

Agenda

- ❑ **Overview of outcomes of the 41st Board Meeting**
- ❑ **Understanding the process of the rolling-basis submission**
- ❑ **Important changes and clarification on the cost and fees**
- ❑ **Changes to the review timeline of the readiness grants**
- ❑ **LLA consultations and COP28 announcements**

ADAPTATION FUND

OVERVIEW OF OUTCOMES OF THE 41ST BOARD MEETING

UPDATES TO DESIGNATED AUTHORITIES AND
IMPLEMENTING ENTITIES OF ADAPTATION FUND



ADAPTATION FUND

Helping developing countries build resilience and adapt to climate change

November 11, 2023

Footer

OVERVIEW: PROJECT AND PROGRAMME DECISIONS

- Twenty-five regular adaptation proposals, four EDA, one small innovation grant, and six AFCIA proposals were eligible to be processed and underwent technical review. The total amount of funding requested for these proposals is USD over USD 300 million.

- Decisions on 11 concrete project/programme proposals, which include the Board decisions to:
 - Approve 4 single country fully-developed project proposals,
 - Endorse 4 single country concept note proposals,
 - Reject 1 fully-developed regional project proposal,
 - Endorse 1 regional concept,
 - Endorse 1 regional pre-concept note proposal.



OVERVIEW: PROJECT AND PROGRAMME DECISIONS

- The Board decided to:
 - Approve one fully-developed EDA project proposal,
 - Approve two AFCIA proposals,
 - Approve four post-approval project revisions.

- ***Total amount in new project/programme approvals: USD 58 million.***
- Implications of IEs: decision letters are issued for approvals, endorsements and rejections only.



READINESS GRANTS

Report of the secretariat on the Intersessional Review Cycle for Readiness Grants

1. Having considered the recommendation of the Project and Programme Review Committee the Adaptation Fund Board (the Board) decided to:
 - (a) Request the secretariat to prepare an analysis on the possibility of broadening the scope, eligibility criteria and type of technical assistance grants available to national and regional implementing entities, and to present the report at the thirty-third meeting of the PPRC;
 - (b) **Update the review cycle for readiness grants** as depicted in Figure 2 of document AFB/PPRC.32/30 and invite the implementing entities of the Adaptation Fund to submit responses to the initial technical review within two weeks of receiving the initial technical review; and
 - (c) Request the secretariat to notify all the accredited implementing entities of the Fund of the updated review cycle.

(Decision B.41/15)

Adaptation Fund Climate Innovation Accelerator

Proposals for Adaptation Fund Climate Innovation Accelerator (AFCIA) small grants programmes

- Approved WFP and UNIDO proposals (USD 10 million each) Decision B.41/16 and Decision B.41/17

Response to the call to submit a proposal on extending coordination services

1. Having considered the recommendation of the Project and Programme Review Committee the Adaptation Fund Board (the Board) decided to:
 - (a) Request the United Nations Environment Programme (UNEP) to submit a revised proposal for consideration by the Board at its forty-second meeting; and
 - (b) Request UNEP to further develop and adjust the proposal for a three-year period.

(Decision B.41/18)

- *Raising awareness on the AFCIA partnership*

FULL COST OF ADAPTATION REASONING

Full Cost of Adaptation Reasoning

1. Having considered the recommendation of the Project and Programme Review Committee the Adaptation Fund Board (the Board) decided to:
 - (a) Request the Project and Programme Review Committee (PPRC) to continue considering the “*Draft guidance on optional cofinancing in the context of the Adaptation Fund*” as contained in document AFB/PPRC.32/21 and make an informed recommendation during its thirty-third meeting; and
 - (b) Request the secretariat to present a report compiling the proposals that have included optional cofinancing for consideration by the PPRC at its thirty-third meeting.

(Decision B.41/19)



HARMONIZATION OF RULES AROUND COSTS AND FEES

1. Having considered the recommendation of the Project and Programme Review Committee the Adaptation Fund Board (the Board) decided to:
 - (a) Approve the proposal for harmonization of implementing entity (IE) fees and execution costs (EE costs) as presented in para 38 of document AFB/PPRC.32/22;
 - (b) Request the secretariat to:
 - (i) Prepare a guidance document compiling the relevant information related to costs and fees and add it to the project preparation documentation;
 - (ii) Revise the review templates for all windows and update any reference to the IE fees and EE costs, with a view to consistency and clarity;
 - (iii) Request the secretariat to monitor, over the next three review cycles, proposal submissions for the proposed use of eligible costs that may be charged under project components for specific types of projects that may need additional support during their implementation;
 - (iv) Consider, including through a consultative process, changes to the size of the project formulation grants for projects that require enhanced capacity building during the project preparation stage;
 - (c) Report to the Board at its forty-fourth meeting on the observations made on items under sub paragraphs (b)(i)-(iii) above; and
 - (d) Report to the PPRC at its thirty-third meeting on the observations made on item under sub paragraph (b)(iv) above.

ROLLING-BASIS SUBMISSIONS PILOT

Rolling-basis submissions: Progress report on the implementation of the pilot and proposals for updating Fund policies

1. Having considered the recommendation of the Project and Programme Review Committee the Adaptation Fund Board (the Board) decided to:
 - (a) Maintain the piloting of the rolling-basis submissions process for all funding windows, as described in document AFB/PPRC.31/60 and approved by decision B.40/59;
 - (b) Request the secretariat to:
 - (i) Present to the PPRC at its thirty-third meeting a report on the implementation of the pilot with any recommendations for improvement, as appropriate, and including a table comparing the number of proposals submitted at each cycle;
 - (ii) Undertake a comprehensive revision of the Operational Policies and Guidelines to reflect all necessary changes due to the revision of the Fund's policies to-date, and with a view of better accommodating future changes of the policies of the Fund; and
 - (iii) Present the proposed draft revisions under the paragraph (b)(ii) above for consideration by the Board in its forty-third meeting.

(Decision B.41/21)

IMPLICATIONS AND OPTIONS FOR EVALUATION COSTS, BUDGET GUIDANCE – *Webinar coming soon!*

1. Having considered the recommendation of the Ethics and Finance Committee, the Adaptation Fund Board (the Board) decided to:
 - (a) Take note of the report on the review of budget implications and options for evaluation policy implementation as contained in document AFB/EFC.32/10;
 - (b) Request the implementing entities to set the overall evaluation budget for proposed projects and programmes at 1 to 5 per cent of the project or programme budget, to be counted towards the implementing entity fee, starting from the forty-second meeting of the Board;
 - (c) Invite the implementing entities to consider “Proposed new structure of project evaluation cost” referred to in paragraph 30 of document AFB/EFC.32/10 as a guidance for allocating funding for evaluation activities;
 - (d) Request the secretariat to:
 - (i) Update the “Instructions for Preparing a Request for Project Funding” contained in Annex 5 to the Operational Policies and Guidelines accordingly and submit it to the forty-second meeting of the Board for consideration and approval;
 - (ii) Communicate the present decision to the implementing entities and ensure compliance during project reviews and monitoring; and
 - (iii) Report on the implementation of the new budget allocation for project evaluation budget to the Ethics and Finance Committee at its thirty-fourth meeting.

BOARD MEETING DATES IN 2024

- The upcoming Board meetings are:
 - AFB42: 12-15 March in Bonn, Germany
 - AFB43: 8-11 October in Bonn, Germany



SUBMISSION OF PROJECT AND PROGRAMME PROPOSALS

ALL WINDOWS, AS AT 7 NOV 2023

Open starting now

Submission of proposals under above mentioned windows
Adaptation Fund Board Secretariat - afbsec@adaptation-fund.org
and submissions@adaptation-fund.org

Please cc the email addresses listed below. For example, for a submission of a single country project, please cc Saliha, Imen and Neranda

Review Intermission begins on January 15, 2023

Proposals submitted on January 15 or after are not subject to the 3-week business standard, which resumes after the conclusion of AFB 42.

For questions or submission: Single country and regional projects (main windows)

Ms. Saliha Dobardzic - sdobardzic@adaptation-fund.org
Ms. Imen Meliane - imeliane@adaptation-fund.org;
Ms. Neranda Maurice George - nmauricegeorge@adaptation-fund.org;

For questions or submission: Innovation (Large Projects and Small Grants), Enhanced Direct Access (EDA),

Ms. Saliha Dobardzic - sdobardzic@adaptation-fund.org
Ms. Alyssa Gomes - agomes3@adaptation-fund.org
Mr. Naoki Uozawa - nuozawa@adaptation-fund.org

For questions or submission: Learning grants

Ms. Cristina Dengel - cdengel@adaptation-fund.org
Ms. Kalterine Vrenezi - kvrenezi@adaptation-fund.org

Project scale-up grants Readiness grants

Mr. Farayi Madziwa fmadziwa@adaptation-fund.org
Ms. Ishani Debnath idebnath@adaptation-fund.org

Submission of LOEs and DA Nominations

Adaptation Fund Board Secretariat - afbsec@adaptation-fund.org
and Ms. Sophie Hans Moevi – shansmoevi@adaptation-fund.org

Q & A

