonstrate how the project / programme aligns with the Results Framework of the Adaptation Fund Project outcomes should be aligned with the Fund level outcome for innovation (Outcome 8) of the Strategic Results Framework and all others that may apply in the context of the project /programme. Please follow additional guidance provided in Document AFB/PPRC.29/44.¹⁶

115. The AFCIA II – Coordination programme is having the ultimate objective of enhancing the performance of the AFCIA II programme through coordination of its implementing entities and its monitoring and learning activities. The proposal is aligned with Outcomes 1,2,3, 4,5,6 ,7 and 8 of the Adaptation Fund Result Framework.

Outcome 1 : Reduced exposure to climate-related hazards and threat

Output 1.2: Targeted population groups covered by adequate risk reduction systems.

1.2.1. Percentage of target population covered by adequate risk-reduction systems.

Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses.

Indicator 2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased.

Output 2.1: Strengthened capacity of national and sub-national centers and networks to respond rapidly to extreme weather events.

Indicator 2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)

Indicator 2.1.2 No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale)

Output 2.2: Increased readiness and capacity of national and sub-national entities to directly access and program adaptation finance.

Indicator 2.2.1: No. of people benefitting from the direct access and enhanced direct access modality.

Outcome 3 Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level

Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning.

Indicator 3.2.1 No. of technical committees/associations formed to ensure transfer of knowledge

Indicator 3.2.2 No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders.

Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets.

4.1. Responsiveness of development sector services to evolving needs from changing and variable climate

Output 4: Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability.

4.1.1. No. and type of development sector services modified to respond to new conditions resulting from climate variability and change (by sector and scale)

Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress

5. Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress

Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability.

5.1. No. of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type and scale)

Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas.

6.2. Percentage of targeted population with sustained climate-resilient alternative livelihoods

Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability.

6.1.1. No. and type of adaptation assets (tangible and intangible) created or strengthened in support of

individual or community livelihood strategies.

Outcome 7: Improved policies and regulations that promote and enforce resilience measures.

7. Climate change priorities are integrated into national development strategy

Output 7: Improved integration of climate-resilience strategies into country development plans

7.1. No. of policies introduced or adjusted to address climate change risks (by sector)

7.2. No. of targeted development strategies with incorporated climate change priorities enforced.

Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies.

Indicator 8. Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level.

Output 8: Viable innovations are rolled out, scaled up, encouraged and/or accelerated.

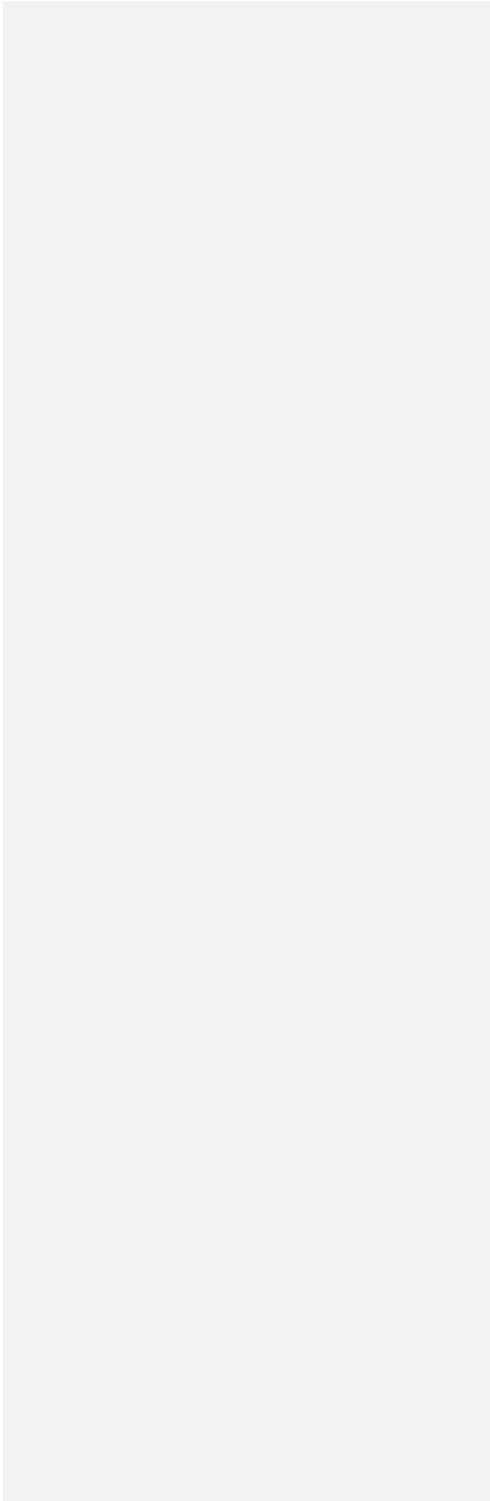
Indicator 8.1. No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated.

Indicator 8.2. No. of key findings on effective, efficient adaptation practices, products and technologies generated .

Table 8: Alignment table between the proposed objectives and outcomes with the AF's outcome and output indicators

Outcome 1, 4, 5, 6	Contributions of Proposed Programme
Output 1.2 Targeted population groups covered by adequate risk reduction systems.	AFCIA II Coordination Services will support Outcome 1,4, 5 & 6 of the Adaptation Fund through : Component 1 – Activity 1.1.4. as these webinars aim at providing guidance to the IEs for the implementation of the micro-grants and increase the impact of AFCIA sub-programmes.
Output 4.1. Responsiveness of development sector services to evolving needs from changing and variable climate	Component 2, Activity 2.2.1 as well as 2.2.2 & 2.2.3 as the regional innovation hubs as the CoP will give good practices to IEs, Project Proponents, Implementing Partners, NDEs, DAs that are expected to be replicated to the micro-grants and the regional innovation hubs will promote AFCIA to the helix knowledge and promote micro-grants implemented through AFCIA II sub programmes.
Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability.	Component 3 as it aims at creating knowledge products that will be created and shared to increase the visibility on AFCIA II global programme
Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability.	

Outcome 2	Contributions of Proposed Programme
Output 2.1 Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased	This ER will be reflected under Component 3 , Output 3.1 as the activities under this output will formulate and disseminate key messages, high-success stories as well as knowledge and inputs, podcast or TED-style talk on climate change adaptation technologies to institutions and stakeholders.



	<p>Component 3, output 3.2 under which Knowledge products will be created and shared to increase the visibility on AFCIA II global programme.</p> <p>Component 3, Output 3.3 4 will also be aligned with this Adaptation Fund Output 2.1 as trainings of institution will be organized to increase the capacity of the developing countries in responding to climate related events through sessions, webinars, learning conferences that will be organized in the margins of international events (Activity 3.3.1, 3.3.2, 3.3.5) or on specific thematic (Activity 3.3.3, 3.3.4).</p> <p>The capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institution is also expected to be increased through Component 1, Activity 1.1.4 with specific webinars organized by UNEP for the key partners of the AFCIA Coordination Services programme and Component 2, Activity 2.1 with the Community of Practice.</p>
<p>Output 2.2: Increased readiness and capacity of national and sub-national entities to directly access and program adaptation finance.</p>	<p>Component 2 - Output 2.2 and 2.3 which consist of regional innovation hubs that will be piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors. These regional innovation hubs are expected to be attended by representative of the Adaptation Fund, of the IEs, NDEs, DAs, project Proponents and Implementing Partners but also by financing institutions and mechanisms with the objective to attract additional funding resources that will enable the micro-grants to be leveraged and/or scaled up.</p>

Outcome 3	Contributions of Proposed Programme
<p>Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning</p>	<p>This ER will be reflected under Component 1, that aims at created oversight and coordination services. A high-level Programme Steering Committee will be created and meet twice a year(Activity 1.1.1 & 1.1.2), a coordination mechanism and quarterly coordination calls will be organized (Activity 1.1.3). Specific webinars will be organized, to support proposals identification and selection processes maximizing adaptation impacts and socio-economic and environmental co-benefits, reaching the most vulnerable groups including women, meeting the relevant national priorities and technical standards as well as improving ESS screening and management, promoting stakeholder engagement and building sustainability and scale up, based on the needs identified by the IEs (Activity 1.1.4).</p> <p>Component 2 – Output 2.1 by which Sharing of experience for improved practices will be ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology. Component 2 – output 2.2 & 2.3 through the organization of innovation hubs to strengthen the ideation process and establish and build on a profile for AFCIA among potential investors.</p> <p>Component 3 that aims at supporting the importance of “Learning and sharing” with the creation of capacities to a broad range of audiences and stakeholders, from Governmental entities (through CTCN’s focal points for example), to youth, women association, vulnerable people (through CTCN youth, gender and indigenous people constituencies), academia, research centres, NGOs, grassroots organizations and more.</p>

Outcome 7	Contributions of Proposed Programme
<p>7.1. No. of policies introduced or adjusted to address climate change risks (by sector)</p> <p>7.2. No. of targeted development strategies with incorporated climate change priorities enforced.</p>	<p>AFCIA II Coordination Services will support this Outcome of the Adaptation Fund through :</p> <p>Component 2, Activity 2.2.1 through the community of practice that should influence and ensure the implementation of good practices at micro-grants level. The output 2.1 will also organize CoP webinars focusing for example on transformational change, enabling environment and NSI.</p> <p>Component 3 as it aims at creating knowledge products that will be created and shared to increase the visibility on AFCIA II global programme, including one on NSI.</p>

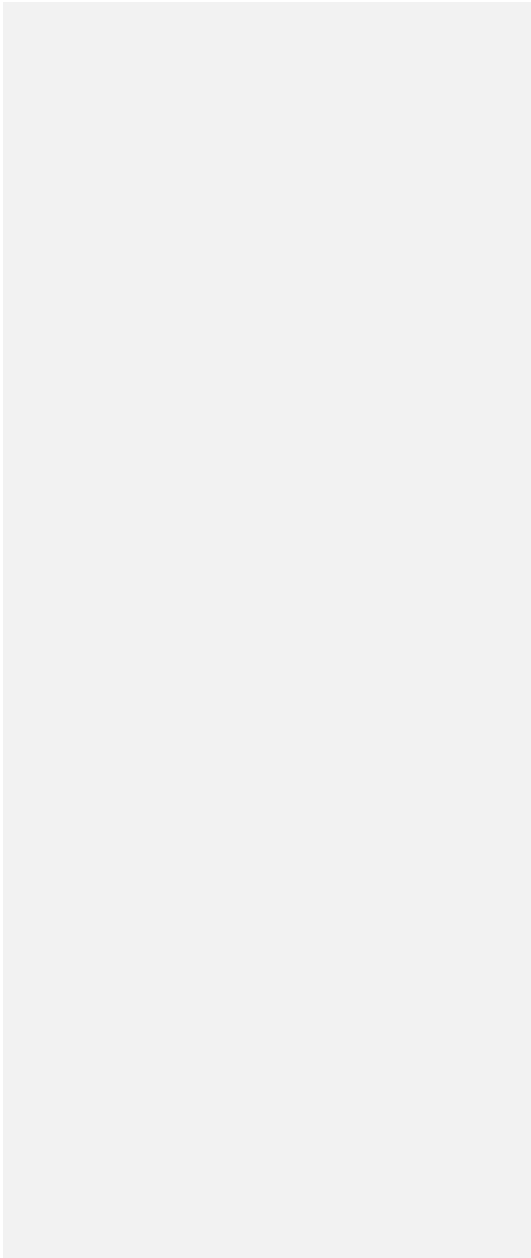
Outcome 8	Contributions of Proposed Programme
<p>8.1. No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated.</p>	<p>This ER will be reflected under the Coordination Services through Component 1 as All AFCIA Implementing Entities propose actions that improve the coordination, performance and impact of the AFCIA programme.</p> <p>Component 2 - Output 2.2 and 2.3 which consist of regional innovation hubs that will be piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors. These regional innovation hubs are expected to be attended by representative of the Adaptation Fund, of the IEs, NDEs, DAs, project Proponents and Implementing Partners but also by financing institutions and mechanisms with the objective to attract additional funding resources that will enable the micro-grants to be leveraged and/or scaled up.</p> <p>Component 3 – output 3.1, output 3.2 and 3.3 that aim at sharing good practices factsheets on key sectorial/thematic technologies successfully promoted through AFCIA, as well as a global knowledge report providing an overview of the AFCIA experience and including all lessons learned and good practices collected during the implementation is developed and published in close partnership with all IEs. Specific sessions will be organized in margins of international events to promote good practices and lessons learnt as well as effective innovation tested under AFCIA II by the IEs.</p>
<p>8.2 No. of key findings on effective, efficient adaptation practices, products and technologies generated.</p>	<p>This Expected Results is reflected under Component 1, Output 1.3 which aims at establishing a results-based management and evaluation of impacts through key metrics as well as Component. 3. Output 3.2 will be working on this ER by using knowledge products to reach and engage a wide audience and an expanding range of non-conventional stakeholders, as well as Component 2 - Output 2.2, Output 2.3, and component 3- Output 3.3 which aim at amplifying the impact of the AFCIA II programme.</p>

Table 9 - Alignment table with AF Result Framework

Project Objective(s) ¹⁷	Project Objective Indicator(s)	Fund Outcome	Fund Outcome Indicator	Expected Results	Grant Amount (USD)
To enhance the performance and impact of the AFCIA II programme through a coordinated approach to its programming, monitoring and learning activities.	<p># of coordination meetings between IEs</p> <p># of countries and # of stakeholders aware of the AFCIA programme and encouraged to apply.</p> <p># of evidence of effective adaptation innovation collected and disseminated</p>	Outcome 8. Support the development and diffusion of innovative adaptation practices, tools and technologies.	<p>8.2 No. of key findings on effective, efficient adaptation practices, products and technologies generated, and/or "learning and sharing" initiatives undertaken.</p> <p>8.3 No. of individuals or organizations (disaggregated by gender) that submit an application to an innovation competition or challenge.</p>	<p>Quarterly coordination calls and biannual PSC meetings organized between IEs.</p> <p>At least 100 countries and 1,000 stakeholders have been reached by the programme and encouraged to apply.</p> <p>Knowledge and evidence on at least 30 high-quality, innovative adaptation actions is collected and disseminated.</p>	2,707,222
Project Outcome(s)	Project Outcome Indicator(s)	Fund Output	Fund Output Indicator	Expected Results	Grant Amount (USD)

<p>Outcome 1 Impact and performance of individual AFCIA programmes increased thanks to coordination, management and monitoring services</p>	<p># Number of coordinating innovation and improvements suggested by AFCIA Implementing Entities</p> <p>Degree to which AFCIA coordination services have allowed improved management and joint reporting of individual AFCIA programmes (scale, low, medium, high)</p>	<p>Output 8: Viable innovations are rolled out, scaled up, encouraged accelerated, and/or evidence base generated at regional, national, and/or subnational level.</p> <p>Output 1.2: Targeted population groups covered by adequate risk reduction systems.</p> <p>Output 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events.</p> <p>Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning.</p> <p>Output 4.1. Responsiveness of development sector services to evolving needs from changing and variable climate</p> <p>Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including</p>	<p>8.1.2 No. of innovation related partnerships leveraged for exchange of goods and services or ideas, consultations, and assistance between grantee and stakeholder/s.</p> <p>8.2.1 No. of key findings generated from an innovation practice, tool, and/or technology.</p> <p>Indicator 1.2.1 Percentage of target population covered by adequate risk-reduction systems.</p> <p>Indicator 2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased.</p> <p>Indicator 3.2. Percentage of targeted population applying appropriate adaptation responses</p> <p>3.2.1 No. of technical committees/associations formed to ensure transfer of knowledge.</p> <p>4.1.1. No. and type of development sector services modified to respond to new conditions resulting from climate variability and change (by sector and scale)</p> <p>5.1. No. of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type and scale)</p> <p>6.1.1. No. and type of adaptation assets (tangible and intangible) created or strengthened in support of individual or community livelihood strategies</p>	<p>IEs consider that AFCIA Coordination have highly improved management and joint reporting of individual AFCIA programmes (scale, low, medium, high)</p> <p>All AFCIA Implementing Entities propose actions that improve the coordination, performance and impact of the AFCIA programme.</p>	<p>232,834</p>
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		variability. Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability.			
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<p>Outcome 2 Increased awareness and demand for adaptation technologies.</p>	<p># of partnerships created for adaptation innovation and scale-up.</p>	<p>Output 8: Viable innovations are rolled out, scaled up, encouraged, accelerated, and/or evidence base generated at regional, national, and/or subnational level.</p> <p>Output 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events.</p> <p>Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning.</p> <p>Output 7: Improved integration of climate-resilience strategies into country development plans</p>	<p>8.2.2 No. of learning and sharing initiatives undertaken, including communication initiatives</p> <p>8.3.1 No. of applications (individuals or organizations) to innovation calls under the project or programme</p> <p>2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)</p> <p>2.1.2 No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale)</p> <p>3.2.2 No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders.</p> <p>7.2. No. of targeted development strategies with incorporated climate change priorities enforced.</p>	<p>At least 8 different types of stakeholders reached through comm and KM efforts and encouraged to apply including private sector, national government, local authorities, financial institutions, NGOs, youth or women organization, academia, research centres.</p>	<p>1,300,500</p>
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<p>Outcome 3 Dissemination and adoption of successful adaptation innovations and technologies supported globally through communication and knowledge management services</p>	<p># Degree to which the awareness raising strategy of the project has incentivized stakeholders to apply to the AFCIA programme and consider uptake of the adaptation technologies being showcased. Scale: Low, Medium, High.</p>	<p>Output 8: Viable innovations are rolled out, scaled up, encouraged, accelerated, and/or evidence base generated at regional, national, and/or subnational level.</p> <p>Output 1.2 Targeted population groups covered by adequate risk reduction systems.</p> <p>Output 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events.</p> <p>Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning.</p> <p>Output 4.1. Responsiveness of development sector services to evolving needs from changing and variable climate</p> <p>Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to</p>	<p>8.2.2 No. of learning and sharing initiatives undertaken, including communication initiatives</p> <p>8.3.1 No. of applications (individuals or organizations) to innovation calls under the project or programme</p> <p>2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)</p> <p>2.1.2 No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale)</p> <p>3.2.2 No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders.</p> <p>4.1.1. No. and type of development sector services modified to respond to new conditions resulting from climate variability and change (by sector and scale)</p> <p>5.1. No. of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type and scale)</p> <p>6.1.1. No. and type of adaptation assets (tangible and intangible) created or strengthened in support of individual or community livelihood strategies.</p> <p>66</p> <p>7.1. No. of policies introduced or adjusted to address climate change risks (by sector)</p>	<p>AFCIA Coordination Services manage to disseminate the impact of AFCIA II through its learning activities</p>	<p>681,666</p>
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		<p>climate change impacts, including variability.</p> <p>Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability.</p> <p>Output 7: Improved integration of climate-resilience strategies into country development plans</p>	7.2. No. of targeted development strategies with incorporated climate change priorities enforced.		
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G. Include a detailed budget with budget notes, broken down by country as applicable, a budget on the Implementing Entity management fee use, and an explanation and a breakdown of the execution costs.

The Implementing Fees and Execution Fees are available under Table 10 & 11.

Table 10- Implementing Entity Fee

Description	Budget 3 years Total (US\$)
Portfolio Manager	61,111
Task Manager	120,000
Finance	30,000
Administrative Support	10,000
Corporate	25,000
Total	246,111

Table 11- Executing Entity costs.

Description	Budget 3 years Total (US\$)
Portfolio Manager	146,000
Administrative support	20,111
Final Evaluation	80,000
Total	246,111

Programme EE costs and are divided into three lines covering CTCN project manager cost and administrative support as well as the Final Evaluation. Administrative support includes the financial manager that will issue the annual reports and allocate the funds where and when requested, as well as the procurement officer that will be in charge of launching the procurement processes for the services defined in the proposal (such as the output 1.2 – online programme management platform, output 1.3 M&E framework). The Final Evaluation will be ensured at closure of the programme by an external counterparty selected through a competitive bidding process as per the rules of the UN.

Table 12 - Detailed budget

Category	2024	2027	2028	Total	Budget notes	
						in \$US
Component 1 Coordination, management and monitoring services to support programme implementation and performance	Communication Officer	1,000	1,000	1,000	3,000	A communication officer will be head full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the common office for the output 1.1
	Gender Specialist	3,000	3,000	3,000	9,000	A Gender Specialist will be head at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 1.1
	IT consultant	1,500	1,500	1,500	3,000	IT consultant to support delivery of Output 1.1 of AF CA CS during 3 years
	PSG in person meetings	35,000	35,000	35,000	105,000	To attend PSG regular per year in the presence of representatives of all implemented entities (PSG will consist of 10 persons travelling. Cost has been estimated at 3,500 USD/ person including both the DSA, the flights as well as room rent and catering
	Internal Coordination Mechanisms: digital tools, webinars and newsletters	2,000	1,200	1,824	4,824	Costs are covering the creation of the tools that will support the internal coordination mechanisms as well as the formulation of the newsletters and webinars that will be implemented
	Technology specialist	5,000	5,000	5,000	15,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 1.1
	Communication Officer	1,000	1,000	1,000	3,000	A communication officer will be head full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the common office for the output 1.2
	Gender Specialist	3,000	3,000	3,000	9,000	A Gender Specialist will be head at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 1.2
	Technology specialist	5,000	5,000	5,000	15,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 1.2
	Communication Officer	1,000	1,000	1,000	3,000	A communication officer will be head full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the common office for the output 1.3
Gender Specialist	3,000	3,000	3,000	9,000	A Gender Specialist will be head at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 1.3	
IT consultant	1,000	1,000	1,000	3,000	1,000 USD of an IT expert to set up the online MRE	
Technology specialist	5,000	5,000	5,000	15,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 1.3	
Sum	65,000	65,200	64,824	195,024		
Component 2 Technical innovations created to support the development and diffusion of innovative practices, tools and technologies	Communication Officer	6,000	6,000	6,000	18,000	A communication officer will be head full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the common office for the output 2.1
	Technology specialist	15,000	15,000	15,000	45,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 2.1
	Travel and DSA for the participants to the small regional innovation hubs	195,000			195,000	Regional hubs implemented during year 2 with involvement of 75 persons travelling under AF CS budget, including the identified technology proposals, AF, EN, INDC/DA of the countries where the selected micro grant projects are implemented. This budget considers a cost of 2,000 USD each flight ticket and 100 USD/day per person DSA, and considers that the regional hubs will be organized over 3 days. Private sector participants will fund themselves as well as other regional innovation players.
	Translation for the regional hubs	45,000			45,000	15,000 USD / language for the translation - 3 languages requested (FR, ENL, SPA)
	Printing	15,000			15,000	15,000 USD for literature
	Venue	25,000			25,000	25,000 USD for the venue
	Communication Officer	12,500	12,500	12,500	37,500	A communication officer will be head full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the common office for the output 2.2
	Regional Partnership to organize the regional hubs	60,000	60,000	60,000	180,000	This regional partner at 60,000 USD per year to support the logistics of the regional hubs both annual (output 2.2) and large (output 2.3)
	Technology specialist	3,000	11,000		14,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 2.2
	Gender Specialist	3,000	3,000	3,000	9,000	A Gender Specialist will be head at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 2.2
Travel and DSA for the participants to the large regional innovation hubs			550,000	550,000	Regional hubs implemented during year 3 with involvement of 225 persons travelling under AF budget, including the identified technology proposals, AF, EN, INDC/DA of the countries where the selected micro grant projects are implemented. Second event is assumed to be larger in profile of AF CA CS. This budget considers a cost of 2,000 for each flight ticket and 100 USD/day per person DSA, and considers that the regional hubs will be organized over 3 days. Private sector participants will fund themselves as well as other regional innovation players.	
Technology specialist		10,000	10,000	20,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 2.3	
Translation for the regional hubs		40,000	40,000	80,000	15,000 USD / language for the translation - 3 languages requested (FR, ENL, SPA)	
Catering		40,000	40,000	80,000	Catering for the participants	
Venue		30,000	30,000	60,000	Venue for the large regional meeting	
Sum	301,500	198,000	631,000	1,130,500		
Component 3 Communication and knowledge management services for increased dissemination and adoption of successful adaptation innovations and technologies globally	IT advice / video responses	8,333	8,333	8,333	25,000	10 advice / video responses at 2,500 USD each
	Communication Officer	15,000	15,000	30,000	60,000	A communication officer will be head full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the common office for the output 3.1
	Gender Specialist	5,000	5,000	5,000	15,000	A Gender Specialist will be head at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.1
	Technology specialist	15,000	15,000	15,000	45,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 3.1
	Translation, video editing, script related to output 3.1	5,000	5,000	5,000	15,000	Services associated with output 3.1
	Communication Officer	20,000	20,000	20,000	60,000	A communication officer will be head full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the common office for the output 3.2
	Gender Specialist	4,000	5,000	5,000	14,000	A Gender Specialist will be head at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.2
	IT support & other consultations	1,000	1,000	1,000	3,000	IT support to support output 3.2
	Technology specialist	15,000	15,000	15,000	45,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 3.2
	ITD talk		2,000		2,000	One ITD Talk is required
10 NDE Forums	3,000	3,000	3,000	9,000	3 events organized on margins of the NDE forums, 3 NDE forums per year, one per region at 1,000 USD each event.	
E-learning conferences	500	1,000	1,000	2,500	3 e-learning conferences organized at USD 500 each	
3 training webinars	1,000	1,000	1,000	3,000	3 training webinars are organized at USD 1,000 each	
Communication Officer	15,000	45,000	50,000	110,000	A communication officer will be head full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the common office for the output 3.3	
COP impact event			102,164	102,164	An impact event is organized at COP in year 3 of the programme implementation	
Gender Specialist	5,000	5,000	15,000	25,000	A Gender Specialist will be head at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.3	
Translation services during international events for the COP event		45,000	45,000	90,000	15,000 USD / language for the translation - 3 languages requested (FR, ENL, SPA) for the event at COP	
Technology specialist	15,000	15,000	50,000	80,000	A technology specialist with expertise in both technology and climate change will be head full time. This budget line is equivalent to the support that will be requested from this expert under output 3.3	
IT consultant		3,500	3,500	7,000	IT consultant dedicated to the implementation of Output 3.3 of AF CA CS	
Sum	127,833	206,333	284,999	719,165		
Programme Executive Costs	294,533	494,233	2,526,424	3,315,200		
Programme Manager	45,000	50,000	100,000	195,000	Programme Manager Costs associated with the implementation of the programme	
IT			80,000	80,000	Technical evaluation of the Programme	
Administrative Support	10,000	5,111	1,000	16,111	Administrative support requested for the implementation of the programme	
Sum	55,000	55,111	1,800,000	2,461,111		
Total Programme Cost	350,333	725,744	2,288,424	3,461,111		
Implementing Entity Fee (24%)	84,079	174,178	552,621	810,878		
Total	434,412	900,922	2,841,045	4,271,989		

	Category	2026	2027	2028	total	Co-funded by the CTCN	Budget notes	
		in \$US	in \$US	in \$US	in \$US	in \$US		
Component 1 : Coordination, management and monitoring services to support programme implementation and performance	Output 1.1 Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.	Communication Officer	1,000	1,000	1,000	3,000		A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 1.1
		Gender Specialist	3,000	3,000	3,000	9,000		A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 1.1
		IT consultant	7,000	7,000	7,000	21,000	25,000	An IT consultant will support delivery of Output 1.1 of AFCIA CS during 3 years
		PSC in person meetings	35,000	35,000	35,000	105,000		1 in-person PSC organized per year in the presence of representatives of each Implementing Entities (IE) with a maximum of 10 persons travelling . Cost have been estimated at 3.500 USD/day/person including both the DSA, the flights as well as room rent and catering
		Internal Coordination Mechanisms: digital tools, webinars and newsletters	2,000	1,200	1,634	4,834		Costs are covering the creation of the tools that will support the internal coordination mechanism as well as the formulation of the newsletters and webinars that will be organized quarterly.
		Technology specialist	5,000	5,000	5,000	15,000		A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 1.1

	Output 1.2 Online programme management platform and relevant tools are developed and regularly updated by all IEs	Communication Officer	1,000	1,000	1,000	3,000		A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 1.2
		Gender Specialist	3,000	3,000	3,000	9,000		A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 1.2
		Technology specialist	5,000	5,000	5,000	15,000		A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 1.2
	Output 1.3: A joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme	Communication Officer	1,000	1,000	1,000	3,000		A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 1.3
		Gender Specialist	3,000	3,000	3,000	9,000		A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 1.3
		IT consultant	7000	7,000	7,000	21,000	25,000	1,000 USD of an IT expert to set up the online M&E.
Technology specialist		5,000	5,000	5,000	15,000		A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 1.3	
	Sum	78,000	77,200	77,634	232,834	50,000		
Component 2 - Systems of innovations created to support the	Output 2.1 Sharing of experience for improved practices is ensured	Communication Officer	6,000	6,000	6,500	18,500		A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 2.1

development and diffusion of innovative adaptation practices, tools and technologies.	through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology	Technology specialist	15,000	15,000	15,000	45,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 2.1	
	Output 2.2 One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors:	Travel and DSA for the participants to the small regional innovation hub			195,000		195,000	1 regional hub organized during year 2 in with a maximum of 75 persons travelling under AF CS budget, including the shortlisted technology proponents, AF, IEs, NDE/DA of the countries where the selected micro-grant projects are implemented. . This budget considers a cost of 2,000 for each flight ticket and USD 200/day/person of DSA and considers that the regional hubs will be organized over 3 days. Private sector participants will fund themselves as will all other regional innovation players.
		Translators for the regional innovation hubs			45,000		45,000	15,000 USD / language for live translation - 3 languages requested (FR, ENG, SPA)
		Catering			15,000		15,000	15,000 USD for catering
		Venue			25,000		25,000	25,000 USD for the venue
		Communication Officer	12,500	12,500	12,500		37,500	A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 2.2
		Regional Partnership to organize the regional innovation hubs	60,000	60,000	60,000		180,000	One regional partner at 60,000 USD per year to support the logistics of the regional innovation hubs - both small (output 2.2) and large (output 2.3)
		Technology specialist	5,000	11,500			16,500	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 2.2

		Gender Specialist	3,000	3,000	3,000	9,000	A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 2.2
	Output 2.3 A follow-up regional innovation hub is organized to build on and strengthen the profile of AFCIA among potential investors	Travel and DSA for the participants to the large regional innovation hub			559,000	559,000	1 regional innovation hubs organized during year 3 in one region with up to a maximum of 215 persons travelling under AF budget, including the shortlisted technology proponents, AF, IEs, NDE/DA of the countries where the selected micro-grant projects are implemented. Second event is assumed to be larger as the profile of AFCIA grows. This budget considers a cost of 2,000 for each flight ticket and USD 200/day/person of DSA and considers that the regional innovation hubs will be organized over 3 days. Private sector participants will fund themselves as will all other regional innovation players.
		Technology specialist		10,000	10,000	20,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 2.3
		Translators for the regional innovation hubs			45,000	45,000	15,000 USD / language for live translation - 3 languages requested (FR, ENG, SPA)
		Catering			40,000	40,000	Catering for the participants
		Venue			50,000	50,000	Venue for the large regional meeting
			Sum	101,500	398,000	801,000	1,300,500
Component 3: Communication and Knowledge Management services for increased dissemination	Output 3.1 High-impact stories, thematic good practice factsheets and a knowledge	10 photo / video reportages	8,333	8,333	8,333	25,000	10 photo / video reportages at 2,500 USD each
		Communication Officer	15,000	15,000	30,000	60,000	A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 3.1

and adoption of successful adaptation innovations and technologies globally	publication are developed.	Gender Specialist	5,000	5,000	5,000	15,000	A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.1
		Technology specialist	15,000	15,000	15,000	45,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 3.1
		Translation, video editing, script related to output 3.1	5,000	5,000	5,000	15,000	Services associated with output 3.1
	Output 3.2 Knowledge products are created and used to increase the visibility on AFCIA II global programme	Communication Officer	20,000	20,000	20,000	60,000	A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 3.2
		Gender Specialist	4,000	5,000	5,000	14,000	A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.2
		IT support & other consultancies	1,000	1,000	1,000	3,000	IT support to support output 3.2
		Technology specialist	15,000	15,000	15,000	45,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 3.2
		TED -talk		2,500		2,500	One TeD Talk is recorded.
	Output 3.3 Specific geographic and thematic dissemination events are organized.	10 NDE Forums	3,000	3,000	3,000	9,000	3 events organized on margins of the NDE forums , 3 NDE forums per year, one per region at 1,000 USD/each event.
		5 learning conferences	500	1,000	1,000	2,500	5 learning conferences organized at USD 500 each
3 training webinars		1,000	1,000	1,000	3,000	3 training webinars are organized at USD 1,000 each	

		Communication Officer	15,000	45,000	55,000	115,000	A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 3.3
		COP impact event			62,666	62,666	An impact event is organized at COP in year 3 of the programme implementation
		Gender Specialist	5,000	5,000	15,000	25,000	A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.3
		Translation services during international events for the COP event.		45,000	45,000	90,000	15,000 USD / language for live translation - 3 languages requested (FR, ENG, SPA) for the event at COP
		Technology specialist	15,000	15,000	55,000	85,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 3.3
		IT consultant			5,000	5,000	IT consultant dedicated to the implementation of Output 3.3 of AFCIA CS
		Sum	127,833	206,833	346,999	681,666	
Programme Activity Cost		Sub-Total	307,333	682,033	1,225,634	2,215,000	
Programme Execution Costs (10%) for CTCN		Programme Manager	46,000	50,000	50,000	146,000	Programme Manager Costs associated with the implementation of the programme
		Final Evaluation			80,000	80,000	Final Evaluation of the Programme.
		Administrative Support	10,000	5,111	5,000	20,111	Administrative support requested for the implementation of the programme
Programme Execution Costs (10%)		Sum	56,000	55,111	135,000	246,111	
Total Programme Cost		Sub-Total	363,333	737,144	1,360,634	2,461,111	50,000
		Implementing Entity Fee (10%)	82,037	82,037	82,037	246,111	

	Total	82,037	819,181	1,442,671	2,707,222	50,000	
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H. Include a disbursement schedule with time-bound milestones.


Disbursement milestone				
	Upon signature of Agreement (2024)	One Year after Project Start (2025)	2026	Total
Scheduled date	2025	2026	2027	
Project Funds	363,333	737,144	1,360,634	2,461,111
Implementing Entity Fees	82,037	82,037	82,037	246,111
Total	445,370	819,181	1,442,671	2,707,222
^{a)} Use projected start date to approximate first year disbursement ^{b)} Subsequent dates will follow the year anniversary of project start ^{c)} Add columns for years as needed				
This table has been filled in using the guidance of the fund listed below:				
Project/programme activities cost = A				
Project/programme execution cost =B				
Total project/programme cost thus the category of the disbursement table "Project Funds" =A+B				
Implementing Entity Fee=C				
Total amount of funding requested, or the grant amount=A+B+C				

PART IV: ENDORSEMENT BY GOVERNMENTS AND CERTIFICATION BY THE IMPLEMENTING ENTITY

Record of endorsement on behalf of the government¹⁸: Letters of endorsement may be provided at a later stage, if not possible to include with the fully developed programme¹⁹. In that case, the proposal should describe how the IE plans to secure governments' endorsements of the initiative and when the IEs will provide the letters in the reports to the secretariat.

(Enter Name, Position, Ministry)	Date: (Month, day, year)
(Enter Name, Position, Ministry)	Date: (Month, day, year)
(Enter Name, Position, Ministry)	Date: (Month, day, year)

B. Implementing Entity Certification Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Also provide the project/programme contact person's name, telephone number and email address.

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (.....list here.....) and subject to the approval by the Adaptation Fund Board, <u>commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund</u> and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.	
 Name & Signature Implementing Entity Coordinator	
Date: (Month, Day, Year) August 18, 2023	Tel. and email: mirey.atallah@un.org Tel +254114535753
Project Contact Person: Jessica Troni	
Tel. And Email: Jessica.Troni@un.org Tel +254795751062	



Letter of Endorsement by Government

[Government Letter Head]

[Date of Endorsement Letter]

To: The Adaptation Fund Board
c/o Adaptation Fund
Board Secretariat Email
:
Secretariat@Adaptation-
Fund.org Fax : 202 522
3240/5

Subject: Endorsement for [Title of Project/Programme]

In my capacity as designated authority for the Adaptation Fund in [country], I confirm that the above (select national or regional) project/programme proposal is in accordance with the government's (select national or regional) priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the (select country or region).

Accordingly, I am pleased to endorse the above project/programme proposal with support from the Adaptation Fund. If approved, the project/programme will be implemented by [implementing entity] and executed by [national or local executing entity].

Sincerely,

[Name of Designated
Government Official]
[Position/Title in Government]

PART V: ANNEXES

ANNEX I: THEORY OF CHANGE

Goal:

IF the AFCIA partners coordinated regarding planning, ideation and investment facilitation and knowledge management, **THEN** performance of AFCIA II programme will be improved **BECAUSE** the ideation and acceleration of technology development will be enhanced through engagement of a diversity of ideas and stakeholders.

Objective:

This proposal aims at enhancing the performance of the AFCIA II programme through a coordinated approach to its programming, monitoring and learning activities.

Outcomes:

- **Outcome 1:** Impact and performance of individual AFCIA programmes increased thanks to coordination, management and monitoring services provided to Implementing Entities (IEs)
- **Outcome 2:** Adaptation technologies development and scale up are strengthened through the establishment of a CoP and development of a regional innovation hub.
- **Outcome 3:** Dissemination and adoption of successful adaptation innovations and technologies supported globally through communication and knowledge management services.

Outputs:

- **Output 1.1** Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.
- **Output 1.2** Online programme management platform and relevant tools are developed and regularly updated by all IEs.
- **Output 1.3** A joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme.

- **Output 2.1** Sharing of experience for improved practices is ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology.
- **Output 2.2** One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors.
- **Output 2.3** A follow-up regional innovation hub is organized to build on and strengthen the profile of AFCIA among potential investors.

- **Output 3.1** High-impact stories, thematic good practice factsheets and a knowledge publication are developed.
- **Output 3.2** Knowledge products are shared to increase the visibility on AFCIA II global programme.
- **Output 3.3** Specific geographic and thematic dissemination events are organized.

Drivers:

- Drivers are factors that are necessary to enable the achievement of the intended outcomes of the programme. The identified enablers include:
- A conducive coordination/management structure that encourages innovation and learning.
- Will and transparency among the IEs to monitor the impact of their respective individual AFCIA I programmes and share the results and lessons learnt.
- Availability of resources, both financial and human, to support the coordination of the programme.
- Worsening climate change impacts and projections add to the sense of the climate emergency, and they will do more and better.

Assumptions:

- The assumptions are the beliefs and judgments that underpin the logic of the theory. They are the underlying ideas about how change happens, what conditions are necessary for it, and what factors may influence it. The identified assumptions are:
- Implementing Entities are willing to cooperate, to get involved in relevant activities and to regularly use the different platforms/tools established.
- Global comms and KMS strategies are effective in reaching a large audience of stakeholders.
- Technology proponents are interested to test, adopt or replicate most promising adaptation innovations and technologies.
- Private sector is interested to participate in the regional innovation hub pilot regarding potential investment facilitation.

Barriers:

The barriers are the obstacles or challenges that may prevent or hinder the desired change from occurring as part of the programme. They are the factors or conditions that must be overcome or addressed to achieve the desired outcome of the programme. The identified barriers are:

- Lack of coordination between IEs: to maximize its performance, the global AFCIA II programme requires good communication channels and cooperation between the Implementing Entities in charge of implementing the individual AFCIA programmes.
- Lack of information: For the global programme to be effective, UNEP CTCN needs to be aware of the micro-grants undertaken at Implementing Entity's level. However, sharing information in a timely manner between different IEs require effective management tools and cooperation from the IEs.
- Lack of homogeneity in the comms materials used to communicate about the programme: For KMS and Comms to enhance the scale up of AFCIA II programme, some homogeneity and coherency in the branding and content of the materials used for KMS and Comms need to be ensured and applied by the IEs. Scattered efforts by individual IE on comm lead to low visibility of the programme and limited number of stakeholders especially among the non-conventional groups applying to the individual AFCIA windows.
- Limited evidence based on most promising adaptation innovations leading to low uptake and dissemination of successful adaptation technologies.
- Lack of M&E framework: In the absence of a strong management and M&E system to collect and compile evidence and learning, evidence is captured at micro-grant project or individual programme level which lead to slow uptake and dissemination of promising climate adaptation technologies.

Risks:

- IEs may not make the time for coordination.
- IEs are located in different parts of the world which make coordination challenging.
- Regional Innovation hub pilot may take longer than two iterations to show success.

Figure 3 - ToC

Goal statement IF the AFCIA partners coordinated regarding planning, ideation and investment facilitation and knowledge management, THEN performance of AFCIA II programme will be improved BECAUSE the ideation and acceleration of technology development will be enhanced through engagement of a diversity of ideas and stakeholders.

Objective This proposal aims at enhancing the performance and impact of the AFCIA II programme through a coordinated approach to its programming, monitoring and learning activities.

Outcome 1

Impact and performance of individual AFCIA programmes increased due to coordination, management and monitoring services provided to IEs

Outcome 2

Adaptation technologies development and scale up are strengthened through the establishment of a CoP and development of a regional innovation hub.

Outcome 3

Dissemination and adoption of successful adaptation innovations and technologies supported globally through communication and knowledge management services.

Output 1

1.1 Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.

1.2 Online programme management platform and relevant tools are developed and regularly updated by all IEs.

1.3 A joint M&E framework is developed to support standardized reporting of impact and facilitate comparison across the programme.

Output 2

2.1. Sharing of experience for improved practices is ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology.

2.2 One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors;

2.3 A follow-up regional innovation hub is organized to build on and strengthen the profile of AFCIA among potential investors.

Output 3

3.1 High-impact stories, video/photo reportages, thematic good practice factsheets and a knowledge publication are developed

3.2 Knowledge products are created and shared to increase the visibility on AFCIA II global programme.

3.3. Specific geographic and thematic dissemination events are organized

Drivers

- A conducive coordination structure that encourages innovation and learning
- Will and transparency among IEs to enhance the impact of their respective individual AFCIA I programmes and promote the results and lessons learnt
- Availability of resources, both financial and human to support the coordination of the programme.
- Worsening climate change impacts and projections add to the sense of the climate emergency and the will do more and better.

Risks:

- IEs may not make the time for coordination;
- IEs are located in different parts of the world which make coordination challenging;
- Regional innovation hub pilot may take longer than two iterations to show success.

Assumptions:

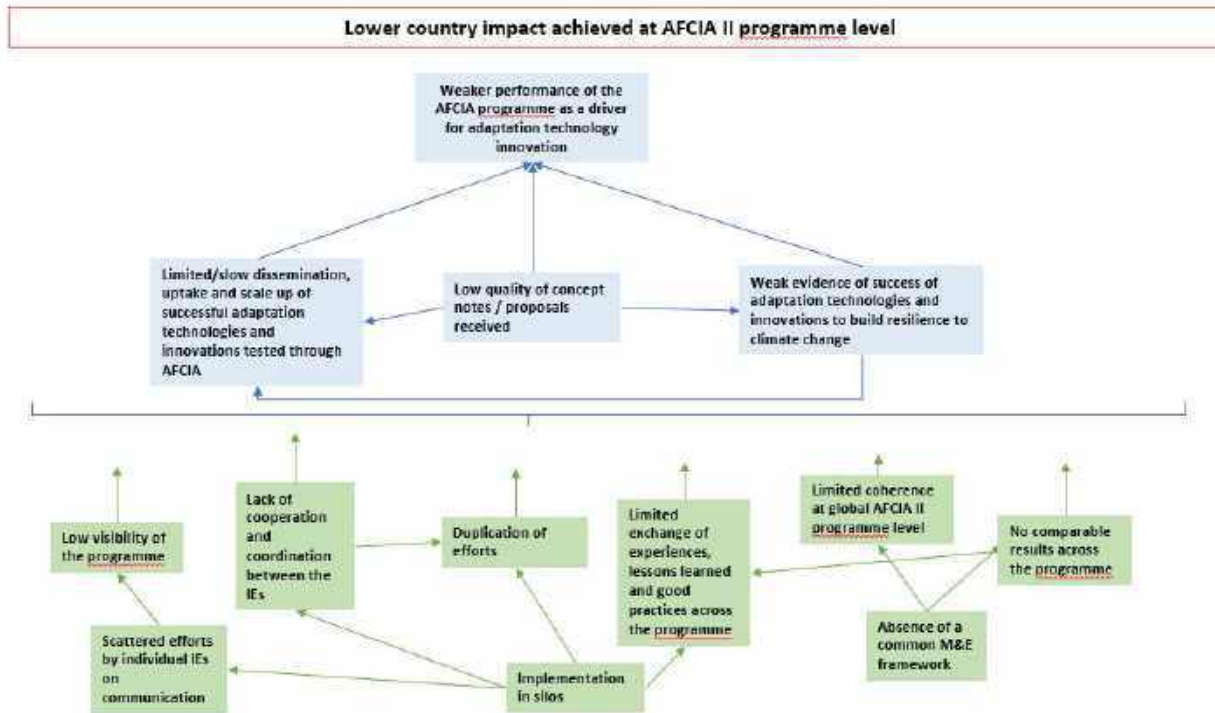
- Implementing Entities are willing to cooperate, to get involved in relevant activities and to regularly use the different platforms/tools established;
- Global comms and KMS strategies are effective in reaching a large audience of stakeholders;
- Technology proponents are interested to test, adopt or replicate most promising adaptation innovations and technologies;
- Private sector is interested to participate in the regional innovation hub pilot regarding potential investment facilitation.

Annex II - List of Acronyms

AF - Adaptation Fund
AFCIA - Adaptation Fund Climate Innovation Accelerator
CTCN - Climate Technology Centre and Network
DA - Designated Authority
DAEs - Direct Access Entities
CN - Concept Note
COP - United Nations Climate Change Conference
EC - European Commission
GAN - [Global Adaptation Network \(GAN\)](#).
GCF - Global Climate Fund
GEF - Global Environment Fund
GHG - Global greenhouse gas
LDCs - Least Developed Countries
M&E - Monitoring & Evaluation
NAPs - National Adaptation Plans
NAPAs - National Adaptation Programmes of Action
NDC - Nationally Determined Contribution
NDE - Nationally Designated Entities
NIE - Nationally Implementing Entities
NSI - National Systems of Innovation
POW - Programme of Work
SCP: Pacific Community
SIDS - Small Island Developing States
SREP: Secretariat of the Pacific Regional Environment Programme
SRIF - Safeguard Risk Identification Form
SPIS - Solar Powered Irrigation Systems
TA - Technical Assistance
TAP - Technology Action Plans
TEC - Technology Executive Committee
TM - Task Manager
TNAs - Technology needs assessments
UNDP - United Nations Development Programme
UNEP - United Nations Environment Programme
UNIDO: United Nations Industrial Development Organization
UNFCCC - United Nations Framework Convention on Climate Change
WFP: United Nations World Food Programme

Annex III – Problem Tree

Figure 4- Problem Tree



Annex IV - AFCIA Coordination services - Gender Assessment and GAP

Introduction

Please, find below the preliminary gender assessment related to AFCIA – Coordination Services, at programme level.

Gender assessments as well as the Gender Action Plan (GAP) follow UNEP and AF guidance / policies and checklist.

Gender Assessment

A gender assessment is requested to be conducted at the early stage of the programme development to inform the proposal development. As a living document, a gender assessment is not static, but will be updated and revised throughout implementation in response to UNEP CTCN monitoring and progress reporting and its findings as part of required adaptive programme management.

A gender assessment has been developed according to the Annex II of the Gender Guidance Document of the Adaptation Fund. This gender assessment is done at programme level. Monitoring at programme level will be ensured through the PPR and TE.

Background

Women and men interact with the environment differently and influence environmental degradation both positively and negatively in different ways. The importance of mainstreaming gender is recognized by:

UNEP long-standing commitment to Gender. Reflected in its lead role in supporting the development of the first comprehensive analysis of the State of the Environment and Gender in 2016 (the Global Gender and Environment Outlook), UNEP integrates and mainstreams gender across all programme areas including biodiversity, pollution, climate change and chemicals. UNEP's work is guided by two overarching policy frameworks : its own gender policy and the United Nations System-Wide Action Plan on Gender Equality and the Empowerment of Women (UN-SWAP).

The United Nations Framework Convention on Climate Change (UNFCCC)⁷ in the Lima Work programme and other provisions, specifically decisions 36/CP.7, 1/CP.16, 23/CP.1, 18/CP.20, D 1/CP.21 (Paris Agreement), 21/CP.22 and over 50 other decisions or mandates relate to gender, women or socially responsible policy. The first three decisions focused on improving the participation of women in UNFCCC negotiations and in delegation to the COP. The Lima work-programme 18/CP.20 focused on the importance of gender-responsive climate actions. Further, the UNFCCC Gender Action Plan (CP.23) reinforces the need for more gender-responsive actions and identifies priority areas including capacity building, gender balance and women's leadership, coherence and monitoring and reporting.

The Adaptation Fund through its Gender Policy and Action Plan⁸ has the objective "to strive to uphold women's rights as universal human rights and to attain the goal of gender equality, the empowerment of women and girls and the equal treatment of people regardless of gender, including the equal opportunities for access to Fund resources and services, in all Fund operations through a gender mainstreaming approach".

Gender and climate change

Climate change, environmental degradation and disasters do not affect everyone in the same way; gender, age, ethnicity and income level, among other factors, can influence how people experience and are affected by environmental stress.

Women in contexts of deep-rooted gender inequality, as well as children, the elderly, people with disabilities and those from ethnic minorities, are disproportionately at risk of being affected by slow-

⁷ https://unfccc.int/files/meetings/bonn_nov_2017/application/pdf/cp23_auv_gender.pdf.

⁸ https://www.adaptation-fund.org/wp-content/uploads/2016/04/OPG-Annex-4_GP-and-GAP_approved-March2021pdf-1.pdf

onset or sudden-onset disasters (e.g., hurricanes, storms, floods, land degradation, rising temperatures and sea level rise, etc.). Gender roles and responsibilities, as well as a deep economic and social gender divide and under-representation in decision-making at different levels, can place women in vulnerable conditions. When extreme events such as storms and floods occur, for example, poor women are 14 times more likely to die than men⁹. This is due to factors such as greater responsibilities in the home, low decision-making power in the family and lack of education or access to information.

Table 13- Direct and indirect impacts of climate change on women

Sector	Impacts of climate change	Impacts on women
Natural Resources and agriculture	<ul style="list-style-type: none"> - Drought and/or flooding due to temperature changes and unpredictable climate - Decline in soil fertility - Reduced crop yields or crop failure - Scarcity of resources - Lack of clean and safe water 	<ul style="list-style-type: none"> - Increased burden of household work and time spent collecting water, food and fuel such as firewood (sometimes leading to lower primary school enrolment and literacy rates and early marriage) - Increased hunger and reduced calorie intake for women, exposure to contaminated water sources - In areas where there are restrictions on their land ownership rights, they are excluded from access to fertile land - Loss of traditional land tenure
Natural disasters	<ul style="list-style-type: none"> - More unpredictable and intense weather events 	<ul style="list-style-type: none"> - Lack of survival skills commonly taught to boys (e.g., swimming or tree climbing skills) - Women generally excluded from decision-making in post-disaster recovery efforts - Girls are the first to be withdrawn from schools in the wake of disasters
Health	<ul style="list-style-type: none"> - Increase in water-borne or vector-borne diseases (e.g., malaria due to increased temperatures and storms) - Increase in heat-related illnesses - Increased malnutrition 	<ul style="list-style-type: none"> - Pregnant and breastfeeding women, the very young and the very old are the most vulnerable to health risks - Increased lack of health services, immunisation, family planning, reproductive health care in the affected areas - Possible increase in maternal and infant mortality rates due to lack of care - Lack of hygiene services and supplies in emergency shelters for pregnant, lactating and menstruating women
Growth of population	<ul style="list-style-type: none"> - Projected population growth in areas most exposed to severe climate change and where people depend on natural resources for survival 	<ul style="list-style-type: none"> - Conflict over dwindling public and natural resources - Continuing threats to the most vulnerable populations - High fertility rate, affecting women's health
Urbanization	<ul style="list-style-type: none"> - Increased rural exodus due to degradation of environmental resources - Decline in productivity - Conflicts over resources 	<ul style="list-style-type: none"> - Makeshift settlements are sometimes improvised, unhealthy and dangerous, lacking water and sanitation and built on vulnerable land

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⁹Climate Change: Connection, Gender, Population and Climate Change, Resource Kit, Eleanor Blomstrom, Sarah Cunningham, Nadia Johnson, Cate Owren, UNFA and ZEDO, 2009

	- Makeshift shelters and community expansion	- Tendency of formal urban markets to benefit men more - Lack of access to health services for poor urban women - Projected increase in urban poverty
Movements migration and travel of populations	- Disasters can cause displacement, both temporary and permanent, internal and international - Incentives for both women and men to move due to environmental degradation and conflict over resources - Possible forced migration due to regional vulnerability	- Women make up at least half of the world's migrant population, but their needs are not prioritized by migration policies - They often lack the means to move around, but in a post-disaster situation they may lack more to meet household needs - Forced migration may exacerbate women's vulnerability, limited access to resources and livelihood options and gender-based violence
Structure of the households	- Deaths due to natural disasters, loss of family members and changes in family structure due to migration/travel	- Increase in the number of female heads of household due to male migration or other factors - Lack of resources for female heads of household in recovery programs/insurance schemes or funds that prioritize women's access Lack of land rights compromising women's food and livelihood security - Strengthening the gender division of labour Decrease in women in some households due to female mortality from disasters
Conflict and violence	- Conflicts over scarce resources can lead to antagonism or displacement - Irregular rainfall and scarcity of natural resources can increase the risk of civil war by 50%. - Anxiety and distress increase over insecure livelihoods	- Amplification of gender inequalities because of conflict: although there is a high incidence of male deaths and injuries in combat, other consequences of conflict affect women, such as rape and other forms of gender-based violence - High levels of domestic, post-disaster and relief camp violence

Source: UNEP.

Gender and climate change innovation

Adopting a gender-responsive approach to innovation means going beyond acknowledging the need for gender equality in innovation and acting throughout the innovation cycle to ensure that women play a key role at every phase. According to UN Women, women especially in crisis situations and displacement settings tend to have lower digital literacy than men, and often lack access to technology and connectivity¹⁰.

This Coordination Services proposal will mainly focus on coordinating the implementation of the AFCIA programmes managed by the Implementing Entities and providing monitoring and guidance to promote gender equality and empowerment in the implementation of the programme. The goal is promoting women role in designing / accessing key adaptation technologies and to maximize

¹⁰

<https://www.unwomen.org/sites/default/files/Headquarters/Attachments/Sections/Library/Publications/2019/Innovation-for-gender-equality-en.pdf>

positive impacts and benefits of those innovations for women.

The main barriers to women to play a key role and access climate change innovation and technologies are:

Limited market awareness & investment in innovations that meet the needs of women.

Research bias

Lack of gender-disaggregated data

Constrained market demand

Lack of affordable finance including credit

Gender-blind approach to innovation.

Lack of dedicated methodologies and tools

Limited sharing of knowledge and practices

Under-representation of women as innovators and entrepreneurs.

Gender-science stereotypes

Biases in recruiting, promoting and evaluation processes.

Lack of access to flexible schedules and work-life policies

Lack of role models for female innovators and entrepreneurs

4. Perceived high risk, low reward profile of investing in innovations for women and girls, particularly from marginalized groups.

Expectations on AFCIA Coordination Services and gender

The Adaptation Fund Climate Innovation Accelerator (AFCIA) is a pioneering innovation accelerator program, which aims to ignite and accelerate innovation in climate change adaptation in developing countries and generate evidence of effective solutions that can be scaled-up.

AFCIA programme – coordination services - will enhance the scale up of the AFCIA II programme through coordination of its implementing entities and its monitoring and learning activities.

Within this Coordination Programme, UNEP CTCN will focus on empowering individuals and groups for environmental action, placing emphasis on voices from sectors and actors typically outside the governance space, including women. This purpose will be achieved through:

Component 1 with the establishment of a common management platform and M&E framework as well as the organization of biannual webinars to support IEs in maximizing impacts of their individual programmes.

Component 2 of the programme with the creation of systems of innovations to support the development and improvement of innovative adaptation practices, tools and technologies aiming at strengthening adaptation technology development with the establishment of an AFCIA Community of Practices and Regional Innovation Hubs.

Component 3 "Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally" that will disseminate successful adaptation innovations and technologies supported globally through communication and knowledge management services that will be designed in a gender-responsive manner.

Please, refer to paragraphs to Section II- Part A, as well as to the GAP below.

Gender mainstreaming actions

Gender-responsive measures are developed to address identified differences, impacts and risks, ensure the programme provides equitable benefits for all gender groups, and explore opportunities for transformative gender actions that contribute to permanently shifting existing discriminating gender norms and power structures towards more gender equality.

The information and data generated by the gender assessment are the basis for possible subsequent gender mainstreaming actions that informs the programme planning and design and helps identify the gender-responsive activities needed in the implementation stage, in budgeting and in monitoring and evaluation.

Gender mainstreaming actions are reflected in most of the activities designed at programme level, as demonstrated in the table below. Each activity will be monitored through qualitative indicators that

are defined under the Gender Action Plan below.

Table 14- Gender Responsive Activities

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Components	Activities defined in the programme	Does this activity integrate gender mainstreaming actions?
Component 1. Coordination, management and monitoring services to support programme implementation and performance.	Activity 1.1.4 Hold webinars to support AFCIA IEs according to their need	Ensure that the internal webinars address gender considerations linked to adaptation innovation and technologies.
	Activity 1.2.1: Create digital and editable management tools on a secured online platform accessible to all IEs and Adaptation Fund	Integrate gender indicators in the editable annual report template to be populated by each IEs to support UNEP CTCN annual reporting at programme level
	Activity 1.2.2: Develop a common internal repository to share information on micro-grants	Integrate a gender indicator in the common internal repository to collect and share information on gender mainstreaming in the selected micro-grant proposals and project implementation.
	Activity 1.3.1: An editable Adaptation M&E tracking tool is created within the online programme management platform and information against core indicators regularly uploaded by IEs	Integrate gender indicators in the M&E tracking tool.
	Activity 1.3.2: Dashboards are created based on information populated by IEs	Ensure that internal dashboard feature gender considerations
	Activity 1.3.3: Annual progress reports	Report compliance on gender policy in Annual Progress Report
Component 2. Systems of innovations created to support the development and improvement of innovative adaptation practices, tools and technologies	Activity 2.1.3 : Quarterly newsletter and webinars, among others will be created to encourage the CoP to participate to the discussions .	Specific newsletters and webinars on the linkages between Climate Change – innovation- technologies and gender, as well as on specific sectors (Water-Energy Food and gender for example) or on specific technologies that could be implemented by different IEs (such as Solar Irrigation Powered Systems for example and gender).
	Activity 2.2.2 Define the selection criteria and establish the rules as well as the procedures and responsibilities of each IEs for the management of regional innovation hubs.	Ensure that the selection criteria are gender responsive.
	Activity 2.2.3: Identify and pre-select the grantees and invite them to present their project during the regional innovation hubs.	Ensure that women are represented among invited grantees
	Activity 2.3.2: Identify and pre-select 30 grantees and invite them to present their project during the regional innovation hubs.	Ensure that women are represented among invited Grantees

Component 3. Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally	Activity 3.1.1: Identify high impact stories amongst the individual AFCIA programmes.	Impact stories address gender considerations
	Activity 3.1.2: Organize on-sites professional photo/video reportages or on-site recording for the selected micro-grants.	Professional photo/video reportages reflect gender considerations
	Activity 3.1.3 Develop good practices factsheets on key sectorial/thematic technologies successfully promoted through AFCIA	Good practices factsheets on key sectorial/thematic technologies address gender considerations
	Activity 3.1.4 a global knowledge report providing an overview of the AFCIA experience and including all lessons learned and good practices collected during the implementation is developed and published in close partnership with all IEs.	Knowledge report include a session on gender
	Activity 3.2.1: Develop the global programme communication and knowledge management strategy	Develop a gender-responsible Communication and KM strategy
	Activity 3.2.3: Launch awareness campaigns to provide visibility on the AFCIA II programme to reach non-conventional stakeholders.	Ensure that awareness campaign reach women, youth and vulnerable communities
	Activity 3.2.4: Develop key messages tailored to different target audiences to share analysis, knowledge and inputs on the climate change adaptation technologies implemented under AFCIA II Activity 3.2.5 Disseminate the key messages using AFCIA and IEs websites and networks, Adaptation Fund channels, social media, newsletters.	Ensure that key messages developed cover gender considerations and reach women.
	Activity 3.3.1: Organize AFCIA sessions during the CTCN NDE Forums. Activity 3.3.2: Organize AFCIA sessions during the SBs. Activity 3.3.3: Organize learning conferences on specific technologies or sectors that have been covered by different IEs. Activity 3.3.4: Organize training webinars on the most popular technologies /sectors that have been covered by different IEs. Activity 3.3.5 Impact event of AFCIA Coordination Services is organized at COP.	Ensure that gender considerations are addressed by specific geographically and thematically learning exchange events

Project/programme-specific plan for gender action

As per the AF gender Guidance Document, a Gender Action Plan (GAP) has been developed. Please refer to Part II.D of the proposal. It includes the following components:

Activities: description of the concrete activities the project/programme will put in place to respond to identified gender risks, differences, gaps or opportunities. For example: Poor and female headed households (FHHs) provided with drought-resistant improved seeds.

Indicators and targets: selected to measure the quantity, quality and timeliness and performance of products (goods or services) that are the result of specific activity (to include sex- and gender-disaggregation considering relevant intersectionality such as ethnicity or indigeneity where possible to indicate how benefits are distributed); usually one per activity. For example: X% FHHs and X% of other vulnerable HHs (e.g. widowed, minorities, disabled, the elderly) in project areas provided with improved seeds. While an indicator will measure absolute results, targets measure improvements/increases over time. Both require a baseline to indicate the status quo at the start of the activity. The indicators and targets from the GAP must be integrated into the main results framework of the project/programme.

Timeline: indicates when an activity would start (for example, "year x of implementation"), how long it might run and when it should be completed; important for sequenced gender activities building on each other.

Responsibilities: describes which party/organization/entity/implementation partner will be responsible for ensuring the achievement of specific targets and indicators, (ex. the IE or executing entity in charge of implementing the specific activity). The overall responsibility for monitoring the successful implementation of the GAP rests with the IE.

Costs: calculates the approximate budgetary allocation for undertaking each activity considering both material costs as well as the costs of associated staffing resources (ex. for a gender consultant). The costs for the GAP must be reflected in the full project/programme budget, with the costs monitoring the implementation of the GAP integrated into the budgeted M&E plan (as required under Part III, Section D of the full project proposal template).

Table 15- GAP

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Impact Statement:

(Describe here the main goals of the project/programme-level GAP. The impact statement should briefly summarize the contribution the project/programme aims to make to advance gender equality and the empowerment of women and girls as part of the project/programme implementation. This should note the difference the project/programme will make over time. It also should state, as applicable, the long-term changes in gender power relations and gender norms to which the project/programme aims to contribute.)

Example: Increased food security for differentiated gender groups and gender sub-groups in the project/programme area through better access to improved climate-resilient agricultural inputs and extension services.

To enhance the impact of the AFCIA II programmes led by IEs on gender empowerment and equality.

Outcome Statement:

(Write here the project/programme outcome statement; it should be specific and measurable (through qualitative or quantitative indicators and targets) and describe specific changes and improvements in attitudes, knowledge, awareness, capacity, skill or behavior that will result due to the actions of the projects/programme.)

Example: An estimated X number/increased percentage (against a baseline) of female subsistence farmers have improved their agricultural productivity in a climate-resilient way, taking into account traditional local and indigenous knowledge.

25% of comms and knowledge products designed under Component 3 are gender responsive.

100% of IEs integrate gender-mainstreaming activities in the implementation of their respective AFCIA programmes through the guidance received by UNEP CTCN under the AFCIA Coordination Services programme.

Output(s) Statement(s):

(Write here the output statement; in many cases (often corresponding to multiple project/programme components) there will be more than one output for a project or programme. Each output statement should be followed by a separate row with details of associated activities under the GAP; gender and sex-disaggregated indicators and targets, timeline and responsibilities)

Example: Indigenous female subsistence farmers in the project/programme area with improved access to climate-resilient agricultural inputs and extension services

Output 1.1: Guidance on gender mainstreaming is provided to IEs.

Output 1.2: Information on gender integration at micro-grant level is regularly provided by IEs.

Output 1.3 : The programme joint M&E framework integrates gender.

Output 2.1: Women are represented among participants and speakers of the small regional innovation hub.

Output 2.2: Women are represented among participants and speakers of the large regional innovation hub.

Output 3.1: At least 25% of knowledge products cover gender considerations.

Output 3.2: At least one social media post per quarter and a communication campaign focusing on gender considerations or tailored to reach women groups.

Output 3.3 : At least 2 learning conferences covering gender and climate change innovation in adaptation are organized.

Activities	Indicators	Targets	Timeline	Responsibilities	Costs
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Activity 1.1.4 Ensure that the internal webinars address gender considerations linked to adaptation innovation and technologies	1 webinar organized per year to cover gender considerations linked to adaptation innovations as part of the Internal Coordination Mechanism.	3 webinars (one per year) are organized to cover gender considerations linked to adaptation innovations as part of the Internal Coordination Mechanism.	Once per year	CTCN	Gender Specialist – Output 1.1
Activity 1.2.1 Integrate gender indicators in the editable annual report template to be populated by each IEs to support UNEP CTCN annual reporting at programme level.	Number of indicators monitoring gender in the editable annual report template to be populated by each IEs to support UNEP CTCN annual reporting at programme	3 gender indicators integrated in the editable annual reports (included in the AF Results Tracker)	Once a year	IEs UNEP CTCN	Gender Specialist Output 1.2
Activity 1.2.2 Integrate a gender indicator in the common internal repository to collect and share information on gender mainstreaming in the selected micro-grant proposals and project implementation.	Number of indicators integrated in the common internal repository to collect gender information on micro-grants on a regular basis	At least 1 gender indicator integrated in the common internal repository to collect gender information on micro-grants on a regular basis	To be updated biannually by IEs	IEs UNEP CTCN	Gender Specialist Output 1.2
Activity 1.3.1 Integrate gender indicators in the M&E tracking tool.	Number of indicators monitoring gender integrated in the programme M&E tracking tool (as per AF Results Tracker)	3 indicators monitoring gender integrated in the programme M&E tracking tool (as per AF Results Tracker)	Reporting once a year, at start of the project, mi-term and closure of each project.	CTCN UNEP based on the information facilitated by the respective IEs.	Gender Specialist Output 1.3
Activity 1.3.2 Ensure that internal dashboard feature gender considerations	Number of graphs created to reflect impact of micro-grant projects on gender	At least 1 graph created to reflect impact of micro-grant projects on gender	Biannually based on information collected through the internal repository, M&E	CTCN UNEP based on the information facilitated by the respective IEs.	Gender Specialist Output 1.3

			tracking tool and coordination calls		
Activity 1.3.3 Report compliance on gender policy in Annual Progress Report	Number of sections on compliance on gender policy filled in for the 3 PPR submitted to, and approved by AF	1 section on compliance on gender policy filled in for the 3 PPR submitted to, and approved by AF (one per year)	Once a year	CTCN UNEP based on the information facilitated by the respective IEs.	CTCN project management fee
Activity 2.1.3 Specific newsletters and webinars on the linkages between Climate Change – innovation- technologies and gender, as well as on specific sectors (Water-Energy Food and gender for example) or on specific technologies that could be implemented by different IEs (such as Solar Irrigation Powered Systems for example and gender).	Number of newsletters and webinars on the linkages between Climate Change – innovation- technologies and gender, as well as on specific sectors (Water-Energy Food and gender for example) or on specific technologies that could be implemented by different IEs (such as Solar Irrigation Powered Systems for example and gender).	At least 3 newsletters and webinars on the linkages between Climate Change – innovation- technologies and gender are organized	Throughout the programme	CTCN UNEP	Gender Specialist and Communication Officer Output 2.1.3.
Activity 2.2.2 Ensure that the selection criteria are gender -responsive	Number of selection criteria that considers gender	At least one eligibility and one prioritization criteria consider gender	Year 2 of the programme	CTCN UNEP IEs	Gender, Comms, Output 2.1
Activity 2.2.3 Ensure that women are represented among invited grantees	Percentage of female speakers represented in the small regional innovation hubs	Panel of speakers and technology providers are composed by 50% of women.	Year 2 of the programme	CTCN UNEP IEs	Gender, Comms, Communication Officer, Technology Specialist– Output 2.1
Activity 2.3.2 Ensure that women are represented among invited Grantees	Percentage of female speakers represented in the large regional innovation hubs	Panel of speakers and technology providers are composed by 50% of women.	Year 2 of the programme	CTCN UNEP IEs	Communication Officer, Technology Specialist– Output 2.1
Activity 3.1.1 Impact stories address gender considerations	Percentage of Impact stories addressing gender considerations		Throughout the implementation of the programme.	CTCN UNEP	Communication and Gender Specialist Output 3.1
Activity 3.1.2 Photo/video reportages	Percentage of Photo/video reportages addressing gender		Throughout the implementation of	CTCN UNEP	Communication and Gender Specialist

address gender considerations	considerations		the programme.		Output 3.1
Activity 3.1.3 Good practices and factsheets on key sectorial/thematic technologies address gender considerations	Percentage of Good practices and factsheets on key sectorial/thematic technologies addressing gender considerations	At least 25% of Knowledge products produced under Output 3.1 address gender considerations.	Throughout the implementation of the programme.	CTCN UNEP	Communication and Gender Specialist Output 3.1
Activity 3.1.4 & 3.1.5 Knowledge products address gender considerations	Percentage of Knowledge products addressing gender considerations		Throughout the implementation of the programme.	CTCN UNEP	Communication and Gender Specialist Output 3.1
Activity 3.2.1 Develop a gender-responsible Communication and KM strategy	Number of Communication and KM strategy integrating gender mainstreaming activities	1 Communication and KM strategy integrates gender mainstreaming activities	Within the first year after signature of the contract	CTCN UNEP	Output 2. Communication Specialist and Gender Specialist Output 3.2
Activity 3.2.3 Ensure that awareness campaign reach women, youth and vulnerable communities	Number of awareness campaign targeting women, youth and the most vulnerable communities	At least one awareness campaign targeting women, youth and the most vulnerable communities.	Within the first 3 years following the signature of the contract	CTCN UNEP	Gender Specialist and Communication Officer Output 3.2
Activity 3.2.4 & 3.2.5 Ensure that key messages developed cover gender considerations and reach women.	Number of posts in twitter, Facebook, or any other social media focusing on gender considerations or tailored to reach women groups throughout the implementation of the programme	At least one post in twitter, Facebook, or any other social media per quarter focusing on gender considerations or tailored to reach women groups throughout the implementation of the programme	Continuously	CTCN UNEP	Output 2. Gender Specialist and Communication Officer Output 3.2.
Activity 3.3.1, 3.3.2, 3.3.3, 3.3.4 Ensure that gender considerations are addressed by specific geographically and thematically learning exchange events	Number of learning conferences covering gender and climate change innovation in adaptation organized in the margin of other exchange events.	At least 2 learning conferences covering gender and climate change innovation in adaptation in the margin of other exchange events are organized. At least one event organized in the presence of CTCN AB Gender Constituency	Year 2 & 3	CTCN UNEP	Gender Specialist and Communication Officer Output 3.3

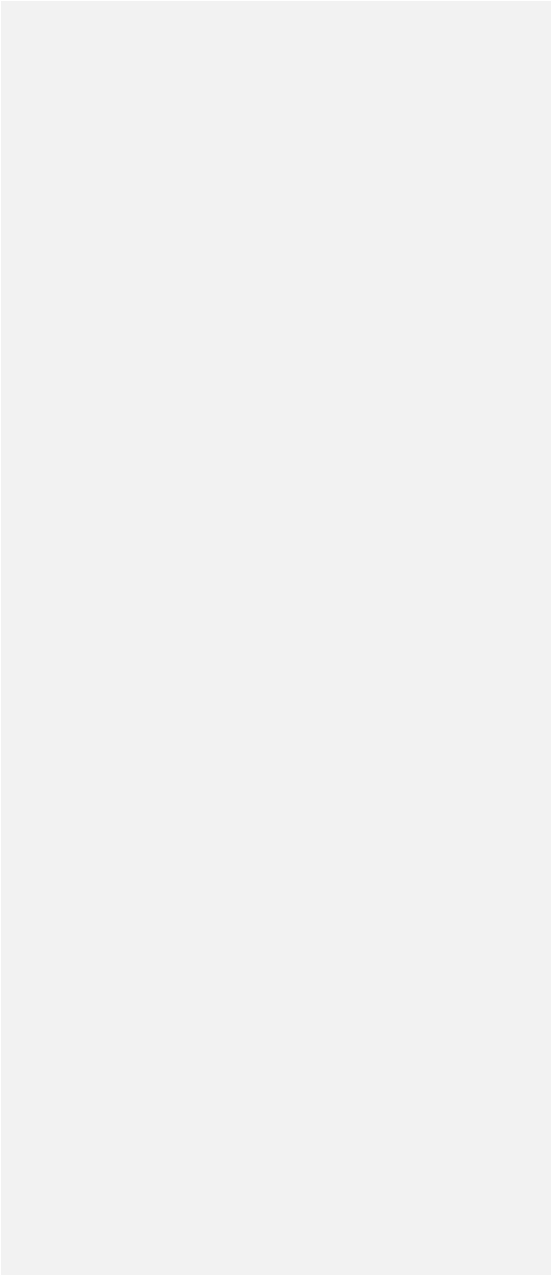
Integration of these aspects into the general project/program

Component : Please refer to the table titled “ Gender Responsive activities”.

implementation arrangements:

including its **budget** : Gender resources have been allocated to the Programme. Please refer to the budget (Part III - G).

Results framework: Please refer to Part III – E as the results framework includes gender indicators.



Annex V : Risk assessment and ESMP of AFCIA Coordination Services

Introduction

The Environmental and Social Policy (ESP) of the Adaptation Fund requires that the programme as well as all micro-grant projects be screened against the following 15 principles identified by AF.

Table 16: List of Adaptation Fund's ESP

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Adaptation Fund's environmental and social principles
<i>Compliance with the Law</i>
<i>Access and Equity</i>
<i>Marginalized and Vulnerable Groups</i>
<i>Human Rights</i>
<i>Gender Equity and Women's Empowerment</i>
<i>Core Labor Rights</i>
<i>Indigenous Peoples</i>
<i>Involuntary Resettlement</i>
<i>Protection of Natural Habitats</i>
<i>Conservation of Biological Diversity</i>
<i>Climate Change</i>
<i>Pollution Prevention and Resource Efficiency</i>
<i>Public Health</i>
<i>Physical and Cultural Heritage</i>
<i>Lands and Soil Conservation</i>

This policy ensures that programmes supported by the Fund promote positive environmental and social benefits and mitigate or avoid adverse environmental and social risks and impacts. This annex presents a detailed risk identification and management plan (ESMP).

ESP Risks Identification

Using Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy, UNEP formulated the AF ESP checklist. Please, refer to Part II.N of the proposal.

This annex aims at presenting a preliminary risk assessment of the AFCIA programme.

Impact assessment

Table 17: Impact Assessment of the risks identified.

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Checklist of environmental and social principles	Potential Risks identified	Impact assessment of the risks identified under Table 13.	Impact of Risk ¹ (1-5)	Probability of Risk (1-5)	Significance of Risk (L, M, H) <i>Please refer to</i>

					<i>thematrix below</i>
<i>Marginalized and Vulnerable Groups</i>	There is a risk that AFCIA Coordination Services programme could not reach all marginalized and vulnerable groups globally and that those groups do not benefit as they should from individual small grant projects implemented at country level	The impact of this risk is estimated as medium. If AFCIA Coordination Services programme does not reach marginalized and vulnerable groups or increase knowledge about vulnerable groups consideration among AFCIA partners, these marginalized and vulnerable groups will not be aware of the impact of the global AFCIA II programme and will not be able to access / benefit from key adaptation technologies and innovation successfully tested by the programme	3	2	L
<i>Gender Equity and Women's Empowerment</i>	There is a risk that AFCIA Coordination Services programme would not reach equally the women and the men globally and that women do not benefit how they should from individual small grant projects implemented at country level.	The impact of this risk is estimated as medium. If AFCIA Coordination Services programme does not reach women and men equally for example because women have no access (or have limited access) to digital technologies and social media, women would not be equally informed about the impact of the global AFCIA II programme and would not be able to access / benefit from key adaptation technologies and innovation successfully tested by the programme.	3	2	L

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General Categorization of the risks

Table 18: categorization of the risks

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Adaptation Fund's Principles	Checklist of environmental and social principles	Risk Associated Yes/ NO	Categorization of the risk based on Adaptation Fund
1	<i>Compliance with the Law</i>	No	C
2	<i>Access and Equity</i>	No	C
3	<i>Marginalized and Vulnerable Groups</i>	Yes	C
4	<i>Human Rights</i>	No	C
5	<i>Gender Equity and Women's Empowerment</i>	Yes	C
6	<i>Core Labor Rights</i>	No 99	C
7	<i>Indigenous Peoples</i>	No	C

8	<i>Involuntary Resettlement</i>	No	C
9	<i>Protection of Natural Habitats</i>	No	C
10	<i>Conservation of Biological Diversity</i>	No	C
11	<i>Climate Change</i>	No	C
12	<i>Pollution Prevention and Resource Efficiency</i>	No	C
13	<i>Public Health</i>	No	C
14	<i>Physical and Cultural Heritage</i>	No	C
15	<i>Lands and Soil Conservation</i>	No	C

The proposed project is categorized as C according to the ESP policy. Category C corresponds, under the Adaptation Fund, to projects with low risks.

To limit the probability of occurrences of the risks identified, UNEP CTCN has identified mitigation measures presented under the following Environmental and Social Management Plan (ESMP).

ESMP

This ESMP describes the risks that have been identified, the negative impacts that are expected and the measures that are needed to manage or avoid those negative impacts. The ESMP presented in the table below includes 6 columns:

- The AF principles to which the risk is associated.
- The definition of the risk.
- The negative impacts expected.
- The mitigation measures suggested .
- The entity responsible to implement these measures.
- The roles of UNEP and CTCN in implementing this ESMP
- The source of funding
- The data that will be used to monitor and report on the ESMP.

Table 19 – ESMP

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AF principles	Risk Identified	Negative Impacts expected (Impact assessment)	Mitigation Measures	Who is responsible for the implementation of the ESMP	Who assumes which roles?	Source of Funding	Data to monitor and report on the ESMP
<i>Marginalized and Vulnerable Groups</i>	<i>There is a risk that AFCIA Coordination Services programme could not reach all marginalized and vulnerable groups globally and that those groups will not benefit as they should from individual small grant projects supported by the programme</i>	Impact: Medium The impact of this risk is estimated as medium. <i>If AFCIA Coordination Services programme does not reach marginalized and vulnerable groups or increase knowledge about vulnerable groups consideration among AFCIA partners, these marginalized and vulnerable groups will not be aware of the impact of the global AFCIA II programme and will not be able to access / benefit from key adaptation technologies and innovation successfully tested by the programme.</i>	Component 1 will create an Internal Coordination Mechanism including biannual webinars to support AFCIA Implementing Entities and component 2 will support the establishment of an AFCIA Community of Practices supporting the grantees and partners to maximize benefits and impact of the individual micro-grant project supported by the programme. Specific webinars will be organized to better address youth and vulnerable consideration including children, women and girls, the elderly, indigenous people, tribal groups, displaced people, refugees, people living with disabilities, and	CTCN	<i>CTCN is responsible for executing the programme. CTCN will formulate all KMS products and facilitate the different webinars and training taking marginalized and vulnerable groups into consideration.</i> Implementing Entities will be responsible of spreading KMS products to their network and applying guidance received. UNEP will be responsible to monitor and ensure this ESMP is successfully implemented.	Communication Officer, Gender Specialist, Climate Change Adaptation Specialist	The monitoring will be ensured through the following data: Number of webinar focusing on marginalized groups/maximized adaptation benefits, stakeholder engagement organized. Number of in-persons events or workshops organized, activities' participants list disaggregated by youth, number of direct and indirect beneficiaries disaggregated by youth. <i>Frequency of report: Once a year through PPR. Final Evaluation.</i>

			<p>people living with HIV/AIDS.</p> <p>In addition, component 3 of the programme will organize events in the margins of international events (such as NDE Forum, SBs, and COPs). Those international events often ensure the presence of representatives of marginalized and vulnerable groups.</p>				
<p>Gender Equity and Women's Empowerment</p>	<p>There is a risk that AFCIA Coordination Services programme would not reach equally the women and the men globally and that women do not benefit how they should from individual small grant projects supported by the programme.</p>	<p>Impact: Medium The impact of this risk is estimated as medium. <i>If AFCIA Coordination Services programme does not reach women and men equally for example because women have no access (or have limited access) to digital technologies and social media, women would not be equally informed about the impact of the</i></p>	<p>Component 1 will create an Internal Coordination Mechanism including biannual webinars to support AFCIA Implementing Entities and component 2 will support the establishment of an AFCIA Community of Practices supporting the grantees and partners to maximize benefits and impact of the individual micro-grant project supported by the programme. Specific webinars will be organized to</p>	<p>CTCN</p>	<p><i>CTCN is responsible for executing the programme. CTCN will formulate all KMS products and facilitate the different webinars and training taking marginalized and vulnerable groups into consideration.</i></p> <p>Implementing Entities will be responsible of spreading KMS products to their network and applying guidance received.</p>	<p>Comms, Gender, Technical Support, Coordination officer.</p>	<p>The monitoring will be ensured through the following data:</p> <p>Number of webinars focusing on gender - innovation & technology, gender and adaptation benefits, stakeholder engagement.</p> <p>Number of sessions organized in the presence of CTCN Gender</p>

		<p><i>global AFCIA II programme and would not be able to access / benefit from key adaptation technologies and innovation successfully tested by the programme.</i></p>	<p>better address gender considerations linked to adaptation innovations.</p> <p>UNEP CTCN will focus on empowering individuals and groups for environmental action, placing emphasis on voices from sectors and actors sometimes outside the government space, by engaging with gender constituency, through CTCN AB.</p> <p>Gender indicators will be integrated to the M&E framework and common internal repository to collect gender information on micro-grants on a regular basis.</p> <p>Under Component 2, the Panel of speakers and technology providers of the regional innovation hubs will be composed by 50% of women.</p>		<p><i>UNEP will be responsible to monitor and ensure this ESMP is successfully implemented</i></p>	<p>Constituency.</p> <p>Number of gender-responsive indicators formulated under the coordination instruments (repository, editable annual reports, M&E tracking tool, etc.)</p> <p>Number of female speakers represented in the regional hubs.</p> <p>Number of knowledge products produced under component 3 that address gender considerations.</p> <p>Number of Communication and KM strategy formulated that integrates gender mainstreaming activities.</p> <p>Number of awareness campaign targeting women,</p>
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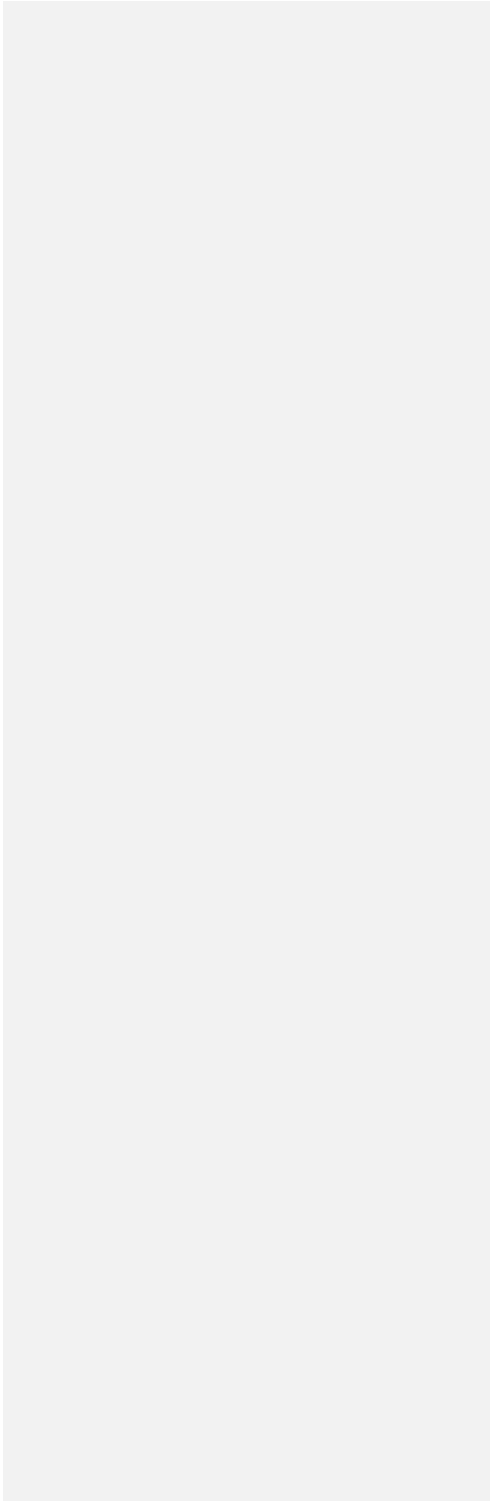
			<p>Under component 3, at least 25% of knowledge products produced will address gender considerations.</p> <p>Also, a Communication and KM strategy that integrates gender mainstreaming activities will be developed,</p> <p>At least one awareness campaign targeting women, youth and the most vulnerable communities will be organized.</p>				<p>youth and the most vulnerable communities organized.</p> <p><i>Frequency of report: Once a year through PPR.</i></p> <p>Final Evaluation.</p>
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List of monitoring indicators for each impact identified.

Table 20. Monitoring indicators for the identified risks

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ESP Principles	Impact identified	Indicator	Reference to the proposal
<i>Marginalized and vulnerable groups.</i>	Marginalized groups and women have access to knowledge shared through AFCIA CS and benefit from adaptation technologies and innovations tested by AFCIA micro-grant projects	<p># of countries and # of stakeholders (Disaggregated by gender and youth) aware of the AFCIA programme and encouraged to apply (baseline: 0)</p> <p>Number of webinar focusing on marginalized groups/maximized adaptation benefits, stakeholder engagement organized.</p> <p>Number of in-persons events or workshops organized, (participants disaggregated by youth)</p> <p>number of direct and indirect beneficiaries disaggregated by youth.</p>	ESMP Results framework
<i>Gender Equity and Women's Empowerment</i>		<p>Number of webinars focusing on gender - innovation & technology, gender and adaptation benefits, stakeholder engagement.</p> <p>Number of sessions organized in the presence of CTCN Gender Constituency.</p> <p>Number of gender-responsive indicators formulated under the coordination instruments (repository, editable annual reports, M&E tracking tool, etc.)</p> <p>Number of female speakers represented in the regional innovation hubs.</p>	GAP Results framework



		<p>Number of knowledge products produced under component 3 that address gender considerations.</p> <p>Number of Communication and KM strategy formulated that integrates gender mainstreaming activities.</p> <p>Number of awareness campaign targeting women, youth and the most vulnerable communities organized</p>	
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Annex VI : Stakeholder Engagement Plan of AFCIA Coordination Services Stakeholder Engagement Plan

Meaningful consultation and engagement processes is a strategic priority embedded in the environmental and social management system for the United Nations Environment Programme (hereby UNEP) and the Adaptation Fund Secretariat for the implementation of UNEP AFCIA Coordination Services programme. This section will assist UNEP and the project team facilitate participation, engagement, conflict mitigation and decision-making by all relevant stakeholders (including communities) likely to be involved in and/or affected by the project. It will ensure that these actors are provided with sufficient opportunity to voice their opinions and concerns (should there be any) that may influence project decisions.

This section presents: a) a summary of stakeholder consultations organized during the formulation of the AFCIA II; b) a mapping of stakeholders which will be engaged during project implementation; c) a stakeholder engagement plan, technically and culturally appropriate for Developing countries and d) an appropriate grievance mechanism.

Stakeholder's consultations organized during the formulation of AFCIA Coordination Services proposal.

During the formulation of AFCIA Coordination Services, several key stakeholders were consulted allowing them to provide feedback based on which the design of the AFCIA Coordination Services programme was established. Stakeholders who contributed include:

CTCN team members.

Director CTCN
Regional Program Manager CTCN – Asia
Regional Program Manager CTCN- Africa
Program Specialist
Gender Specialist
Communication Specialist
Digitalization Specialist
Financial Officer

Representatives UNEP including.

UNEP Head of Adaptation
UNEP Programme Manager for AFCIA
UNEP Fund Management Officer (FMO)
UNEP PRC team

Other Implementing Entities of the AFCIA programme.

Existing AFCIA IEs and partners have been provided the opportunity to share written comments on the CS proposal as well as during the first IEs coordination call organized on the 19th of March 2024 to discuss expectation in terms of programme coordination.

Stakeholder's consultations organized during the implementation of the AFCIA Coordination Programme.

UNEP CTCN will continuously engage with each of the IEs in charge of implementing AFCIA programmes through :

The establishment a high-level Programme Steering Committee including one representative of the Adaptation Fund Secretariat, one representative of UNEP and CTCN and one representative of each IEs to provide strategic direction and oversight. The PSC will meet twice a year to steer AFCIA implementation. One PSC meeting per year will be held in-person in margins of the annual international conferences. The second one will be held virtually. (Activity 1.1.1 & 1.1.2).

Quarterly coordination calls between IEs in the presence of the programme managers, and communication officers of each IEs to improve task assignment and implementation for more cohesive, inclusive and complementary implementation. Coordination meetings will be held virtually. (Activity 1.1.3).

To summarize, during CS implementation, close communication and strong engagement of IEs will be maintained through quarterly calls and biannual PSC meeting (output 1.1) in addition to regular inputs that will need to be provided by IEs for all other outputs.

Specific webinars will be organized, to support proposals identification and selection processes maximizing vulnerability reduction and socio-economic and environmental co-benefits, reaching the most vulnerable groups including women, meeting the relevant national technical standards and building sustainability. 4 webinars have been planned (Activity 1.1.4).

A team's group between programme managers and comms officers of AFCIA programmes will be created to ease the communication between the partners (Activity 1.2.1)

The creation of a Community of Practice to which all IEs, as well as project proponents, Implementing Partners, NDE and DAs of the countries in which projects are implemented will be invited to participate (Activity 2.1.1). AFCIA partners will be engaged once selected by the different IEs through the Community of Practice (output 2.1) that will allow regular online communication and support the partners in the implementation of their micro-grant projects. This CoP will be linked to an existing platform such as GAN to ensure post-project sustainability.

regional innovation hubs will be organized in year 2 and 3 in person, with representatives of each IEs, of the Adaptation Fund, of the project proponents, Implementing Partners, NDE and DAs of the countries in which projects are implemented (Output 2.2 & 2.3). Other stakeholders including civil society and private sector representatives will be strongly encouraged to attend and actively participates to those hubs. These regional innovation hubs will create an innovation marketplace and strengthening the AFCIA partnership.

With this programme, UNEP CTCN intends to enhance the scale up and impact of the AFCIA II programme through coordination of its implementing entities and its monitoring and learning activities, and will try to connect with knowledge helix of government, academia, industry, and civil society through:

Component 2 with the organization of regional innovation hubs for the adaptation of innovation and technology
Component 3 through communication and knowledge management services that will intend to reach governmental agencies, as well as civil society including women, youth, vulnerable and marginalized groups as well as non-conventional stakeholders.

The results of these consultations will be monitored in the annual PPR, the closure report and TE.

Inception calls/meetings

Within the first 3 months following the signature of the contract, UNEP CTCN will initiate the implementation of Component 1 of the Programme that will invite each IEs to participate to an inception call. This inception call will take place within the first 2 months following the signature of the contract and will have the objective to:

Get to know the team involved in the implementation of AFCIA II from each IEs.

Explain the purpose of this programme and the methodology which is expected to be deployed.

Hear from IEs on the kind of tools, support, expectations they would like to receive.

Plan a separate call with each IE to understand the structure of their respective AFCIA II programme.

Separately, and within the first 6 months following the signature of the contract, UNEP CTCN will organize a bilateral call with each IE to understand their respective AFCIA II the number of micro-grants that would be developed under AFCIA II, the status of the implementation, any challenges, barriers, bottleneck that they have faced so far. During this meeting, an overview on the impact of their respective programme on gender and marginalized people will be undertaken by requesting information on the way they have integrated these aspects at sourcing, implementation and closing stage, and how each IE expect to monitor the impact of their respective programmes on gender and marginalized people.

These inputs from the IEs will help UNEP CTCN to develop the indicators on gender and marginalized people and include them in the M&E framework and internal coordination mechanism.

Other stakeholders' consultations

Stakeholder's consultations, including the NDE, DA, project proponents, implementing partners, AF, IEs, laureates and the helix of knowledge of government, universities and civil society will be organized in-person, through the regional innovation hubs described under output 2.2 and 2.3.

Specific geographic and thematic dissemination events will be organized in the margins of international events including NDE Forums, Subsidiary Bodies, COP for example.

Stakeholder identification, mapping and analysis

The Stakeholder mapping serves to inform the development of the project implementation's Stakeholder Engagement Plan and facilitate the prioritization of engagement activities with stakeholders.

The first stakeholder mapping will be done by UNEP CTCN to map the names and contact details of the IEs partners including the manager of the programme and comms officers.

During the implementation, a Community of Practice including, AF, IEs, Project Proponents, implementing partners, NDEs and Das will be created. Quarterly newsletter and webinars, among others will be created to encourage the CoP to participate to the discussions. Example of webinars could include the linkages between Climate Change – innovation- technologies and gender, as well as on specific sectors (Eater-Energy Food for example) or on specific technologies that could be implemented by different IEs (such as Solar Irrigation Powered Systems for example).

Stakeholder identification (mapping)

Mandatory stakeholders to be considered at project level will include:

Table 21: Power and interest analysis of different stakeholder groups

Stakeholder	Influence ¹¹	Interest in project ¹²
UNEP		
Accredited Entity of the programme	H : UNEP is the direct counterparty of the Adaptation Fund Secretariat	H: UNEP's role is to provide oversight and support for the successful implementation of the project.
CTCN		
Executing Entity	H : CTCN will be the entity ensuring the implementation of the programme	H: the success of AFCIA will depend on CTCN's management of the programme.
Implementing Entities		
Implementing Entities	H: Implementing Entities are in charge of implementing AFCIA programmes	H: Each implementing Entity is responsible for the implementation of one AFCIA programme. The Coordination Services proposal can only be successfully implemented with active engagement from IEs
NDE OF HOST COUNTRY		
The contact of the NDE is available under CTCN webpage. https://www.ctc-n.org/countries	M: The NDE will be endorsing the requests of UNEP CTCN AFCIA Programme. They will demonstrate the endorsement of the projects by the national government.	H AFCIA represents a way to attract funding to support Climate Change priorities and needs of the country and leverage additional funding to scale up- replicate the successfully tested technology.
DESIGNATED AUTHORITY OF THE ADAPTATION FUND		
The contact of the DA is available under the Adaptation Fund webpage: https://www.adaptation-fund.org/apply-funding/designated-authorities/	H: The DA will demonstrate the endorsement of the projects by the national government.	H AFCIA represents a way to attract funding to support Climate Change priorities and needs of the country and leverage additional funding to scale up- replicate the successfully tested technology.

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¹¹ L=low; M=Medium; H=High

¹² L=low; M=Medium; H=High

Stakeholder	Influence ¹¹	Interest in project ¹²
PROJECT PROPONENT		
The project proponent can be a public, private or a non-for-profit organization	H: The Project Proponent is the initiator of the project, the entity that got the idea of submitting the request.	H Raise/secure funds to test/implement an adaptation technology and foster successful innovation in the country for vulnerability reduction
IMPLEMENTING PARTNERS		
Implementing partners are the entities that will be awarded to implement each micro-grants selected by each IEs	H. The results of each micro-grants and therefore AFCIA programme as a whole will depend on the expertise and professionalism of the IP.	H. Implementing partners will get support and guidance to improve micro-grant implementation, impact and sustainability from the AFCIA Coordination Services especially through the CoP and regional innovation hubs
UNEP REGIONAL OFFICES		
UNEP Regional Offices will ensure that the project is aligned with national priorities and strategies and that no other identical initiatives are already being developed (or about to start).	M: UNEP Regional offices will be informed and regularly updated on the implementation of the AFCIA programme. They will be able to provide inputs and promote the programme among their networks. They will be invited to participate to the regional innovation hubs.	M. Regional offices are interested to make the link between the programme and the needs / interest of their partners in the regions.
RELEVANT LINES OF MINISTRIES		
Relevant lines of ministries will reflect the ownership of the project and its importance to achieve national strategies and NDCs.	L: The micro -grants will be managed by the most relevant lines ministries. Other lines of ministries will be listed and involved as stakeholders but due to the small size of the projects, they might not be involved on a daily basis	L. Ministries that are not directly linked with the project through the NDE, DA or Project Proponent might have a low interest in the Coordination Services proposal. The goal will be to increase their interest and awareness through dissemination of success stories and good practices.
CIVIL SOCIETY		
Civil society	M: the civil society should support the project's main objective of increased climate change resilience.	L <u>The Civil society will be the direct beneficiaries of the micro-grants. The Coordination services proposal will try to influence the IEs, PP, IP, NDE, DA through the CoP and the regional hubs, by providing guidance in order to ensure that good practices reach the civil society.</u>
FINANCIAL MECHANISMS AND INSTITUTIONS		

Stakeholder	Influence ¹¹	Interest in project ¹²
Financial mechanisms and institutions will be invited to participate to the regional innovation hubs, workshops and events organized under Component 3 with the intention to provide funding to scale up / replicate some of the successful micro-grants	M. Additional source of finance will be key to leverage and scale up the micro-grants	M. AFCIA could provide some good investment opportunities on new successful technologies of interest for the private sector

Part III – Section A of the proposal presents the implementation arrangements of the proposed AFCIA Coordination Services and how key stakeholders will be involved and contribute during the implementation of the programme. The project team will especially engage with stakeholders having the highest level of influence and interest in the project to ensure smooth implementation, as well as complementarities and synergies with other initiatives.

3.2 Engagement plan

UNEP CTCN will coordinate the implementing of AFCIA programmes implemented by the existing and anticipated Implementing Entities. Therefore meaningful, effective and informed consultation processes with the IEs will be critical to the success of the programme. The project will seek to identify priorities of stakeholders and provide them with opportunities to express their views at all points in the project decision-making process on matters that affect them. Meaningful, effective and informed consultation processes will possess the following characteristics:

Free of external manipulation, interference, coercion, and intimidation.

Gender and age-inclusive and responsive.

Culturally appropriate and tailored to the language and accessibility preferences and decision-making processes of each identified stakeholder group, including disadvantaged or marginalized groups. Where applicable, includes differentiated measures to allow effective participation of disadvantaged or vulnerable groups, including persons with disabilities.

Based on prior and timely disclosure of accessible, understandable, relevant and adequate information, including draft documents and plans.

Initiated early in the programme implementation, continued iteratively throughout the programme and project life cycle, and adjusted as risks and impacts arise.

Addresses social and environmental risks and adverse impacts, and the proposed measures and actions to address these.

Seeks to empower stakeholders, particularly marginalized groups, and enable the incorporation of all relevant views of affected people and other stakeholders into decision-making processes, such as project goals and design, mitigation measures, the sharing of development benefits and opportunities, and implementation issues.

Documented and reported in accessible form to participants, in particular the measures taken to avoid or minimize risks to and adverse impacts on the project stakeholders.

Consistent with the States' duties and obligations under international law.

Table 22: Stakeholder Engagement plan

Stakeholder group	Project's Outputs/ Activities	Engagement strategy	M&E	Responsibilities
UNEP	All Outcomes and activities	Accredited Entity, provide oversight and support to the implementation of the programme and is responsible for key reporting and M&E	PPR (annual) TE (once) Member of the Steering committee Participants to the Coordination Calls	UNEP is the Accredited Entity acting as Implementing Entity
CTCN	All Outcomes and activities	Executing Entity (lead implementation of all CS activities) Mandatory member of the Steering Committee ¹¹¹ Organize coordination calls and	Participant lists of PSC. Participant lists to the quarterly	CTCN acts as the Executing Entity

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		webinars. Facilitate the CoP	Coordination Calls, Participation list to the CoP	
Implementing Entities	All Outcomes and activities	Partners of UNEP CTCN for the implementation of the Coordination Services Programme. Member of the Steering committee Participants to the Coordination Calls Participant of the Community of Practice	Participant lists of PSC. Participant lists to the quarterly Coordination Calls, Participation list to the CoP	Key partners of UNEP CTCN
NDE	Component 2	Member of the CoP Participant to the regional innovation hubs Participants to AFCIA dissemination events	Participation list to the CoP	NDE will participate to the Component 2 including the CoP and the 2 regional innovation hubs
Designated Authority (DA)	Component 2	Member of the CoP Participant to the regional innovation hubs Participants to AFCIA dissemination events	Participation list to the CoP	DA will participate to the Component 2 including the CoP and the 2 regional innovation hubs.
Project Proponent	Component 2	Member of the CoP Participant to the regional innovation hubs Participants to AFCIA dissemination events	Participation list to the CoP List of Participants to regional innovation hubs	DA will participate to the Component 2 including the CoP and the 2 regional innovation hubs. Will use support and guidance provided by the AFCIA CS to improve implementation, impact and sustainability of their projects
Implementing Partners	Component 2	Member of the CoP Participant to the regional innovation hubs Participants to AFCIA dissemination events	List of participants to CoP regional innovation hubs	DA will participate to the Component 2 including the CoP and the 2 regional innovation hubs. Will use support and guidance provided by the AFCIA CS to improve implementation, impact and sustainability of their projects
UNEP Regional offices	Activity 2.2.2 Activity 2.2.3	Participant to the regional innovation hubs	List of participants to	Actively participate to regional

			the regional innovation hubs	innovation hubs and share AFCIA programme information and KM product with their network
Civil society	Activity 2.2.2 Activity 2.2.3 Component 2	Civil society will be encouraged to participate to the regional innovation hubs and will be the beneficiaries of Component 3	List of participants to the regional innovation hubs	Actively participate to the regional innovation hubs and advocate for improvements of adaptation innovations
Private sector including financial mechanisms and institutions	Activity 2.2.2 Activity 2.2.3 Output 3.3	Financial mechanisms/private sector will be encouraged to participate to the regional innovation hubs and to the Specific geographic and thematic dissemination events organized under output 3.3	participants to the regional innovation hubs and geographic and thematic dissemination events	Actively participate to the regional innovation hubs and explore investment opportunities for adaptation technologies and innovations

Grievance redress mechanism:

A grievance redress mechanism will be clearly defined and established through protocols and made publicly available from CTCN webpage. It will follow UNEP’s procedures as well as Adaptation Fund’s ESP and ESMS. The project team will respond promptly to any concerns observed or reported to avoid their escalation to grievances. The response process will be managed by CTCN with complaints not addressed referred to UNEP as a second step. Roles and responsibilities will be further detailed in the GRM protocols that will be developed at project inception phase and presented during the launch workshop.

The programme grievance redress mechanism will be established and introduced during the programme launch workshop and the links will be made publicly available via the CTCN AFCIA website. The project team will respond promptly to any concerns observed or reported to avoid their escalation to grievances. Protocols will be developed to establish the Grievance Redress Mechanism and will describe the dissemination strategy of the GRM, explains the process of complaints registration and sets the GRM operating procedures to respond to the requests and address the grievances.

Annex VII: Gantt Chart

Table 23: GANTT chart

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Outputs and Activities	category	2026	2027	2028	Total	Co-funded by	
						CTCN	Explanation

Component 1 : Coordination, management and monitoring services to support programme implementation and performance	Output 1.1 Coordination and oversight services, including direction on strategic, programmatic and administrative matters are in place.								
	Activity 1.1.1: Establish a high-level Programme Steering Committee	Technology specialist	5,000	5,000	5,000	15,000			A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 1.1
		Communication Officer	1,000	1,000	1,000	3,000			A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 1.1
	Activity 1.1.2: Plan PSC meetings twice a year to steer AFCIA implementation and quarterly coordination calls between IEs	PSC in person meetings	35,000	35,000	35,000	105,000			1 in-person PSC organized per year in the presence of representatives of each Implementing Entities (IE) with a maximum of 10 persons travelling. Cost have been estimated at 3.500 USD/day/person including both the DSA, the flights as well as room rent and catering
		Internal Coordination Mechanisms: digital tools, webinars and newsletters	2,000	1,200	1,634	4,834			Costs are covering the creation of the tools that will support the internal coordination mechanism as well as the formulation of the newsletters and

							webinars that will be organized quarterly.
Activity 1.1.3 Develop an internal coordination Mechanism	Gender Specialist	3,000	3,000	3,000	9,000		A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 1.1
Activity 1.1.4 : Hold webinars to support AFCIA II IEs according to their needs.	IT consultant	7,000	7,000	7,000	21,000	25,000	An IT consultant will support delivery of Output 1.1 of AFCIA CS during 3 years
Output 1.2 Online programme management platform and relevant tools are developed and regularly updated by all IEs							
Activity 1.2.1: Create digital and editable management tools on a secured online platform accessible to all IEs and Adaptation Fund.	Technology specialist	5,000	5,000	5,000	15,000		A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 1.2
Activity 1.2.2: Develop a common internal repository	Communication Officer	1,000	1,000	1,000	3,000		A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 1.2
	Gender Specialist	3,000	3,000	3,000	9,000		A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the

							support that will be requested from the gender specialist for the output 1.2	
Output 1.3: A joint Monitoring and Evaluation framework is developed to support standardized reporting of impact and facilitate comparison across the programme								
	Activity 1.3.1: An editable Adaptation M&E tracking tool is created	IT consultant	7000	7,000	7,000	21,000	25,000	1,000 USD of an IT expert to set up the online M&E.
	Activity 1.3.2: Dashboards are created based on information populated by IEs	Communication Officer	1,000	1,000	1,000	3,000		A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 1.3
	Activity 1.3.3: Annual progress reports are shared with the Adaptation Fun	Gender Specialist	3,000	3,000	3,000	9,000		A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 1.3
		Technology specialist	5,000	5,000	5,000	15,000		A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output1.3
Component 2 - Regional systems of innovations	Output 2.1 Sharing of experience for improved practices is ensured through the establishment of an AFCIA Community of Practice (CoP) for adaptation innovation and technology.							

<p>created to support the development and diffusion of innovative adaptation practices, tools and technologies.</p>	<p>Activity 2.1.1: Build a global online directory, accessible to all Implementing Entities and divided by region to register the contact details of all members.</p>	Communication Officer	6,000	6,000	6,500	18,500	<p>A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 2.1</p>
	<p>Activity 2.1.2 & 2.1.3</p>	Technology specialist	15,000	15,000	15,000	45,000	<p>A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output2.1</p>
	<p>Output 2.2 One regional innovation hub is piloted to strengthen the ideation process and establish a profile for AFCIA among potential investors.</p>						
	<p>Activity 2.2.1: Contract a regional partner to support UNEP CTCN in the organization of the 2 regional innovation hubs. Select a country to host the small regional hub planned to be held on year 2. Define a date and a venue.</p>	Regional Partnership to organize the regional innovation hubs	60,000	60,000	60,000	180,000	<p>One regional partner at 60,000 USD per year to support the logistics of the regional innovation hubs - both small (output 2.2) and large (output 2.3)</p>
	<p>Activity 2.2.2 Define the selection criteria and establish the rules as well as the procedures and responsibilities of each IEs for the management of regional innovation hub.</p>	Technology specialist	5,000	11,500		16,500	<p>A Climate Change Adaptation Specialist will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested this expert under output 2.2</p>

Activity 2.2.3: Identify and pre-select 10 grantees and invite them to present their project during the regional innovation hub	Gender Specialist	3,000	3,000	3,000	9,000	A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 2.2
	Communication Officer	12,500	12,500	12,500	37,500	A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 2.2
	Travel and DSA for the participants to the small regional innovation hub		195,000		195,000	1 regional innovation hubs organized during year 2 in with a maximum of 75 persons travelling under AF CS budget, including the shortlisted technology proponents, AF, IEs, NDE/DA of the countries where the selected micro-grant projects are implemented. This budget considers a cost of 2,000 for each flight ticket and USD 200/day/person of DSA and considers that the regional innovation hubs will be organized over 3 days. Private sector participants will fund themselves as will all other regional innovation players.

	Translators for the regional innovation hubs		45,000		45,000	15,000 USD / language for live translation - 3 languages requested (FR, ENG, SPA)
	Catering		15,000		15,000	15,000 USD for catering
	Venue		25,000		25,000	25,000 USD for the venue
Output 2.3 follow-up regional innovation hub is organized to build on and strengthen the profile of AFCIA among potential investors						
Activity 2.3.1: Select a country to host the large regional innovation hub planned to be held on year 3. Define a date and a venue.	Travel and DSA for the participants to the large regional innovation hub			559,000	559,000	1 regional innovation hub organized during year 3 in one region with up to a maximum of 215 persons travelling under AF budget, including the shortlisted technology proponents, AF, IEs, NDE/DA of the countries where the selected micro-grant projects are implemented. Second event is assumed to be larger as the profile of AFCIA grows. This budget considers a cost of 2,000 for each flight ticket and USD 200/day/person of DSA and considers that the regional hubs will be organized over 3 days. Private sector participants will fund themselves as will all other regional innovation players.
Activity 2.3.2 & Activity 2.3.3	Technology specialist		10,000	10,000	20,000	A technology specialist with expertise in both technology and climate change will be hired full

								time. This budget line is equivalent to the support that will be requested from this expert under output 2.3
		Translators for the regional innovation hubs			45,000	45,000		15,000 USD / language for live translation - 3 languages requested (FR, ENG, SPA)
		Catering			40,000	40,000		Catering for the participants
		Venue			50,000	50,000		Venue for the large regional meeting
Output 3.1 High-impact stories, thematic good practice factsheets and a knowledge publication are developed.								
Component 3: Communication and Knowledge Management services for increased dissemination and adoption of successful adaptation innovations and technologies globally	Activity 3.1.1: Identify high impact stories amongst the individual AFCIA programmes.	Gender Specialist	5,000	5,000	5,000	15,000		A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.1
	Activity 3.1.2: Organize on-sites professional photo/video reportages or on-site recording for the selected micro-grants	10 photo / video reportages	8,333	8,333	8,333	25,000		10 photo / video reportages at 2,500 USD each
	Activity 3.1.3 Develop good practices factsheets on key sectorial/thematic technologies successfully promoted through AFCIA	Technology specialist	15,000	15,000	15,000	45,000		A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 3.1

Activity 3.1.4 a global knowledge report providing an overview of the AFCIA experience and including all lessons learned and good practices collected during the implementation is developed and published in close partnership with all IEs.	Translation, video editing, script related to output 3.1	5,000	5,000	5,000	15,000	Services associated with output 3.1
Activity 3.1.5: Promote/share knowledge products through all relevant channels. UNEP CTCN will use the materials available under UNEP such as the Climate Action Dashboard, as well as Trello support and translations in all UN languages	Communication Officer	15,000	15,000	30,000	60,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output3.1

Output 3.2 2 Knowledge products are created and shared to increase the visibility on AFCIA II global programme

Activity 3.2.1: Develop the global programme communication and knowledge management strategy.	Communication Officer	20,000	20,000	20,000	60,000	A communication Officer will be hired full time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the comm officer for the output 3.2
Activity 3.2.2: Develop a global AFCIA website	IT support & other consultancies	1,000	1,000	1,000	3,000	IT support to support output 3.2
Activity 3.2.3& 3.2.4 & 3.2.5	Technology specialist	15,000	15,000	15,000	45,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 3.2

Activity 3.2.6 Organize at least one podcast, TED-style talk	Gender Specialist	4,000	5,000	5,000	14,000	A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.2
	TED -talk		2,500		2,500	One TeD Talk is recorded.
Output 3.3 Specific geographic and thematic dissemination events are organized.						
Activity 3.3.1: Organize AFCIA sessions during the CTCN NDE Forums	10 NDE Forums	3,000	3,000	3,000	9,000	3 events organized on margins of the NDE forums , 3 NDE forums per year, one per region at 1,000 USD/each event.
	Technology specialist	15,000	15,000	55,000	85,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 3.3
Activity 3.3.2: Organize AFCIA sessions during the SBs	Translation services during international events for the COP event.		45,000	45,000	90,000	15,000 USD / language for live translation - 3 languages requested (FR, ENG, SPA) for the event at COP
Activity 3.3.3: Organize learning conferences on specific technologies or sectors that have been covered by different IEs.	5 learning conferences	500	1,000	1,000	2,500	5 learning conferences organized at USD 500 each
Activity 3.3.4: Organize training webinars on the most popular technologies /sectors that have been covered by	3 training webinars	1,000	1,000	1,000	3,000	3 training webinars are organized at USD 1,000 each

different IEs						
Activity 3.3.5: Impact event of AFCIA coordination Services is organised at COP	COP impact event			62,666	62,666	An impact event is organized at COP in year 3 of the programme implementation
	Gender Specialist	5,000	5,000	15,000	25,000	A Gender Specialist will be hired at half time to support the implementation of the "Coordination Services" proposal. This budget line is equivalent to the support that will be requested from the gender specialist for the output 3.3
	IT consultant			5,000	5,000	IT consultant dedicated to the implementation of Output 3.3 of AFCIA CS
	Communication Officer	15,000	45,000	55,000	115,000	A technology specialist with expertise in both technology and climate change will be hired full time. This budget line is equivalent to the support that will be requested from this expert under output 3.3

Programme Activity Cost	Sub-Total	127,833	206,833	346,999	681,666	
Programme Execution Costs (10%) for CTCN	Programme Manager	307,333	682,033	1,225,634	2,215,000	Programme Manager Costs associated with the implementation of the programme Final Evaluation of the Programme. Administrative support requested for the implementation of the programme
	Final Evaluation	46,000	50,000	50,000	146,000	
	Administrative Support			80,000	80,000	
Programme Execution Costs	Sum					

(10%)

Total Programme Cost

	10,000	5,111	5,000	20,111	
Sub-Total	56,000	55,111	135,000	246,111	
Implementing Entity Fee (10%)	363,333	737,144	1,360,634	2,461,111	50,000
Total	82,037	82,037	82,037	246,111	
	82,037	819,181	1,442,671	2,707,222	50,000

